

広島大学学位請求論文

**Singularities of the dual curve of  
a certain plane curve in positive  
characteristic**

(正標数におけるある平面曲線の双対曲  
線の特異点)

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数学専攻

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# 主論文

# SINGULARITIES OF THE DUAL CURVE OF A CERTAIN PLANE CURVE IN POSITIVE CHARACTERISTIC

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ABSTRACT. It is well known that the Gauss map for a complex plane curve is birational, whereas the Gauss map in positive characteristic is not always birational. Let  $q$  be a power of a prime integer. We study a certain plane curve of degree  $q^2 + q + 1$  for which the Gauss map is inseparable with inseparable degree  $q$ . As a special case, we show a relation between the dual curve of the Fermat curve of degree  $q^2 + q + 1$  and the Ballico-Hefez curve.

## 1. INTRODUCTION

Let  $p$  be a prime integer, and  $q$  a power of  $p$ . We work over an algebraically closed field  $\mathbb{k}$  of characteristic  $p$ . We consider a plane curve  $C$  of degree  $q^2 + q + 1$  defined by a homogeneous polynomial of the form

$$(1) \quad F = \sum_{i,j,k} a_{ijk} x_i x_j^q x_k^{q^2},$$

where  $a_{ijk}$  are coefficients in  $\mathbb{k}$ , and  $[x_0 : x_1 : x_2]$  is a homogeneous coordinate system in  $\mathbb{P}^2$ . If  $a_{ijk}$  are general, then the plane curve  $C$  is smooth. The condition that the defining polynomial of  $C$  is of the form (1) is independent of the choice of homogeneous coordinates of  $\mathbb{P}^2$  (see Proposition 2.1).

Let  $C^\vee$  be the dual curve of the plane curve  $C$ . The Gauss map

$$(2) \quad \Gamma : C \rightarrow C^\vee; [x_0 : x_1 : x_2] \mapsto \left[ \frac{\partial F}{\partial x_0} : \frac{\partial F}{\partial x_1} : \frac{\partial F}{\partial x_2} \right]$$

is an inseparable morphism. For every  $i$ , the partial derivative of  $F$  with respect to  $x_i$  is

$$(3) \quad \frac{\partial F}{\partial x_i} = \sum_{j,k} a_{ijk} x_j^q x_k^{q^2} = \left( \sum_{j,k} \alpha_{ijk} x_j x_k^q \right)^q,$$

where  $\alpha_{ijk} = a_{ijk}^{1/q}$ . Thus, if  $a_{ijk}$  are general, then the inseparable degree of the Gauss map is  $q$ . The purpose of this paper is to study singularities of the dual curve  $C^\vee$  of a plane curve  $C$  defined by a polynomial of the form (1).

We define  $\mathcal{C}$  to be the set of all the projective plane curves defined by homogeneous polynomials of the form (1). Note that  $\mathcal{C}$  is identified with  $\mathbb{P}^{26}$ .

Note that all tangent lines of the curve  $C \in \mathcal{C}$  intersect  $C$  with multiplicity at least  $q$  at the tangent point. In our case, a double tangent and a flex are defined as following:

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**Definition 1.1.** Let  $m$  be an integer at least 2. We define an  $m$ -ple tangent to be a tangent line of  $C$  which has distinct  $m$  tangent points with multiplicity  $q$ , and a flex to be a point at which the tangent line intersects  $C$  with multiplicity  $q + 1$ . A 2-ple tangent is called a double tangent.

**Theorem 1.** Suppose that  $C$  is a general member of  $\mathcal{C}$ . Then

- (i) the degree of the dual curve  $C^\vee$  is  $(q^2 + q + 1)(q + 1)$ ,
- (ii) the dual curve  $C^\vee$  has only ordinary nodes as its singularities,
- (iii) the number of ordinary nodes of  $C^\vee$  i.e. double tangent lines of  $C$ , is

$$\frac{q(q^2 + q + 1)(q^3 + 3q^2 + 3q - 1)}{2},$$

and

- (iv) the number of flexes of  $C$  is

$$(q^3 + 2q^2 - q + 1)(q^2 + q + 1).$$

We compare our theorem with the classical situation. Let  $\tilde{C}$  be a general complex plane curve of degree  $d$ . Then the degree of the dual curve  $\tilde{C}^\vee$  is  $d(d-1)$ . Moreover, each flex of  $\tilde{C}$  corresponds to a cusp of  $\tilde{C}^\vee$ , whereas each flex of  $C \in \mathcal{C}$  corresponds to a smooth point of  $C^\vee$ . The singularities of  $\tilde{C}^\vee$  consist of  $\frac{1}{2}d(d-2)(d-3)(d+3)$  ordinary nodes and  $3d(d-2)$  cusps.

As a special case, we consider the singularities of the dual curve of the Fermat curve  $C_0 \in \mathcal{C}$  of degree  $q^2 + q + 1$ . We will show that the dual curve  $C_0^\vee$  is related to the Ballico-Hefez curve.

Let  $\gamma_d : \mathbb{P}^2 \rightarrow \mathbb{P}^2$  be a morphism defined by  $\gamma_d([x_0 : x_1 : x_2]) = [x_0^d : x_1^d : x_2^d]$ , and  $l_0$  be a line  $x_0 + x_1 + x_2 = 0$  in  $\mathbb{P}^2$ .

**Definition 1.2.** The *Ballico-Hefez curve* is the image of the line  $l_0$  of the morphism  $\gamma_{q+1}$ .

In [5], Hoang and Shimada define the Ballico-Hefez curve to be the image of the morphism  $\mathbb{P}^1 \rightarrow \mathbb{P}^2$  defined by

$$[s : t] \mapsto [s^{q+1} : t^{q+1} : st^q + s^q t].$$

Note, however, that the image of this morphism is projectively isomorphic to the image of the line  $l_0$  of the morphism  $\gamma_{q+1}$ .

