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| Relation   |   |



- **1** Assessing Cyclone Disturbances (1988–2016) in the Sundarbans Mangrove Forests
- 2 Using Landsat and Google Earth Engine
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#### 9 ABSTRACT

Cyclone disturbances can cause significant damage to forest vegetation. The 10 11 Sundarbans spreading across Bangladesh and India, the world's largest mangrove forest, is frequently exposed to cyclones of various magnitudes. However, the extent and 12 13 pattern of forest disturbances caused by cyclones in the Sundarbans (both parts) remain poorly understood, and a long-term dataset focused on cyclones and forest disturbances 14 is required. In this study, Google Earth Engine (GEE) and Landsat images were used to 15 evaluate changes in the normalized difference vegetation index (NDVI) before versus 16 17 after 21 cyclones that occurred between 1988 and 2016. Supervised classification 18 successfully classified the forest area with an overall accuracy of 86% and Kappa 19 coefficient 0.80. The percentage of affected forest area (i.e., the area that exhibited 20 negative changes in NDVI values following a cyclone) ranged from 0.5 to 24.1% of the total forest area. Of the 21 focal cyclones, 18 affected less than 10% of the forest area, 21 22 while two cyclones, Sidr in 2007 (category H5) and a cyclone in 1988 (category H3), 23 affected 24.1% and 20.4%, respectively. Among the cyclone parameters (i.e., maximum wind speed, distance from the Sundarbans, and river water level), wind speed was 24 25 significantly and positively correlated with affected forest area. Wind speed and affected forest area were non-linearly related indicated by the piecewise linear 26 27 regression and cubic regression. The piecewise model estimated a threshold point, suggesting that wind speed had little effects below a breakpoint of 101.9 km h<sup>-1</sup>. Our 28 29 analyses, based on a 29-year dataset, suggest that, although the region experienced cyclones almost every year, only the largest cyclones (i.e., in the H3 category or higher) 30 31 affected 20% or more of the mangrove forest area, and these occurred around once per 32 7- to 12-year period. Trees with broken stems or uprooted canopies as a result of strong

| 33 | winds are likely to contribute to the reduction in NDVI in the aftermath of a cyclone.  |
|----|---|
| 34 | From a long-term perspective, such rare yet intense cyclones may have a significant     |
| 35 | effect on regeneration and species composition in the Sundarbans mangrove forest.       |
| 36 | Since previous studies only focused on a few cyclones, our results based on 21 cyclones |
| 37 | will certainly help better understanding of the effects of cyclones on mangrove forest  |
| 38 | disturbance.  |
| 39 |   |
| 40 | Keywords: NDVI; GEE; wind damage; hurricane damage                                      |

#### 42 1. Introduction

Tropical cyclones (hurricanes, typhoons, and southern hemisphere cyclones) are a 43 44 frequent phenomenon; they can cause fatalities and major economic loss (Paul and Rashid, 2017), and are an important driver of forest ecosystems (Foster and Boose, 45 46 1992). Cyclones can affect forest structures (Everham and Brokaw, 1996) and can fragment forested landscapes (Foster and Boose, 1992). Besides the short-term effects, 47 cyclones also have long-term implications with respect to forest succession 48 49 (Vandermeer and Granzow De La Cerda, 2004), nutrient recycling (Van Bloem et al. 2005), site productivity (Wang and Hall, 2004), species composition (Xi et al., 2008), 50 51 carbon cycle (Chambers et al., 2007), and drainage (Peierls et al., 2003). There are 52 several factors associated with the disturbances caused by cyclones, including wind 53 speed, distance from the cyclone's eye, and storm surges (Lugo, 2008). Moreover, intense cyclones with high wind speed and precipitation are likely to be more frequent 54 due to global climate change (Solomon et al., 2007). Changes in the frequency and 55 intensity of cyclones can have significant implications for ecosystems (Zeng et al., 56 2009). Therefore, a fuller appreciation of how tropical cyclones affect ecosystem 57 dynamics is necessary, so that changes in ecosystems that occur in response to climate 58 change may be anticipated (Solomon et al., 2007). 59 60 Mangrove ecosystems are diverse and dynamic, accommodating both terrestrial and marine organisms (Hogarth, 2007). Mangroves are more vulnerable than inland forests 61

62 to tropical cyclones, due to their greater exposure to higher wind energies (Baldwin et al.

63 1995). Located on the active delta of the Ganges-Brahmaputra river system, the

64 Sundarbans constitutes the world's largest single tract of mangrove forest, and has been

exposed to frequent cyclonic storms and tidal bores (Giri et al., 2007). Between 1981

