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Title	Gas-Permeable Carbon Molecular Sieve Membranes Fabricated from a Norbornene-Functionalized Polyimide-Polyhedral Oligomeric Silsesquioxane Composite
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Relation	



1 Gas-permeable carbon molecular sieve membranes  
2 fabricated from a norbornene-functionalized  
3 polyimide–polyhedral oligomeric silsesquioxane  
4 composite

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17 **Abstract**

18 Polyhedral oligomeric silsesquioxanes (POSS) are a promising family of regularly structured  
19 silsesquioxanes with resilient cage-like configurations and exterior edges that can be  
20 functionalized with various organic groups. In this study, POSS was functionalized with a  
21 polyimide–phenylene (PI–Ph) unit, which yielded POSS–PI–Ph to fabricate carbonized POSS  
22 membranes (denoted as carbon-POSS) *via* inert pyrolysis. Replacing some PI–Ph units with  
23 norbornene (NB) increased the amount of residual carbon formed in the carbon-POSS structure.  
24 X-ray photoelectron spectroscopy and  $^{29}\text{Si}$  nuclear magnetic resonance analysis revealed that  
25 residual  $\text{sp}^2$ -hybridized carbon atoms were connected to the POSS cage after pyrolysis at 700 °C.  
26 Analysis of single-gas permeation at 200 °C with the carbon-POSS membranes pyrolyzed at  
27 different temperatures (200–800 °C) indicated that the permeance of all investigated gases (He,  
28  $\text{H}_2$ ,  $\text{CO}_2$ ,  $\text{N}_2$ ,  $\text{CH}_4$ ,  $\text{CF}_4$ , and  $\text{SF}_6$ ) increased with increasing membrane pyrolysis temperature.  
29 Notably, the early onset of the decline in permeance of large-molecule gases such as  $\text{CF}_4$  and  $\text{SF}_6$   
30 at 600 °C ensured a high  $\text{N}_2$  permeance and ideal  $\text{N}_2/\text{SF}_6$  selectivity of  $10^{-6} \text{ mol m}^{-2} \text{ s}^{-1} \text{ Pa}^{-1}$  and  
31 100, respectively. Overall, this study demonstrates the feasibility of preparing high-performance  
32 carbonized POSS-derived membranes by optimizing the NB functionality and POSS content of  
33 the hybrid copolymer precursor.

34

35 **KEYWORDS:** Polyhedral oligomeric silsesquioxane; norbornene; polyimide; carbon; retro Diels–  
36 Alder reaction; ring-opening metathesis; gas separation

## 37 1 Introduction

38 Silsesquioxanes are a unique group of compounds with the general formula  $(\text{RSiO}_{3/2})_n$ ,  
39 where R denotes a hydrogen atom or an organic group such as alkyl, alkylene, aryl, arylene, and  
40 their organofunctional derivatives [1]. Silsesquioxanes exhibit diverse structures, such as random,  
41 ladder, and cage configurations [2]. In particular, cage-structured silsesquioxanes—which are  
42 referred to as polyhedral oligomeric silsesquioxanes (POSS) [2,3]—possess an inorganic–organic  
43 architecture comprising an inner inorganic  $(\text{SiO}_{3/2})_n$  cage surrounded by organic moieties [3].  
44 Consequently, POSSs have been used to synthesize various POSS–polymer composites with  
45 polymers such as polyimides (PIs), polyamides, polyethers, polyesters, polystyrenes, poly(methyl  
46 methacrylate)s [2,4], and polybenzoxazine [5].

47 Owing to the synergistic combination of POSS and polyimide moieties, POSS–PI  
48 composites have attracted considerable attention for various applications because of their  
49 distinctive properties, such as their excellent thermal and mechanical stability [2,4–6]. POSS–PI  
50 composites have recently been applied in systems such as electrical insulators [7], thermal shields  
51 [4,6,8], solar cells [9], color filters, conductive films [10], and separation membranes [11–14].  
52 Particularly, POSS–PI composite membranes exhibiting different configurations have been  
53 studied. For instance, Dasgupta *et al.* [11] and Iyer *et al.* [13] investigated the gas transport  
54 properties of aminoethylaminopropylisobutyl–POSS- and octaamino–POSS-embedded PI mixed  
55 matrix membranes, respectively, in which functionalized POSS nanoparticles served as nanofillers  
56 in the PI superstructure, leading to macroscopic interactions. Additionally, Kanezashi *et al.* [14]  
57 and, more recently, Xiao *et al.* [15] explored molecularly integrated POSS–polymer composite  
58 membranes in which the POSS and PI moieties were combined in a copolymer network. Several

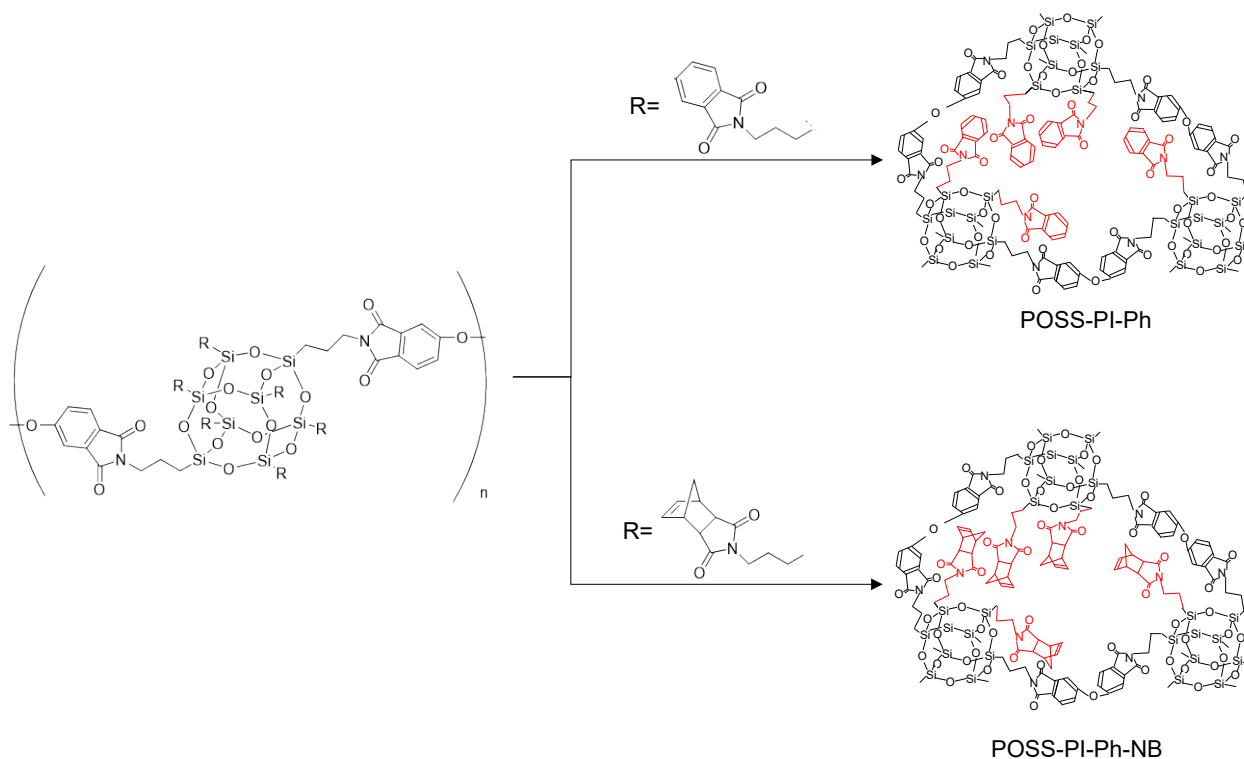
59 studies have suggested that integrating POSS and polymer moieties into a copolymer chain helps  
60 in fully realizing the benefits of both components of POSS–polymer composites [3–6,16].

61 Compositing PI and POSS can be leveraged to develop more thermally robust ceramics.  
62 POSS pyrolysis was first reported in 1985 by Chi, who synthesized a silicon oxycarbide (SiOC)  
63 with the formula  $\text{SiO}_{1.5}\text{C}_{0.5}$  by pyrolyzing a POSS at 1200 °C in an argon atmosphere [1]. The  
64 resulting SiOC materials are considered porous polymer-derived ceramics, and their properties can  
65 be altered by rationally controlling aspects such as precursor composition, architecture, and  
66 pyrolysis conditions [17]. Similarly, PI pyrolysis products have been extensively studied as carbon  
67 molecular sieves (CMSs). Consequently, CMS membranes have been fabricated to separate  $\text{CO}_2$   
68 [18–20],  $\text{H}_2$  [21,22], and  $\text{C}_3$  gases [23,24], highlighting the advantages of CMSs for generating  
69 ultramicroporous microstructures; however, these systems exhibit low permeability and aging  
70 problems [22,25,26]. Therefore, the pyrolysis products of POSS–PI composites can help facilitate  
71 the fabrication of high-performance separation membranes, with the rigid POSS cage networks  
72 helping to alleviate the low permeability and aging of CMSs [16].