**Theorem 2.** Let  $B$  be the Ballico-Hefez curve. Let  $\gamma_{q^2+q+1} : \mathbb{P}^2 \rightarrow \mathbb{P}^2$  be a morphism defined by the above. If  $C_0 \in \mathcal{C}$  is the Fermat curve of the degree  $q^2+q+1$ , then

- (i) the dual curve  $C_0^\vee$  is  $\gamma_{q^2+q+1}^{-1}(B)$ , and
- (ii) the singularities of  $C_0^\vee$  consist of  $(q^2 + q + 1)^2(q^2 - q)/2$  ordinary nodes, and  $3(q^2 + q + 1)$  singular points with the Milnor number  $q^2(q + 1)$ .

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## 2. PRELIMINARIES

From now, let  $\mathbb{k}$  be an algebraically closed field of characteristic  $p > 0$ .

**Proposition 2.1.** *Let  $C$  be a plane curve. The defining polynomial of  $C$  being of the form (1) is a property independent of the choice of homogeneous coordinates.*

*Proof.* Under the coordinates change

$$x_i = \sum_{l=0}^2 t_{il} y_l \quad (t_{il} \in \mathbb{k}),$$

a homogeneous polynomial  $F$  of the form (1) is transformed into

$$\begin{aligned} F &= \sum_{i,j,k} a_{ijk} \left( \sum_{l=0}^2 t_{il} y_l \right) \left( \sum_{m=0}^2 t_{jm} y_m \right)^q \left( \sum_{n=0}^2 t_{kn} y_n \right)^{q^2} \\ &= \sum_{i,j,k} \sum_l \sum_m \sum_n a_{ijk} t_{il} t_{jm}^q t_{kn}^{q^2} y_l y_m^q y_n^{q^2} \\ &= \sum_{l,m,n} b_{lmn} y_l y_m^q y_n^{q^2}, \end{aligned}$$

$$\text{where } b_{lmn} = \sum_{i,j,k} a_{ijk} t_{il} t_{jm}^q t_{kn}^{q^2}. \quad \square$$

**Lemma 2.1.** *If  $a_{ijk}$  are general, then the plane curve  $C$  is smooth.*

*Proof.* The Fermat curve of degree  $q^2 + q + 1$  is smooth. Being smooth is an open condition.  $\square$

## 3. PROOF OF THE FIRST HALF OF THEOREM 1

We define the *reduced Gauss map*  $\Gamma_{\text{red}} : C \rightarrow (\mathbb{P}^2)^\vee$  of  $C \in \mathcal{C}$  by

$$\begin{aligned} &\Gamma_{\text{red}}([x_0 : x_1 : x_2]) \\ &= \left[ \left( \frac{\partial F}{\partial x_0}(x_0, x_1, x_2) \right)^{1/q} : \left( \frac{\partial F}{\partial x_1}(x_0, x_1, x_2) \right)^{1/q} : \left( \frac{\partial F}{\partial x_2}(x_0, x_1, x_2) \right)^{1/q} \right]. \end{aligned}$$

**Claim 0.** *The reduced Gauss map  $C \rightarrow C^\vee$  is the morphism of separable degree 1.*

*Proof.* We will prove that the degree of the dual curve of the Fermat curve of degree  $q^2 + q + 1$  is  $d(d-1)/q$ , (see Section 5), and hence the reduced Gauss map of the Fermat curve is the morphism of separable degree 1. Thus the reduced Gauss map  $C \rightarrow C^\vee$  is also the morphism of separable degree 1.  $\square$

We denote the degree of a curve  $C \in \mathcal{C}$  by  $d = q^2 + q + 1$ . If  $C \in \mathcal{C}$  is general, then the Gauss map  $\Gamma$  is an inseparable morphism of inseparable degree  $q$  by (3). Thus the degree of  $C^\vee$  is

$$\frac{d(d-1)}{q} = \frac{(q^2 + q + 1)(q^2 + q)}{q} = (q^2 + q + 1)(q + 1).$$

In order to prove (ii) of Theorem 1, first we prove the following:

**Claim 1.** *If  $C \in \mathcal{C}$  is general, then the curve  $C$  has no  $m$ -ple tangent line for  $m \geq 3$ .*

*Proof.* We define a variety  $\mathcal{X}_1$  by

$$\mathcal{X}_1 = \left\{ (Q_0, Q_1, Q_2, l) \in \mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2 \times (\mathbb{P}^2)^\vee \mid \begin{array}{l} Q_0 \in l, Q_1 \in l, Q_2 \in l \\ \text{and } Q_i \neq Q_j \text{ for } i \neq j \end{array} \right\}.$$

Then the action of  $\mathrm{PGL}_3(k)$  on  $\mathcal{X}_1$  is transitive. Let  $(P_0, P_1, P_2, l_0)$  be a point of  $\mathcal{X}_1$  and let  $[x_0 : x_1 : x_2]$  be a homogeneous coordinate system such that

$$P_0 = [0 : 0 : 1], P_1 = [0 : 1 : 0], P_2 = [0 : 1 : 1] \text{ and } l_0 = \{x_0 = 0\}.$$

Let  $C$  be a plane curve in  $\mathcal{C}$ . We define an algebraic subset  $\mathcal{D}_1$  of  $\mathcal{C}$  by

$$\mathcal{D}_1 = \left\{ Y \in \mathcal{C} \mid \begin{array}{l} P_0, P_1 \text{ and } P_2 \text{ are smooth points of } Y, \\ \text{and } T_{P_0}Y = T_{P_1}Y = T_{P_2}Y = l_0 \end{array} \right\}.$$