| 66 | and 2018, 252 cyclonic storms, ranging in intensity from very low to severe, formed in    |
|----|---|
| 67 | the Bay of Bengal (IMD, 2019). Although the number of cyclonic storms has declined        |
| 68 | during the past decade, their intensity is increasing (Paul and Rashid, 2017). Moreover,  |
| 69 | based on projected climate change scenarios, it is predicted that the coastal areas of    |
| 70 | Bangladesh will be inundated due to rising sea levels; consequently, Sundarbans is        |
| 71 | classified as an endangered ecosystem (Paul and Rashid, 2017). In consideration of        |
| 72 | these climate change scenarios, to better understand the potential effects of future      |
| 73 | cyclonic storms on the Sundarbans mangrove forests, the effects of historical tropical    |
| 74 | cyclones on the Sundarbans forest vegetation should be investigated. However, few         |
| 75 | attempts have been made to assess the disturbances inflicted on the Sundarbans by         |
| 76 | tropical cyclones, and the studies that have been conducted have focused only on recent   |
| 77 | or major cyclones, including cyclone Sidr in particular (Table 1).                        |
| 78 | Satellite imagery and remote sensing techniques have been used to study forest            |
| 79 | phenology (Walker et al., 2012), land-cover change (Hansen et al., 2013), and flowering   |
| 80 | (Azmy et al., 2016). Multispectral remote sensing data facilitate satisfactory assessment |
| 81 | of forest disturbances from the local to the regional scale (Chambers et al., 2007; Zeng  |
| 82 | et al., 2009; Zhang et al., 2016). Therefore, remote sensing has been used to identify    |
| 83 | forest disturbances caused by cyclones in various types of forest, including natural      |
| 84 | forests (Lee et al., 2008), mangroves (Parker et al., 2018), and coastal forests          |
| 85 | (Negrón-Juárez et al., 2010). Since the majority of the Sundarbans region is difficult to |
| 86 | access, and detailed forest inventories are scarce (Giri et al., 2007), satellite imagery |
| 87 | offers the optimum means of studying large-scale forest disturbances.                     |
| 88 | Landsat data products, which offer a high-resolution (30 m) and the largest historical    |
| 89 | archive of sensed data (around 40 years), are useful for long-term and large-scale        |

ecological studies (Zhao et al., 2018). Google Earth Engine (GEE) is a cloud-based
platform for planetary-scale environmental data analysis, with a vast store of data and
satellite imagery that can be searched, analyzed, and extracted on the server (Gorelick et
al., 2017). Google Earth Engine (GEE) is a relatively new tool that facilitates easier
handling and analysis of remote sensing data.
The aim of the present study was to elucidate the pattern and extent of forest

96 disturbances caused by tropical cyclones in the Sundarbans (Bangladesh and India)

97 mangrove forests, using 29-years Landsat and GEE data. Specifically, we were guided

98 by the following questions: (i) how often and to what extent were forest disturbances

99 created by cyclones? and ii) which cyclone parameter had the greatest influence in the100 affected forest area?

101

102 2. Materials and methods

#### 103 *2.1 Study Area*

We focused on both Bangladesh and Indian part of the Sundarbans mangrove forest 104 region (Figure 1), bounded by 21°32′ – 22°40′N latitude and 88°05′ – 89°51′E longitude, 105 for this study. It covers an area of around 10,000 km<sup>2</sup>, of which approximately 60% lies 106 107 in Bangladesh and 40% in India (Giri et al., 2007). The Harinbhanga river forms a natural demarcation, separating the Bangladeshi and Indian Sundarbans. The United 108 109 Nations Education, Scientific and Cultural Organization (UNESCO) conferred World Heritage Site status on the Sundarbans in 1997. 110 The region is characterized by numerous complex, interconnected tidal creeks or 111

112 channels, mudflats, and mangrove forests, making it a unique ecosystem that

113 accommodates large numbers of flora and fauna. The mangrove forest is particularly

rich in biodiversity, and hosts over 300 plant and 1,760 animal species (Ishtiaque et al.,

115 2016). Among over 27 mangrove tree species, Heritiera fomes, Excoecaria agallocha,

116 Ceriops decandra and Sonneratia apetala are the four predominant species; others

117 include Avicenia, Xylocarpus, Bruguiera, Rhizophora trees and Nypa palm. The

118 mangrove ecosystem is also characterized by monsoonal rains, flooding, delta formation,

tidal influences, and mangrove colonization (Giri et al., 2007).

120 The land slope in this region is 0.03 m vertically per km of horizontal distance from

north to south. The elevation of the forest area varies between 0.9 m and 2.1 m above

mean sea level (Rahman, 2000). The average monthly temperature ranges from 12 to

123 35 °C, and 80% of the total rainfall in the region occurs during the monsoon season

124 from June to October. Relative humidity varies between 70 and 80% (Rahman et al.,

125 2010). It is also during monsoon season that the region is most frequently affected by

severe cyclonic storms, which inflict severe damage on the region, owing to the effectsof strong winds and saline water ingression.

128

129 *2.2 Cyclone Data* 

130 Meteorological data on major cyclones that formed over the Northern India (NI) basin and made landfall within a range of 370.4 km was collected from the International 131 Best Track Archive for Climate Stewardship (IBTrACS) database (Knapp et al., 2010) 132 133 and the Bangladesh Meteorological Department (BMD). A total of 21 cyclones that occurred over the period between 1988 and 2016 were selected (Figure 1). Very weak 134 Tropical Depression (TD) (61 km hr<sup>-1</sup> or lower), and slightly stronger Tropical Storm 135 (TS) (63-117 km hr<sup>-1</sup>) and Saffir-Simpson Hurricane Wind Scale from Category 1-5 136  $(H1 = 119-153 \text{ km hr}^{-1}; H2 = 154-177 \text{ km hr}^{-1}, H3 = 178-208 \text{ km hr}^{-1}, H4 = 209-251 \text{ km}$ 137

