Doctoral Thesis

Phytoplankton community occurring in the southern coast of Myanmar especially focusing on potentially harmful dinoflagellates

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ABSTRACT

Myanmar has been one of the world's top ten countries for capture fisheries, and the catches are constantly increasing after 1990's. Such growth in the marine capture fishery relies on high productivity of the coastal environment. However, over-fishing surpassing that ocean productivity and recent rapid coastal developments, which often lead eutrophication and subsequent harmful algal blooms, are concerned. Any of these issues should be primarily regarded in a view of phytoplankton, however, researches and information are totally lacking in the Myanmar coasts. Therefore, detail phytoplankton surveys were firstly carried out in pre- and post-rainy seasons at the foremost marine fishery area, Tanintharyi coastal region. The surveys were conducted thrice: May, 2010 (pre-rainy season), December, 2010 (post-rainy season) and March, 2012 (pre-rainy season).

Total of 64 diatom species and 100 dinoflagellates species were listed from these three surveys. Diverse diatom species were mainly found in the December survey and the dinoflagellate species were rather in the May and March surveys. The massive occurrence of diverse diatom species in the December survey can be explained by the flooding of nutrient-rich terrestrial water into the coastal areas in prolonged rainy weather during the southwest monsoon, and drift of these terrestrial waters to the entire coastal area due to shift to the northeast wind. The diverse dinoflagellate occurrences in the May and March surveys were probably due to the oligotrophic environment in the late dry season. Simultaneous occurrence of the oceanic and the neritic species in the May and March surveys were unique and explained the oceanic water mixing with the

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coastal waters in this coastal area. High diversities of heterotrophic dinoflagellate cysts were also characteristic in the Myanmar coast.

 In the dinoflagellate list from three surveys, 21 species were identified as potentially harmful species. Among them, 10 species were reexamined with detail morphological observation and DNA (28S rRNA gene) analyses. In here, red-tide forming species such as *Alexandrium affine*, *Gonyaulax polygramma* and *Prorocentrum shikokuense*, and shellfish poisoning causative species such as *Alexandrium tamiyavanichii* and *Gymnodinium catenatum* were recognized. These harmful species were mainly detected in the May and March surveys, and these late dry seasons should be regarded as to potentially cause HAB events. Accidentally, red-tide of *Prorocentrum* spp. was found near the northeast part of Kadan Island on the March survey. This redtide was noteworthy by comprising three different harmful dinoflagellates, namely *P. rhathymum*, *P. shikokuense* and *A. affine*. Culture strains of these three species were successfully established from this red-tide water, and subjected to growth experiment under different temperatures, to understand their blooming capabilities. The experiments were carried out at four different temperature regimes (15, 20, 25, 30°C). The results of *A. affine* exhibited the low tolerant to the low temperature $(15^{\circ}C)$, irrespective to its records in northern temperate region, hence this strain adapted to the tropical environment in Myanmar. *P. rhathymum* and *P. shikokuense* exhibited strong tolerance to the all given temperature ranges and rather high division rates, providing physiological basis to form red-tide.

 Such plankton and cyst surveys were also conducted in the Selangor district, west coast of Malay Peninsula, facing to the Strait of Malacca and connecting to the Myanmar coast. Selangor coast is an important area for culture industries of a bivalve,

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blood cockle, in Malaysia. In the result, paralytic shellfish poisoning (PSP) causative species of *G. catenatum* (motile and cyst forms) and *A. tamiyavanichii* (thecate form) were detected. The detection of toxic dinoflagellates from the cockle culture grounds suggested that PSP risk might present in the Selangor district, and the management plan will be needed in this area. Such awareness is or will be applied also to the Myanmar coast.

 In conclusion, this study firstly showed remarkable diversities of diatoms and dinoflagellates off the coast of Myeik. These diversities were largely influenced by two distinctive seasons caused by monsoon, and largely supported by nutrient loads from native rivers or oceanic water extensions, which all characteristics of the Myanmar coasts. These plankters are, of course, primary producers and support high ocean productivity in Myanmar. At the same time, some of harmful dinoflagellates species were recognized, and indeed, a red-tide comprising three potentially harmful species was found on the site. On those grounds, perspectives for those risk-managements should be raised soon.