Then  $C \in \mathcal{C}$  is in  $\mathcal{D}_1$  if and only if

$$\begin{aligned} a_{222} = 0, a_{122} = 0, a_{111} = 0, a_{211} = 0, a_{212} + a_{221} = 0, a_{112} + a_{121} = 0, \\ a_{022} \neq 0, a_{011} \neq 0 \text{ and } a_{011} + a_{012} + a_{021} + a_{022} \neq 0. \end{aligned}$$

Therefore  $\mathcal{D}_1$  is of codimension 6 in  $\mathcal{C}$ . Since  $\dim \mathcal{X}_1 = 5$ , we have

$$\dim \mathcal{X}_1 + \dim \mathcal{D}_1 < \dim \mathcal{C}.$$

Thus if the curve  $C$  is general in  $\mathcal{C}$ , then  $C$  does not have any  $m$ -ple tangent line for  $m \geq 3$ .  $\square$

Second we prove the following:

**Claim 2.** *If  $C \in \mathcal{C}$  is general, then  $\Gamma_{\mathrm{red}}$  is an immersion at every point of  $C$ .*

*Proof.* Let  $P_0$  be the point  $[0 : 0 : 1]$ , and let  $l_0$  be the line  $\{x_0 = 0\}$ . By linear change of coordinates, we can assume that  $P_0 \in C$  and  $T_{P_0}C = l_0$ . Let  $(x, y)$  be affine coordinates such that  $[x_0 : x_1 : x_2] = [x : y : 1]$ . Then up to multiple constant, the polynomial  $F$  can be written as

$$\begin{aligned} F(x, y, 1) = f(x, y) = x + a_{202}x^q + a_{212}y^q + a_{002}x^{q+1} + a_{102}x^qy + a_{012}xy^q \\ + a_{112}y^{q+1} + (\text{terms of degree } \geq q^2). \end{aligned}$$

Then we have a local parametrization  $x = \phi(t)$ ,  $y = t$  of  $C$  at  $P_0$  such that the power series  $\phi(t)$  is written as

$$\phi(t) = -a_{212}t^q - a_{112}t^{q+1} + a_{012}a_{212}t^{2q} + \dots.$$

We consider the Gauss map given by (2). Let  $(\eta, \zeta)$  be the affine coordinates of  $(\mathbb{P}^2)^\vee$  with the origin  $l_0 \in (\mathbb{P}^2)^\vee$  such that the point  $(\eta, \zeta)$  corresponds to the line  $x + \eta y + \zeta = 0$ . Then the tangent line of  $C$  at  $P_t = [\phi(t) : t : 1]$  is

$$\frac{\partial f}{\partial x}(P_t)x + \frac{\partial f}{\partial y}(P_t)y - \frac{\partial f}{\partial x}(P_t)\phi(t) - \frac{\partial f}{\partial y}(P_t)t = 0$$

Therefore the Gauss map locally around  $P_0$  is written as

$$\begin{aligned} \Gamma(P_t) &= \left( \frac{f_y(P_t)}{f_x(P_t)}, -\frac{f_y(P_t)}{f_x(P_t)}t - \phi(t) \right) \\ &= \left( -\frac{d\phi}{dt}(t), t\frac{d\phi}{dt}(t) - \phi(t) \right). \end{aligned}$$

Since

$$-\frac{d\phi}{dt}(t) = a_{112}t^q + (\text{terms of degree } > q)$$

and

$$t \frac{d\phi}{dt}(t) - \phi(t) = a_{212}t^q + (\text{terms of degree } > q),$$

the reduced Gauss map  $\Gamma_{\text{red}}$  locally around  $P_0$  is

$$(4) \quad t \mapsto (\alpha_{112}t + (\text{terms of degree } > 1), \alpha_{212}t + (\text{terms of degree } > 1)),$$

where  $\alpha_{ijk} = a_{ijk}^{1/q}$ . The reduced Gauss map  $\Gamma_{\text{red}}$  is not smooth at the point  $P_0$  if and only if  $\alpha_{112} = \alpha_{212} = 0$ . Since the codimension of the subset

$$\{C \in \mathcal{C} \mid \alpha_{112} = \alpha_{212} = 0\}$$

is 2 in  $\mathcal{C}$ , the reduced Gauss map  $\Gamma_{\text{red}}$  is locally immersion at every point of a general member  $C$  of  $\mathcal{C}$ .  $\square$

Suppose that  $C \in \mathcal{C}$  is general. We prove that the singular points of the dual curve  $C^\vee$  are only ordinary nodes. Let  $P_0$  and  $P_1$  be the points in the proof of claim 1, and let  $l_0$  be the line  $\{x_0 = 0\}$ . Suppose that  $P_0$  and  $P_1$  are smooth points of  $C$  and  $T_{P_0}C = T_{P_1}C = l_0$ . Let  $(x', y')$  be affine coordinates such that  $[x_0 : x_1 : x_2] = [x' : 1 : y']$ . Similar to the proof of the claim 2, up to multiple constant, the polynomial  $F$  can be written as

$$F(x', 1, y') = g(x', y') = x' + a_{101}x'^q + a_{121}y'^q + a_{001}x'^{q+1} + a_{201}x'^q y' + a_{021}x' y'^q + a_{221}y'^{q+1} + (\text{terms of degree } \geq q^2).$$

