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(**Abstract)**

 Germline and somatic mutations cause various diseases, including cancer. Clinical applications of genome editing are keenly anticipated, since it can cure genetic diseases. Recently, we reported that a 5'-tailed duplex (TD), consisting of an approximately 80-base editor strand oligodeoxyribonucleotide and a 35-base assistant strand oligodeoxyribonucleotide, could edit a target gene on plasmid DNA and correct a single-base substitution mutation without an artificial nuclease in human cells. In this study, we assessed the ability of the TD to correct base substitution mutations located consecutively or separately, and deletion and insertion mutations. A TD with an 80-base editor strand was co-introduced into human U2OS cells with plasmid DNA bearing either a wild-type or mutated copepod green fluorescent protein (*copGFP*) gene. Among the mutations, three-base consecutive substitutions were efficiently repaired. The correction efficiencies of deletion mutations were similar to those of substitution mutations, and two to three times higher than those of insertion mutations. Up to three-base substitution, deletion, and insertion mutations were excellent targets for correction by TDs. These results suggested that the TDs are useful for editing disease-causing genes with small mutations.

 Key words: Gene correction; Gene editing; 5'-Tailed duplex; *copGFP* gene; Base substitution; Deletion; Insertion

(INTRODUCTION)

 Mutations are formed by various factors, such as chemical modifications of DNA (1- 4). Germline and somatic mutations cause numerous diseases, including cancer, and are possibly involved in aging and neurodegeneration. In addition to the conventional low molecular weight drugs, new drug modalities such as oligonucleotide therapeutics, proteolysis-targeting chimeras (PROTACs), and gene therapy products have been proposed and tested to treat these types of diseases (5-7).

 Genome editing, the rewriting of genetic information, is expected to be applied as another type of treatment for diseases caused by mutations. Unlike gene therapy using non- integrating viruses such as recombinant adeno-associated virus, genome editing therapy is considered to be permanent. Moreover, the mutated gene is not expressed in the cells where both alleles are correctly edited. Currently, the major genome editing methods utilize artificial nucleases to cleave the DNA near the target site (8-12). The donor DNA containing the normal (or desired) sequence that is co-introduced into the target cells can correct the gene by homology- dependent repair. However, these artificial nucleases do not have extremely high sequence specificity and the intracellular availability of the donor nucleic acid is insufficient. Consequently, unintended on- and off-target mutations are observed (13,14). Thus, more precise and safer editing methods are required for the clinical applications of this technology.

 Previously, we prepared several hundred-base single-stranded (ss) DNA and 5'-tailed duplex (TD) DNA as gene-editing tools (15-17). The TD consists of a long ss DNA (editor strand) plus an approximately 35-base oligodeoxyribonucleotide (ODN) hybridized to the 3'- region of the ss DNA (assistant strand). When plasmid DNA carrying a mutant gene and the ss DNA/TD containing the right sequence are co-introduced into mammalian cells, the base substitution mutation in the gene is corrected. The correction efficiency by the TD is higher than that by the ss DNA alone. However, frameshift mutations are relatively resistant to the correction by these DNAs (18,19).

 Recently, we found that TDs with a short, approximately 80-base editor strand corrected a base substitution mutation more efficiently than those with a longer editor strand (20,21). Moreover, higher correction efficiency was observed when using TDs with an antisense editor strand, rather than a sense editor strand. These short editor strands are available by chemical synthesis, and thus TDs may become more suitable for clinical applications.

 In this study, we examined the editing abilities of the new type of TDs for various mutations: corrections of multi-base substitution, deletion, and insertion mutations. The novel TDs could repair consecutive (up to three base) substitution/deletion/insertion mutations with efficiencies comparable to that for a single-base substitution mutation.