 $hr^{-1}$ , H5 = 252 km  $hr^{-1}$  or higher) as described in Paul and Rashid (2017) were used in 138 this study. Due to the unavailability of images from 2013, the cyclone that occurred that 139 140 year was excluded from this study. No more than one cyclone was selected for each year; when multiple cyclones had occurred within a single year in the vicinity of 141 Sundarbans, we selected the largest. For each cyclone, the maximum sustained wind 142 speed (km h<sup>-1</sup>; hereafter, wind speed), the minimum distance (km; hereafter, distance) 143 from the center of Sundarbans (i.e., a centroid point: 21°56'21.31" N, 89°10'56.01" E), 144 and the average maximum water level (m; hereafter, water level) of the rivers in the 145 146 Sundarbans, on the day when and 1 day after the cyclone had made landfall, were 147 calculated. Although storm surge may be a key factor affecting the mangrove forests, 148 data on storm surges from the study period were unavailable. As an alternative, we used data on water levels at the four major rivers within the Sundarbans collected by the 149 Bangladesh Water Development Board (BWDB), and calculated the average maximum 150 water level at the time of cyclone occurrence; this should indicate any abnormal 151 152 changes in the water levels in the Sundarbans region.

153

#### 154 2.3 Supervised classification

155 To extract the forest area of the Sundarbans, we applied a supervised classification

technique, Classification and Regression Tree (CART) analysis, to distinguish water,

157 land, and other features from the vegetation classes. The work flow is presented in detail

158 in Figure 2. We used Landsat 5 Surface Reflectance Tier 1

159 (LANDSAT/LT05/C01/T1\_SR) data from 2011 for the entire year to construct the

160 classification model. We filtered and masked cloud to make a quality mosaic, using the

161 GEE algorithm. Clouds were masked using the CFMASK algorithm, which is

- 162 incorporated into the product. The normalized difference vegetation index (NDVI) was
- 163 calculated using the formula, NDVI = (NIR Red)/(NIR + Red), where NIR is Band 4
- 164 (B4) and Red is Band 3 (B3) for Landsat 5. An NDVI band was added to all selected
- 165 images. A greenest pixel composite was created using GEE's quality mosaic()
- algorithm, which uses the NDVI band and computes the maximum NDVI value per
- 167 pixel level, thus eliminating some of the basic problems associated with mosaicking,
- such as discontinuities between Landsat paths (GEE,
- 169 https://developers.google.com/earth-engine/ic\_composite\_mosaic).
- 170 We randomly selected 30–100 points for four classes, vegetation, water, bare soil,
- and mudflats, as training data. After the classification, an accuracy assessment was
- performed within the GEE, using 40% of the training points and the Google Earth
- 173 (CNES/Airbus DigitalGlobe 20 m) data for reference. Finally, maps of the vegetation
- 174 class were exported for the following analyses of forest disturbances.
- 175

#### 176 *2.4 Assessment of forest disturbance*

- 177 Landsat-5 TM, Landsat-7 and Landsat-8 32-Day NDVI (LT5\_L1T\_32DAY\_NDVI,
- 178 LE7\_L1T\_32DAY\_NDVI, LC8\_L1T\_32DAY\_NDVI image class ID, respectively)
- 179 composites were used in the GEE to calculate changes in the NDVI from pre- to post-
- 180 cyclonic events (Table 2). As the cyclones were dated from 1988 to 2016, Landsat 5 and
- 181 8 32-day NDVI products were selected based on their availability. As Landsat 7 has a
- scanline error from 2003 (Roy et al., 2014), it was only selected when other Landsat
- 183 products were unavailable.
- 184 To detect forest disturbance, we computed pixel-based NDVI values for January to
- 185 March in 2 consecutive years for each cyclone: the year of cyclone landfall and the
  - 9

186 subsequent year. Since all cyclones occurred between April and December, we regarded the NDVI values for the cyclone year and the subsequent year as pre- and post-cyclone, 187 188 respectively. We then compared these values: any negative changes in post-cyclone NDVI values were considered to represent cyclone-induced forest disturbance, for the 189 190 purpose of the present study. Disturbed area per pixel was converted into area in square kilometers, and finally converted into a percentage of the total forest area. The images 191 192 captured between January and March were selected because that period falls within the dry season and therefore experiences less cloud; images captured immediately before 193 194 and after cyclones were problematic, due to the extensive clouds, since cyclones usually 195 occur during monsoon season (the Sundarbans area was almost entirely covered with 196 clouds in many cases). Furthermore, using pre- and post-cyclone images from the same 197 season minimizes the effect of seasonal changes in the NDVI, due to the seasonal leafing phenology of mangrove species. 198

199

200 2.5 Statistical analysis

To evaluate the importance of each cyclone parameter to predictions of the extent of the forest disturbance, we analyzed the relationships between cyclone parameters and affected forest area for each cyclone (N = 21) using a generalized linear model (GLM). We used the percentage of affected forest area to the total forest area as a response variable and three cyclone parameters (i.e., wind speed, distance and water level) as explanatory variables with Gaussian error distribution.

