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Relation	



# Suspended particulate matter concentration in response to tidal hydrodynamics in a long mesotidal floodway

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7 Abstract

Analyses of seasonal data obtained using acoustic Doppler current profilers 8 provide an understanding of the behavior of suspended particulate matter 9 10 concentration (SPMC) toward different forcings in tide-controlled floodways. In this work, the relative contributions of external forcings on SPMC variability were 11 quantified in a tidal river system using singular spectrum analysis (SSA). The main 12 environmental features affecting SPMC were identified as i) spring-neap tidal 13 oscillation, ii) the ebb/flood velocities, and iii) tidal straining. Large SPMC 14 fluctuations occurred under strong mixing states and were directly related to the 15 16 sediment resuspension stirred up by spring-neap tidal cycles (73.6%-81.9%) and ebb/flood velocities (9.6%–19.5%). On the seasonal scale, river discharge is the key 17 variable explaining the downstream flushing and promoting the occurrence of a 18

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19	convergence zone at the floodway. Upstream from the floodway (greater than 4.8 km
20	from the river mouth), the spring-neap tidal oscillation dominated the suspended
21	particulate matter (SPM) mobility under low river discharge. Two interesting findings
22	were revealed in this work: (i) the SPMC/SPM transport variation responses to tidal
23	forcing (tidal asymmetry) were dominated and modified by river discharge and (ii) the
24	effect of river discharge on the SPMC/SPM transport did not result in a uniform state
25	along the floodway. It is believed that these findings provide further understanding of
26	the dynamics of suspended sediments in shallow tidal systems.
27	
28	Keywords: Acoustic Doppler current profiling, tidal hydrodynamics, suspended

particulate matter, estuary, single spectrum analysis, spring-neap tidal oscillation

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## 1. Introduction

The dynamics of suspended particulate matter (SPM) are intricate in estuarine 32 systems and significantly vary with time and spatial scale. The distribution of SPM in 33 a water column results from the combined processes of erosion, deposition, and 34 transport. Moreover, the suspended particulate matter concentration (SPMC) is 35 modified by the overall variations of multiple controlling factors (Murphy and 36 Voulgaris, 2006). Hence, additional comprehensive investigations and analyses of 37 these factors and their interactions during different specific time scales are vital for 38 39 understanding the transport mechanisms of SPM.

40	Environmental forcings related to SPMC in estuarine environments have been
41	well investigated (Kaneko et al., 1997; Zhu et al., 2000; Ferreira et al., 2003; Baeye et
42	al., 2011). Forcings can be divided into two main categories: (1) deterministic (tidal
43	cycle, tidal range) (Voulgaris and Meyers, 2004) and (2) stochastic (runoff, wind)
44	constituents (Baeye et al., 2011). These categories are characterized by multiscale,
45	unstable, and nonlinear dynamic processes. Hence, quantifying the contributions of
46	different driving factors to SPMC variability is a difficult task that has barely been
47	studied. Schoellhamer (2002) and French et al. (2008) applied the singular spectrum
48	analysis (SSA) method to quantify the suspended sediment concentration variability
49	related to environmental forcings in San Francisco Bay and Blyth Estuary,
50	respectively. The SSA method was applied to high-frequency time-series data,
51	however, these studies were restricted to single cross-sections of the systems and
52	lacked a detailed analysis of seasonal differences of controlled forcings.
53	To analyze sediment transport dynamics and the spatiotemporal variability of the
54	responses of suspended particles to tidal hydrodynamics thoroughly, prior information
55	about the SPMC is essential. However, it is difficult to measure these rapid fluctuating
56	characteristics in riverine environments. Traditional techniques for laboratory analysis
57	of SPMC often rely on periodic <i>in-situ</i> water sampling (Murphy and Voulgaris, 2006).
58	Expectedly, these approaches may be satisfactory for many applications but still have
59	limitations, especially in estuaries, owing to uncertain characteristics of suspended
60	particles. However, gathering water samples may be not sufficient to characterize the
61	behavior of suspended particles over a long time, due to the variations in the transport

of suspended materials brought by changes in tidal velocity, tidal range, and windeffects.

64 In large-scale studies, acoustic Doppler current profilers (ADCP) have been used for decades to measure currents. In recent years, the amplitudes of back-scattered 65 signals have been used to detect the SPMC (Moore et al., 2013; Latosinski et al., 66 2014). Furthermore, there have been recent studies on measuring suspended 67 sediments by using swath bathymetry systems (Duncker et al., 2015). In smaller-scale 68 studies, noteworthy, advanced efforts have been made through the use of sound 69 70 backscatter to study near-bed sediment transport processes (Moura et al., 2011). 71 Acoustic profilers are being developed for near-bed studies and can estimate both suspended sediment concentrations and current profiles with high spatial-temporal 72 73 resolutions and provide necessary information about bedform variations.

Estuarine systems have several problems associated with maintenance with 74 respect to factors such as dredging, port development, and regulation of incoming 75 river flow. For example, in the case of the Yangtze river, the annual discharge is 76  $2.93 \times 10^4$  m<sup>3</sup>/s, and the annual mean suspended sediment load is  $4.9 \times 10^8$  tons (Shi, 77 2010). Tidal currents and tidal asymmetry play important roles in sediment mobility; 78 however, sediment mobility is directly related to discharge. In the case of Otagawa 79 estuary, Hiroshima, Japan, tidal hydrodynamics primarily determine the fate of 80 suspended sediment in the case of limited runoff (Kawanisi and Yokosi, 1997; Razaz 81 and Kawanisi, 2012). As an example, in the Rotterdam Waterway estuary, nearly 75% 82 of the fine sediment is imported from the sea by tides (Van Leussen and Dronkers, 83

84 1988).