73 Previously, our group examined the permeation properties of a homogeneous-POSS-  
74 derived membrane fabricated at a pyrolysis temperature of 550 °C [27]. The caged structure of the  
75 POSS prevented the densification of the siloxane linkages, which occurred in the corresponding  
76 pure silica membrane calcined at a similar temperature, indicating the ability of the POSS to retain  
77 its porosity after pyrolysis. Subsequently, we investigated the influence of the norbornene (NB)  
78 functionality on the permeation properties of CMS membranes derived from a POSS–PI  
79 copolymer [14]. To the best of our knowledge, this is the only study on the permeation properties  
80 of a membrane derived from a carbonized POSS–PI (carbon-POSS) copolymer membrane. The  
81 POSS cage was functionalized with NB, yielding a POSS–PI composite with two improved

82 attributes: First, including NB helped increase the C/Si atomic ratio, which amplified the surface  
83 diffusion ability of the unpyrolyzed POSS–PI structure, thereby achieving a higher CO<sub>2</sub> permeance  
84 than that of the nonfunctionalized POSS–PI ( $6 \times 10^{-9}$  and  $2.5 \times 10^{-9}$  mol m<sup>-2</sup> s<sup>-1</sup> Pa<sup>-1</sup>, respectively)  
85 while retaining a CO<sub>2</sub>/N<sub>2</sub> permeance ratio of 30. Second, the carbon-POSS membrane derived  
86 from the NB-functionalized POSS–PI retained the molecular sieving ability of the POSS–PI  
87 precursor membrane after heat treatment at 500 °C, in contrast to the nonfunctionalized POSS–PI.  
88 Heat-treating the NB-functionalized POSS–PI in an inert atmosphere induced crosslinking rather  
89 than decomposition in an oxidative atmosphere [14]. However, carbon-POSS membranes derived  
90 from POSS–PI composites require further scrutiny, for instance, to systematically evaluate their  
91 pyrolysis-temperature-dependent microstructural and permeation properties, which can broaden  
92 their applications.

93 In this study, the permeation behavior of carbon-POSS composite membranes was  
94 comprehensively examined by inspecting the role of NB functionalization of the POSS cage on  
95 the carbonization state. To this end, two POSS-PI precursors were selected: NB-functionalized  
96 POSS–polyimide–phenyl (POSS–PI–Ph–NB) and nonfunctionalized POSS–polyimide–phenyl  
97 (POSS–PI–Ph) (Figure 1). The effects of NB and its crosslinking (or lack thereof) on the pyrolytic  
98 properties and transformations of both precursors were studied. The pyrolysis-derived carbon-  
99 POSS materials were characterized to understand their structural transformations and post-  
100 pyrolysis carbonization states. Finally, the gas permeation properties and performance of the  
101 carbon-POSS membrane were evaluated, and its potential industrial applications were examined.



102

103 Fig. 1 Schematic representations of the ideal synthesis routes of pristine and NB-functionalized  
 104 POSS-polyimide-phenyl composites (denoted as POSS-PI-Ph and POSS-PI-Ph-NB,  
 105 respectively).

## 106 2 Experimental

### 107 2.1 Materials

108 The precursor chemicals used in this study were obtained from different suppliers and used  
 109 as is without further purification. POSS-PI-Ph-NB and POSS-PI-Ph (molecular weight of both  
 110 = 20,000 g mol<sup>-1</sup>) were provided by Nippon Shokubai Co., Ltd. (Osaka). The calculated weight  
 111 percentages of polyimide-phenyl (PI-Ph) and POSS in the POSS-PI-Ph composite were 78% and  
 112 22%, respectively, whereas those of PI-Ph, POSS, and NB in POSS-PI-Ph-NB were 18%, 22%,  
 113 and 60%, respectively. 2,3-Dimethyl-2,3-diphenyl butane (DDB) and N-methyl-2-pyrrolidone  
 114 (NMP), purchased from Sigma-Aldrich (Tokyo, Japan), served as the radical initiator and solvent,



115 respectively. Tetraethoxysilane (TEOS) and zirconium *tert*-butoxide (ZrTB), which were also  
116 supplied by Sigma-Aldrich (Tokyo, Japan), were used to prepare a colloidal sol for fabricating the  
117 intermediate-layer membrane support.

## 118 **2.2 Preparation of POSS–PI–Ph-, POSS–PI–Ph–NB-, and carbon-POSS-based gels, films,** 119 **powders, and membranes**

120 The POSS–PI–Ph–NB and POSS–PI–Ph synthesis procedures are detailed in Section S1  
121 (Supplementary Information). To prepare solutions of the POSS–PI–Ph–NB and POSS–PI–Ph  
122 composites, the as-synthesized polymers were each dissolved in NMP at a concentration of 0.5  
123 wt%, and the resulting mixtures were used to prepare films, xerogels, powders, and membranes.  
124 Notably, the POSS–PI–Ph–NB solution was prepared in two forms: with and without the DDB  
125 radical initiator (DDB/NB molar ratio of 0.01:1).

126 Each sample solution (100–150  $\mu$ L) was spin-coated onto UV-pretreated Si wafers and  
127 allowed to dry at 25–30 °C before calcination to prepare the films. The Si wafers coated with  
128 POSS–PI–Ph–NB and POSS–PI–Ph films were calcined in an inert atmosphere of flowing  
129 nitrogen at 200–800 °C to obtain carbon-POSS films. Xerogels of POSS–PI–Ph and POSS–PI–  
130 Ph–NB (with and without DDB) were formed by allowing their respective solutions to cure under  
131 slow drying conditions at 50–70 °C. Subsequently, carbon-POSS powder was obtained by  
132 calcination in nitrogen at the desired temperature.

133 Membranes were fabricated by first preparing the support layers, as described previously  
134 [28–30]. A typical  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> tubular support sealed at one end (porosity: 60%; average pore size:  
135 1.2  $\mu$ m; length: 10 cm; Nikkato Corporation, Japan) was coated with several layers of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>  
136 particles to further reduce the pore size to less than 100 nm and create a smoother surface. The

137 membrane support was eventually obtained after several layers of SiO<sub>2</sub>-ZrO<sub>2</sub> colloidal sol were  
138 coated [28–31] until the pore size was reduced to within 1–2 nm. The successive support layers  
139 were fired in the air at 750 °C for 15–20 min. Active separation layers were subsequently formed  
140 by coating the POSS–PI–Ph–NB and POSS–PI–Ph solutions onto the prefabricated supports,  
141 followed by drying, curing, and calcination at the desired temperature in a nitrogen atmosphere for  
142 30 min.

### 143 **2.3 Characterization of gels, films, and powders**

144 POSS–PI–Ph–NB- and POSS–PI–Ph-derived gels were prepared by drying the respective  
145 solutions at 50–70 °C to permit thermogravimetric analysis (TGA) in an N<sub>2</sub> atmosphere (DTG-60,  
146 Shimadzu, Japan). To that end, the sample was maintained at 100 °C for 2 h under N<sub>2</sub> flow (100  
147 mL min<sup>-1</sup>) to remove adsorbed water and then subjected to a temperature increase to 1000 °C at a  
148 ramping rate of 10 °C min<sup>-1</sup>. The microtextural properties of the POSS–PI–Ph–NB and POSS–PI–  
149 Ph-derived powders were evaluated by acquiring N<sub>2</sub> adsorption–desorption isotherms at –196 °C  
150 using a BELMAX instrument (BELL, Japan). Before conducting these measurements, the powders  
151 were pretreated in a vacuum at 200 °C for 12 h to remove any pre-adsorbed gases, moisture, or  
152 vapor. Furthermore, the POSS–PI–Ph–NB-derived powders were subjected to attenuated total  
153 reflectance Fourier-transform infrared (ATR FT-IR) analysis at room temperature using an FT-IR  
154 spectrometer (FT/IR-4100, Jasco, Japan).

155 The transformations of the POSS and PI structures at different pyrolysis temperatures were  
156 investigated using <sup>29</sup>Si nuclear magnetic resonance (NMR) spectroscopy and X-ray photoelectron  
157 spectroscopy (XPS). Solid-state <sup>29</sup>Si magic angle spinning NMR spectra were recorded using an  
158 NMR spectrometer (Varian 600PS, Agilent, U.S.A.). XPS (Thermo-Fisher Scientific ESCALAB

159 250Xi, Al-Ka = 1486.6 eV) was conducted to analyze the change in the carbonization state by  
160 observing the shifts in the C 1s spectrum of the POSS–PI–Ph–NB-derived powders with pyrolysis  
161 temperature.

## 162 **2.4 Evaluation of the permeation properties of the fabricated membranes**

163 The process flow diagram of the gas permeation experimental rig devised in this study is  
164 shown in Figure S1. A single industrial-grade gas (He, H<sub>2</sub>, CO<sub>2</sub>, N<sub>2</sub>, CH<sub>4</sub>, CF<sub>4</sub>, or SF<sub>6</sub>) was fed to  
165 the exterior (upstream) of the membrane at a sufficiently high flow rate, whereas the permeate  
166 (downstream) was monitored by measuring the flow rate using a suitable flow meter (HORIBA-  
167 STEC; HORIBA, Japan). The permeation cell was maintained at a specific temperature between  
168 50 and 200 °C to assess the temperature dependence of the permeation for each gas species. The  
169 permeance of each component was calculated by dividing the molar flow rate of the permeating  
170 gas by the product of the effective exterior surface area of the membrane and upstream and  
171 downstream pressure differences. Notably, the permeate-side flow rate was only recorded after a  
172 steady state was achieved.

173 The organic solvent dehydration properties of the carbon-POSS membranes were evaluated  
174 *via* pervaporation analysis. Details concerning the experimental pervaporation are provided in  
175 Section S2 (Supplementary Information), and a schematic of the pervaporation setup is shown in  
176 Figure S2.