The relationship between cyclone parameter and forest disturbance may not be simply linear; instead, there might be a threshold up to which there is minimal disturbance, but after which the disturbance intensifies (Lugo, 2008, Imbert, 2018).

| 210 | Since wind speed was the only significant parameter in the GLM, we also constructed a      |
|-----|--|
| 211 | piecewise regression models to examine the possibility of a non-linear relationship        |
| 212 | between the cyclone wind speed and the affected forest area. If such a threshold were to   |
| 213 | exist, it would be indicated by a breakpoint in the piecewise model. Moreover, as the      |
| 214 | power of wind is cube of velocity, the cubic regression may improve our model. We          |
| 215 | compared the three models, i.e. a simple linear, piecewise, and cubic regression models,   |
| 216 | in the adjusted R-squared $(R^2)$ value and the Akaike information criterion corrected for |
| 217 | small sample size (AICc), to evaluate the models' performances. All statistical analyses   |
| 218 | were conducted in the R environment (R Core Team, 2014). We employed a piecewise           |
| 219 | regression using the segmented package in R (Muggeo, 2008).                                |
| 220 |  |

#### 221 **3. Results**

222 *3.1 Supervised classification and accuracy assessment* 

Classification and Regression Tree (CART) analysis revealed mangrove forest 223 224 vegetation as the dominant class among the four classes (vegetation, water bodies, bare soil, and mudflats). The estimated area covered by the mangrove forests was 5,352.31 225 km<sup>2</sup>, that of water bodies was 3,457.30 km<sup>2</sup>, while those of the bare soil and mudflats 226 were around 500 km<sup>2</sup> each (Table 3, Figure 3). The overall accuracy of the land cover 227 assessment was 86% and the Kappa coefficient was 0.80. Vegetation and water data 228 229 indicated very high producer and consumer accuracy, while the mudflats data showed 230 relatively lower accuracy (Table 3).

231

232 *3.2 NDVI change* 

In total, 84.55% of the vegetation area in the Sundarbans experienced negative change in NDVI after at least one of the 21 cyclones; 45.59%, 34.00%, 4.57% and 0.39% of the area was affected by one, two – three, four – five, and six – 12 cyclones, respectively (Figure 4). Bangladeshi region of the Sundarbans, the eastern part in particular, was affected by cyclonic events more frequently during this study period than was the Indian region.

Of the 21 cyclones studied, 11 affected less than 5% of the forested area in the

Sundarbans (Table 4). Seven cyclones had affected between 5 and 10% of the forested

area, and three between 10 and 25%. The highest level of disturbance observed was

associated with cyclone Sidr (C07, category H5), which affected 24.13% (1,291.39

243 km<sup>2</sup>) of the forested area (Table 4). Cyclone Sidr was the strongest among the cyclones

studied, with the highest maximum sustained wind speed of 259.28 km  $h^{-1}$ , and made

| 245 | landfall close to the Sundarbans. The second highest (20.41% or 1,092.51 km <sup>2</sup> )        |
|-----|---|
| 246 | disturbance was observed for a cyclone that occurred in 1988 (C88, category H3),                  |
| 247 | which passed through the Sundarbans mangrove forest. That cyclone had a maximum                   |
| 248 | sustained wind speed of 203.72 km h <sup>-1</sup> . A cyclone in 1995 (C95, category H2) was the  |
| 249 | third strongest, affecting 12.32% (659.14 km <sup>2</sup> ) of forest area. The maximum sustained |
| 250 | wind speed of this cyclone was 157.42 km h <sup>-1</sup> , and it made landfall in Cox's Bazar,   |
| 251 | Bangladesh.   |

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#### 253 *3.3 Relationships between cyclone characteristics and forest disturbance*

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The GLM revealed that wind speed was significantly and positively correlated with affected forest area, while the correlation between affected forest area and distance was nonsignificant (Table 5). Water level was not significantly correlated with affected forest area.

Since wind speed was the only significant parameter, we constructed a piecewise 258 linear and a cubic regression model using affected forest area as a response variable and 259 wind speed as an explanatory variable then compared with a linear model. The 260 piecewise model estimated wind speed of 101.86 km h<sup>-1</sup> as a breakpoint (Figure 5). The 261 estimated coefficients of wind speed were close to zero before the breakpoint (mean  $\pm$ 262 SE:  $-0.05 \pm 0.12$ ), increasing significantly thereafter ( $0.16 \pm 0.12$ ). Adjusted R<sup>2</sup> value of 263 piecewise ( $R^2 = 0.58$ ) and cubic model ( $R^2 = 0.58$ ) were slightly higher than that of the 264 simple linear model ( $R^2 = 0.51$ ). AICc of the cubic model (124.22) was lower than that 265 of the piecewise model (AICc = 128.55) and the linear model (AICc = 127.38). 266 Therefore, cubic model was the most parsimonious model, but model fitness was 267 similar between piecewise and cubic model. 268

#### 270 **4. Discussion**

#### 271 *4.1 Classification*

Our classification estimation of the forest area in the Sundarbans was reasonable as reported in the literature (Giri et al., 2007 and Islam, 2014) with satisfactory accuracy. However, producer's accuracy for mudflats was lower than that for the other classes possibly due to periodical flooding precipitated by the ingression of tidal sea water into the forest, which contributed to confusion between the bare soil and mudflat classes (Giri et al., 2007).