In this study, we aimed to reveal sediment dynamics under tidal hydrodynamics 85 86 with low river discharge. Several fundamental issues related to tidal hydrodynamics remain unanswered, such as the main factors that contribute to sediment exchange 87 processes between the bed and overlying water. Therefore, tracing these variations 88 temporally and geographically is important to achieve a clear understanding of 89 sediment dynamics, and knowledge of sediment dynamics can facilitate the 90 management of estuarine systems. The main purpose of this study was to quantify the 91 92 effects of tidal forcings on the SPMC variability in a tide-controlled channel, in order 93 to shed light on SPMC dynamics in response to tidal hydrodynamics. The remainder of this paper is structured as follows. The descriptions of the study area and methods 94 95 for data processing are given in Section 2. The results and discussion are provided in Sections 3 and 4, respectively. Finally, the conclusions are presented in Section 5. 96

97

## 2. Materials and methods

#### **2.1 Study area**

The Otagawa estuary is composed of a shallow and tide-controlled delta with several channels. The maximal tidal compartment range reaches up to around 13 km from the river mouth (Razaz et al., 2015). The Ota river divides into two main branches nearly 9 km upstream from the river mouth. Rows of Gion sluice gates control the volume of the runoff. In the case of normal operation, two gates are closed and only one gate is slightly opened to release water with a controlled cross-section of  $32 \text{ m} \times 0.3 \text{ m}$ . During flood events (when the discharge at Yaguchi station is greater than 400 m<sup>3</sup>/s), all of the sluice gates are completely opened and the freshwater runoff from the Gion sluice gates is designed to be about half of the total river discharge. The geometry of the sluice gates and the accumulated sediment around the gates along with asymmetric tidal currents produce an unstable and complicated flow system. The flow pattern varies considerably with both the tidal phase and the amount of runoff flowing through the gates.

Razaz et al. (2015) pointed out that tidal straining and bathymetry are the main 112 113 sources of flocculation in the Otagawa floodway. Kawanisi et al. (2008a) discussed 114 the effects of river discharge, tidal range, and wind on the transport of sediment. They revealed that there was suspended sediment transport upstream within the spring tide. 115 116 The upstream transport increased with increasing tidal range and decreased with increasing distance from the mouth. At the flood events, the suspended sediment was 117 transported downstream. A long-term discharge monitoring campaign was conducted 118 119 using the fluvial acoustic tomography (FAT) system, showing that around 20% of the total Ota River discharge flowed into the floodway on normal days and that it 120 received up to 50% of the total runoff during flood events (Kawanisi et al., 2010). 121 Furthermore, it was reported that the maximum tidal current velocities during flood 122 and ebb were around 0.65 m/s and 0.5 m/s, respectively, and during the spring tide the 123 peak tidal range reached 4 m at the river mouth (Razaz et al., 2015). In general, due to 124 the limited runoff under normal conditions and mesotidal inflow from Hiroshima Bay, 125 the estuarine circulation in the Otagawa floodway is moderate. 126



Fig. 1 Research area and observation sites along the Otagawa floodway, Hiroshima City,Japan.

#### 2.2 Instruments and methods

Three locations along the floodway in the Ota estuary were selected as 131 132 observation sites. Stations A, B, and C were located 2.8 km, 4.8 km, and 6.0 km upstream from the river mouth, respectively, as illustrated in Fig. 1. Several field 133 observations were performed on the Otagawa floodway at different periods. At station 134 135 A, measurements of hydrodynamics and sediment variables were performed during two periods: from July 29 to August 16, 2007 and from January 6 to 27, 2008. During 136 the observation periods, a 2 MHz ADCP (Aquadopp Profiler, Nortek) was installed to 137 138 measure the 3D velocities and backscatter intensity in the water column, with a 20 min sampling interval and average interval of 180 s. The bin size was set as 0.1 m, the 139

140 bin number was 55, and the blank distance was 0.05 m. During summer, the ADCP was installed about 30 m away from the right bank (simply expressed as Sta. A R) 141 142 and it was set upward in the water column. During winter, the ADCP was installed at the center of the channel (simply expressed as Sta. A C) and it was set downward 143 144 from the surface. During both field observations, water а conductivity-temperature-depth sensor (Compact-CTD, JFE Advantech) was set 145 around 0.4 m above the river bed to collect depth, salinity, temperature, turbidity, and 146 chlorophyll-a data every 20 min during each observation period to identify the basic 147 features of the estuary. At stations B and C, the ADCP sampling strategies were the 148 same as those at station A. The observations at stations B and C were performed from 149 December 22, 2007 to January 16, 2008 and from December 27, 2007 to January 10, 150 151 2008, respectively. In-situ water samples were suctioned during the observation period for ADCP backscatter calibration. Eight samples for particle size analysis were 152 also collected, on December 12, 2007. The sample sites were located 0.5, 1.5, 2.5, 2.8, 153 3.5, 4.9, 7.0, and 8.0 km upstream from the mouth. 154

The SPM acoustic model of scattering has been employed by different researchers (Thorne and Hanes, 2002). In this work, the basic SPM modeling equations were used to calculate the concentration. For further details on this SPM acoustic model, readers can refer to Kawanisi et al. (2008b), Latosinski et al. (2014), etc. The relationship between the acoustical backscatter intensity of ADCP and the water sample concentration was established, and the obtained coefficients of regression  $R^2$  were 0.70, 0.69, and 0.65 at Stations A, B and C, respectively. Moura et al. (2011) discussed the observation of SPM using different acoustic instruments in a
shallow estuarine system with a low SPMC, reporting that the coefficients varied
from 0.6 to 0.9. In this study, the validation results are appropriate for use in SPMC
variation analysis.