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CHAPTER 1: GENERAL INTRODUCTION

1.1. General over view of Myanmar fisheries and coastal environments

Myanmar has been one of the world's top ten countries for capture fisheries and the third among Association of Southeast Asian Nations (ASEAN) countries (FAO, 2010). In particular, marine capture fisheries in Myanmar have constantly increased since the late 1990s, and were estimated at over 1.6 million tons in 2008 and over 2 million tons in 2009-2010 (Department of Fisheries, 2010). This constant growth in marine fisheries is a response to the growing efforts of fisheries and market demands, but relies on the high productivity of the coastal environment.

Myanmar coastline stretches about 3,000 km and facing the Bay of Bengal in the west and the Andaman Sea, which is a southwest part of the Bay of Bengal. The Bay of Bengal is a semi-enclosed tropical basin located in the northern Indian Ocean. Since Myanmar has tropical monsoon climate, Myanmar coastal area is influenced by strong monsoon regimes: southwest monsoon (summer monsoon) and northeast monsoon (winter monsoon). In the southwest monsoon season (May-October), the southwesterly wind prevails from the Indian Ocean to the mainland, and which brings moisture-laden air and rain. May is the onset of rainy season, and heavy rain started from June to end of October. During this rainy season, the coastal environment is highly productive with large amount of terrestrial nutrients supplied by river runoffs. In the northeast monsoon season (November-April), the northeasterly trade wind prevails from the continental side to the coastal area. November or December is the onset of dry season, when the northeast trade wind brings cool and dry air. Since November and December are also

just after the rainy season, the coastal water is still rich with terrestrial nutrients. March or April is the end of dry season, when the northeast trade wind brings warm and dry air. At that time, the coastal water has become to be oligotrophic after duration of the long dry period. These monsoon winds strongly influence water movements in the Bay of Bengal and the Andaman Sea. During the southwest wind prevailing, wind-drift currents come from the Indian Ocean to the coastal area, and enhance humid weather. During the northeast wind prevailing, wind-drift currents are in reverse direction, set the nutrient-rich waters off the coast, and lead the coastal environment to be oligotrophic.

Myanmar coastline can be divided into three parts; namely, Rakhine coastal area to the west, Ayeyawaddy Delta in the middle, and Tanintharyi coastal area to the south. The Rakhine coast stretches 740 km, and situates in the western part of Myanmar facing with the Bay of Bengal, and its northern part comprises shallow sea with a chain of islands and some delta areas. The Ayeyawaddy Delta or the Gulf of Mottama (the Gulf of Martaban) stretches about 460 km, and forms mouth of Ayeyawaddy (Irrawaddy) River. The Tanintharyi coastal area stretches about 1,200 km, locating at the southern part, and is composed of 800 offshore islands called Myeik (Mergui) Archipelagos. The coasts facing the Andaman Sea of the Ayeyawaddy Delta region and the Tanintharyi region are especially noteworthy in terms of marine production. Around these regions, rich terrestrial nutrients are supplied from numerous rivers and there are extensive mangrove forests, which cover 425,000 hectares, the third largest mangrove extent in Southeast Asia. Of the total mangrove area in Myanmar, 83 % is located around the Ayeyawaddy and the Tanintharyi regions (Giesen et al., 2006).

The Tanintharyi coast also possesses a considerable extent of coral reefs in the southern part, mostly around the Myeik Archipelagos. The existing of numerous

offshore islands, large mangrove forests and large estuaries support the richness of marine flora and fauna in the Tanintharyi coastal area. Moreover, the offshore islands also protect the mariculture farms along the coastal area from the cyclones. The richest fish diversity and the highest biomass of fish larvae were reported in the East Andaman sea harbor, which is on the Tanintharyi coast, in the Bay of Bengal (Lirdwitayaprasit et al., 2008). As a consequence, the Tanintharyi coastal region is the best fishing ground, with the highest catch rate in Myanmar (SEAFDEC Mission Report, 2006), and the total catch of marine fisheries has shown more than 10 % annual growth since 2005 (Department of Fisheries, 2010).

1.2. Phytoplankton

Photosynthesis is nutritional basis for all higher trophic level consumers. Phytoplankton communities are foundation for marine food chains, and their primary production can be used as a basis for fish catch allowances (Pauly and Christensen, 1995).