278

279 *4.2 Forest disturbances* 

280 Based on our 29-year period data analyses, the extent of the disturbances varied by cyclone (Figure 6). Strong effects on mangrove tree canopies was reported as a result of 281 strong winds in association with the cyclones of 1988, 1991, 1995, 2007 (Sidr), and 282 283 2009 (Aila) (Paul and Rashid, 2017). However, the extent of the structural changes due to cyclones was not previously estimated, although it is critical for understanding forest 284 285 regeneration and management. In this study, we estimated the NDVI changes caused by cyclones over a 29-year period, and found that the cyclones of 1988, 1995, and 2007 286 affected more than 10% of vegetated areas, while the others affected less than 10%. As 287 indicated by our findings, the Sundarbans suffers from major cyclone disturbances 288 every 7 to 12 years, with smaller disturbances occurring more frequently. During the 289 cyclone of 1988 (C88), a total of 3.71 million Sundari trees (Heritiera fomes) and 4.71 290 291 million Keora trees (Sonneretia apetala) were affected through stem breaking, uprooting and at places increasing salinity (Aziz and Paul, 2015). Hossain and Begum 292

| 293 | (2011) conducted a field observation in the aftermath of cyclone Sidr (C07), and                 |
|-----|--|
| 294 | reported that the cyclone uprooted at least one tree per 100 m <sup>2</sup> . Cyclone Aila (C09) |
| 295 | caused uprooting and branch snapping affected Gewa trees (Excoecaria agallocha) in               |
| 296 | and around the Sundarbans area (Rahman et al., 2017). Cyclones Sidr (2007) and Aila              |
| 297 | (2009) resulted in reduced numbers of individuals tress (poles and saplings) in the              |
| 298 | eastern part of Bangladesh Sundarbans (GOB, 2008; Islam et al., 2014; Paul et al.,               |
| 299 | 2017). The frequency of the disturbances also has a profound effect on forest vegetation,        |
| 300 | since re-establishment of the original stand takes 10 to 15 years (Lugo 2008). In our            |
| 301 | study we found that only 15.45 % forest area escape cyclone effect meaning multiple              |
| 302 | cyclone affecting the same area. Therefore, frequent and intensive cyclone disturbances          |
| 303 | may be among the major factors that drive forest regeneration and species assembly in            |
| 304 | the Sundarbans.  |
| 305 | This study found that cyclone Sidr affected the Sundarbans forest most severely                  |
| 306 | (24.13%). Previous studies on cyclone Sidr reported similar levels of forested area were         |
| 307 | disturbed by the cyclone, ranging from 19 to 31% (Akhter et al., 2008; GOB, 2008;                |
| 308 | Cornforth et al., 2013; Rahman et al., 2010), suggesting that our estimation was                 |
| 309 | reasonable. Field observation after cyclone Sidr showed evidence of damage (e.g., trunk          |
| 310 | bending or breakage, uprooting, loss of the substrate and local scour around the trees) to       |
| 311 | the major mangrove species, including Sundari, Gewa, Passur (Xylocarpus                          |
| 312 | molluccencis) and Keora (GOB, 2008). The flooding that followed increased the                    |
| 313 | salinity caused by the cyclone, resulting in increased mortality of Sundari trees (Uddin         |
| 314 | et al., 2013). Therefore, strong cyclonic disturbances, as in the cases of cyclone Sidr          |
| 315 | (C07), the cyclone in 1995, and that in 1988 (C88), can result in long-term changes to           |

316 forest structure and species composition, which may in turn contribute to the

maintenance of species diversity in mangrove ecosystems (Lugo 2008).

318 Among the cyclone parameters, wind speed exerted the greatest influence on the 319 affected forest area. Mangrove forests experience greater mortality and structural 320 change as a result of direct exposure to wind energy than do inland forests (Lugo, 2008). Strong cyclonic wind often results in defoliation, instantaneous litterfall, and the 321 uprooting of trees (GOB, 2008; Hossain and Begum, 2011), and is therefore likely to 322 contribute to negative changes in the NDVI. Better performance of cubic and piecewise 323 324 model rather than linear model suggested non-linear relationship between cyclone wind 325 speed and affected forest area. Affected forest area drastically increased when wind 326 speed exceeds a certain level (Figure 6). The piecewise model estimated a wind speed 327 threshold of 101.86 km h<sup>-1</sup>, below which forest disturbances were minimal and unrelated to wind speed. Tanaka et al. (2008), based on their field survey, also reported 328 that trees of larger diameter were subjected to more wind drag force than storm surge, 329 and were affected most by wind speeds of over 96.56 km h<sup>-1</sup>. Francis and Gillespie 330 (1993) reported that, beyond a certain wind speed, forests are affected regardless of 331 332 their species composition. Cyclones with maximum sustained wind speeds of approximately 100 km h<sup>-1</sup> or more can cause large-scale forest disturbance in the 333 Sundarbans. 334 By contrast, the distance between the cyclone's path and the center of the 335 Sundarbans was less influential in terms of the affected forest area in the Sundarbans. 336