Then we have a local parametrization  $x' = \psi(t)$ ,  $y' = t$ , of  $C$  at  $P_0$  such that the power series  $\psi(t)$  is written as

$$\psi(t) = -a_{121}t^q - a_{221}t^{q+1} + a_{021}a_{121}t^{2q} + \dots$$

Let  $(\eta, \zeta)$  be the affine coordinates of  $(\mathbb{P}^2)^\vee$  with the origin  $l_0 \in (\mathbb{P}^2)^\vee$  such that the point  $(\eta, \zeta)$  corresponds to the line  $x' + \eta y' + \zeta = 0$ . The tangent line of  $C$  at  $P'_t = [\psi(t) : 1 : t]$  is

$$\frac{\partial g}{\partial x'}(P'_t)x' + \frac{\partial g}{\partial y'}(P'_t)y' - \frac{\partial g}{\partial x'}(P'_t)\phi(t) - \frac{\partial g}{\partial y'}(P'_t)t = 0.$$

Therefore the Gauss map  $\Gamma$  locally around  $P_1$  is written as

$$\begin{aligned} \Gamma(P'_t) &= \left( \frac{g_{y'}(P'_t)}{g_{x'}(P'_t)}, -\frac{g_{y'}(P'_t)}{g_{x'}(P'_t)}t - \psi(t) \right) \\ &= \left( -\frac{d\psi}{dt}(t), t \frac{d\psi}{dt}(t) - \psi(t) \right). \end{aligned}$$

Since

$$-\frac{d\psi}{dt}(t) = a_{221}t^q + (\text{terms of degree } > q)$$

and

$$t \frac{d\psi}{dt}(t) - \psi(t) = a_{121}t^q + (\text{terms of degree } > q),$$

we describe the reduced Gauss map

$$(5) \quad t \mapsto (\alpha_{221}t + (\text{terms of degree } > 1), \alpha_{121}t + (\text{terms of degree } > 1))$$

locally around  $P_1$ . We define a variety  $\mathcal{X}_2$  by

$$\mathcal{X}_2 = \{(Q_0, Q_1, l) \in \mathbb{P}^2 \times \mathbb{P}^2 \times (\mathbb{P}^2)^\vee \mid Q_0 \in l, Q_1 \in l \text{ and } Q_0 \neq Q_1\}.$$

Then the action of  $\mathrm{PGL}_3(k)$  on  $\mathcal{X}_2$  is transitive and  $\dim \mathcal{X}_2 = 4$ . Let  $(P_0, P_1, l_0)$  be the point of  $\mathcal{X}_2$  such that  $P_0 = [0 : 0 : 1]$ ,  $P_1 = [0 : 1 : 0]$  and  $l_0 = \{x_0 = 0\}$ . We define a subset  $\mathcal{D}_2$  of  $\mathcal{C}$  by

$$\mathcal{D}_2 = \{Y \in \mathcal{C} \mid P_0 \text{ and } P_1 \text{ are smooth points of } Y, \text{ and } T_{P_0}Y = T_{P_1}Y = l_0\}$$

Then  $C \in \mathcal{D}_2$  if and only if

$$a_{222} = 0, a_{122} = 0, a_{111} = 0, a_{211} = 0, a_{022} \neq 0, a_{011} \neq 0.$$

Thus the codimension of  $\mathcal{D}_2$  is 4. For  $C \in \mathcal{D}_2$ , by (4) and (5), the singularities of  $C^\vee$  at the point  $l_0$  is not a ordinary node if and only if

$$\begin{vmatrix} \alpha_{112} & \alpha_{212} \\ \alpha_{211} & \alpha_{121} \end{vmatrix} = 0.$$

We define a subset  $\mathcal{D}'_2$  of  $\mathcal{C}$  by

$$\mathcal{D}'_2 = \left\{ Y \in \mathcal{C} \mid \begin{array}{l} P_0 \text{ and } P_1 \text{ are smooth points of } Y, \\ T_{P_0}Y = T_{P_1}Y = l_0, \text{ and } Y^\vee \text{ does not have ordinary node at } l_0 \end{array} \right\}.$$

Since the codimension of  $\mathcal{D}'_2$  is 5,

$$\dim \mathcal{D}'_2 + \dim \mathcal{X}_2 < \dim \mathcal{C}.$$

Therefore, since  $a_{ijk}$  are general, the dual curve  $C^\vee$  has only ordinary nodes as its singularities.

#### 4. PROOF OF THE SECOND HALF OF THEOREM 1

**4.1. Number of the ordinary nodes of  $C^\vee$ .** Let  $g$  and  $g^\vee$  be the genera of a general curve  $C \in \mathcal{C}$  and its dual curve  $C^\vee$ , respectively. Let  $\delta$  be the number of the ordinary nodes of  $C^\vee$ . Then

$$g = \frac{(d-1)(d-2)}{2} = \frac{\{(q^2 + q + 1) - 1\}\{(q^2 + q + 1) - 2\}}{2}$$

and

$$\begin{aligned} g^\vee &= \frac{(d^\vee - 1)(d^\vee - 2)}{2} - \delta \\ &= \frac{\{(q^2 + q + 1)(q + 1) - 1\}\{(q^2 + q + 1)(q + 1) - 2\}}{2} - \delta, \end{aligned}$$

where  $d$  and  $d^\vee$  are the degree of  $C$  and  $C^\vee$ , respectively, because, by the previous section,  $C^\vee$  has only ordinary nodes. By claim 2 of section 3, the reduced Gauss map  $\Gamma_{\mathrm{red}}$  is birational onto its image. Thus  $g = g^\vee$  and hence we have

$$\begin{aligned} \delta &= \frac{\{(q^2 + q + 1)(q + 1) - 1\}\{(q^2 + q + 1)(q + 1) - 2\}}{2} \\ &\quad - \frac{\{(q^2 + q + 1) - 1\}\{(q^2 + q + 1) - 2\}}{2} \\ &= \frac{q(q^2 + q + 1)(q^3 + 3q^2 + 3q - 1)}{2} \end{aligned}$$

**4.2. Number of the flexes.** We denote by  $\text{mult}_P(D_1, D_2)$  the intersection multiplicity of projective plane curves  $D_1$  and  $D_2$  at a point  $P \in D_1 \cap D_2$ .