## 177 **3 Results and discussion**

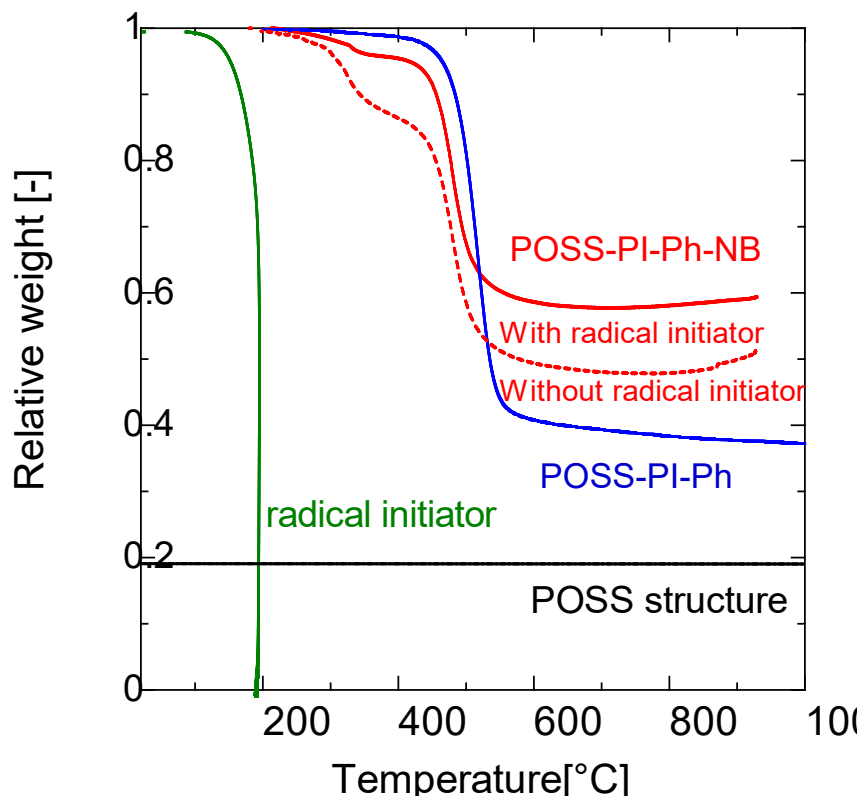
### 178 3.1 Effects of norbornene and its polymerization on the POSS–PI–Ph structure

179 The R groups surrounding the POSS cage feature two possible functionalities (Figure 1).  
180 In POSS–PI–Ph, the surrounding R groups comprised only PI–Ph units, accounting for 78% of the

181 total molecular weight, with the POSS cage accounting for the remaining 22%. The  
182 functionalization of POSS–PI–Ph with NB required some of the PI–Ph groups to be substituted  
183 with NB, which led to a reduction in the molecular weight ascribed to PI–Ph to 18%, whereas NB  
184 accounted for 60%, and POSS still retained 22% of its molecular weight. Figure 1 also shows two  
185 types of PI–Ph groups: a bridging PI–Ph that connects two POSS cages *via* a diphenoxy bridge  
186 and a terminating PI–Ph that freely occupies the POSS–PI–Ph ring. Therefore, the  
187 functionalization of POSS–PI–Ph with NB effectively demonstrated that substituting the  
188 terminating PI–Ph groups led to improved thermal stability [14]. Notably, the availability of  
189 substituted NB groups in the POSS–PI–Ph–NB ring presented an opportunity for their  
190 polymerization and thermal stability improvement.

191         The TGA of POSS–PI–Ph and POSS–PI–Ph–NB (with and without DDB) was conducted  
192 to evaluate their pyrolytic properties and gauge the success of NB polymerization in POSS–PI–  
193 Ph–NB. To this end, the TG curves of POSS–PI–Ph and POSS–PI–Ph–NB undergoing pyrolysis  
194 in an N<sub>2</sub> atmosphere were acquired (Figure 2). As mentioned earlier, the base POSS structure  
195 accounted for 22% of each hybrid by weight; this value was considered the limit of the residual  
196 weight for the pyrolyzed POSS–PI–Ph and POSS–PI–Ph–NB samples (black dashed line in Figure  
197 2). Furthermore, the radical initiator DDB had a negligible contribution to the final residual weight  
198 (green profile in Figure 2) because its relative weight decreased abruptly to 0 at 200 °C. The onset  
199 of weight loss for POSS–PI–Ph occurred at a higher temperature than that for the POSS–PI–Ph–  
200 NB specimens with and without DDB. Comparing the final relative weights of all three samples  
201 indicated that adding DDB to POSS–PI–Ph–NB increased its relative residual weight to 0.6.  
202 Notably, the residual weights of all samples were higher than the weight content of the base POSS  
203 structure, indicating that the PI–Ph and NB decomposition products were present in the final

204 residue. Additionally, because the DDB/NB weight ratio was 0.02:1, the contribution of DDB  
205 decomposition to the early onset of weight loss was assumed to be negligible.



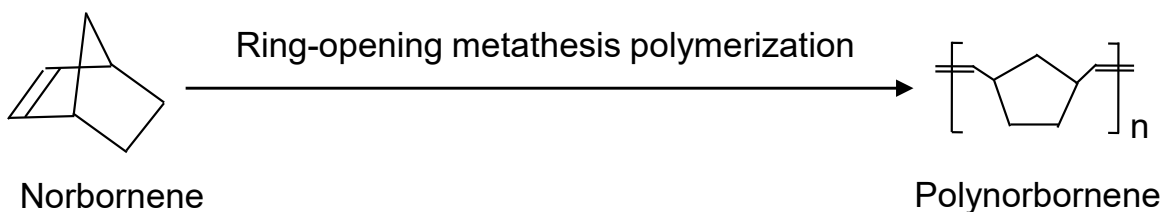
206

207 Fig. 2 TGA curves of different POSS-derived organic-inorganic composites.

208

209 As established above, carbon-POSS production with a high residual mass likely occurred  
210 through ring-opening metathesis polymerization (ROMP) of NB [32, 33]. According to a  
211 commonly reported mechanism governing the ROMP of NB (Figure 3), the resulting  
212 polynorbornene (PNB) also contains double bonds in its backbone and acts as a polykenamer that  
213 can be further vulcanized (or crosslinked) [33]. ROMP reactions traditionally proceed under the  
214 influence of transition metal chlorides; however, they are complex and hinder the production of  
215 polymers with controlled molecular weights [34]. Nevertheless, Boydston *et al.* demonstrated the

216 efficacy of metal-free catalysts for ROMP [35,36]. Therefore, DDB was used in this study as a  
217 metal-free radical initiator source. Notably, the early onset of weight loss for POSS–PI–Ph–NB  
218 (Figure 2) could be attributed to the retro or reversible Diels–Alder (rDA) reaction, in which the  
219 NB moieties decompose to form ethylene and cyclopentadiene upon exposure to higher  
220 temperatures [37,38]. Presumably, the catalyzed ROMP of NB occurred in conjunction with the  
221 rDA reaction at a faster rate, as the decomposition profile of POSS–PI–Ph–NB recovered shortly  
222 after the brief loss of NB-derived ethylene and cyclopentadiene. Faster recovery was observed in  
223 the presence of the DDB radical initiator, owing to the promotion of ROMP.

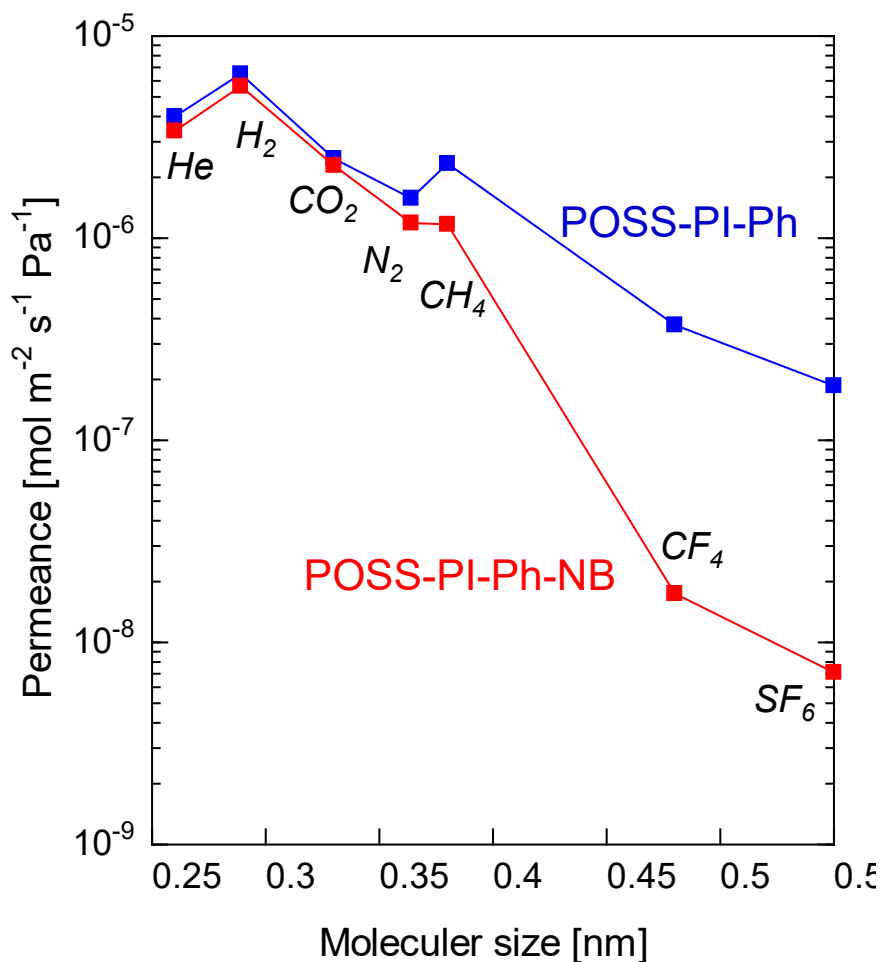


224

225 Fig. 3 Underlying mechanism for the ring-opening metathesis polymerization of NB.

226 The molecular size dependence of single-gas permeance was analyzed for the carbon-  
227 POSS membranes fabricated from POSS–PI–Ph and POSS–PI–Ph–NB at 200 °C (Figure 4). Both  
228 membranes were calcined at 700 °C to assess the contribution of PNB pyrolysis to the final  
229 membrane properties. The POSS–PI–Ph–NB sample used in this investigation was fabricated with  
230 DDB. Both membranes exhibited comparable He, H<sub>2</sub>, and CO<sub>2</sub> permeance values of  $4 \times 10^{-6}$ ,  $6 \times$   
231  $10^{-6}$ , and  $2.5 \times 10^{-6}$  mol m<sup>-2</sup> s<sup>-1</sup> Pa<sup>-1</sup>, respectively, validating the production of highly permeable  
232 membranes (kinetic diameter: 0.26, 0.289, and 0.33 nm, respectively). However, the POSS–PI–  
233 Ph–NB-derived membrane showed superior rejection of larger-molecule gases such as CF<sub>4</sub> and  
234 SF<sub>6</sub> (kinetic diameter: 0.48 and 0.55 nm, respectively), and its H<sub>2</sub>/CF<sub>4</sub> and H<sub>2</sub>/SF<sub>6</sub> permeance ratios  
235 (333 and 857) were considerably higher than those of the POSS–PI–Ph-derived membrane (17 and