Phytoplankton community mainly comprises diatoms and dinoflagellates, and they are the most important primary producers in coastal ecosystem. Meanwhile, some of them, especially some dinoflagellate species, can cause harmful algal blooms (HABs). Modern dinoflagellates comprise over 2,500 species worldwide (Hoppenrath et al., 2009), and approximately 76 species are recognized to form water discoloration and occasional mass-mortalities of fish and producing bio-toxins, which can accumulate in fish and shellfish (Moestrup et al., 2009 onwards, IOC-UNESCO web: http:// www.marinespecies.org/HAB/dinoflag.php, accessed on 24 December 2012). Harmful algal bloom (HAB) toxins can cause severe illness, with effects ranging from vomiting,

diarrhea, amnesia, paralysis and death (Hallegraeff, 1993). Fishery closures and hygiene countermeasure can also result in considerable economic losses in corresponding fisheries (Hallegraeff, 1993). The impact of HABs on human society has grown with our increased reliance on fisheries and use of coastal oceans for aquaculture (Hallegraeff, 1993). In Southeast Asian region, HAB phenomena have been increased in frequency, widen, and prolonged duration of the occurrences (Fukuyo et al., 2011). A recent increase in HAB incidents is closely linked with increased anthropogenic eutrophication of coastal waters and increased nutrient loading (Hallegraeff, 1993). Such coastal developments have been noteworthy especially in the Southeast Asian countries in last two decades.

1.3. Dinoflagellate cysts

In the marine dinoflagellate community, more than 80 species produce resting cysts. Among them, more than 16 species have been known to cause red-tide and seven species to be toxic (Matsuoka and Fukuyo, 2000). Resting cysts are formed by fusion of gametes (sexual reproduction) in natural plankton population under unfavorable conditions, but also seen during active blooming period. These resting cysts can excyst by the external triggering factors such as temperature increase, light exposure and internal cellular mechanisms (Matsuoka and Fukuyo, 2000). Since dinoflagellate cyst walls are constructed with organic sporo-pollenin and calcareous material, the resting cysts in the sediments maintain their morphological features for a long period. Dinoflagellate cysts assemblages in the surface sediment can be a source of recurrence blooms, route for expansion of geographical distribution and toxin source for benthic

shellfish (Matsuoka and Fukuyo, 2000). Dinoflagellate cysts studies are being increased in Southeast Asian countries for HAB monitoring especially paralytic shellfish poisoning (PSP) risks management purpose (Furio et al., 1996; Usup and Azanza, 1998).

1.4. Potential risks in Myanmar fisheries

As mentioned above, currently Myanmar is proud of its high fisheries production due to the high ocean productivities. However, in interview of local fishermen, some of them argued decrease of sizes and amounts of fish catches in the coastal areas. In the statistics, numbers of fishing boats has increase 18 % for last 10 years (from 2000 to 2010), and foreign fishing vessels operating within the Myanmar territorial waters have been estimated 10 times after 2000 (Department of Fisheries, 2010). According to these facts, it might be possible to think that the current fish catch is exceeding over the ocean productivities.

Moreover, coastal development in Myanmar is proceeding at a rapid pace; large numbers of aquaculture facilities are being established for export purposes. Marine finfish culture farms are operating in Tanintharyi coastal areas, shrimp aquaculture farms have increased in coastal areas, and production was over 46,000 tons in 2010 (Department of Fisheries, 2010). Other factories developments and also fisheries industries such as fish processing plants have been increasing in the Myanmar coastal area. These coastal developments, along with the drastic economic progress in Myanmar, might lead to eutrophication of coastal areas and subsequent HAB events.

1.5. Needs to avoid possible risks for Myanmar fisheries

As described above, Myanmar is now or will be soon confronted with difficulties of overfishing or HAB events, which all deteriorate healthy growth of Myanmar fisheries. For estimating fish productivities and risks of HAB, phytoplankton surveys are essential. Despite such concerns, very few phytoplankton studies from the Myanmar coasts have been reported. Early phytoplankton studies in the Andaman Sea, the Bay of Bengal and in the offshore Myanmar waters were conducted by several foreign researchers (Taylor, 1976; Kamba and Yuki, 1980; Boonyapiwat et al., 2007; 2008). Therefore, studies on the recent occurrence of phytoplankton communities, especially from the foremost marine fishery area, Tanintharyi coastal region, are urgently needed.