**Lemma 4.1.** *We suppose that  $C \in \mathcal{C}$  is a general plane curve in  $\mathcal{C}$ . If the multiplicity  $\text{mult}_u(T_u C, C)$  is more than  $q$  at  $u \in C$ , then the multiplicity  $\text{mult}_u(T_u C, C)$  is  $q + 1$  at  $u \in C$  and all other intersection points of  $T_u C$  and  $C$  are not tangent point.*

*Proof.* We use the same notation as in Section 3. We define a variety  $\mathcal{X}_0$  by

$$\mathcal{X}_0 = \{(Q, l) \in \mathbb{P}^2 \times (\mathbb{P}^2)^\vee \mid Q \in l\}.$$

Then the action of  $\text{PGL}_3(k)$  on  $\mathcal{X}_0$  is transitive and  $\dim \mathcal{X}_0 = 3$ . We recall that  $[x_0 : x_1 : x_2]$  are homogeneous coordinates,  $P_0 = [0 : 0 : 1]$ ,  $P_1 = [0 : 1 : 0]$  and  $l_0 = \{x_0 = 0\}$ . We define two subsets  $\mathcal{D}_0$  and  $\tilde{\mathcal{D}}_0$  of  $\mathcal{C}$  by

$$\mathcal{D}_0 = \left\{ Y \in \mathcal{C} \mid \begin{array}{l} P_0 \text{ is the smooth point of } Y, T_{P_0} Y = l_0 \\ \text{and } \text{mult}_{P_0}(T_{P_0} Y, Y) = q + 1 \end{array} \right\}$$

and

$$\tilde{\mathcal{D}}_0 = \left\{ Y \in \mathcal{C} \mid \begin{array}{l} P_0 \text{ is the smooth point of } Y, T_{P_0} Y = l_0 \\ \text{and } \text{mult}_{P_0}(T_{P_0} Y, Y) > q + 1 \end{array} \right\}.$$

Then the curve  $C \in \mathcal{D}_0$  if and only if

$$a_{222} = 0, a_{122} = 0, a_{212} = 0, a_{112} \neq 0 \text{ and } a_{022} \neq 0,$$

and  $C \in \tilde{\mathcal{D}}_0$  if and only if

$$a_{222} = 0, a_{122} = 0, a_{212} = 0, a_{112} = 0 \text{ and } a_{022} \neq 0.$$

Therefore the codimension of  $\mathcal{D}_0$  is 3 and that of  $\tilde{\mathcal{D}}_0$  is more than 3 in  $\mathcal{C}$ . Thus we have

$$\dim \mathcal{X}_0 + \dim \tilde{\mathcal{D}}_0 < \dim \mathcal{C}.$$

We proved the first half of the lemma. We define a subset  $\tilde{\mathcal{D}}_2$  of  $\mathcal{C}$  by

$$\tilde{\mathcal{D}}_2 = \left\{ Y \in \mathcal{C} \mid \begin{array}{l} P_0 \text{ and } P_1 \text{ are the smooth points of } Y, T_{P_0} Y = l_0, \\ T_{P_1} Y = l_0 \text{ and } \text{mult}_{P_0}(T_{P_0} Y, Y) = q + 1 \end{array} \right\}.$$

Then the curve  $C \in \tilde{\mathcal{D}}_2$  if and only if

$$a_{222} = 0, a_{122} = 0, a_{111} = 0, a_{211} = 0, a_{212} = 0, a_{112} \neq 0, a_{022} \neq 0, a_{011} \neq 0.$$

Therefore codimension of  $\tilde{\mathcal{D}}_2$  is 5, and we recall  $\dim \mathcal{X}_2 = 4$ . Thus, since we have

$$\dim \mathcal{X}_2 + \dim \tilde{\mathcal{D}}_2 < \dim \mathcal{C},$$

the second half of the lemma is proved.  $\square$

Let  $g$  be the genus of a general curve  $C \in \mathcal{C}$ . We use the notion and notation about the correspondence of curves introduced in [3, Chap. 2, Section 5]. Let  $T : C \rightarrow C$  be correspondence defined by  $T(u) = T_u C \cdot C - qu$ ,  $D \subset C \times C$  its curve of correspondence, i.e.  $D = \{(u, v) \mid u \neq v, v \in T_u C\}$ . Then the degree of  $T$  is

$$\deg T = (q^2 + q + 1) - q = q^2 + 1.$$

Let  $\pi_2 : C \times C \rightarrow C$  be the projection on second factor. In order to find the degree of  $T^{-1}$ , we have to calculate the number of tangent lines to  $C$ , (counted with the intersection multiplicities of  $D$  and  $\pi_2^{-1}(v)$ ) other than  $T_v C$  passing through a general point  $v \in C$ . We consider the projection  $\pi_v : C \rightarrow \mathbb{P}^1$  from the center  $v \in C$

onto a line. Let  $\Omega_{C/\mathbb{P}^1}$  be the sheaf of the relative differential of  $C$  over  $\mathbb{P}^1$ . By Hurwitz-formula [4, Chap. IV, Corollary 2.4],

$$2g - 2 = -2(q^2 + q) + \deg R,$$

where the divisor  $R$  is the ramification divisor of  $\pi_v$  i.e.  $R = \sum_{u \in C} \text{length}(\Omega_{C/\mathbb{P}^1})_u u$ . Hence

$$\deg R = q^4 + 2q^3 + 2q^2 + q - 2.$$

Moreover, the length of  $(\Omega_{C/\mathbb{P}^1})_v$  is  $q - 2$ . Hence, we have

$$\begin{aligned} \deg T^{-1} &= (q^4 + 2q^3 + 2q^2 + q - 2) - (q - 2) \\ &= q^4 + 2q^3 + 2q^2. \end{aligned}$$