236 30). Although the ideal selectivity values of both membranes exceeded the Knudsen ratios, the  
 237 higher values of the POSS–PI–Ph–NB-derived membrane indicate an enhancement in thermal  
 238 stability due to the incorporation and polymerization of the NB moieties. Furthermore, the POSS–  
 239 PI–Ph–NB-derived membrane exhibited a higher CH<sub>4</sub>–CF<sub>4</sub> cutoff (kinetic diameters of 0.38 and  
 240 0.48 nm, respectively) than that of the POSS–PI–Ph-derived membrane, potentially indicating the  
 241 existence of precise sieving channels with pore diameters of 0.48 nm or less. This can be attributed  
 242 to the ultramicropores of the residual carbon produced after the pyrolysis of PNB. Therefore, the  
 243 difference in the residual weights between POSS–PI–Ph–NB and POSS–PI–Ph in their TG profiles,  
 244 which resulted from the substitution of certain PI–Ph moieties with NB, guided the carbon-POSS  
 245 membrane to achieve precise molecular sieving.



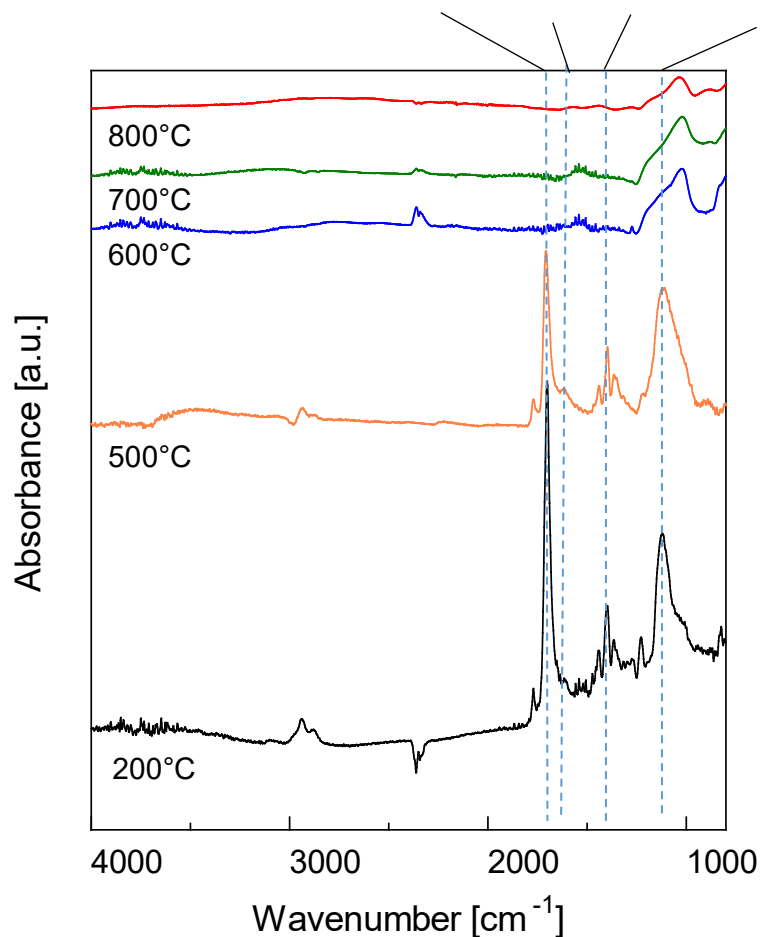
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247 Fig. 4 Molecule size dependence of single-gas permeance measured at 200 °C for POSS–PI–Ph-  
248 and POSS–PI–Ph–NB-derived carbon-POSS membranes fabricated at 700 °C.

### 249 3.2 Characterization of the POSS–PI–Ph–NB-derived carbon-POSS structure

250 The positive effects of substituting terminal PI–Ph groups with NB on the thermal stability  
251 of POSS–PI–Ph–NB were clarified; however, analyzing the structural transformation of POSS–  
252 PI–Ph–NB during pyrolysis and characterizing the resulting carbon-POSS structure are crucial. As  
253 mentioned earlier (Figure 3), the pyrolysis of POSS–PI–Ph–PNB proceeds *via* simultaneous rDA  
254 and ROMP mechanisms, leading to a higher residual carbon content at 600–650 °C. Therefore, the  
255 transformation of the chemical structures of POSS–PI–Ph–PNB powders at different calcination  
256 temperatures was examined by ATR FT-IR analysis (Figure 5). The peaks appearing at 1,100–  
257 1,000  $\text{cm}^{-1}$  for the powders calcined at 200 and 500 °C indicated an overlap between the siloxane  
258 bonds (Si–O–Si) of the POSS cage and Si–R bonds, signifying connections between the POSS  
259 cage, PI–Ph, and PNB. At the calcination temperatures mentioned above, the POSS cage was  
260 assumed to be largely connected to the PI–Ph and PNB polymer chains, given the appearance of  
261 the corresponding C–N, C=C, and C=O peaks attributed to the PI–Ph and PNB chains at 1,410,  
262 1,600, and 1,700  $\text{cm}^{-1}$ , respectively. However, they decreased slightly after calcination at 500 °C  
263 (Figure S3). After calcination at 600–800 °C, the aforementioned C–N, C=C, and C=O peaks no  
264 longer appeared owing to the pyrolysis of the PI–Ph and PNB chains. This finding is consistent  
265 with that of the TGA (Figure 3), in which the rapid decline in relative weight between 500 and  
266 600 °C signaled the loss of the organic moieties. Concurrently, the peak at 1,100  $\text{cm}^{-1}$  disappeared,  
267 revealing a peak representing the Si–O–Si bonds of the POSS cage at 1,000  $\text{cm}^{-1}$ . Therefore,  
268 500 °C represented the transition or commencement temperature for the pyrolytic conversion of  
269 POSS–PI–Ph–PNB to carbon-POSS, completed at 600–700 °C.



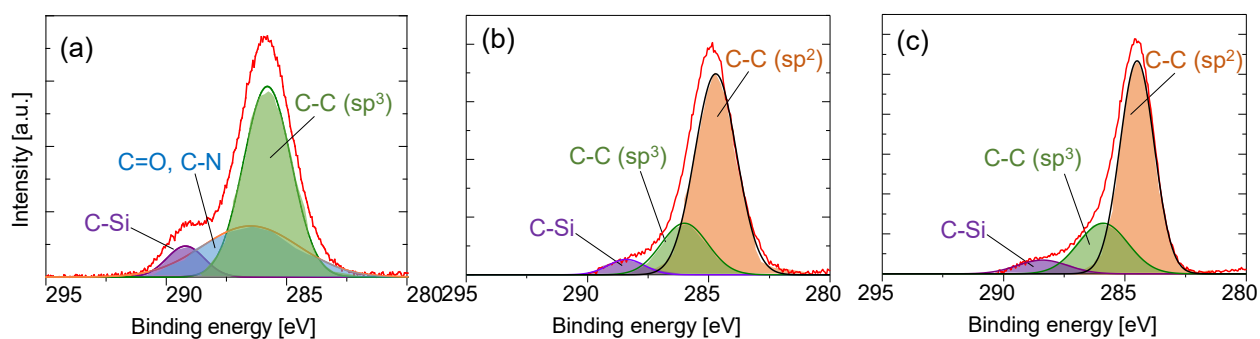


270

271 Fig. 5 FT-IR spectra of POSS-PI-Ph-PNB-derived films calcined at different temperatures.

272 The degree of transformation of PI-Ph and PNB into residual carbon and the POSS  
 273 structure transformation at different calcination temperatures were analyzed using XPS and  $^{29}\text{Si}$   
 274 solid-state NMR spectroscopy. Deconvoluted narrow C 1s spectra were acquired for the POSS-  
 275 PI-Ph-PNB-derived samples calcined at 200, 500, and 700 °C (Figures 6a-c, respectively). The  
 276 C 1s spectrum of POSS-PI-Ph-PNB calcined at 200 °C (Figure 6a) was deconvoluted into three  
 277 peaks representing the different bonding states of carbon in the yet-to-be pyrolyzed copolymer:  
 278 C-Si bonds, corresponding to links between the edge Si atoms of the POSS cage and the carbon  
 279 atoms of the PI-Ph and PNB units; C=O/C-N bonds, representing the bonding of carbon to the