MATERIALS AND METHODS

Plasmids and plasmid constructions

 The TD-mediated gene editing efficiencies in cells were assessed by using copepod green fluorescent protein (copGFP), as described in our previous papers (20,21). The gene

Cell culture and transfection

Analysis of gene editing efficiency

 The efficiency of gene editing mediated by TDs was analyzed as described in our previous studies (20,21). Briefly, at 48 h after transfection, the cells were stained with 1 μg/ml Hoechst 33342 (Thermo Fisher Scientific) for 30 min and then fixed with 4% paraformaldehyde (Nacalai Tesque, Kyoto, Japan). The three confocal z-stack fluorescence images were acquired using the Opera Phenix High Content Screening System (PerkinElmer). The fluorescence intensities of individual nuclei were analyzed by the Harmony Analysis Software (PerkinElmer) and the Spotfire Software (TIBCO, Palo Alto, CA, USA).

Next-generation sequencing

 The co-introduction of plasmid DNA plus TD was performed similarly except that the experimental format was changed to a 6-well plate. The plasmid DNA was extracted from the cells at 48 h after transfection as described previously (15). The DNA was subsequently

 introduced into *E. coli* DH10B by electroporation and the bacterial cells were incubated on agar 117 plates containing kanamycin at 37° C for 24 h. The colonies (approximately 10^4 colonies) on the 118 plates were suspended in LB medium (10 ml). A 300-µl aliquot was centrifuged, and the plasmid DNA was extracted from the pelleted bacterial cells and purified by a NucleoSpin Plasmid Transfection-grade plasmid purification kit. The DNA was used as the template and a 283-bp DNA fragment including the *copGFP* gene was amplified by PCR using 2× Platinum SuperFi II PCR Master Mix (Thermo Fisher Scientific). The consensus sequences of PCR primers with 123 different N_6 -index sequences used for the multiplexed next-generation sequencing (NGS) were 5'-TGAACGGCGTGGAGTTCG-3' and 5'-TGAAGCTCACGTGCAGCAC-3'(Table 2).The PCR products were purified by a NucleoSpin Gel and PCR Clean-up purification kit (Takara 126 Bio), and combined in equal proportion. Library preparation and paired-end sequencing with $2\times$ 150 bp read length using the MGI DNBSEQ-G400RS platform were performed by Genome-128 Lead (Takamatsu, Japan). The FASTQ files were generated from each N₆-index sample using Je demultiplex (22). Each generated FASTQ file was used for the following analysis. (i) Sequences containing the letter N or with more than 10% of bases having a PHRED score less than 20 were excluded. (ii) The paired-end reads from the FASTQ data were merged with a minimum overlap of 10 bp and a maximum overlap of 65 bp using FLASH (23). (iii) The merged sequences were aligned to a reference sequence. (iv) The editing efficiency is calculated by dividing the number of desired mutations among the detected mutations by the total number of reads.

RESULTS

Correction of consecutive base substitution mutations

 First, we examined the correction of the target gene containing consecutive base substitution mutations. The target plasmid carried an *mPlum*-*T2A*-*copGFP(mutant)* gene driven 143 by the human EF1 α promoter (Figs. 1A and 2A) (21). The mutant genes have one to nine substitution(s) in series and the mutations cause alteration(s) of at least one of the amino acids of the protein chromophore (Fig. 2A). Codons 64-66 (corresponding to codons 56-58 in the original gene) encode the amino acid residues of the chromophore, glycine-tyrosine-glycine. We introduced the TDs carrying an 80-base sense or antisense editor strand with the wild-type sequence, depicted in Fig. 1B, together with plasmid DNA carrying one of the mutant *copGFP* genes, into U2OS cells by lipofection. The target region, corresponding to the mutated bases in the plasmid DNA, was located in the ss portion of both the sense and antisense TDs. The green and red fluorescences were observed at 48 h post-transfection.

 As shown in Fig. 2B, some multiple base substitutions were corrected with efficiencies similar to the single-base substitution. For the sense TD, up to three-base substitution mutations were repaired comparably and the five-to nine-base substitutions were corrected less efficiently. Meanwhile, similarly effective repair was observed for up to five-base substitutions in the case of the antisense TD. The correction of the seven-base mutations was moderate and that of the nine-base mutations was inefficient. Overall, the correction efficiencies by the antisense TD were higher than those by the sense TD.