**Lemma 4.2.** *Let  $\pi_1, \pi_2 : C \times C \rightarrow C$  be the projections on first and second factors, respectively. The divisor  $D$  on  $C \times C$  is algebraically equivalent to*

$$(q^4 + 2q^3 + 2q^2 + q)E_u + (q^2 + q + 1)F_v - q\Delta,$$

where  $E_u = \pi_1^{-1}(u)$ ,  $F_v = \pi_2^{-1}(v)$  and  $\Delta \subset C \times C$  is the diagonal.

*Proof.* For some  $u_0, v_0 \in C$ , we write

$$T(u_0) + qu_0 = \sum b_i v_i$$

and

$$T^{-1}(v_0) + qv_0 = \sum a_i u_i.$$

Let  $L$  be the line bundle

$$L = D - \sum a_i E_{u_i} - \sum b_i F_{v_i} + q\Delta.$$

For any  $x \in C$ , the restriction of  $L$  to  $E_x$  is trivial because the divisor  $T(x) + qx$  is linearly equivalent to  $T(u_0) + qu_0$ . By [4, Chap. III, Exercise 12.4], there is a line bundle  $M$  on  $C$  such that  $L \cong \pi_1^*(M)$ . Since the restriction of  $L$  to  $F_{v_0}$  is trivial, the line bundle  $L$  is also trivial. Thus  $D$  is linearly equivalent to

$$\sum a_i E_{u_i} + \sum b_i F_{v_i} - q\Delta.$$

For any  $u, v \in C$ , the divisors  $E_{u_i}$  (resp.  $F_{v_i}$ ) are algebraically equivalent to  $E_u$  (resp.  $F_v$ ). Note that the degrees of  $T(u_0) + qu_0$  and  $T^{-1}(v_0) + qv_0$  are

$$\deg(T(u_0) + qu_0) = q^2 + q + 1$$

and

$$\deg(T^{-1}(v_0) + qv_0) = q^4 + 2q^3 + 2q^2 + q,$$

and hence the result is proved.  $\square$

**Lemma 4.3.** *If  $C \in \mathcal{C}$  is a general plane curve in  $\mathcal{C}$ , then  $D$  and  $\Delta$  intersect transversally at any point  $(u, v) \in D \cap \Delta$ .*

*Proof.* We use the same notations as in Section 3 and Lemma 4.1. We recall that  $[x_0 : x_1 : x_2]$  is homogeneous coordinates,  $P_0 = [0 : 0 : 1]$ ,  $l_0 = \{x_0 = 0\}$  and

$$\mathcal{D}_0 = \left\{ Y \in \mathcal{C} \left| \begin{array}{l} P_0 \text{ is the smooth point of } Y, T_{P_0}Y = l_0 \\ \text{and } \text{mult}_{P_0}(T_{P_0}Y, Y) = q + 1 \end{array} \right. \right\}.$$

By change of coordinates, we assume that  $C \in \mathcal{D}_0$ . Let  $(x, y)$  be affine coordinates such that  $[x_0 : x_1 : x_2] = [x : y : 1]$ . Then up to multiple constant, the polynomial  $F$  can be written as

$$F(x, y, 1) = x + a_{202}x^q + a_{002}x^{q+1} + a_{102}x^qy + a_{012}xy^q + a_{112}y^{q+1} \\ + a_{220}x^{q^2} + a_{221}y^{q^2} + (\text{terms of degree } > q^2).$$

Then we have a local parametrization  $x = \phi_1(t)$ ,  $y = t$  of  $C$  at  $P_0$  such that the power series  $\phi_1(t)$  is written as

$$\phi_1(t) = -a_{112}t^{q+1} + a_{012}a_{112}t^{2q+1} + \cdots - a_{221}t^{q^2} + (\text{terms of degree } > q^2).$$

Let  $(P_{t_1}, P_{t_2})$  be a point of  $D$  in a small neighborhood of  $(P_0, P_0)$  such that

$$P_{t_1} = [\phi_1(t_1) : t_1 : 1] \text{ and } P_{t_2} = [\phi_1(t_2) : t_2 : 1].$$

The tangent line of  $C$  at  $P_{t_1}$  is

$$x = \frac{d\phi_1}{dt}(t_1)y - t_1 \frac{d\phi_1}{dt}(t_1) + \phi_1(t_1),$$

and hence

$$x = (-a_{112}t_1^q + a_{012}a_{112}t_1^{2q} + (\text{terms of degree } > 2q))y \\ + (-a_{221}t_1^{q^2} + (\text{terms of degree } > q^2)).$$