SSA is similar to principal component analysis (Schoellhamer, 1996). This 166 method is capable of extracting the necessary information related to environmental 167 parameters from short/long time series data without previous knowledge of the 168 nonlinear hydrodynamics (Schoellhamer, 2001). The SSA method is based on the 169 concept of sliding a window of width M down a time series to obtain an 170 autocorrelation matrix (Vautard et al., 1992). Then, eigenvectors (empirical 171 orthogonal functions) and eigenvalues (k) of the lagged autocorrelation matrix are 172 173 calculated. Afterwards, the random raw series data can be decomposed into several simpler periodic time series spectra, i.e., the so-called reconstructed components 174 (RCs). The RCs are calculated by multiplying eigenvectors times their corresponding 175 principal components. Each contribution per variance is presented in terms of its 176 eigenvalues k. Most of the variability is contained in the first RCs, and the remaining 177 RCs consist of noise signals. For further details, readers can refer to Jalón - Rojas et 178 al. (2016) 179

180

**3. Results** 

**3.1 Hydrodynamic characteristics in the Otagawa floodway** 

182 The seasonal differences in river discharge, water depth, salinity, water

temperature, and current velocity profiles during summer from July 29 to August 16 183 (Sta. A R) and during winter from January 6 to 26 (Sta. A C) are shown in Figs. 2 184 185 and 3, respectively. In summer, the compact-CTD was deployed two times, firstly from July 29 to August 2 and then from August 10 to 16. In this work, we did not 186 187 compare the variations between the water discharge approaches (i.e. FATS and the Rating Curves method). In fact, there exist some differences between the two 188 discharge methods (i.e. FATS and Rating Curves) in the short term (a few hours). 189 Nonetheless, it was demonstrated that the low-frequency variations of water discharge 190 191 were similar (Kawanisi et al., 2016; Al Sawaf et al., 2017). In this study, the discharge from Gion gates was not available. Razaz (2010) showed the relationship between the 192 discharge from Gion gates ( $Q_{Gion}$ ) and Yaguchi ( $Q_{Yaguchi}$ ) station, and in this research 193 194 we referred to this relationship to convert  $Q_{Yaguchi}$  into  $Q_{Gion}$  for further assessment. Hence, in the related figures, the main labels are shown and marked according to the 195 discharge values recorded at Yaguchi station. In summer, the water discharge  $(Q_{Yaguchi})$ 196 was higher, with maximum values up to 400 m<sup>3</sup>/s ( $Q_{Gion} = 242 \text{ m}^3/\text{s}$ ) (Fig. 2a). In 197 contrast, during winter, the maximum discharge ( $Q_{Yaguchi}$ ) was around 130 m<sup>3</sup>/s ( $Q_{Gion}$ ) 198  $= 27 \text{ m}^3/\text{s}$ ) (Fig. 3a). Moreover, a clear sign of tidal excursion is visible in the water 199 depth time series across the surveyed periods. Owing to the saltwater intrusion from 200 Hiroshima Bay, the water has higher salinity at deeper levels. The water is warmer at 201 deeper levels during winter, while during summer, the lower water is colder than the 202 upper water. During low water depth, intermittent variations of salinity and 203 temperature were occurred as a result of tidal straining, as revealed in Figs. 2c, 2d, 3c, 204

and 3d. Field data from summer and winter revealed the reciprocating tidal current in the floodway and indicated a strong flood-ebb tidal cycle (Figs. 2e and 3e). Apparently, the ebb current was greater than the flood velocity during neap tide, whereas the vertical velocity gradients during spring tide were minimal. In general, the hydrodynamics of the Otagawa floodway are dominated by spring-neap and flood-ebb tidal cycles. Moreover, the hydrodynamics are modified by the river runoff.



Fig. 2 Hydrodynamic data during summer at Sta. A\_R from July 29 to August 16, 2007.

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Temporal variations in (a) river discharge at Yaguchi gauging station, (b) water depth, (c) salinity, (d) water temperature, and (e) velocity. The red and blue values indicate the flood and ebb velocities, respectively. The compact-CTD was deployed two times, firstly from July 29 to August 2 and then from August 10 to 16. The Yaguchi gauging station is located around 14.6 km from the river mouth (not shown in Fig. 1).



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Fig. 3 Hydrodynamic data during winter at Sta. A\_C from January 6 to 26, 2008. Temporal
variations in (a) river discharge at Yaguchi gauging station, (b) water depth, (c) salinity, (d)

water temperature, and (e) velocity. The red and blue values indicate the flood and ebb
velocities, respectively. The Yaguchi gauging station is located around 14.6 km from the river
mouth (not shown in Fig. 1).

## 3.2 In-situ suspended particulate matter concentration variations and sediment size distributions

During the observation periods, the SPMC time series were estimated from the 226 ADCP backscatter. Hydrodynamic parameters that provide information about the 227 variations of particles enable us to understand the mechanisms that may control the 228 229 changes in SPMC during summer and winter and at different locations (spatiotemporal variations). The temporal variations in the depth-averaged SPMC are 230 shown in Fig. 4. During summer, the SPMC at Sta. A R ranges from 0.5 to 100 mg/L 231 and responds significantly to spring-neap tidal fluctuations (Fig. 4a). In winter, the 232 SPMC variability at Stations B and C is characterized by a local maximum and a 233 minimum, ranging from 0.01 to 50 mg/L and corresponding to the spring and neap 234 tides (Figs. 4c and 4d). Meanwhile, the intermediate SPMC at Sta. A C (Fig. 4b) is 235 about one order of magnitude larger than those at Stations B and C, with the 236 maximum values up to 90 mg/L during the spring tide. Higher SPMC values are 237 observable at Sta. A C, relatively lower SPMCs appear at Sta. B, and the SPMC at 238 Sta. C is the lowest. Generally, the qualitative variation in the SPMC is very similar to 239 the tidal cycle pattern, which indicates the influence of tidal flow. As shown in Figs. 240 2a and 3a, significant increases in river discharge can be observed around August 4 241

and January 12. Meanwhile, a higher SPMC can be captured, although not during the
spring tide periods. The higher SPMC can be attributed to the influence of river runoff.
Usually, SPMC fluctuations are well related to the spring-neap tidal cycle, i.e., to the
higher tidal range that occurs during the spring tide. Although the spring tide is based
on the lunar age, it does not entirely match the maximum tidal range. The SPMC is
greater when the tidal range is maximal.



248

Fig. 4 Depth-averaged SPMC time series along the Otagawa floodway: (a) during summer at

Sta. A\_R from July 29 to August 16, 2007, (b) during winter at Sta. A\_C from January 6 to 26,
2008, (c) during winter at Sta. B from December 22, 2007 to January 16, 2008, and (d) during
winter at Sta. C from December 27, 2007 to January 10, 2008. Tidal range from Kusatsu
gauging station during (e) summer and (f) winter. The shaded regions represent the
spring/neap periods.