1.6. General objectives of this study

As mentioned above, primary production is the most important ecological aspect of phytoplankton. In the phytoplankton community, diatoms and dinoflagellates are represented as most important primary producers in coastal ecosystems. At the same time, some phytoplankton species can cause HAB events, which can interrupt coastal fisheries productions. Since phytoplankton community can grant advantages and disadvantages to the coastal fisheries production, phytoplankton studies is required for Myanmar's sustainable fisheries, especially in the Tanintharyi coast, a foremost marine fisheries area in Myanmar. For this requirement, phytoplankton surveys were conducted in the Tanintharyi coastal area for the first time. The basic objective of this study is to understand the phytoplankton species occurrences and to clarify the characters of phytoplankton communities in this region. Another subject of phytoplankton study in

the field is to provide basic information for temporal change of plankton community in future. The next objective is to provide the well understanding of whether the HAB causative dinoflagellates species presence or absence in this region. The further objective is to provide phytoplankton species lists and micrographs for future phytoplankton research in Myanmar.

Not only concerning to plankton communities, dinoflagellate cysts in the bottom sediments were also investigated, since they play an important role in initiation, recurrence and geographical expansion of certain species, especially HAB species. That is, geographical distribution and abundance of cysts in the sediment are very essential information in giving early warning for the presence of toxic species and continuing record of HABs occurrences in a given area, which can interpolate scarce sampling opportunities. In this study, investigations of dinoflagellate cysts in the surface sediments around Kadan Island were conducted for the first time. This is also the first dinoflagellate cyst study from the Andaman Sea area. In addition, an investigation of dinoflagellate cysts from Selangor area, west coast of Malay Peninsula was also conducted. The aim of this investigation was to realize the presence or absence of PSP causative species in the blood cockle cultures ground, and to evaluate PSP risks. Furthermore, since Andaman Sea is directly connected with water body of the Strait of Malacca, the occurrence of HAB species from the Selangor area can be reflected as the recent or future occurrence of in the Myanmar waters, and this information can be useful for future bivalve culture operating system in Myanmar coast. Thus, dinoflagellate study from Selangor area can also provide important basic information not only for this region, and also for Myanmar coast.

CHAPTER 2: PHYTOPLANKTON OCCURRENCES IN THE SOUTHERN MYANMAR COAST

2.1. INTRODUCTION

Phytoplankton community is the primary foundation for marine food chains, and dinoflagellates and diatoms are two of the most important primary producers in coastal ecosystems. As stated in the previous chapter (Chapter 1), fisheries in Myanmar might possibly to face decline of fish resources, due to over-fishing even surpassing the ocean productivities, and environmental deteriorations which can often lead harmful algal blooms. To manage these unwanted events, information of the primary producers or the causative of harmful algal blooms, at least, their occurrence should be known.

However, investigation on phytoplankton community in Myanmar coastal area has been rarely conducted so far. In 1963, the International Indian Ocean Expedition "Anton Bruun" was once conducted, and plankton surveys at the both coastal and open sea areas of the Indian Ocean, including the Bay of Bengal and the Andaman Sea, were performed. Based on these surveys, dinoflagellate species occurring both the Bay of Bengal and the Andaman Sea were reported by Taylor (1976). Another and first phytoplankton survey on the Myanmar coastal territory was conducted by Kamba and Yuki (1980), and they reported a list of microplankton species, including dinoflagellates and diatoms. Phytoplankton species occurring in the Andaman Sea, Bay of Bengal and offshore Myanmar water were also reported by Boonyapiwat et al. (2007; 2008).

The main objective of this chapter is to construct a species list of dinoflagellates and diatoms occurring in the Tanintharyi coastal area, which could be a useful reference for the future phytoplankton studies in Myanmar, and is to obtain a better understanding on the characteristic of phytoplankton community in this region. Another objective is to construct a species list of dinoflagellate cysts occurring in the surface sediment of the Tanintharyi coast, in order to understand the occurrence of dinoflagellate cysts assemblages for HABs risk management.

Field surveys were carried out thrice in off-coast of Myeik, Tanintharyi Division, which is a foremost marine fishery area in the southern Myanmar. The surveys were also aimed to distinguish phytoplankton communities in the different oceanographic conditions or the season. As described in the Chapter 1, the southwest monsoon season (June-October) is "rainy season" in Myanmar, and long-prolonged heavy rain lead to considerable input of terrestrial nutrients to the ocean. Therefore, at the onset of the season, during the season, and after the season, drastic physical or chemical perturbations can occur and, which can allow drastic changes in the phytoplankton community structure. Seasonal variations in temperature, salinity and nutrients levels are all believed to play a major part in phytoplankton species succession (Hallegraeff and Jeffrey, 1993). Taking these factors into consideration, this study elucidates the modulations in the diatom and dinoflagellate communities in the coastal area and attempts to determine mostly the response of dinoflagellate community to monsooninfluenced seasonal changes.