Therefore  $t_2$  is the solution of the equation

$$(6) \quad \frac{d\phi_1}{dt}(t_1)y - t_1 \frac{d\phi_1}{dt}(t_1) + \phi_1(t_1) - \phi_1(y) = 0$$

for  $y$  that is not  $t_1$  and approaches to 0 when  $t_1$  tends to 0. We can express the left hand side of (6) as

$$(-a_{112}t_1^q + a_{012}a_{112}t_1^{2q} + (\text{terms of degree } > 2q \text{ in } t_1))y \\ + (-a_{221}t_1^{q^2} + (\text{terms of degree } > q^2 \text{ in } t_1)) \\ + a_{112}y^{q+1} - a_{012}a_{112}y^{2q+1} + \cdots + a_{221}y^{q^2} + (\text{terms of degree } > q^2 \text{ in } y) \\ = (y - t_1)^q f_{t_1}(y),$$

where the power series  $f_{t_1}(y)$  is written as

$$f_{t_1}(y) = a_{112}y + a_{221}t_1^q + a_{221}y^q + \cdots .$$

Since  $C \in \mathcal{D}_0$ ,  $a_{112} \neq 0$ . Thus a solution of  $f_{t_1}(y) = 0$  is

$$y = -\frac{a_{221}}{a_{112}}t_1^q + (\text{terms of degree } > q).$$

Therefore we have

$$t_2 = -\frac{a_{221}}{a_{112}}t_1^q + (\text{terms of degree } > q).$$

If  $(P_{t_1}, P_{t_2})$  is a point in  $\Delta$ , then  $t_1 = t_2$ . Therefore, if  $(P_{t_1}, P_{t_2}) \in D \cap \Delta$ , then

$$t_1 = -\frac{a_{221}}{a_{112}}t_1^q + (\text{terms of degree } > q).$$

Thus  $D$  and  $\Delta$  intersect transversally at  $(P_0, P_0) \in D \cap \Delta$ .

□

By Lemma 4.3, the number of the flexes is equal to the intersection number  $(D \cdot \Delta)$  for a general member  $C$  of  $\mathcal{C}$ . Since the self-intersection number of  $\Delta$  is  $2 - 2g$ , the intersection number  $(D \cdot \Delta)$  is

$$\begin{aligned} (D \cdot \Delta) &= (\{(q^4 + 2q^3 + 2q^2 + q)E_u + (q^2 + q + 1)F_v - q\Delta\} \cdot \Delta) \\ &= q^4 + 2q^3 + 3q^2 + 2q + 1 - q(2 - 2g) \\ &= q^5 + 3q^4 + 2q^3 + 2q^2 + 1 \\ &= (q^3 + 2q^2 - q + 1)(q^2 + q + 1). \end{aligned}$$

## 5. FERMAT CURVE

For any formal power series  $f \in \mathbb{k}[[x, y]]$ , we define the *Milnor number*  $\mu(f)$  by

$$\mu(f) = \dim_{\mathbb{k}} \mathbb{k}[[x, y]] / \left( \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \right).$$

Calculation method of the Milnor number for a formal power series in characteristic zero is well known. (For example, see [6].) However, in positive characteristic, the calculation method and result of the Milnor number differ from the characteristic-zero case in general. In the case of the following lemma, however, the Milnor number is the same as the characteristic-zero case.

**Lemma 5.1.** *Let  $a$  and  $b$  be elements in  $\mathbb{k} \setminus \{0\}$ , and let  $f \in \mathbb{k}[[x, y]]$  be a formal power series defined by*

$$f(x, y) = ax^\alpha + by^\beta + \sum_{\alpha\beta < \alpha s + \beta r} c_{r,s} x^r y^s,$$

where  $\alpha$  and  $\beta$  satisfy  $p \nmid \alpha$ ,  $p \nmid \beta$  and are relatively prime. Then the Milnor number  $\mu(f)$  of  $f$  is

$$\mu(f) = (\alpha - 1)(\beta - 1).$$

*Proof.* We use notations of [2]. The  $(\beta, \alpha)$ -order of  $f$  is

$$\text{ord}_{(\beta, \alpha)}(f) = \alpha\beta.$$

The  $(\beta, \alpha)$ -initial of  $f$  is

$$\text{in}_{(\beta, \alpha)}(f) = ax^\alpha + by^\beta.$$

Thus the formal power series  $f$  is the semi-quasihomogeneous with respect to  $(\beta, \alpha)$ . By the Appendix of [2],

$$\mu(f) = (\alpha - 1)(\beta - 1). \quad \square$$

*Proof of Theorem 2.* The morphisms  $\gamma_{q^2+q+1}$  and  $\gamma_{q+1}$  satisfy

$$\gamma_{q^2+q+1} \circ \gamma_{q+1} = \gamma_{q+1} \circ \gamma_{q^2+q+1} = \gamma_{(q^2+q+1)(q+1)}.$$

By the definition of the Ballico-Hefez curve and the line  $l = \gamma_{q^2+q+1}(C_0)$ , we have

$$B = \gamma_{q+1}(l) = \gamma_{q+1}(\gamma_{q^2+q+1}(C_0)) = \gamma_{q^2+q+1}(\gamma_{q+1}(C_0)) = \gamma_{q^2+q+1}(C_0^\vee),$$

and hence (i) is proved.