337 However, major cyclones making landfall at the Sundarbans caused considerable

disturbances (Dutta et al., 2015). Our data also suggested that cyclones of higher

magnitudes (i.e., H3 and stronger) that made landfall at the Sundarbans (C88 and C07)

| 340 | affected large areas (20.4 and 24.1%, respectively), while those that were distant from  |
|-----|--|
| 341 | the Sundarbans (C91 and C95) affected much smaller areas (8.1 and 7.8%, respectively)    |
| 342 | (Table 4). Therefore, distance is only an influential parameter for the cyclones with    |
| 343 | higher magnitudes.   |
| 344 | Water level, as an index for storm surge in this study, was not influential in the       |
| 345 | affected forest area. Although the average river water level on the day of the cyclone's |
| 346 | landfall, and on the day after, may not be a relevant measure of each cyclone's storm    |
| 347 | surge, earlier studies have also suggested that storm surges may not always precipitate  |
| 348 | major effects on mangrove forests (Lugo, 2008; Paul and Rashid, 2017).                   |
| 349 |  |
| 350 | 4.3 Limitations  |
| 351 | Our study compared the pre- and post-cyclone NDVI values of the Sundarbans               |
| 352 | mangrove forests and assumed that any negative changes in NDVI values after the          |
| 353 | cyclone could be attributed to the event. However, there might be other factors that     |
| 354 | affect forests' NDVI values: anthropogenic disturbances, such as timber logging, may     |
| 355 | create canopy gaps, thus lowering forests' NDVI values. However, clearcutting and        |
| 356 | forest encroachment have been prohibited under strict forest management guidelines       |
| 357 | since 1982 in the Sundarbans region (Giri et al., 2007, Kamruzzaman et al., 2017).       |
| 358 | Consequently, the annual deforestation rate was almost zero throughout the study period  |
| 359 | (Reddy et al., 2016).  |
| 360 | We focused exclusively on the short-term (< 1 year) responses of mangrove forests        |
| 361 | to cyclones. However, the effects of cyclones sometimes become evident only in the       |
| 362 | long-term (Lugo, 2008). Negrón-Juárez et al., (2014) reported that cyclone-induced       |
| 363 | forest disturbances can be detected even up to 1 year after the cyclone's occurrence.    |

Therefore, although we examined the correlation between a cyclone and an NDVI change within a given year, it should be noted that the NDVI change may result from multiple previous cyclones.

367

#### 368 5. Conclusion

Our analyses, based on a 29-year dataset, suggest that, although the region 369 experienced cyclones on an almost annual basis, only the largest cyclones (H3 or higher 370 category) could affect 20% or more of the mangrove forest areas, where these occurred 371 372 around once every 7 to 12 years. Trees with broken stems or uprooted canopies as a result of strong winds can contribute to reductions in the NDVI after cyclones. From a 373 long-term perspective, such rare yet catastrophic cyclones may have a significant effect 374 375 on regeneration and species composition in the Sundarbans mangrove forest. 376 Since the disturbance caused by cyclones can also promote forest regeneration, it remains challenging to predict how cyclones affect mangrove forests in the context of 377 future climate change. With the current pace of climate change, a vast section of 378 379 Bangladesh's coastal area is set to become submerged over the next 100 years. Recent reports predict that, while the frequency of cyclonic storms in the Bay of Bengal will 380 381 decrease, their intensity may increase considerably (Paul and Rashid, 2017). As our results suggest, weaker cyclonic storms tend to inflict little effects on this forest; 382 383 however, an extremely severe cyclone affects more than 20% of the forest area. 384 Although previous studies in other part of the world also reported the linear (Han et al., 2018) or non-linear relationship (Negrón-Juárez et al., 2014; Imbert, 2018) between 385 386 cyclone wind speed and mangrove forest disturbance, these studies are based on the

| 387 | observation of only 3-5 cyclones. Therefore, our results based on 21 cyclones will          |
|-----|---|
| 388 | certainly help better understanding of the relationship in general. In combination with     |
| 389 | decreased freshwater, increased salinity, rising sea levels, water contamination, diseases, |
| 390 | and human interference, intense cyclones may cause irreversible changes to this already     |
| 391 | endangered ecosystem (Giri et al., 2007, Kamruzzaman et al., 2018, Imbert, 2018). The       |
| 392 | findings of this study will help long-term studies in understanding the complex             |
| 393 | phenomenon involving tropical cyclones and mangrove forests.                                |
|     |   |

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## 566 Table 1 Overview of previous research on forest disturbances caused by cyclones