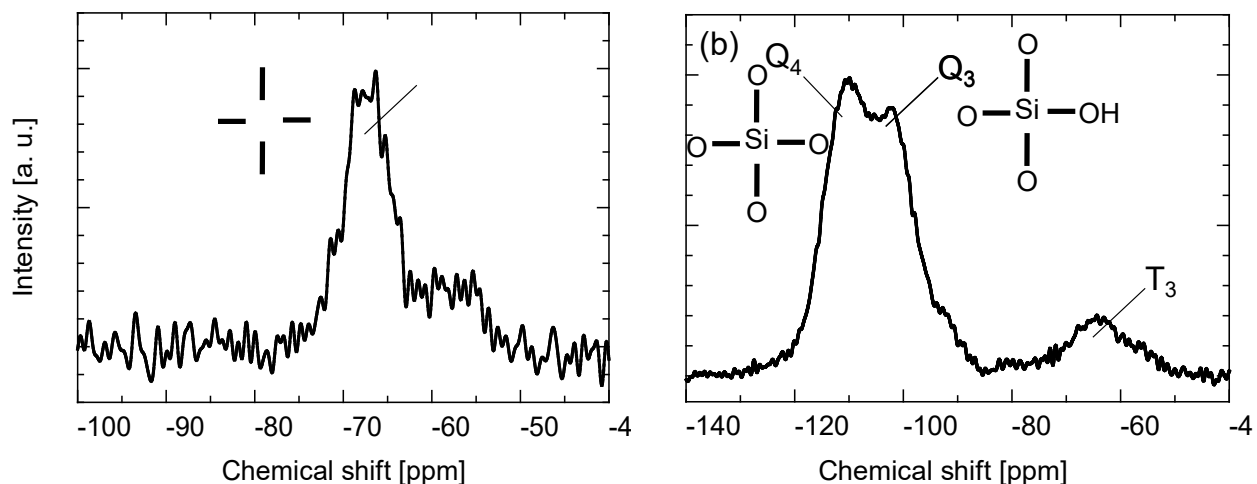
280 oxygen and nitrogen atoms in the PI-Ph and PNB units; and  $sp^3$  C-C bonds, indicating the carbon-  
 281 to-carbon bonds in the polymer chains. However, the obtained C 1s spectrum underwent notable  
 282 changes after calcination at 500 °C (Figure 6b). First, the observed aggregate peak shifted to a  
 283 lower binding energy from 285.9 to 285 eV. Furthermore, deconvolution of the aggregate peak  
 284 suggested that the peak representing the C=O and C-N bonds disappeared, and the  $sp^3$  C-C peak  
 285 at 285.9 eV showed a significantly reduced intensity, giving way to a new  $sp^2$  C-C peak at 284.8  
 286 eV. Therefore, the shift in the overall position of the narrow C 1s XPS peak after calcination at  
 287 500 °C was due to the formation of  $sp^2$  C-C bonds owing to the polycondensation of the aromatic  
 288 structures in PI-Ph and PNB [39,40]. The acquired spectra remained largely the same after  
 289 calcination at 700 °C (Figure 6c), with only a slight increase in the intensity observed for the  $sp^2$   
 290 C-C peak. Notably, along with the  $sp^2$  C-C peak, the  $sp^3$  C-C and C-Si peaks remained noticeable  
 291 in the deconvoluted spectrum after calcination at 700 °C.



292  
 293 Fig. 6 XPS profiles of POSS-PI-Ph-PNB-derived samples prepared at (a) 200, (b) 500, and (c)  
 294 700 °C.

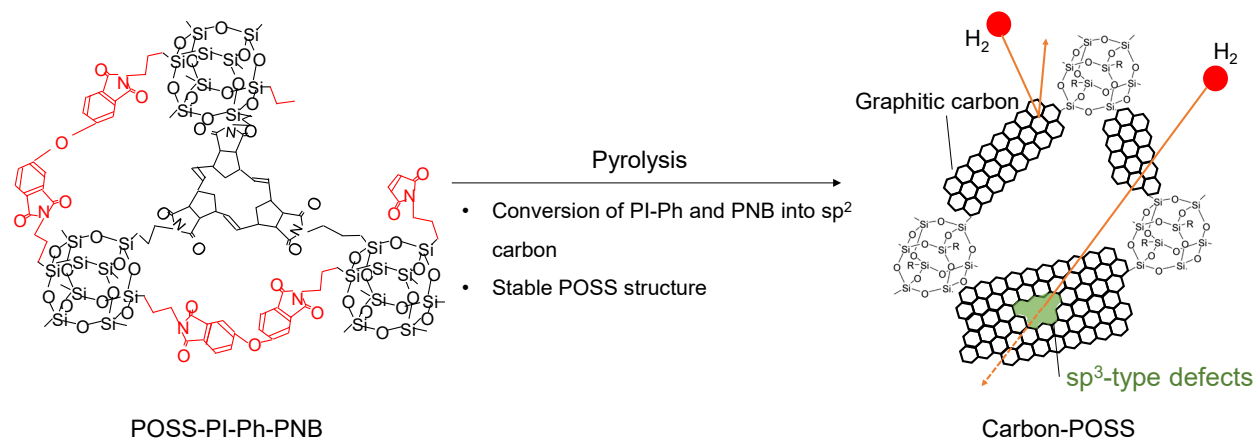
295 To further confirm the state of bonding in the POSS silica structure in relation to the PI-  
 296 Ph and PNB units during pyrolysis,  $^{29}\text{Si}$  solid-state NMR spectra were acquired for the POSS-PI-  
 297 Ph-PNB-derived powders calcined at 200 and 700 °C (Figures 7a and b, respectively). The

298 observed peaks were typical of Si bonds [41]. For the powder calcined at 200 °C (Figure 7a), the  
299 peak appearing at -66.7 ppm was designated as T<sub>3</sub>, which represented O<sub>3</sub>SiC bonds (see inset).  
300 This finding corroborated the presumption that the POSS cage remained connected to carbon  
301 atoms in the PI-Ph and PNB units. After calcination at 700 °C (Figure 7b), intense overlapping  
302 peaks denoted as Q<sub>4</sub> and Q<sub>3</sub> appeared at -110.1 and -101.6 ppm, respectively. Q<sub>4</sub> represents SiO<sub>4</sub>  
303 bonds, indicating the conversion of Si-C bonds to Si-O bonds during the pyrolysis of PI-Ph and  
304 PNB. The Si-O bonds were presumably established by the oxidation of free Si radicals formed  
305 after the cleavage of the Si-C bonds. During pyrolysis, oxygen radicals were assumed to be  
306 produced *via* the decomposition of PI-Ph and PNB, resulting in a possible oxidation reaction  
307 between the Si and O radicals. Q<sub>3</sub> represents the unexpected formation of Si-OH bonds, which is  
308 likely due to moisture adsorbed onto the silica structure. Importantly, despite the high calcination  
309 temperature of 700 °C, the T<sub>3</sub> peak representing O<sub>3</sub>SiC persisted at -64.1 ppm. This finding  
310 substantiates the XPS results that highlighted the persistence of the Si-C peaks after calcination at  
311 700 °C.



312  
313 Fig. 7 <sup>29</sup>Si-NMR spectra of POSS-PI-Ph-PNB-derived samples prepared at (a) 200 and (b) 700 °C.

314 The structural transformation during the pyrolysis of POSS–PI–Ph–PNB to carbon-POSS  
 315 (Figure 8) was then analyzed based on the inferences from the results shown in Figures 6 and 7.  
 316 The POSS cage was assumed to be connected to the residual carbon structures *via* Si–C bonds,  
 317 and the  $sp^2$ -hybridized carbon was assumed to still feature  $sp^3$  defects (Figure 6c), which  
 318 potentially served as permeation pathways for hydrogen and other similar small molecules [42].  
 319 Therefore, analogous structures of CMSs featuring molecule-sieving ultramicropores of  $sp^2$  carbon  
 320 and structurally intact POSS cages were obtained, which led to the carbon-POSS membranes  
 321 exhibiting higher permselectivity than that of conventional CMS membranes.



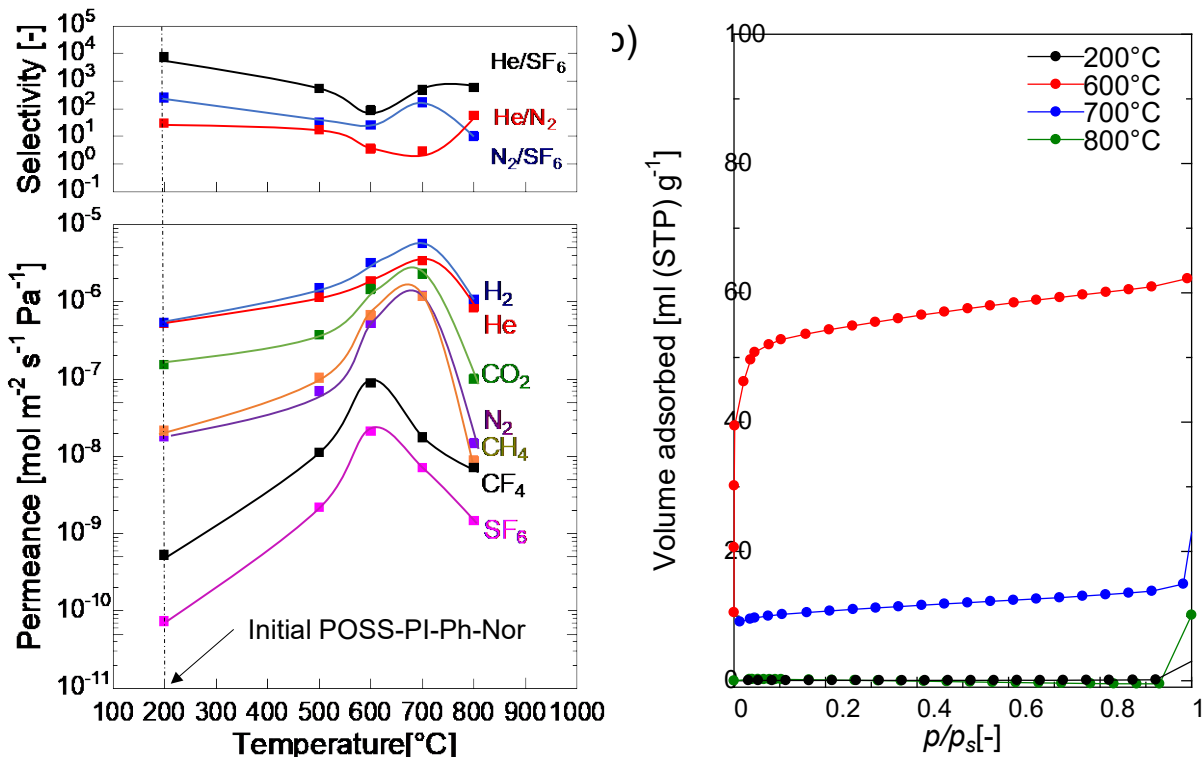
322  
 323 Fig. 8 Schematic illustrating the pyrolytic conversion of POSS–PI–Ph–PNB to carbon-POSS