Correction of deletion and insertion mutations

 Next, we examined corrections of deletion and insertion mutations. The deletion of the C base of codon 65 (TAC) results in termination codon formation (TAG, Fig. 3A). The deletions of two to seven bases destroy the chromophore (and except for the three-base deletion, truncate the protein). The addition of an A base as the third letter of codon 65 (the formation of TAA) also stops the translation. Plasmid DNAs containing the gene with one of these mutations were used as the target molecules. Once again, the mutated region in the plasmid DNAs corresponds to the ss portion of the TDs.

 The sense and antisense TDs corrected a single-base deletion with approximately 15% efficiencies (Fig. 3B). These values were comparable to those of a single-base substitution (Fig. 2B), indicating that the TDs with an approximately 80-base editor strand have the ability to correct both single-base substitution and deletion mutations with similar efficiencies. This is completely different from the TDs with a long editor strand, which correct a single-base deletion much less efficiently than a single-base substitution (19). Surprisingly, the 80-base TDs seemed to correct the three-base deletion mutation more efficiently than the single-base deletion. The repair of the seven-base deletion was less efficient.

 The TDs were less active for editing the insertion mutations than the deletion mutations (Fig. 3B). The repair efficiencies of a single-base insertion were two to three times lower than those of a single-base substitution/deletion. The corrections of the three- and five-base insertion mutations seemed to be comparable to, but slightly less efficient than, those of a single-base insertion mutation.

Correction of separated base substitution mutations

 Next, we examined the repair of two base substitution mutations separated by 10 or 21 184 bases. In addition to codon 65, codons 57 and 68 are TAC and their substitutions to TAG cause protein truncation. These two codons were independently altered to TAG. Two of the mutant genes have two base substitution mutations: TAG at codon 57 plus CAC (His) at codon 65, located 21 bases apart, and CAC (His) at codon 65 plus TAG at codon 68, located 10 bases apart (Fig. 4A). We expressed mutant genes such as XHY, where X, H, and Y mean TAG, CAC, and TAC at codons 57, 65, and 68, respectively. The recovery of green fluorescence is achieved by the dual corrections of two substitutions, in the cases of the XHY and YHX mutants. The region corresponding to codon 57 in the sense TD and that corresponding to codon 68 in the antisense TD are in the ss portions (Fig. 4B). Since the region corresponding to codon 68 in the sense TD and that corresponding to codon 57 in the antisense TD are within the double-stranded portions, the mutants of these codons were excluded in the analysis.

 As shown in Fig. 4B, the dual correction efficiencies were lower than the single-base YHY correction efficiencies. However, the repair of the XHY mutant by the sense TD was similar to that of the XYY mutant. For the antisense TD, the YYX and YHX mutants were repaired with equivalent efficiencies. Thus, the correction of separated base substitution

 mutations was comparable to that of the single-base substitution mutations with lower efficiency (at more distant positions from the double-stranded region of TDs). Again, the antisense TD more efficiently edited these mutations than the sense TD.

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- **Confirmation of corrections by NGS**

 We finally examined the corrections (sequence changes) at the DNA level. We chose the plasmid DNAs containing three-base substitution, deletion, and insertion mutations as the correction targets.We co-transfected the target plasmids and the antisense TD and recovered the plasmid DNAs from the cells. The DNAs were then introduced into recombination-deficient 208 (*recA*) *E. coli* cells to exclude the possible influence of TD that might be recovered from the 209 human cells. The plasmid DNAs isolated from the bacterial cells were used as the templates and the regions including the *copGFP* gene were amplified by high fidelity DNA polymerase. We analyzed the duplicated PCR products by NGS and repeated the series of operations two times. As shown as the closed bars in Fig. 5, the sequence corresponding to the wild-type gene was detected for the TD experimental groups, indicating the corrections at the DNA level. However, the ratios of the wild-type sequence (the correction efficiencies) were <0.15%, much lower than the correction efficiencies observed by the fluorescence method (Figs. 2B and 3B). 216 Moreover, the ratios were similar for the three-base substitution and insertion groups in contrast

to the results obtained by the fluorescence assay (see the Discussion section).