For further clarification, the grain size distributions in the study area were 255 examined from eight sites selected along the floodway from the river mouth to near 256 257 the Gion sluice gates, as presented in Fig. A.1. The bed materials in the Otagawa 258 floodway, where the bed slope is about 1/3300, mainly consist of sand containing a little silt and clay. The grain size distribution varies from upstream to the river mouth. 259 The proportion of small particles increases closer to the river mouth. The particle size 260 261 distributions can be divided into two types: (1) Type I, where the distance from the mouth is less than 3.5 km and the slope of the curve is the steepest when the volume 262 percentage is less than 80%, which means that there are more fine particles; and (2) 263 264 Type II, where the distance is greater than 3.5 km and the curve has a flatter slope when the volume percentage is less than 20%, which means that there are coarser 265 particles, which are more difficult to resuspend in the water column. 266

#### **3.3 SSA results and fast Fourier transform (FFT) test**

#### 268

#### 3.3.1 SSA results

As above stated, the SPMC varies remarkably in response to tides and streamflow. Unfortunately, these factors induce SPMC variability at comparable

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orders of magnitude and descriptive methods are not sufficient to compare their relative effects at different time scales. Compared to a qualitative interpretation, SSA is more rigorous approach for illustrating all temporal characteristics of SPMC variability and the corresponding environmental factors. SSA can be utilized not only to characterize SPMC variability, but also to determine the relative contributions of influencing factors to the total variation.

Before applying SSA, the SPMC time series was smoothly filtered with a 1 h 277 moving average to remove noise. There exists a small difference between the raw and 278 filtered data. The  $R^2$  values and root mean square errors (RMSEs) of four SPMC 279 series of data ranged between 0.76 and 0.97 and between 0.10 and 0.27 mg/L, 280 respectively. The SPMC data were decomposed into 10 modes by SSA (RCs, Fig. 281 282 A.2a). SSA was applied to the SPMC time-series data with a window size of 24 h. Once evaluated, each RC group was assigned to one or two control forcing 283 frequencies. 284

At Stations B and C, RC1 contribute 96.9% and 94.5% of the data, respectively. The contributions of other modes to the SPMC variation are negligible. At Sta. A\_R in summer, RC1 contributes 81.9% and RC2 accounts for 9.6% of the data, while in winter at Sta. A\_C, RC1 and RC2 account for 73.6% and 19.5%, respectively. Thus, in the following discussion, we focus on analysis of the first RC at Stations B and C, and the first two RCs at Sta. A\_R and Sta. A\_C.

During summer, at Sta. A\_R, RC1 accounts for 81.9% of the data, where the oscillations are similar in amplitude to the tidal velocity, and the positive RC1 values

responded to the significant spring-neap tidal cycle (Fig. 5a). RC2 accounts for 9.6% 293 of the variation and varies negatively with the water depth and positively with the 294 tidal current velocity (Figs. 5b, 5c, 5e, and 5f), indicating the influence of the tidal 295 velocity. According to this interpretation, it can be assumed that RC1 contains the 296 variance of the spring-neap tidal cycle and RC2 contains the intra-tidal modification 297 of the semidiurnal tide. Like the situation in summer, in winter at Sta. A C, the first 298 RC contributes 73.6% of the variation and varies positively with the spring-neap tidal 299 cycle (Fig. 6a), indicating the controlling role of the spring-neap tidal cycle. RC2 300 explains 19.5% of the variations that vary negatively with the water depth and 301 302 positively with the tidal velocity (Figs. 6b, 6c, 6e, and 6f).



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Fig. 5 Comparisons of RCs to the temporal changes of the variables at Sta. A\_R: (a) RC1 vs. velocity (the red line represents the envelope of the velocity and the gray line represents the original magnitude of the velocity), (b) RC2 vs. water depth, (c) RC2 vs. velocity, (d) regression diagram of RC1 and velocity amplitude, (e) regression diagram of RC2 and water depth, and (f) regression diagram of RC2 and velocity. The contribution of each RC to the total SPMC variability is written in the bottom left corner, and these two modes contain 91.5% of the total variance.



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Fig. 6 Comparisons of RCs to the temporal changes of the variables at Sta. A\_C: (a) RC1 vs. velocity (the red line represents the envelope of the velocity and the gray line represents the original magnitude of the velocity), (b) RC2 vs. water depth, (c) RC2 vs. velocity, (d)

315	regression diagram of RC1 and velocity amplitude, (e) regression diagram of RC2 and water
316	depth, and (f) regression diagram of RC2 and velocity. The contribution of each RC to the
317	total SPMC variability is written in the bottom left corner, and these two modes contain
318	93.1% of the total variance.
319	
320	During winter, RC1 explains 96.9% and 94.5% of the variations at Stations B

and C, respectively, and varies positively with the spring-neap tidal cycle (Fig. A.2b), indicating the strong influence of the spring-neap tidal cycle. Since this component primarily describes the fluctuations of the velocity amplitude, this component can be interpreted as the spring-neap tidal cycle caused by the variations in tidal velocity, and the water column is destabilized by the tidal velocities. Thus, the bottom sediment will be resuspended by the bed shear stress and reenter the water column due to vertical diffusion.

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#### **3.3.2 FFT test**

Fig. 7 illustrates the FFT power spectra of the original SPMC time series on a log-log plot. It shows that the main variability has time scales of (a) 14 days, (b) 24 h, and (c) 12 h. These time scales are easily linked to deterministic forcings: spring-neap tidal fluctuations and ebb-flood tidal flow.



333 334

Fig. 7 FFT power spectra of the original depth-average SPMC time series.

In this study, the relative contributions of the driving forcings were investigated and their associated environmental forcings of the SPMC were demonstrated. The application of SSA and FFT confirmed the importance of tidal modulation on the SPMC during summer and winter. Additional information about these forcings will be comprehensively discussed later.

341

### 3.4 Spring-neap tidal cycle

The SPMC exhibits different variations during summer and winter, indicating the important role of tidal modulation. The Otagawa floodway is a tidally controlled estuarine system. The differences resulting from tidal mixing and stratification affect suspended sediment variations.