### 324 3.3 Gas permeation properties and performance of carbon-POSS membranes

#### 325 3.3.1 Effects of pyrolysis temperature on membrane properties

326 The gas permeation properties of membranes based on POSS–PI–Ph–PNB fabricated at  
 327 different calcination temperatures were evaluated to analyze the microstructural evolution of the  
 328 membrane and its subsequent effects on the separation potential. To this end, the permeance of  
 329 single gases ( $H_2$ , He,  $CO_2$ ,  $N_2$ ,  $CH_4$ ,  $CF_4$ , and  $SF_6$ ) and the ideal He/ $SF_6$ , He/ $N_2$ , and  $N_2/SF_6$   
 330 selectivities at 200 °C were examined with respect to the membrane calcination temperature

331 (Figure 9a). As established in the preceding section, the POSS–PI–Ph–PNB structure remained  
332 largely intact at a calcination temperature of 200 °C. Therefore, the hybrid polymer exhibited dense  
333 POSS, PI–Ph, and PNB polymer microstructures, resulting in low gas permeances but high ideal  
334 He/SF<sub>6</sub> and N<sub>2</sub>/SF<sub>6</sub> selectivities of 9,000 and 300, respectively. The permeance of all investigated  
335 gases followed a similar trend with increasing calcination temperatures. The permeance of the  
336 large-molecule gases (CF<sub>4</sub> and SF<sub>6</sub>; kinetic diameters  $\geq$  0.48 nm) reached a maximum for the  
337 membrane calcined at 600 °C, whereas the permeance of the small-molecule gases (H<sub>2</sub>, He, CO<sub>2</sub>,  
338 N<sub>2</sub>, and CH<sub>4</sub>; kinetic diameters  $\leq$  0.38 nm) did so at 700 °C. The increase in gas permeance between  
339 200 and 600 °C was evidently related to the initial decomposition of the organic moieties of the  
340 PI–Ph and PNB units that served as pore-generating templates. At 700 °C, when the small-  
341 molecule gases achieved maximum permeance values, CF<sub>4</sub> and SF<sub>6</sub> showed a decreasing tendency,  
342 indicating higher selectivity for small-molecule gases over large-molecule ones. This suggests that  
343 the generation of pores with diameters smaller than 0.48 nm was vital to rejecting molecules with  
344 sizes larger than this value.

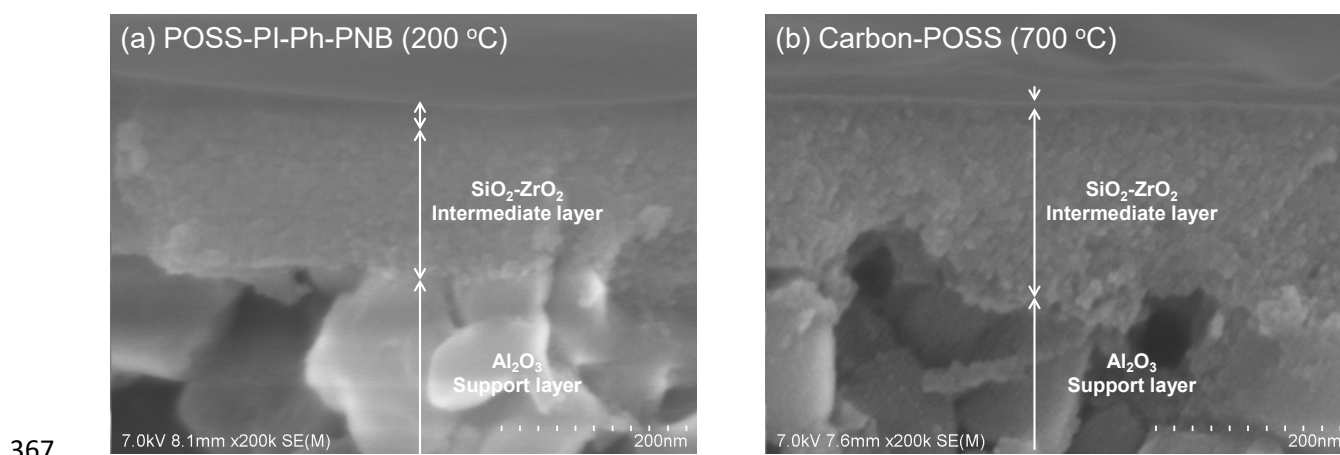


345

346 Fig. 9 (a) Dependence of single-gas permeance and permeance ratios at 200 °C on the  
 347 temperature at which the POSS-PI-Ph-PNB membrane was pyrolyzed. (b) N<sub>2</sub> adsorption  
 348 isotherms of POSS-PI-Ph-PNB-derived powders calcined at different temperatures.

349 The molecule-sieving pores were generated, presumably owing to the sp<sup>2</sup> residual carbon  
 350 and the production of more Si-O bonds (Figures 7a and b). Upon further calcination at 800 °C, all  
 351 the gas permeance and ideal selectivity values decreased owing to the continuation of thermally  
 352 induced structural densification. This trend was corroborated by the N<sub>2</sub> adsorption isotherms  
 353 acquired at -196 °C for bulk samples calcined at 200-800 °C (Figure 9b). POSS-PI-Ph-PNB  
 354 calcined at 200 °C had an extremely low amount of adsorbed N<sub>2</sub>, substantiating the presence of a  
 355 dense copolymer structure. However, the amount of adsorbed N<sub>2</sub> increased as the calcination  
 356 temperature was increased to 600 °C, owing to the opening of new pores caused by the  
 357 decomposition of PI-Ph and PNB. Increasing the pyrolysis temperature to 700 and 800 °C led to

358 a reduced amount of  $N_2$  adsorbed and an eventual dense state, respectively. Therefore, a calcination  
359 temperature of 700 °C was considered optimal for yielding carbon-POSS membranes with  
360 remarkable molecular sieving performance. Figures 10a and b show the cross-sectional  
361 morphologies of POSS–PI–Ph–PNB and POSS–PI–Ph–PNB-derived carbon-POSS membranes  
362 fabricated at 200 and 700 °C, respectively. The thickness of the POSS–PI–Ph–PNB layer on the  
363  $SiO_2$ – $ZrO_2$ / $Al_2O_3$  support layers was estimated to be 30 nm. However, after conversion to a  
364 carbon-POSS composite membrane at 700 °C, the thickness decreased to 5 nm, indicating 83%  
365 shrinkage due to the pyrolytic conversion. This corresponds to the weight loss observed in the  
366 thermogravimetric analysis of the POSS–PI–Ph–PNB sample (Figure 2).



368 Fig. 10 Cross-sectional morphologies of POSS–PI–Ph–PNB and POSS–PI–Ph–PNB-derived  
369 carbon-POSS membranes fabricated at 200 and 700 °C, respectively.

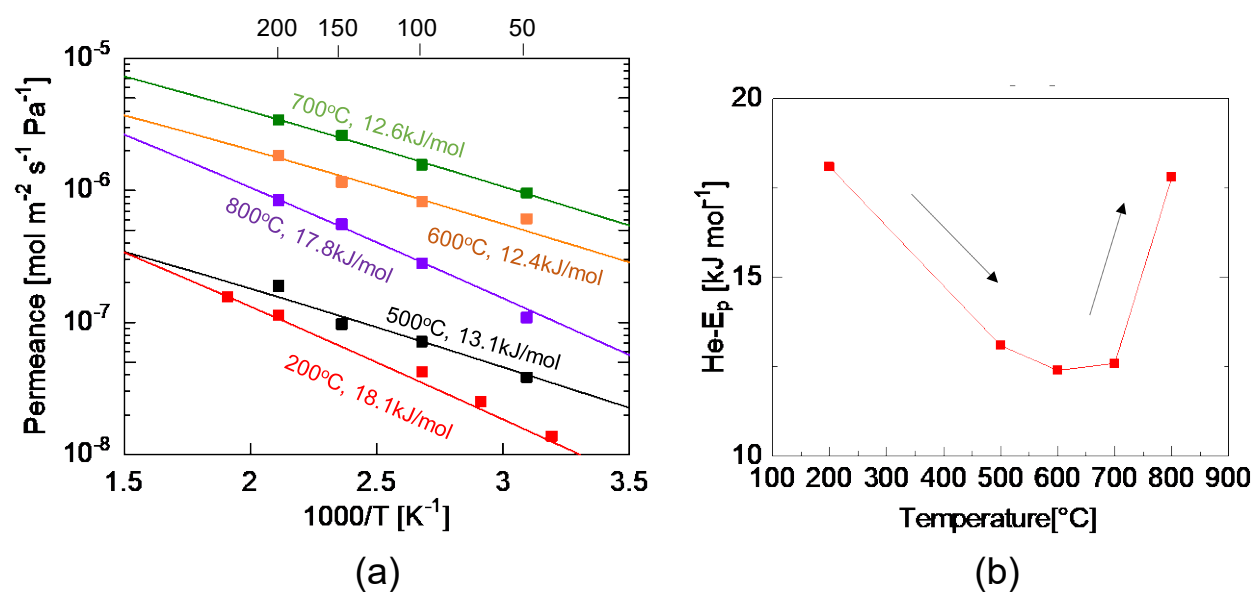
370 The microstructural effects of the pyrolysis temperature on the carbon-POSS membranes  
371 were further analyzed by examining the temperature dependence of gas permeance (Figure 11a).  
372 To that end, the He permeance of POSS–PI–Ph–PNB-derived carbon-POSS membranes was  
373 investigated at different temperatures between 50 and 200 °C. The experimental data were fitted  
374 to Equation 1, which was derived from the modified gas translational model proposed by Lee *et*