 In this study, we examined the TD-mediated correction of deletion and insertion mutations. Importantly, the efficiencies of deletion correction were similar to those of substitution correction (Figs. 2B and 3B). The insertion mutation was two to three times less efficiently repaired than the substitution and deletion mutations. Thus, the TD is a potent candidate for gene correction therapeutics. As described above, the correction of frameshift mutations by the TD with a long editor strand is much less efficient as compared to that of substitution mutations (19). This discrepancy probably reflects the difference in the gene correction pathways between TDs with short and long editor strands, although the precise mechanisms remain unknown.

 As shown in Figs. 2B and 3B, the TD could correct multi-base substitution and indel (insertion and deletion) mutations. In particular, two- and three-base mutations were repaired with efficiencies comparable to single-base mutations. One typical cystic fibrosis mutation in the Caucasian population is the three-base deletion of the cystic fibrosis transmembrane conductance regulator (CFTR) gene (24). Thus, many disease-causing mutations like this deletion could be targets of the TD.

 We confirmed the corrections at the DNA level by NGS (Fig. 5). However, the calculated correction efficiencies were highly different from those determined by the green fluorescence assay.We introduced DNAs (plasmid plus TD) into U2OS cells by lipofection and this method delivers multiple copies of plasmid into a single cell. In the case of three-base deletion, the correction efficiencies obtained by the GFP assay and NGS were approximately

 25%and 0.13%, respectively (Figs. 3Band 5).This difference might be explained by the positive green fluorescence from one copy of corrected gene in a cell containing 200 copies of 243 uncorrected genes. Thus, the fluorescence assay overestimated the correction efficiencies. Indeed, approximately 20% of green-fluorescent cells were observed when the mixture of 0.14% of wild-type copGFP plasmid and 99.86% of inactive plasmid was transfected (data not shown).

 In addition, the orders of the correction efficiency were deletion > mismatch > insertion 247 and deletion > mismatch = insertion in the fluorescence assay and NGS, respectively. If plasmid DNA(s) with an unexpected sequence (other than the wild-type sequence) contributed to the green fluorescent cell formation, such sequence should be frequently detected in NGS. However, we did not find such sequences in the TD-treated groups. One possible reason is that biased amplification of the shorter (corrected) DNA molecule over the longer (uncorrected) molecule during PCR in the insertion group. We could not exclude multiple analysis of the PCR products amplified from an identical plasmid.However, only three-base difference does not seem to affect the amplification efficiency.

 Please note that the open bar in the 3b-Ins (–TD) group represents the ratio of corrected mutations (Fig. 5). We cannot exclude the sequence information derived from the errors during PCR and in sequencing reaction and signal detection at this time. Thus, detailed condition settings would be necessary when the gene correction efficiency is determined by the NGS.

 In previous studies, we showed that the TDs with an antisense editor strand are more potent than those with a sense editor strand in editing single-base substitutions (20,21). Likewise, in the present study, the correction efficiencies with the antisense TD were generally higher than those with the sense TD for all types of mutations examined (Figs. 2 and 3). One possible explanation is that the transcription of a target gene enhances the gene editing by TDs. As illustrated in our previous study, the strand invasion/displacement of the editor strand, the hypothesized first step of the editing, might preferentially occur during transcription (21). The antisense editor strand might pair with the sense (non-template) strand of the gene, and this could be a reason for the higher correction efficiencies with antisense TDs.

 The TD consists of approximately 80-base editor and 35-base assistant ODNs (Fig. 1B). At first glance, it may resemble the ss ODNs used as gene editing tools. However, these ss ODNs edit DNA in a replication-dependent manner (25-27). Since the plasmid DNAs used in this study lack an origin sequence required for replication in human cells, the detected copGFP correction occurred in a replication-independent manner. Thus, the ss DNAs used by other researchers and the TDs are completely different editing tools.

 In this study, we examined TD-mediated gene corrections with indel mutations and multi-base substitutions as the targets. Our results indicate that the TD could be applied to the treatment of diseases caused by relatively small (one- to three-base) mutations. Our final goal is to use TD to correct mutated genes on chromosomes. Elucidations of the gene correction mechanism and the factors affecting the correction will be required to improve the editing efficiency, and experiments toward these goals are in progress in our laboratory.The reasons for the different correction efficiencies of mismatch, deletion, and insertion mutations will be explained after understanding of the correction mechanism.