| No | Studied parameters                   | Data<br>used     | Location                  | Study<br>period | Cyclones   | Key findings   | References                                    |
|----|--------------------------------------|------------------|---------------------------|-----------------|--|--|---|
| 1  | Ecological<br>disturbances           | MODIS            | Sundarbans                | 2001-<br>2011   | SIDR<br>(2007),<br>Rashmi<br>(2008),<br>Aila<br>(2009) | Disturbances<br>detection<br>from three<br>major<br>cyclones | Dutta et al.<br>(2015)                        |
| 2  | Sundarbans<br>Floristic<br>Diversity | Landsat<br>7     | Sundarbans,<br>Bangladesh | 2007-<br>2010   | Sidr<br>(2007)   | 45%<br>Bangladesh<br>Sundarbans<br>was affected              | Bhowmik,<br>A. K., &<br>Cabral, P.<br>(2013). |
| 3  | Tropical<br>cyclone<br>impacts       | SPOT 5           | Sarankhola,<br>Bangladesh | 2007-<br>2008   | Sidr<br>(2007)   | 63.15%<br>study area<br>was affected                         | Hoque et al. (2016)                           |
| 4  | Conservation<br>of<br>mangroves      | ALOS -<br>PALSAR | Sundarbans                | 2007-<br>2009   | Sidr<br>(2007)   | Mapping of changes   | Cornforth<br>et al.,<br>(2013)                |
| 5  | Damage<br>estimation<br>by cyclone   | ASTER            | Sundarbans                | 2007            | Sidr<br>(2007)   | 22.2%<br>damage due<br>to cyclone                            | Akhter et<br>al., (2008)                      |
| 6  | Impact<br>assessment                 | MODIS            | Sundarbans                | 2007            | Sidr<br>(2007)   | 31% of the<br>Sundarbans<br>was affected                     | GOB<br>(2008)                                 |

## 567 in the Sundarbans using remote sensing

568

| No | Code | Name        | Date                  | Category | Place of Landfall       | Landsat   |
|----|------|-------------|-----------------------|----------|-------------------------|-----------|
| 1  | C88  | Tropical    | 28-29 November,       | H3       | Khulna, Bangladesh      |           |
|    |      | Cyclone 04B | 1988                  |          |                         |           |
| 2  | C89  | Unnamed     | 23-26 May, 1989       | TS       | Sundarban coast,        |           |
|    |      |             |                       |          | Bangladesh              |           |
| 3  | C90  | BOB 09/04B  | 17-18 December,       | TS       | Cox's Bazar, Bangladesh |           |
|    |      |             | 1990                  |          |                         | Landsat 5 |
| 4  | C91  | Gorky       | 29-30 April, 1991     | H4       | Chattogram, Bangladesh  | TM        |
| 5  | C92  | Unnamed     | 22-24 September,      | TD       | Sundarban coast,        |           |
|    |      |             | 1992                  |          | Bangladesh              |           |
| 6  | C94  | Unnamed     | 02 May, 1994          | H3       | Cox's Bazar, Bangladesh |           |
| 7  | C95  | Unnamed     | 24-25 November,       | H2       | Cox's Bazar, Bangladesh |           |
|    |      |             | 1995                  |          |                         |           |
| 8  | C96  | Unnamed     | 28 October, 1996      | TD       | Sundarban coast,        |           |
|    |      |             |                       |          | Bangladesh              |           |
| 9  | C97  | Unnamed     | 18-19 May, 1997       | H1       | Sitakundu, Bangladesh   |           |
| 10 | C98  | Unnamed     | 18-20 May, 1998       | H1       | Sitakundu, Bangladesh   |           |
| 11 | C99  | Unnamed     | 08-11 June, 1999      | TS       | Cox's Bazar, Bangladesh |           |
| 12 | C00  | Unnamed     | 27-28 October, 2000   | TS       | Sundarban coast,        |           |
|    |      |             |                       |          | Bangladesh              |           |
| 13 | C02  | Unnamed     | 12 November, 2002     | TS       | Sundarban coast,        | Landsat 7 |
|    |      |             |                       |          | Bangladesh              |           |
| 14 | C04  | Unnamed     | 15-18 May, 2004       | H1       | Teknaf, Bangladesh      | Landsat 5 |
| 15 | C05  | Unnamed     | 01-03 October, 2005   | TS       | West Bengal, India      | TM        |
| 16 | C06  | Unnamed     | 30 June -5 July, 2006 | TS       | West Bengal, India      |           |
| 17 | C07  | Sidr        | 15-16 November,       | H5       | Khulna-Barisal coast,   |           |
|    |      |             | 2007                  |          | Bangladesh              |           |
| 18 | C08  | Rashmi      | 25-26 October, 2008   | TS       | Khulna-Barisal coast,   |           |
|    |      |             |                       |          | Bangladesh              |           |
| 19 | C09  | Aila        | 25-26 May, 2009       | H2       | West Bengal, India      |           |
| 20 | C15  | Komen       | 26 June – 02 August,  | TS       | Chattogram, Bangladesh  | Landsat 8 |
|    |      |             | 2015                  |          |                         |           |
| 21 | C16  | Roanu       | 20-21 May, 2016       | TS       | Chattogram, Bangladesh  |           |

## 570 Table 2 List of cyclones included in this study and the Landsat images used

571 (TD = Tropical Depression, TS = Tropical Storm, H1-H5 = Hurricanes of category 1-5 on the Saffir 572 Simpson scale)

| NO | Classes      | Description                | Area (km²)              | Producer's<br>Accuracy<br>(%) | Consumer's<br>Accuracy<br>(%) |
|----|--------------|----------------------------|-------------------------|-------------------------------|-------------------------------|
| 1  | Vegetation   | Mangrove forest vegetation | 5352.31                 | 95                            | 90                            |
| 2  | Water        | Water body                 | 3457.30                 | 97                            | 97                            |
| 3  | Bare soil    | Bare earth/sand beach      | 551.08                  | 79                            | 65                            |
| 4  | Mud flat     | Flooded area/Mud flat      | 536.37                  | 53                            | 71                            |
|    | Total area   |                            | 9897.06 km <sup>2</sup> |                               |                               |
|    | Overall Accu | iracy                      |                         | 5                             | 86 %                          |
|    | Kappa coeffi | cient                      |                         |                               | 0.80                          |