The first survey was conducted at the onset of rainy season (May, 2010) around the Mali and Kadan Islands. The second survey was at the onset of dry season, namely just after the rainy season (December, 2010) and third survey was at the end of dry season (March, 2012). The latter two surveys were conducted around the Kadan Island.

2.2. MATERIALS AND METHODS

2.2.1. Study area

Mali and Kadan Islands ($12^{\circ}2'$ -13°3′N latitude, 98° -98°6′E longitude) are among the 800 offshore islands in the Myeik (Mergui) Archipelago, locating along the Tanintharyi coastal region, Tanintharyi Division, in the southern part of Myanmar (Fig. 2.1). These islands are largely influenced by river runoff from the mainland, and open-ocean waters from the Indian Ocean. The east coast of Kadan Island is lined by mangrove forests. We set seven stations around both islands (Fig. 2.1) in the first survey during May 2010, and six stations around Kadan Island (Fig. 2.2) for both December, 2010 and March, 2012 surveys.

2.2.2. Survey

An aim of the first survey in May, 2010 at the seven stations was to visit and cover as wide an area as possible around the two islands (Table 2.1). Therefore, without measuring water depth at the stations by rope, we just concentrated on oblique hauls of a 20-µm- mesh plankton net from ca. 1-2 m depth. Collected plankton was transferred into 50-ml plastic tubes and immediately fixed with glutaraldehyde at final concentration of 1%.

The second December, 2010 and the third March, 2012 surveys were carried out at six stations around Kadan Island. In these two surveys, phytoplankton samples were collected using vertical hauls of a 20-µm-mesh plankton net from 1 m above the bottom (Tables 2.2 and 2.3). The samples were immediately transferred to 50-ml bottles,

further concentrated using a 10-µm mesh to 15-ml total volume, and fixed with glutaraldehyde at final concentration of 1%.

Sediment samples were also collected at 4 stations (Sts. 1, 2, 3 and 6) in the December, 2010 survey and at 3 stations (Sts. 2, 3 and 6) in the March, 2012 survey, using a handy core sampler (TFO gravity corer) (Matsuoka and Fukuyo, 2000), equipped with and inner tube of 1.1 cm diameter to collect dinoflagellate cysts. The upper 2 cm of core samples were preserved with neutralized formalin at final concentration of 10 %.

In the second survey, water temperature and salinity were measured for vertically collected water samples, by using a handy water sampler (No. 15010, The Science Source Co., USA), by using a calibrated mercury thermometer and a refractometer (IS/Mill-E, AS ONE, Japan) respectively. On the third survey, handy-type CTD (Compact-CTD Lite, JFE Advantec, Japan) was used to measure vertical profiles of temperature and salinity (practical salinity unit; PSU) (Tables 2.2 and 2.3). To measure the nutrient concentration, 500 ml of water from appropriate depth were taken by a water sampler at the each station. Nutrient samples were sub-sampled from 500 ml plastic bottles then filtered through celluloses 0.45 µm syringe filter and were collected into 15 ml bottles, and were stored at -20°C until analysis.

2.2.3. Microscopy for plankton

To identify the diatom species, morphology of cells on valve view and girdle view were observed. Morphological details of the valves like raphe, areolae, labiate process, spines, setae, and chloroplast were carefully observed under inverted light microscope (CKX41,

Olympus, Japan) equipped with phase contrast unit, or inverted DIC (differential interference contrast) microscope (IX71, Olympus). Species identification was made according to literature (Hasle and Syversten, 1997; Hoppenrath et al., 2009).

To identify an armored (thecate) dinoflagellate species, morphological observation was conducted based on over all cell shape, size, characteristics feature of epitheca, hypotheca, sulcus, cingulum, apical and antapical horns and number and arrangement of thecal plates. Among the armored dinoflagellates, species identification in more detail was carried out based on their specific morphological characters in different orders as follow. For order Prorocentrales: apical projection, surface of valve and presence and/or arrangement of trichocyst pores; for order Dinophysiales: shape and size of epitheca and hypotheca, cingular and sulcal lists, and ribs of left sulcal list; for order Gonyaulacales: arrangement of thecal plates, torsion of cingulum and shape of apical and antapical spine; for order Peridiniales: arrangement of thecal plates and shape of apical and antapical horns. To identify the unarmored (athecate) dinoflagellate species, morphological observation was conducted based on the cell shape, size and the position of nucleus. However, original shape was somewhat deformed. Some species were not preserved. For observing plate tabulations in armored dinoflagellates species, the Calcofluor White M2R was used to stain cellulose theca (Fritz and Triemer, 1985). They were then observed under an inverted microscope (IX71, Olympus) equipped with a fluorescence unit [ultraviolet (UV) excitation light] for M2R visualization and with a DIC unit. Images were captured with a charge-coupled device (CCD) camera (600CL-CU, Pixera, USA).