375 *al.* [43] and Nagasawa *et al.* [44]. In Equation 1,  $P$  is the permeance of the permeating species,  $k_0$   
376 is the pre-exponential factor associated with the membrane configuration,  $M$  is the molecular  
377 weight of the permeating gas,  $R$  is the gas constant,  $T$  is the absolute temperature, and  $E_p$  is the  
378 permeation activation energy. He exhibited an activated-diffusion-type permeation mechanism for  
379 all membranes, in which its permeance increased with increasing permeation temperature.  
380 According to gas permeation models, gas molecules percolating through activated diffusion  
381 require sufficient energy to overcome the potential energy barriers within the micropores [45].  
382 This energy, denoted as  $E_p$ , can be calculated using Equation 1, given as:

$$383 \quad P = \frac{k_0}{\sqrt{MRT}} \exp\left(-\frac{E_{p,i}}{RT}\right) \quad (1)$$

384 A plot of the  $E_p$  values of He as a function of the membrane calcination temperature (Figure  
385 11b) clearly revealed a dependence between the activation energy of He permeation through the  
386 POSS–PI–Ph–PNB-derived membranes and the calcination temperature.  $E_p$  initially decreased  
387 from 18.1 kJ/mol at a calcination temperature of 200 °C to 12.6 kJ/mol at 700 °C before increasing  
388 abruptly to 17.8 kJ/mol at 800 °C. The initial decrease in the  $E_p$  value of He correlates with the  
389 increased He permeance as the calcination temperature increases from 200 to 700 °C, signifying a  
390 reduction in the potential energy barrier in the membrane pores and a consequent increase in the  
391 pore size. Therefore, at 700 °C, decomposition of the PI–Ph and PNB units combined with the  
392 generation of molecule-sieving residual carbon channels and more compact Si–O–Si linkages  
393 created free volumes in the pores, which were sufficiently large to allow permeation of small- and  
394 mid-sized molecules but small enough to reject larger molecules.



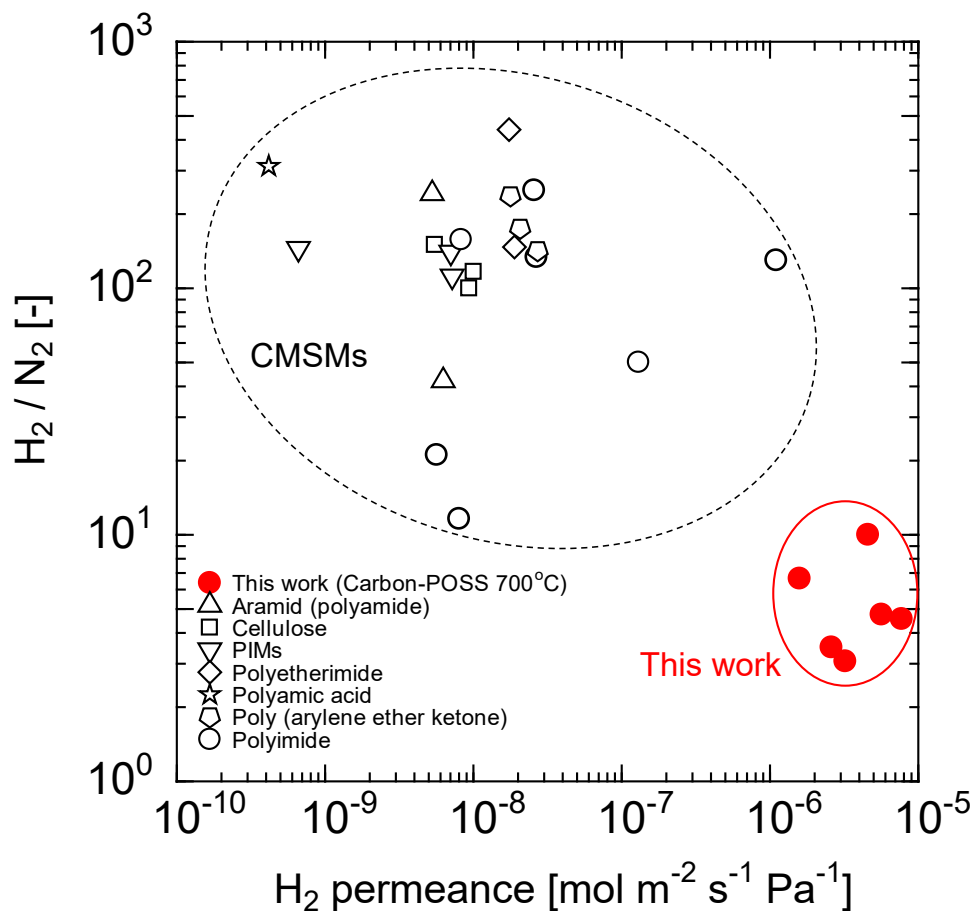


395  
 396 Fig. 11 (a) Temperature dependence of He permeance for the POSS–PI–Ph–PNB-derived  
 397 membranes. (b) Effects of pyrolysis temperature on the He activation energy ( $\text{He-E}_p$ ).

### 398 3.3.2 Performance of carbon-POSS in relation to state-of-the-art membranes

399 In this study, the presence of a rigid POSS cage in a CMS network was considered to  
 400 alleviate one of the drawbacks of CMS membranes: achieving high selectivity with low permeance  
 401 because the carbon-POSS membranes were expected to simultaneously exhibit high permeance  
 402 and high selectivity. A comparison with the literature suggests that carbon-POSS membranes  
 403 exhibit considerably higher permeance values than state-of-the-art CMS membranes. Essentially,  
 404 the tradeoff between  $\text{H}_2/\text{N}_2$  selectivity and  $\text{H}_2$  permeance was compared for the selected polymer-  
 405 derived CMS membranes and the carbon-POSS membranes developed in this study (Figure 12;  
 406 see Table 1 for more details). The comparison indicates that polymer-derived CMS membranes  
 407 generally exhibit low permeances (even for hydrogen) but significantly high selectivities,  
 408 irrespective of the polymer precursor. Notably, the ultramicroporous structure and tight stacking  
 409 of graphitic carbon sheets in CMSs, which allow intense molecular sieving between small-sized

410 gases, also prevent them from achieving high gas permeance. Furthermore, although previously  
 411 reported CMS membranes were fabricated at different temperatures, they exhibited similar  
 412 behaviors in terms of the aforementioned tradeoff. In contrast, the carbon-POSS membranes  
 413 exhibited considerably higher hydrogen permeances than those of many CMS membranes (Figure  
 414 12); however, they also showed lower  $H_2/N_2$  selectivities owing to the simultaneous high  
 415 permeation of  $H_2$  and  $N_2$  caused by the preservation of their porous structure even after pyrolysis  
 416 of the precursor at 700 °C.



417  
 418 Fig. 12 Tradeoff comparison between the  $H_2/N_2$  selectivity and  $H_2$  permeance for various polymer-  
 419 derived carbon molecular sieve (CMS) membranes and the carbon-POSS membranes fabricated  
 420 in this study.

421 Table 1 Properties and performance of state-of-the-art polymer-derived CMS membranes  
 422 compared with those of the carbon-POSS membranes

Membrane precursor	Pyrolysis temperature [°C]	H <sub>2</sub> permeability [Barrer]	H <sub>2</sub> permeance [10 <sup>-7</sup> mol m <sup>-2</sup> s <sup>-1</sup> Pa <sup>-1</sup> ]	α <sub>H<sub>2</sub>/N<sub>2</sub></sub> [-]	Reference
Aramid (polyamide)	800	86.2	0.007	1486.2	[46]
	550	747.2	0.063	42.2	[46]
	675	629.7	0.053	242.2	[46]
Cellulose	550	300	0.055	150	[47]
	550	600	0.094	100	[47]
	550	700	0.100	116.7	[47]
Brominated PIM	350	1503	0.072	112	[48]
	350	1472	0.007	145	[48]
	350	138	0.070	140	[48]
PEI	600	3838	0.189	147	[49]
	600	3531	0.174	440	[49]
PAA	700	84.4	0.0042	312.6	[50]
PEK	700	5262	0.271	142	[51]
	800	3701	0.207	175	[51]
	900	2919	0.178	237	[51]
Polyimide	550	7462	1.298	50.16	[52]