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 FIG. 1. (A) Schematic map of the target plasmid DNAs used in this study. The plasmid encodes the mPlum protein linked to the copGFP protein (wt or mutant) with the T2A peptide. 370 *kan*^r represents the kanamycin-resistance gene. As examples, the base and amino acid sequences around the chromophore of wt and a single-base substitution mutant (Y65H) are also shown. (B) The structures of the sense (S) and antisense (AS) TDs. The 80-base editor strand ss DNAs are hybridized with the 35-base assistant strands.

 FIG. 2. Correction of base substitution mutations by sense (S) and antisense (AS) TDs. (A) The base and amino acid sequences around the chromophore of the target plasmids with one or more base–base mismatches (MM). The Y65H mutant corresponds to 1b-MM. (B) The correction efficiencies, as determined by microscopic observation. The efficiencies were evaluated as described in the MATERIALS AND METHODS section. The bars indicate the mean values of three independent experiments, with two wells per experiment. All error bars represent standard errors of the mean. One-way ANOVA with Tukey's multiple comparison 382 tests was used to compare means between indicated pairs $(*P < 0.05, **P < 0.01)$.

 FIG. 3. Correction of deletion and insertion mutations by sense (S) and antisense (AS) TDs. (A) The base and amino acid sequences around the chromophore of the target plasmids with deletion(s) (Del) or insertion(s) (Ins). (B) The correction efficiencies determined by microscopic observation. The bars indicate the mean values of three independent experiments, with two wells per experiment. All error bars represent standard errors of the mean. One-way ANOVA with Tukey's multiple comparison tests was used to compare means between indicated pairs (**P* < 0.05).

 FIG. 4. Correction of two separated base substitution mutations by sense (S) and antisense (AS) TDs. (A) The base and amino acid sequences around the chromophore of the target plasmids with one or two substitution(s). The Y65H mutant corresponds to YHY. (B) The correction efficiencies determined by microscopic observation. The bars indicate the mean values of three independent experiments, with two wells per experiment. All error bars represent standard errors of the mean.

 FIG. 5. NGS analysis of gene correction. The antisense (AS) TD was co-introduced into U2OS cells together with target plasmid with a three-base mismatch (MM), deletion (Del), or insertion (Ins) mutation. The plasmid DNA was recovered from the transfected cells, amplified in *E. coli* cells, and used as the template for PCR. The efficiencies were evaluated as described in the MATERIALS AND METHODS section. The bars indicate the mean values of four 404 experiments. All error bars represent standard errors of the mean.

Table 1 Oligodeoxyribonucleotides used in this study.

| Oligodeoxyribonucleotide | Sequence $(5' \rightarrow 3')$ | | |
|---|--|--|--|
| oligodeoxyribonucleotides for editor strands | | | |
| 80-base (S) E-strand | ACCTTCAGCCCCTACCTGCTGAGCCACGTGATGGGCTACGGCTTCTACCACTTCGGCACCTACCCCAGCGGCTACGAGAA | | |
| 80-base (AS) E-strand | TTCTCGTAGCCGCTGGGGTAGGTGCCGAAGTGGTAGAAGCCGTAGCCCATCACGTGGCTCAGCAGGTAGGGGCTGAAGGT | | |
| oligodeoxyribonucleotides for assistant strands | | | |
| for 80 -base (S) A-strand | TTCTCGTAGCCGCTGGGGTAGGTGCCGAAGTGGTA | | |
| for 80-base (AS) A-strand | ACCTTCAGCCCCTACCTGCTGAGCCACGTGATGGG | | |
| | | | |

The regions corresponding to codon 65 are underlined.