For detailed observations, small amounts of the plankton samples were subjected to scanning electron microscopy (SEM). The fixed plankton samples were dehydrated with a graded ethanol series (50, 70, 80, 90, 95, and 100 % each for 5 min), dried in a *t*butanol dryer (JFD-320, JEOL, Japan), and coated with Pt in a coater (JFC-1600, JEOL). Observations were made under a SEM (JSM-6390LV, JEOL). Species identification was made according to literature (Taylor, 1975; 1976; Abé, 1981; Dodge, 1988; Balech, 1988; 1995; Larsen and Moestrup, 1992; Steidinger and Tangen, 1997; Hoppenrath et al., 2009).

Grouping of stations based on similarity of dinoflagellate species was performed using occurrence data according to Clarke and Warwick (2001). Agglomerative hierarchical cluster analysis was done on the species occurrence (presence/absence). The Bray-Curtis similarity index of species occurrence was used as a clustering metric, and a cluster tree was drawn by group average linkage. Hierarchical cluster analysis was conducted using the PRIMER $v6^{\circ}$ software package (PRIMER-E Ltd., UK).

2.2.4. Sediment sample preparation and microscopy for cysts

Preparation of the sediment samples was based on Matsuoka and Fukuyo (2000); 3-10 g of sediment from each sample was chemically treated with 50 ml of 10% hydrochloric acid and 30 % hydrofluoric acid each for 24 h to remove carbonate and silicate particles, and neutralized with distilled water for one night after each chemical treatment. The samples were then sieved through stainless-steel screens of 100-µm mesh to remove debris. The refined sediment was sieved by 20 - μ m mesh to concentrate the size fraction of dinoflagellate cysts. The sediment remaining on the 20-µm mesh was transferred into a 50-ml glass container and sonicated for 1 min in a sonic bath (Type USK, AS ONE), and further washed on 20-µm mesh and finally bottled with 10 ml distilled water. Cysts were observed under the inverted light microscope with DIC illumination. Dinoflagellate cysts were identified based on the shape of cysts, wall structure, color, ornamentation, and archeopyle type according to relevant literature (Reid, 1977; Harland, 1982; Matsuoka, 1984; 1985; 1987; 1988; McMinn, 1991; Rochon et al., 1999; Matsuoka and Fukuyo, 2000). Dinoflagellate cysts were counted with an inverted light microscope at $200x$ to $600x$ magnification. To calculate the water content, about 1 g sediment from each station was weighed, and dried at 70°C for 15 h. Dinoflagellate cyst abundance is expressed as number of cysts g^{-1} of sediment dry weight.

2.2.5. Nutrient analysis

Nitrate plus nitrite (NO₃+NO₂-N), nitrite (NO₂-N), ammonia (NH₄-N), phosphate (PO₄-P) and silicate $(SiO₄-Si)$ were analyzed using an auto-analyzer (SWAAT, BLTEC, Japan). Nutrient concentrations were determined from the peak heights and calculated using auto analytical software under the analyzer achieved from a five point standard curve prepared in low nutrient artificial seawater matrix.