346 Commonly, the Simpson number is used as an indicator to demonstrate the

intensity of tidal mixing (Burchard et al., 2011). The Simpson number is defined as 347 the ratio of the potential energy change caused by tidal straining to the production rate 348 349 of the turbulence kinetic energy (TKE). Therefore, the Simpson number is only useful in cases in which the horizontal gradients of the density and tidal velocity are known 350 351 (Ge et al., 2018). Neglecting the effects of the horizontal density gradients, the growth rate of the bottom boundary layer can be parameterized similarly with the growth of a 352 tidal velocity mixed layer. The following mixing indicator was proposed by Geyer 353 and MacCready (2014) to count the efficiency of tidal mixing: 354

$$M^2 = \frac{C_d U_T^2}{\omega N H^2},\tag{1}$$

356 where  $C_d$  is the drag coefficient,  $U_T$  represents the amplitude of the tidal velocity, H is 357 the water depth,  $\omega$  is the tidal frequency, and

$$N = \sqrt{\frac{\beta g S_0}{H}},$$
 (2)

where *N* is the buoyancy frequency and  $S_0$  is the reference salinity. In this work, the mean salinity during each observation period was adopted as  $S_0$ , *g* is the gravitational acceleration and was taken to have a constant value of 9.8 m/s<sup>2</sup>.  $\beta = (\rho/\rho_0 - 1)/s$  was used to estimate the instantaneous buoyancy frequency (Ge et al., 2018), where  $\rho$  is the instantaneous density, *s* is the instantaneous salinity, and  $\rho_0$  is the reference density.

Applying these equations, the M values during summer and winter were calculated. Four major harmonic constants of the water level are shown in the Table 1. The water level data were obtained from the Kusatsu gauging station during the observation period. The  $M_2$  tide dominated in the Otagawa floodway; thus, the 369 estimated value of M was utilized for the  $M_2$  tidal frequency.  $C_d$  was taken to be

370 0.0025 (Ge et al., 2018).

Tides	Amplitude (m)	Phase (°)	
M <sub>2</sub>	1.02	272.11	
$\mathbf{K}_1$	0.34	226.29	
$O_1$	0.26	190.09	
$S_2$	0.34	322.88	

371 Table 1 Harmonic analysis of water level

For brevity, the intra-tidal variations on the velocity and SPMC at Station A are narrated in detail, while the behaviors of the tidal current and SPMC at Stations B and C are only stated briefly.

375

#### 3.4.1 Summer

During the spring tide, the velocity profiles at Sta. A\_R indicate the robust 376 dominance of the semidiurnal tide. The ebb/flood velocities decrease from the surface 377 to the bottom in the water column, and the tidal range is around 3 m (Fig. 4e), 378 indicating a mesotidal environment. During the flood phase, the velocities are directed 379 upstream with maximum values reaching 0.5 m/s; in the ebb, the velocities are 380 directed downstream with maximum values around 0.55 m/s (Fig. 8a). The mean 381 flood and ebb velocity durations are around 4.8 h and 7.2 h, respectively, with a 2.4 h 382 difference in the water column. The ebb velocity and duration are greater than those 383 during the flood tide, and the asymmetry can be attributed to the river runoff. 384 Throughout our observations, the peaks of the bottom SPMC correspond to the peaks 385 of the flood velocities just after the lower low water. In addition, the SPMC peaks 386

match the peaks of M (Figs. 8b and 8c). Thus, the SPMC fluctuations are enhanced by increased flood velocities and likely by the local resuspension of SPM in the water column.

During the neap tide, the tidal range at Sta. A R decreased to around 2 m (Fig. 390 391 4e). Compared to the maximum flood velocity during spring tide, the maximum flood velocity during neap tide (roughly 0.45 m/s) decreased by around 0.1 m/s. Meanwhile, 392 the maximum ebb velocity was 0.55 m/s, which is almost equal to that during spring 393 tide (Fig. 8d). The mean flood (around 3.6 h) and ebb (around 7 h) durations exhibit a 394 larger difference, indicating a larger tidal asymmetry. This larger asymmetry reveals 395 the role of river discharge in summer and the weak tidal variation during neap tide. 396 The SPMC peaks occur together with the dominant ebb velocities during the lower 397 398 low water, possibly due to the river discharge transport (Figs. 8d and 8e). In contrast to the spring tide, the local resuspension induced by flood currents decreases and most 399 of the sediments stay near the bottom during the neap tide. 400



401

Fig. 8 Temporal variations of the velocity profiles (positive indicates flood, upstream), SPMC,
and *M* at Sta. A\_R in summer and Sta. A\_C in winter during spring and neap tide. (a) Velocity,
(b) SPMC, and (c) *M* during spring tide at Sta. A\_R. (d) Velocity and (e) SPMC during neap
tide at Sta. A\_R. (f) Velocity, (g) SPMC, and (h) *M* during spring tide at Sta. A\_C. (i) Velocity,
(j) SPMC, and (k) *M* during neap tide at Sta. A\_C.

407 **3.4.2 Winter** 

The time series of the velocity, SPMC, and *M* over the spring and neap cycles during winter at Sta. A\_C are shown in Fig. 8. During the spring period, the velocity decreases from the surface to the bottom, with the maximum flood and ebb velocities of up to 0.5 m/s and 0.55 m/s respectively (Fig. 8f). The vertically averaged flood and

ebb durations at Sta. A C during winter were around 4.8 h and 7.2 h, respectively. 412 The SPMC peaks occur in the lower low water with the peaks in M and the flood 413 414 velocity (Figs. 8g and 8h). During the neap tide, the low value of M at Sta. A C indicates weaker tidal forcing conditions (Fig. 8k). The maximum flood/ebb velocities 415 occur at the water surface, while most of the high SPMC values are near the bed (Figs. 416 8i and 8j). The peak of M corresponds with the larger SPMC that appears around the 417 lower low water, and with larger flood and ebb velocities simultaneously. It can 418 419 therefore be considered that the increased tidal velocity turbulence caused a local 420 resuspension of sediments in the water column.