Table 2 Primers used in the next-generation sequencing assay.

| Primer set (with N_6 -index) | Forward primer's sequence $(5' \rightarrow 3')$ | Reverse primer's sequence $(5\rightarrow 3')$ |
|--------------------------------|---|---|
| mut pOnly 1-1 | AAAGCGTGAACGGCGTGGAGTTCG | ATTACGTGAAGCTCACGTGCAGCAC |
| mut pOnly 2-1 | AAACTGTGAACGGCGTGGAGTTCG | ATTCGGTGAAGCTCACGTGCAGCAC |
| mut pOnly 1-2 | AAATGGTGAACGGCGTGGAGTTCG | ATTGAGTGAAGCTCACGTGCAGCAC |
| mut pOnly 2-2 | NAAGCAGTGAACGGCGTGGAGTTCG | NATACTGTGAAGCTCACGTGCAGCAC |
| del pOnly 1-1 | NNAGCAAGTGAACGGCGTGGAGTTCG | NNAACTTGTGAAGCTCACGTGCAGCAC |
| del pOnly 2-1 | NNACTAAGTGAACGGCGTGGAGTTCG | NNACGTTGTGAAGCTCACGTGCAGCAC |
| del pOnly 1-2 | NNATGAAGTGAACGGCGTGGAGTTCG | NNAGATTGTGAAGCTCACGTGCAGCAC |
| del pOnly 2-2 | CAAGCTTGAACGGCGTGGAGTTCG | CTTACTTGAAGCTCACGTGCAGCAC |
| ins pOnly 1-1 | NCAGCATTGAACGGCGTGGAGTTCG | NCTACTTTGAAGCTCACGTGCAGCAC |
| ins_pOnly_2-1 | NCACTATTGAACGGCGTGGAGTTCG | NCTCGTTTGAAGCTCACGTGCAGCAC |
| ins_pOnly_1-2 | NCATGATTGAACGGCGTGGAGTTCG | NCTGATTTGAAGCTCACGTGCAGCAC |
| ins_pOnly_2-2 | NNCGCAATTGAACGGCGTGGAGTTCG | NNCACTTTTGAAGCTCACGTGCAGCAC |
| mut_TD_1-1 | CGGATTTGAACGGCGTGGAGTTCG | CCCGTTTGAAGCTCACGTGCAGCAC |
| mut_TD_2-1 | CGGTCTTGAACGGCGTGGAGTTCG | CCCAGTTGAAGCTCACGTGCAGCAC |
| mut TD 1-2 | CGGCATTGAACGGCGTGGAGTTCG | CCCTATTGAAGCTCACGTGCAGCAC |
| mut TD 2-2 | NCGATGTTGAACGGCGTGGAGTTCG | NCCGTCTTGAAGCTCACGTGCAGCAC |
| del TD 1-1 | NNCATGGTTGAACGGCGTGGAGTTCG | NNCGTCCTTGAAGCTCACGTGCAGCAC |
| del TD 2-1 | NNCTCGGTTGAACGGCGTGGAGTTCG | NNCAGCCTTGAAGCTCACGTGCAGCAC |

Wild-type 1-base-deletion - ^M ^G ^X - - 5'- // --- ATG GGC TA-GGCTTC --- // -3' (1b-Del) - 63 64 65 66 67 - - MGYGF - 5'- // --- ATG GGC TAC GGC TTC --- // -3' 2-base-deletion (2b-Del) - ^M ^G ^W ^L ^L - 5'- // --- ATG GGC T--GG CTT C-- - // -3' 3-base-deletion (3b-Del) - ^M ^G - ^G ^F - 5'- // --- ATG GGC --- GGC TTC --- // -3' 5-base-deletion (5b-Del) - M G V L - 5'- // --- ATG G-----GG CTT C --- // -3' 7-base-deletion (7b-Del) - M A ^S - 5'- // --- AT-------G GCT TC- --- // -3' 1-base-insertion 5'- // --- ATG GGC TAA CGGCTTC-- // -3' (1b-Ins) 3-base-insertion 5'- // --- ATG GGC TAA TACGCTTC-- // -3' (3b-Ins) 5-base-insertion 5'- // --- ATG GGC TAA TATACGCTTC // -3' (5b-Ins) - M G X - - - M G X - - - M G X - -

A)

Fig. 3

B) Gene correction efficiency (%) TD (AS) YYX TD (S) XYY YHY XHY YHY YHX 0 5 10 15 20 25 30