2.3. RESULTS

2.3.1. Diatom and dinoflagellate species occurrence

Sixty-four species were identified in 40 genera in 26 families of diatoms (Table 2.4) including centric (Fig. 2.3.A-E) and pennate diatoms (Fig. 2.3.F). Centric diatom species were classified into 5 groups (Fig. 2.3.A-E) based on their general morphology according to Hoppenrath et al. (2009): 1) Centric looking diatoms (Fig. 2.3.A), 2) Rodlike looking and cylindrical chain forming diatoms (Fig. 2.3.B), 3) Chain forming diatom with spines and setae (Fig. 2.3.C), 4) Leaf-like looking diatoms (Fig. 2.3.D) and 5) Not centric-looking diatoms (Fig. 2.3.E). Centric diatoms comprise 45 species under 17 families and pennate diatoms comprise 19 species under 9 families. Among overall diatom species, 57 species in the December survey and 52 species in the March survey were detected. Among them, *Coscinodiscus radiatus* Ehrenberg (Fig. 2.3A. 3), *Cyclotella striata* (Kützing) Grunow (Fig. 2.3A. 9), *Detonula pumila* (Castracane) Gran (Fig. 2.3A. 15), *Lauderia annulata* Cleve (Fig. 2.3A. 17), *Rhizosolenia* spp. (Figs. 2.3B. 1-4), *Guinardia striata* (Stolterfoth) Hasle (Fig. 2.3B. 5-7), *Chaetoceros* spp. (Figs. 2.3C. 3-14), *Bacteriastrum* spp. (Figs. 2.3C. 15-20), *Bellerochea horologicalis* Stosch (Fig. 2.3E. 4-6), *Odontella* spp. (Figs. 2.3D. 2-6), *Eucampia zodiacus* Ehrenberg (Figs. 2.3D. 11,12), *Thallassionema* spp. (Figs. 2.3F. 2-4), *Navicula directa* (Smith) Ralfs (Fig. 2.3F. 5), *Meuniera membranacea* (Cleve) Silva (Fig. 2.3F. 7), *Pleurosigma normanii* Ralfs(Fig. 2.3F 9), *Surirella fastuosa* Ehrenberg (Fig. 2.3F. 11,12) *Entomoneis paludosa* (Smith) Reimer (Fig. 2.3F. 16), *Pseudo-nitzschia caciantha* Lundholm, Moestrup & Hasle (Fig. 2.3F. 18) and *Nitzschia* spp. (Figs. 2.3F. 19-21) were commonly detected in both December and March surveys. In the December survey, massive diatoms blooms were detected with high density of *Bacteriastrum* spp. (50,500 cells L⁻¹) and *Chaetoceros* spp. $(40,500 \text{ cells } L^{-1})$ as dominant species.

In the dinoflagellate species occurrence, 100 species were identified in 22 genera of 14 families of dinoflagellate (Table 2.5; Figs. 2.4 A-J). In overall dinoflagellate species observed, six unarmored species (Fig. 2.4.J) and 94 armored species (Figs. 2.4A-I) were comprised. Among armored dinoflagellates, four *Prorocentrum* species in order Prorocentrales (Fig. 2.4.A), seven *Dinophysis* species, six *Ornithocercus* species and one *Metadinophysis* species in order Dinophysiales (Figs.2.4.B,C), 13 *Gonyaulax* species, one *Lingulodinium* species, two *Alexandrium* species, two *Pyrophacus* species and eight *Ceratium* species in order Gonyaulacales (Figs. 2.4.D,E), two species of *Peridinium*, 22 species of *Protoperidinium* species, one species of *Preperidinium*, one species of *Oblea*, two species of *Diplopelta*, one species of *Podolampas*, one species of *Goniodoma*, two species of *Scrippsiella*, and two species of *Heterocapsa* in order Peridiniales (Figs. 2.4.F-I) were listed. Among unarmored dinoflagellates (Fig. 2.4.J), one *Balechina* species and two *Gymnodinium* species in order Gmynodiniales, two *Pyrocystis* species in order Pyrocystales and one *Noctiluca* species in order Noctilucales. Since all samples were fixed with formalin or glutaraldehyde, very few unarmored dinoflagellate species was detected. Due to the chemical fixation, some unarmored dinoflagellates might be loss or deform. In the overall dinoflagellate species listed from this study, 22 species have been reported to be cyst-forming. In addition, several potentially harmful species were found: Toxin-producing species that can cause shellfish poisonings including paralytic shellfish poisoning (PSP) such as *Alexandrium tamiyavanichii* Balech (Fig. 2.4D. 26,27), *Gymnodinium catenatum* Graham (Fig. 2.4J. 8,9), diarrhetic shellfish poisoning (DSP)-causative species such as *Dinophysis caudata* Saville-Kent (Fig. 2.4B. 1-3), *D. miles* Cleve (Fig. 2.4B. 4-7), and *D. rotundata* Claparède & Lachmann (Fig. 2.4B. 18,19), and yessotoxin (YTX)-producing species *Gonyaulax spinifera* (Claparède & Lachmann) Diesing (Figs. 2.4D. 14,15) and *Lingulodinium polyedrum* (Stein) Dodge (Fig. 2.4D. 21-23) [these toxic species are listed on the Intergovernmental Oceanographic Comission (IOC)-United Nations Educational, Scientific, and Cultural Organization (UNESCO) website: http:// www.marinespecies.org/HAB/dinoflag.php, accessed on 24 December 2012]. We also