The variations of the SPMCs and current velocities at Stations B and C during the spring and neap periods in winter are similar to those at Sta. A\_C (Fig. A.3). During the neap period, high SPMCs are observable closer to the bed, and the SPMC peak occurs just after the low water. Meanwhile, during the spring tide, significant SPMC fluctuations occur just after the lower low water, together with the increased flood velocities.

The SPMC at all stations fluctuates with the ebb/flood velocities. The SPMCs are higher at the peaks of the flood and ebb tidal flows, indicating the dominance of local resuspension on the SPMC variations. The spring tides match the occurrence of higher SPMC, whereas neap tides correspond to times of lower SPMC. This behavior is a result of higher tidal velocities during spring tides, which increases the availability of SPM within the brackish water column.

25

#### **3.5 Relationship between SPMC and water discharge**

Owing to the complex interactions between the tidal hydrodynamics, erodibility, 434 and sedimentation, it is difficult to capture the essential information for the study of 435 the role of tidal velocity and water discharge from Gion gates. Hence, to describe and 436 capture the differences in the tidal transport of suspended sediments in the water 437 column, the water column was divided into three vertical layers with similar 438 439 thicknesses according to the instantaneous cell numbers of ADCP data. The average values (velocity and SPMC) within each layer (bottom, middle, and surface) were 440 used to calculate the SPM transport. 441 In most cases, the river runoff is limited by the Gion sluice gates. During summer, 442 well-mixed conditions are observable at spring tide for low river discharge  $Q_{Yaguchi}$  < 443 110 m<sup>3</sup>/s ( $Q_{Gion} < 24 \text{ m}^3$ /s) (Figs. 2 and 8c). As depicted in Fig. 9a, the vertical SPMC 444 445 distribution is scattered considerably during low discharge ( $Q_{Yaguchi} < 110 \text{ m}^3/\text{s}$ ) and moderately during mid-flows ( $110 < Q_{Yaguchi} < 250 \text{ m}^3/\text{s}$ ). Meanwhile, it is obvious 446 that the SPMC varies smoothly during significant discharge periods ( $Q_{Yaguchi} > 310$ 447 m<sup>3</sup>/s). During winter, most of water discharge ( $Q_{Yaguchi}$ ) is lower than 110 m<sup>3</sup>/s ( $Q_{Gion}$  < 448 24 m<sup>3</sup>/s) (Figs. 9b–9d). For each station, the SPMC and discharge are weakly 449

450 correlated, and the SPMC has many comparable values within each layer.



452 Fig. 9 (a)–(d) Scatter diagrams of SPMC and water discharge within three layers; (e)–(h)
453 SPMC cumulative flux in three layers: (a) and (e) during summer at Sta. A\_R from July 29 to
454 August 16, 2007; (b) and (f) during winter at Sta. A\_C from January 6 to 26, 2008; (c) and (g)

455	during winter at Station B from December 22, 2007 to January 16, 2008; (d) and (h) during
456	winter at Station C from December 27, 2007 to January 10, 2008 (positive: upstream direction,
457	landward; negative: downstream direction, seaward).

During winter, in the case of low river discharge, Stations A, B, and C were 459 mostly under the influence of tidal asymmetry. Tidal asymmetry causes flood 460 velocities to be slightly dominant, and more sediments are resuspended, promoting 461 significant upstream transport. Tidal transport at the three sites is directed upstream 462 with magnitudes decreasing in the order of A > B > C, indicating that the sediment 463 migration resulting from tidal hydrodynamics decreases from downstream to 464 upstream (Figs. 9f-9h). In contrast, during summer, significant water discharge is 465 466 evident from August 4 to 6, and the role of water discharge transport is highlighted (Fig. 9e). Seaward currents dominate during most of the tide, and the massive seaward 467 transport of sand is produced during flood events. The significant feature is that the 468 increased water discharge exerts an effect on suspended sediment transport and shifts 469 the suspended sediment seaward. During winter, upstream tidal pumping at Station A 470 dominates in the water column (Fig. 10f). At Stations B and C, the bottom layer 471 exhibits upstream tidal pumping during the flood tide, while in the middle and surface 472 layers, due to the bed slope and tidal asymmetry of the flow, downstream tidal 473 pumping exists and counteracts part of the upstream sediment flux during the ebb tide 474 (Figs. 9g and 9h). 475

28

### 476 **4. Discussion**

#### 477 **4.1 Role of tidal velocity and water discharge**

Within tidal rivers, the interplay of the bed shear-stress and particle dynamics is key to understanding the effects of fluvial and marine influences on sediment transport and morphological development. In this study, we depended on the previous works that addressed flow, tide, and particle dynamics in the Otagawa floodway (Razaz and Kawanisi, 2012; Razaz et al., 2015) to assess the potential effects considering the river flow and tidal velocity.

484

#### 4.1.1 Low water discharge

Processes that determine suspended particle dynamics in estuaries are functions 485 of the turbulence intensity and suspended sediment load. The Otagawa floodway is 486 487 characterized by moderate energy, low sediment concentrations, and stochastic events. The flocculation and resuspension of sediments are basically dominated by the 488 processes associated with tidally induced shear stress followed by TKE production 489 and dissipation (Razaz et al., 2015). The particles showed different proportions 490 between downstream and upstream (Fig. A.1). Suspended particles are related to 491 tidally induced shear stress at any single location, but the suspended sediment load 492 493 shows a strong dependence on local sediment availability. Accordingly, the lower reach is supposed to experience more erosion and the upper reach will experience 494 deposition. 495

For the low river discharge conditions, during spring tide, the mixing indicator 496 M (Fig. 8) shows that the mixing is enhanced, with increased tidal range and 497 498 velocities, and stratification is reduced. Our observations indicate that during these periods, the SPM at the lower and middle floodway is largely controlled by cyclical 499 processes (resuspension, deposition, mixing, and upstream transport) driven by 500 spring-neap fluctuations and ebb/flood velocities. At neap tide and low river flow, 501 horizontal advection governs the SPMC (Razaz and Kawanisi, 2009). Uncles et al. 502 (2002) indicated that the gravitational circulation at the lower estuary acts to 503 concentrate the sediment at the estuary turbidity maximum (ETM), through an 504 upstream flux of deeper suspended material. In this study, significant tidal 505 asymmetries evidenced the importance of tidal pumping for SPM transport. Typically, 506 507 most of the suspended material deposits at slacks, and resuspension with flood velocities are limited at neap tide. Wang et al. (2019) reported that water-sediment 508 regulation schemes can change the tidal asymmetry and sediment transport. After the 509 510 construction of channel regulation mechanisms in the Changjiang Estuary, the high-SSC area was enlarged and moved offshore. The Otagawa floodway acts as a 511 freshwater regulation scheme, and the SPMC is well associated with tides. These 512 processes promote sediment retention in the channel and reduce supply to the coast 513 during the low-discharge season. 514