We define  $X \subset \mathbb{P}^2$  by

$$X = \{x_0 = 0\} \cup \{x_1 = 0\} \cup \{x_2 = 0\}.$$

The Ballico-Hefez curve  $B$  has  $\frac{q^2-q}{2}$  ordinary nodes on  $\mathbb{P}^2 \setminus X$  (see [1, Theorem 2.2]), and no singular points on  $X$ . Let  $H$  and  $h$  be the defining polynomials of  $C_0^\vee$  and  $B$ , respectively. Using Proposition 1.6 of [5], if  $p = 2$ , then

$$(7) \quad h = x_0^{q+1} + x_1^{q+1} + x_2^{q+1} + x_0^q x_2 + x_1^q x_2 + x_0 x_2^q + x_1 x_2^q + \sum_{i=0}^{\nu-1} x_0^{2^i} x_1^{2^i} (x_0 + x_1 + x_2)^{q+1-2^{i+1}},$$

whereas if  $p$  is odd, then

$$(8) \quad h = x_0^{q+1} + x_1^{q+1} + x_2^{q+1} - x_0^q x_1 - x_0^q x_2 - x_0 x_1^q - x_1^q x_2 - x_0 x_2^q - x_1 x_2^q + (x_0^2 + x_1^2 + x_2^2 - 2x_0 x_1 - 2x_1 x_2 - 2x_2 x_0)^{\frac{q+1}{2}}.$$

By (i), the polynomial  $H$  satisfies  $H(x_0, x_1, x_2) = h(x_0^{q^2+q+1}, x_1^{q^2+q+1}, x_2^{q^2+q+1})$ , and two polynomials  $H$  and  $h$  are symmetric under the permutation of coordinates  $x_0, x_1$  and  $x_2$ . First we consider the singularities of  $C_0^\vee$  on  $\mathbb{P}^2 \setminus X$ . The morphism  $\gamma_{q^2+q+1} : \mathbb{P}^2 \setminus X \rightarrow \mathbb{P}^2 \setminus X$  is étale of degree  $(q^2 + q + 1)^2$ . Thus, the ordinary nodes of  $C_0^\vee$  on  $\mathbb{P}^2 \setminus X$  are  $(q^2 + q + 1)^2(q^2 - q)/2$ .

Next, we consider the singularities of  $C_0^\vee$  on  $X$ .  $h(0, x_1, x_2) = 0$  if and only if  $x_1 = x_2$  by (7) and (8). Moreover, the polynomial  $H$  and its partial derivatives  $\partial H / \partial x_i = x_i^{q^2+q} (\partial h / \partial x_i)$  vanish at a point in  $\{x_0 = 0\}$ . Thus all the points on  $C_0^\vee \cap \{x_0 = 0\}$  are singular points of  $C_0^\vee$ . The morphism  $\gamma_{q^2+q+1}|_{\{x_0=0\}}$  restricted to  $\{x_0 = 0\}$  is degree  $q^2 + q + 1$ . Thus the number of the singular points of  $C_0^\vee$  on  $\{x_0 = 0\}$  are  $q^2 + q + 1$ . Therefore, by the polynomial  $H$  is symmetric, the number of the singular points of  $C_0^\vee$  on  $X$  are  $3(q^2 + q + 1)$ .

Finally, since all Milnor numbers at points in  $\gamma_{q^2+q+1}^{-1}([0 : 1 : 1])$  are equal, we should calculate the Milnor number at the point  $[0 : 1 : 1] \in C_0^\vee$ . If  $p = 2$ ,

$$h(x_0^{q^2+q+1}, x_1 + 1, 1) = x_0^{q^2+q+1} + x_1^{q+1} + x_0^{q(q^2+q+1)} + x_0^{(q+1)(q^2+q+1)} + \sum_{i=0}^{\nu-1} (x_0^{q^2+q+1})^{2^i} (x_1 + 1)^{2^i} (x_0^{q^2+q+1} + x_1)^{q+1-2^i},$$

whereas if  $p$  is odd,

$$h(x_0^{q^2+q+1}, x_1 + 1, 1) = -2x_0^{q^2+q+1} + x_1^{q+1} + x_0^{(q^2+q+1)(q+1)} - x_0^{q(q^2+q+1)} x_1 - 2x_0^{q(q^2+q+1)} - x_0^{q^2+q+1} x_1^q + (x_0^{2(q^2+q+1)} + x_1^2 - 2x_0^{q^2+q+1} x_1 - 4x_0^{q^2+q+1})^{\frac{q+1}{2}}.$$

By Lemma 3.1, the Milnor number of  $h(x_0^{q^2+q+1}, x_1 + 1, 1)$  is

$$q(q^2 + q) = q^2(q + 1).$$

□

We confirm that the genus of the Fermat curve agree with the genus of its dual curve. The genus  $g$  of the Fermat curve  $C_0$  of the degree  $d = q^2 + q + 1$  is

$$g = \frac{(d-1)(d-2)}{2} = \frac{(q^2+q)(q^2+q-1)}{2}.$$

Let  $\mu_P$  be the Milnor number and let  $r_P$  be the number of the branches at a singular point of the dual curve  $C_0^\vee$ . If a point  $P \in C_0^\vee$  is an ordinary node, then  $\mu_P = 1$

and  $r_P = 2$ , whereas if a point  $P$  is in  $C_0^\vee \cap X$ , then  $\mu_P = q^2(q+1)$  and  $r_P = 1$ . Thus the degree  $d^\vee$  of  $C_0^\vee$  is  $(q+1)(q^2+q+1)$ , and the genus  $g^\vee$  of  $C_0^\vee$  is

$$\begin{aligned} g^\vee &= \frac{(d^\vee - 1)(d^\vee - 2)}{2} - \frac{1}{2} \sum_{P \in \text{Sing} C_0^\vee} (\mu_P + r_P - 1) \\ &= \frac{\{(q^2 + q + 1)(q + 1) - 1\} \{(q^2 + q + 1)(q + 1) - 2\}}{2} \\ &\quad - \frac{1}{2} \{(q^2 + q + 1)^2 (q^2 - q) + 3(q^2 + q + 1)q^2 (q + 1)\} \\ &= \frac{(q^2 + q)(q^2 + q - 1)}{2}. \end{aligned}$$

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