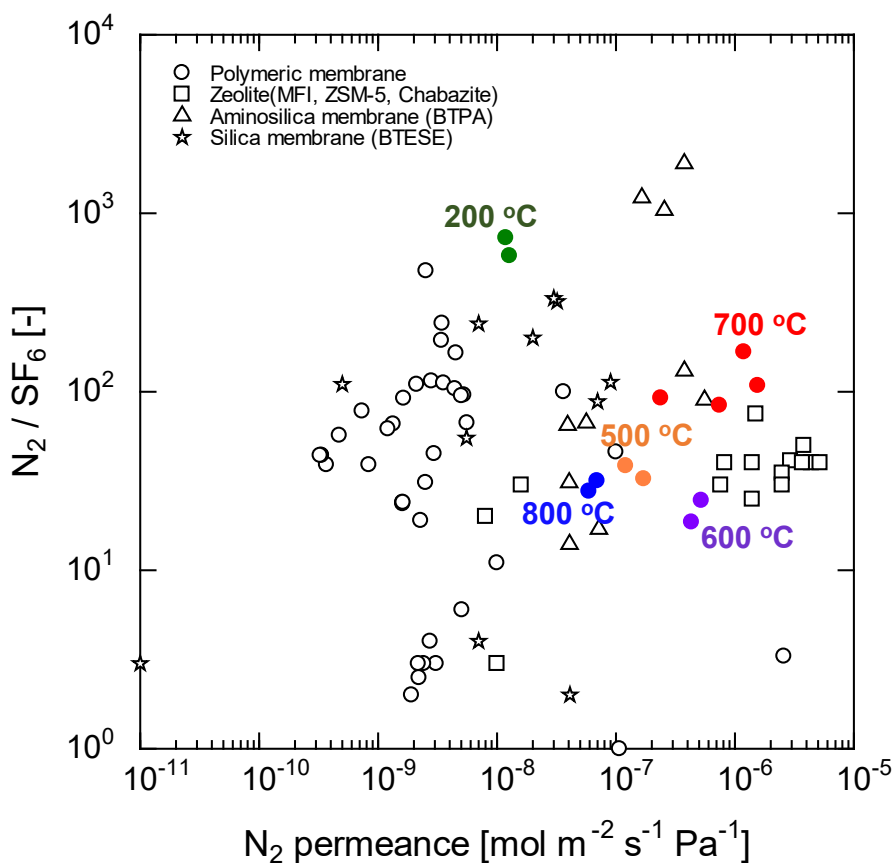
	600	1231	0.082	157.6	[52]
	700	-	11.0	130	[42]
	700	2300	0.257	250	[53]
Carbon- POSS	700	-	76.8	4.65	Present study
	700	-	54.3	4.77	Present study
	700	-	44.6	10.17	Present study

423  $\alpha$ : Ideal selectivity; PIM: polymer of intrinsic microporosity; PEI: polyetherimide, PAA: polyamic  
 424 acid; PEK: poly(arylene ether ketone).

425 As discussed previously, the carbon-POSS membranes prepared at 700 °C exhibited  
 426 maximum permeance for gases with kinetic diameters  $\leq 0.38$  nm (H<sub>2</sub>, He, CO<sub>2</sub>, N<sub>2</sub>, and CH<sub>4</sub>) but  
 427 showed a declining trend for gases with kinetic diameters  $\geq 0.48$  nm (CF<sub>4</sub> and SF<sub>6</sub>) (Figure 9),  
 428 indicating that the generation of pores less than 0.48 nm in size was crucial for impeding large  
 429 molecules. Therefore, carbon-POSS membranes that could adequately separate molecules with  
 430 kinetic diameters of 0.26–0.55 nm were viably fabricated. Notably, the carbon-POSS membrane  
 431 obtained at a pyrolysis temperature of 700 °C achieved high selectivity and permeance.

432 Membranes with decent separation abilities for molecules with diameters in the  
 433 aforementioned range are currently gaining attention. In this study, ideal N<sub>2</sub>/SF<sub>6</sub> separation (kinetic  
 434 diameters of N<sub>2</sub> and SF<sub>6</sub>: 0.364 and 0.55 nm, respectively) was adopted as an indicator to assess  
 435 the performance of the carbon-POSS membrane calcined at 700 °C. A tradeoff plot was  
 436 constructed to compare the performance of the carbon-POSS membranes fabricated at different

437 temperatures (200–800 °C) to that of previously reported membranes such as those containing  
438 organosilica [54,55], aminosilica [56], zeolites [57–63], and polymers [64–66] (Figure 13; see  
439 Table S1 for more details). The results suggest that the carbon-POSS membranes fabricated at  
440 700 °C surpass their counterparts fabricated at other temperatures and compete favorably with  
441 state-of-the-art membranes while exhibiting reproducible N<sub>2</sub> permeance and ideal N<sub>2</sub>/SF<sub>6</sub>  
442 selectivity values of 10<sup>-6</sup> mol m<sup>-2</sup> s<sup>-1</sup> Pa<sup>-1</sup> and 100–200, respectively.



443  
444 Fig. 13 Tradeoff between N<sub>2</sub> permeance and the N<sub>2</sub>/SF<sub>6</sub> permeance ratio investigated for the  
445 carbon-POSS membranes and other membrane types such as organosilica [54,55], aminosilica [56],  
446 zeolites [57–63], and polymers [64–66].

#### 447 4 Outlook

448 This report highlights the feasibility of using carbon-POSS membranes to separate  
449 molecules with kinetic diameters between 0.26 and 0.55 nm. Because carbon-POSS membranes  
450 exhibit ideal performance compared to other membrane types (Figure 13), they show promise for  
451 applications such as the recovery of SF<sub>6</sub> from N<sub>2</sub>. Additionally, these membranes can potentially  
452 exhibit decent performance for separating other similarly-sized molecules such as propylene and  
453 propane (kinetic diameter/Lennard-Jones length constant: 0.45/0.506 nm and 0.43/0.46 nm,  
454 respectively) and pervaporation dehydration of organic solvents such as methanol, ethanol,  
455 isopropyl alcohol, and *tert*-butanol (kinetic diameter: 0.38, 0.43, 0.47, and 0.51 nm, respectively).  
456 Notably, the carbon-POSS membranes showed promising results for the initial dehydration of  
457 methanol, ethanol, and isopropyl alcohol in time-course experiments (Figure S4). Further, this  
458 experiment showed no water flux or permeance loss during pervaporation dehydration, suggesting  
459 resistance to the physical aging phenomenon associated with pure carbon molecular sieve  
460 membranes. Thus, long-term maintenance of high separation performance is expected for carbon-  
461 POSS membranes. Future investigations will help extend the understanding and applications of  
462 the developed membranes to other organic solvents.

463 Tuning the carbon-POSS membrane properties further can be attempted by tweaking the  
464 respective proportions of PI-Ph, NB, and POSS. In this study, a predetermined composition (as  
465 supplied) and several contributing factors were used to construct the carbon-POSS structures and  
466 membranes. Future studies will target the fabrication of membranes with different separation  
467 properties and applications by utilizing different amounts of hybrid POSS-PI-Ph-NB copolymer  
468 components.

## 469 5 Conclusions

470 To the best of our knowledge, this is the first report on polynorbornene (PNB)  
471 vulcanization of a polyhedral oligomeric silsesquioxane (POSS) to create a carbonized POSS  
472 structure, focusing on clarifying the role of this structure in determining the gas permeation  
473 mechanism. NB substituted 60% of the Ph-PI units in the POSS-PI-Ph structure. Using 2,3-  
474 dimethyl-2,3-diphenyl butane as a radical initiator promoted the metal-free crosslinking of NB and  
475 subsequent vulcanization to achieve a high residual carbon content. The pyrolysis of POSS-PI-  
476 Ph-PNB yielded a stable carbonized structure with  $sp^2$ -hybridized carbons connected to the POSS  
477 cage.

478 The carbon-POSS membranes exhibited enhanced permeances for several gases with  
479 increasing precursor pyrolysis temperature. Notably, early onset of the reduction in permeance for  
480 large-molecule gases such as  $CF_4$  and  $SF_6$  after 600 °C ensured that a high  $N_2$  permeance and ideal  
481  $N_2/SF_6$  selectivity exceeding  $10^{-6} \text{ mol m}^{-2} \text{ s}^{-1} \text{ Pa}^{-1}$  and 100, respectively, could be achieved using  
482 the membrane fabricated from POSS-PI-Ph-NB at 700 °C; this finding underscores the promise  
483 of the membrane for separating  $N_2$  and  $SF_6$ ; paraffins and olefins; and organic solvent mixtures  
484 through pervaporation dehydration. Overall, high-performance carbonized POSS-derived  
485 membranes can be fabricated by optimizing the NB functionality and POSS content of the hybrid  
486 copolymer precursor.

487

488

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490

491 ASSOCIATED CONTENT

492 **Supporting information**

493 Section S1. Synthesis of POSS–PI–Ph–NB and POSS–PI–Ph.

494 Section S2. Evaluation of the pervaporation (PV) dehydration performance of carbon-POSS  
495 membranes.

496 Fig. S1 Flow diagram of the gas permeation setup and the corresponding operating conditions.

497 Fig. S2 Flow diagram of the experimental setup adopted for pervaporation analysis.

498 Fig. S3 Normalized absorbance values of the C=O- and C–N-related FT-IR spectral peaks shown  
499 in Figure 5.

500 Fig. S4 Long-term PV performance of a carbon-POSS membrane in different H<sub>2</sub>O/organic  
501 solvent systems.

502 Table S1 Ideal N<sub>2</sub>/SF<sub>6</sub> separation performance of different state-of-the-art membranes and the  
503 carbon-POSS membrane developed in this study.

504 This information is available free of charge via the Internet at <http://pubs.acs.org/>.

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511 **Author contributions**

512 S. O. L.: investigation, data curation, writing (original draft preparation); K. W.: investigation,  
513 data curation; R. U.: data curation; N. M.: supervision; H. N.: supervision, writing (editing and  
514 revision); T. T.: supervision, validation, writing (editing and revision); M. K.: conceptualization,  
515 methodology, writing (original draft preparation and revision), funding acquisition.

516 **Conflicts of interest**

517 The authors declare no conflicts of interest.

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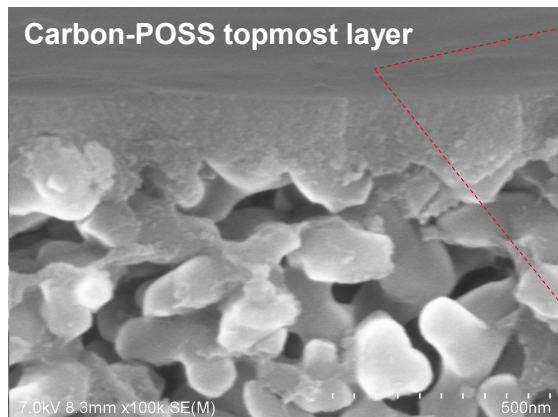
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