515

#### 4.1.2 High water discharge

516 For high river discharge, significant tidal asymmetry in ebb/flood durations and

velocities was observed (Fig. 2e). Scully and Friedrichs (2003) showed that a stronger 517 tidal asymmetry on the ebb may act as a barrier that limits sediment suspension. This 518 519 process may produce seaward pumping of suspended sediment (Fig. 9e). Ferreira et al. (2003) found that in the Guadiana River estuary, the ETM maintains its position for 520 river discharge up to at least 250 m<sup>3</sup>/s and suggested that the estuarine response to 521 higher river discharge is buffered by the increasing stratification. In contrast, in the 522 Tamar and Weser estuaries, Grabemann et al. (1997) showed that the ETM exhibited 523 524 larger spatial variations and it is related to the variations with the river outflow. In 525 light of the aforementioned ideas, it can be concluded that under high river discharge, flood currents were reduced, and freshwater inputs reinforced ebb currents (especially 526 near the surface), causing the SPMC to increase rapidly. The observation results also 527 indicated that when the river discharge ( $Q_{Yaguchi}$ ) reached up to 400 m<sup>3</sup>/s ( $Q_{Gion} = 242$ ) 528 m<sup>3</sup>/s), the SPMC maximum was located at the lower reach and promoted a transient 529 downstream transport (Fig. 9). The discharge from upstream and saltwater intrusion 530 531 formed a two-layer structure with reduced vertical sediment diffusion and enhanced 532 sediment-induced stratification.

4.1.3 Water circulation

533

Estuarine circulation is a major mechanism for tidal transport in partially mixed estuaries (Uncles et al., 2002). Following the classical theory of estuarine circulation, the bottom current is expected to flow landward in a salt wedge estuary due to the salinity gradient. The pressure gradient force  $-\frac{1}{\rho_0}\frac{\partial p}{\partial x}$  is controlled by the combined

effect of the seaward barotropic component  $-g\frac{\partial\zeta}{\partial x}$  and the landward baroclinic 538 component  $-\frac{g}{\rho_0}\int_z^{\zeta}\frac{\partial\rho}{\partial x}dz$  that increase with depth. In the Otagawa floodway, with the 539 lower river discharge  $Q_{Yaguchi} < 110 \text{ m}^3/\text{s}$  ( $Q_{Gion} < 24 \text{ m}^3/\text{s}$ ), the landward baroclinic 540 term could suppress the seaward barotropic term. The tidal straining is critical in 541 modifying the density structure at the saltwater wedge. Bottom water can overlap with 542 the surface freshwater runoff via tidal straining and induced unidirectional upstream 543 sediment transport during spring tide. On the other hand, for high river discharge 544  $Q_{Yaguchi} > 310 \text{ m}^3/\text{s}$  ( $Q_{Gion} > 191 \text{ m}^3/\text{s}$ ), saline water was flushed out from the upper 545 546 reach. The stratification vanished around the low water and remarkable downstream transport was shown (Kawanisi et al., 2006), with the water regime transiently 547 transitioning from tidally dominated to river dominated conditions. In the downstream 548 549 region, the saltwater wedge still exited and could intrude through flood tides. The landward baroclinic term suppressed the seaward barotropic term; therefore, 550 stratification existed around the high water. 551

552

#### **4.2 Capture depocenter**

Estuarine systems that have stronger flood currents than the ebb velocities (so-called tidal asymmetry) are more likely to accumulate sediments in their upper reaches. This characteristic is the result of enhanced resuspension and transport processes that occur during the flood tide period (so-called tidal pumping). Tidal oscillation effects are strong under low discharge conditions, with magnitudes decreasing in the order of A > B > C among the three stations in winter and 559 contributing to decreased upstream sediment transport from Station A to Station C. 560 Uncles et al. (2002) reported that long microtidal estuarine regimes can have 561 extensive "intrinsic" SPM concentrations, and the storage mechanisms produce 562 maximum turbidity areas in the upper reaches of numerous tidal estuaries. The long 563 microtidal estuarine regimes are under limited low discharge situations and are left 564 with substantial amounts of sediment materials influenced by tidal hydrodynamics 565 that increase over the long term.



Fig. 10 Bottom tidal velocity vs. water discharge and SPMC for three stations during
spring/neap tide period during winter and distribution of spring/neap tidal averaged bottom
layer SPMC vs. tidal range at three stations during winter.

A comparison of the spring/neap tidal averaged bottom layer SPMC is shown in 570 571 Fig 10. The higher SPMC at Station A is closely associated with the bed resuspension, while the lower SPMCs at Stations B and C indicate the weak resuspension process 572 within either spring or neap tide (Fig. 10). The tidal range decreases with the distance 573 from the river mouth (A > B > C). During both the spring and neap tide periods, the 574 575 SPMC at Station A is higher than those at both Stations B and C. The SPMC magnitudes have the relationship  $A > B \approx C$ . For the Otagawa floodway, considering 576 sediment flux continuity, short-term sediment deposition occurs with the tidal 577 578 pumping, most of the sediments are under the upstream transport and are deposited between Stations A and B during spring tide. On the other hand, during neap tide, 579 most of the sediment undergoes local resuspension and settling. 580

581 The pattern of upstream transport is predominant in the lower and middle parts of the floodway during prolonged periods of low river runoff. Strong tidal asymmetry 582 in velocity and duration can be dominant in driving sediment transport. From this 583 work, short-term sediment deposition occurs along the area located between 2.8 km 584 and 4.8 km from the mouth. However, the sediment load is exported to the near shore 585 on a yearly to centennial scale (Brothers et al., 2008; Garel et al., 2009). More 586 frequent low-discharge events enhance aggregation, settling, and trapping within the 587 floodway. 588







percentile (black bars) values of each layer-average SPMC (bottom, middle, and surface) in 20 m<sup>3</sup>/s intervals of water discharge at Station A.