# Table 3 Area classified for each class after Classification and Regression Tree (CART) analysis; and summary of accuracy assessment

| 578 Table 4 The minimum distance from the center of the Sundarbans, the maxi |
|--|
|--|

579 sustained wind speed, average maximum water levels of the rivers in the

580 Sundarbans on the day of and 1 day after landfall, and affected forest area for

581 each cyclone

| No | Code | Distance | Wind speed             | Water level | Affected   | Affected    |
|----|------|----------|------------------------|-------------|------------|-------------|
|    |      | (km)     | (km hr <sup>-1</sup> ) | (m)         | vegetation | forest area |
|    |      |          |                        |             | (km²)      | (%)         |
| 1  | C88  | 24.34    | 203.72                 | 2.61        | 1092.51    | 20.41       |
| 2  | C89  | 199.63   | 101.86                 | 3.25        | 25.37      | 0.47        |
| 3  | C90  | 210.02   | 83.34                  | 2.05        | 23.83      | 0.45        |
| 4  | C91  | 160.47   | 240.76                 | 2.85        | 431.88     | 8.07        |
| 5  | C92  | 32.51    | 55.56                  | 2.75        | 93.05      | 1.74        |
| 6  | C94  | 327.87   | 203.72                 | 2.05        | 417.64     | 7.80        |
| 7  | C95  | 254.71   | 157.42                 | 2.80        | 659.14     | 12.32       |
| 8  | C96  | 33.50    | 74.08                  | 2.69        | 61.26      | 1.14        |
| 9  | C97  | 242.65   | 120.38                 | 2.53        | 281.12     | 5.25        |
| 10 | C98  | 210.02   | 129.64                 | 2.35        | 166.15     | 3.10        |
| 11 | C99  | 123.30   | 64.82                  | 2.45        | 335.50     | 6.27        |
| 12 | C00  | 52.16    | 64.82                  | 2.86        | 78.33      | 1.46        |
| 13 | C02  | 93.82    | 101.86                 | 2.91        | 29.42      | 0.55        |
| 14 | C04  | 302.93   | 120.38                 | 3.81        | 300.03     | 5.61        |
| 15 | C05  | 115.37   | 74.08                  | 3.16        | 278.54     | 5.20        |
| 16 | C06  | 160.47   | 64.82                  | 2.86        | 441.03     | 8.24        |
| 17 | C07  | 58.71    | 259.28                 | 2.66        | 1291.39    | 24.13       |
| 18 | C08  | 58.71    | 83.34                  | 2.84        | 257.58     | 4.81        |
| 19 | C09  | 122.29   | 120.38                 | 4.20        | 53.16      | 0.99        |
| 20 | C15  | 115.99   | 46.30                  | 2.10        | 137.32     | 2.57        |
| 21 | C16  | 105.70   | 115.83                 | 3.20        | 152.42     | 2.85        |

582 (TD = Tropical Depression, TS = Tropical Storm, H1-H5 = Hurricanes of category 1-5 on the Saffir-

583 Simpson scale)

585 Table 5 Results of the generalized linear model on the relationship between cyclone

|                                   | Estimate | Std. Error | t value | Pr(> t ) |
|-----------------------------------|----------|------------|---------|----------|
| (Intercept)                       | 3.40     | 5.74       | 0.59    | 0.56     |
| Wind speed (km hr <sup>-1</sup> ) | 0.08     | 0.02       | 5.02    | 0.0001   |
| Distance (km)                     | -0.02    | 0.01       | -1.62   | 0.12     |
| Water level (m)                   | -1.58    | 1.82       | -0.87   | 0.40     |
|                                   |          |            | ,       |          |

586 parameters (wind speed, distance, and water level) and affected forest area.

587

Figure 1 The Sundarbans mangrove forests with the international boundary between Bangladesh and India. Approximate landfall sites of 20 cyclones can be confirmed of the 21 studied cyclones that occurred between 1988 and 2016; One cyclone path was close to the Cox's bazar Bangladesh but did not show the landfall in the original data. And the center of Sundarbans, a centroid point used to calculate the distance between the cyclonic paths and the Sundarbans.





## **Figure 2** Flowchart of this study's working procedures.



## **Figure 3** The forest class map yielded by Classification and Regression Tree (CART)

## 602 analysis.



- 605 Figure 4 A map showing cumulative number of cyclones affected per pixels in the
- 606 Sundarbans



Figure 5 Scatter plot showing the relationship between wind speed (km h<sup>-1</sup>) and
affected forest area (%) for each cyclone, and three regression lines: (a) linear (b)
piecewise and (c) cubic regression.



Wind speed (km hr<sup>-1</sup>)

- **Figure 6** A map of the forest areas affected by each cyclone. Red indicates affected
- 616 forests. The cyclone track (indicated by a solid black line) is included only for cyclones
- 617 that made landfall within or close to the Sundarbans.