605

604

Determining the precise discharge threshold of CZ installation per station is 606 607 challenging due to a large SPMC variability. Differences between the velocities during the periods of decreasing and increasing river flow are also notable in the 608 floodway (Figs. 11b, 11d, and 11f). For discharge ( $Q_{Yaguchi}$ ) less than 160 m<sup>3</sup>/s ( $Q_{Gion} <$ 609 31 m<sup>3</sup>/s), the small variations in the SPMC and velocities are associated with the 610 611 highest potential for promoting CZ installation. Thus, the tidal pumping effect and river forcing converge around 2.8 km upstream from the river mouth. Discharge 612 ( $Q_{Yaguchi}$ ) greater than 160 m<sup>3</sup>/s ( $Q_{Gion} > 31$  m<sup>3</sup>/s) can considerably promote CZ 613 614 installation with dominant seaward currents, and large SPMC variation with one order of magnitude occurred in three layers. When discharge ( $Q_{Yaguchi}$ ) greater than 310 m<sup>3</sup>/s 615  $(Q_{Gion} > 191 \text{ m}^3/\text{s})$  seems to ensure complete CZ installation, significant variability 616 617 from middle and surface layer SPMC is observable (blue bars varied from 0.1 to 100). Meanwhile, the SPMC is stable in the bottom layer. Within the bottom layer, the 618 619 SPMC remains stable under tidal forcings, while in the middle and surface layers, the SPMCs show notable variations owing to the river forcings. 620

The effect of river discharge is assumed to be the primary factor in the occurrence of CZ. However, morphological changes (natural or anthropogenic) may also contribute to the CZ intensification (de Jonge et al., 2014), by amplifying the tidal asymmetry and hence enhancing the trapping of sediments. The existence and 625 importance of these changes in the floodway could cause problems with the river flow626 in the foreseeable future, and it is necessary to investigate these issues further.

627

## 5. Conclusions

The relative effects of environmental forcings on the SPMC were evaluated on a 628 seasonal scale by SSA, which is a powerful method for determining the contributions 629 of environmental forcings on the SPMC. In summer, the ebb/flood velocities forcing 630 (RC2) at Sta. A R explained 9.6% of the variance, together with the contribution of 631 the spring-neap tide oscillation (RC1, 81.9%). In winter at Sta. A C, when the 632 discharge was low and controlled by the Gion gates at upstream, the spring-neap tide 633 oscillation (RC1) contributed 73.6% of the SPMC variance, together with the 19.5% 634 of variance from the ebb/flood velocities (RC2). In contrast, at Stations B and C, most 635 of the variance (up to 94.5%–96.9%) was contributed by the spring-neap tidal cycle. 636 The detailed analysis based on the spring-neap tidal cycle with raw time-series data 637 during summer and winter at Station A revealed the effects of ebb/flood currents. 638 639 Spring tides matched the occurrences of higher SPMC and significant SPM upstream transport, whereas neap tides corresponded to times of lower SPMC and insignificant 640 SPM transport. These characteristics are the results of higher tidal velocities during 641 spring tides, which increase the availability of SPM within the brackish water column. 642 In the case of limited river runoff, the domain effects of oscillations related to the 643 spring-neap tide cycle and flood-ebb cycle (reciprocating tidal velocity) on the SPMC 644 variability along the Otagawa floodway were highlighted. Moreover, the role of 645

discharge transport at less than 110 m<sup>3</sup>/s ( $Q_{Gion} = 24 \text{ m}^3$ /s) (winter and summer) demonstrated that tidal pumping has a domain effect on sediment shifting, while significant sediment seaward shifting results from flood events. Furthermore, a sort of "convergence" between tidal and river forcings seems to occur in the floodway. Upstream transport was observed with tidal pumping in most cases, while significant seaward transport corresponded to episodic flood events.

Although this study is a case-specific example of the Otagawa floodway, the presented results and discussion demonstrate the dynamics and mobility of SPM under tidal hydrodynamics in water discharge limited by artificial sluice gates. The results obtained in this study can be considered to contribute to increasing understanding of sediment dynamics in estuarine systems.

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## 768 Appendix: Supplemental Data

769 **A.1:** Grain size variations along the Otagawa floodway



774 A.2: Relationships of the RCs to the temporal changes of the variables at Sta. A\_R

775	summer,	Sta. A	C winter,	Station ]	B, and	Station	C
	,		/		,		



776

Fig. A.2 (a) RC contributions to four SPMC time series: during summer at Sta. A\_R from July

778 29 to August 16, 2007; during winter at Sta. A\_C from January 6 to 26, 2008; during winter at

579 Sta. B from December 22, 2007 to January 16, 2008; during winter at Sta. C from December





Fig. A.2 (b) Comparisons of the RCs to the temporal changes in the variables at Stations B and C, including: (a) at Station B RC1 vs. velocity (the red line represents the envelope of the velocity and the gray line represents the original velocity), (b) at Station C RC1 vs. velocity (the red line represents the envelope of the velocity and the gray line represents the original velocity). The contribution of each RC to the total SPMC variability is written in the bottom left corner, where the first mode accounts for 96.9% and 94.5% of the SPMC variance at Stations B and C, respectively.

790

791 **A.3:** Temporal variations of the velocity and SPMC profiles at Stations B and C.



Fig. A.3 Temporal variations of the velocity profile (positive indicates flood, upstream) and
SPMC, including (a) velocity and (b) SPMC at Station B during spring tide, (c) velocity and
(d) SPMC at Station C during spring tide, (e) velocity and (f) SPMC at Station B during neap
tide, and (g) velocity and (h) SPMC at Station C during neap tide.

802 A.4: SPMC cumulative flux at Stations A, B, and C



804 Fig. A.4 Comparison of SPMC cumulative flux at Stations A, B, and C during January