found several red-tide forming species such as *Alexandrium affine* (Inoue & Fukuyo) Balech (Fig. 2.4D. 24,25), *Gonyaulax polygramma* Stein (Fig. 2.4D. 7-9), *Scrippsiella trochoidea* (Stein) Balech ex Loeblich III (Fig. 2.4I. 23), *Prorocentrum* spp. including *P. sigmoides* Bohm (Fig. 2.4A. 4-7), *P. micans* Ehrenberg (Fig. 2.4A. 1-3), *P. rhathymum* Loeblich, Sherley & Schmidt (Fig. 2.4A. 8-10), *P. shikokuense* Hada ex Balech (Fig. 2.4A. 11-13), and *Ceratium* spp. including *C. furca* (Ehrenberg) Claparède & Lachmann (Fig. 2.4E. 10-13) and *C. fusus* (Ehrenberg) Dujardin (Fig. 2.4E. 7,8) (Fraga et al., 1988; Okaichi, 1997). Rare dinophycean species belonging to the genus *Metadinophysis* (Nie and Wang, 1941) was found in all surveys (Fig. 2.4B. 20-26). This is dissimilar to the sole species of *Metadinophysis*, *M. sinensis* Nie & Wang, from its slender lateral view of the hypotheca. This species was previously recorded from Ben Tre Province (South Vietnam coast) in May and February by Nguyen (2009) as *Metadinophysis* sp. 1. As it is still possible to think that the differences in hypothecal shape may be withinspecies variation, we tentatively treat it here as *Metadinophysis* cf. *sinensis*.

 Aside from these neritic species, oceanic species such as *Ornithocercus* spp. (Fig. 2.4C) and *Podolampas bipes* Stein (Fig. 2.4I. 15-17) were found simultaneously at some stations in the May survey and *O. magnificus* was found in the March survey. This diverse species composition indicated a mixture of oceanic and neritic waters in this area.

From the first survey (May, 2010), cluster analysis of seven stations based on the occurring dinoflagellate species (non-quantitatively) showed one distinct group composed of four stations (Sts. 1, 4, 5 and 7) at 35.95% similarity level, apart from Sts. 2, 3, and 6 (Fig. 2.5). In the second (December, 2010) and third (March, 2012) surveys, cluster analysis was conducted on six stations based on the occurring dinoflagellate

species. While no distinct group of similarity was showed in the second survey (Fig. 2.6), the third survey showed one distinct group composed of four stations (Sts. 1, 4, 5 and 6) at 53.27% similarity level, apart from Sts. 2 and 3 (Fig. 2.7).

 In December, maximum cell density was recorded at St. 2, as more than 220,000 cells L^{-1} in diatom species (Fig. 2.8). Even in the March survey (Fig. 2.9), maximum abundance was recorded by diatoms, but the density was slightly lowered to as 18,100 cells L^{-1} . On the contrary, dinoflagellate abundances were higher in March (Fig. 2.11), and average of the six stations was estimated as $1,075$ cells L^{-1} , while that in the December was lower (516 cells L^{-1}) (Fig. 2.10). The effloresce of dinoflagellate and fade of diatoms in March are also seen in the numbers of species occurrence: In the December samplings, while 26 dinoflagellate species were counted, much diverse species as high as 67 species were in March (Figs. 2.10 and 11). Among the stations, there was less diatom abundance at St. 4 in both December and March (Figs. 2.8 and 9). That of dinoflagellate in the March sampling was also lowest among the station. Species diversity of this station was lesser in diatom on December. These were probably due to flushing of ocean current in the channel near the St. 4. However, dinoflagellate species were rather diverse at this station on the March sampling (Fig. 2.11), probably may derived from outer ocean, which can carry oceanic species. Indeed, at St. 4, the oceanic species of *O. magnificus* was detected.

2.3.2. Cysts occurrence

At least 44 cyst types were recorded (Table 2.6; Figs. 2.12A,B) based on current paleontological taxonomy (Reid, 1977; Harland, 1982; Matsuoka, 1984; 1985; 1987; 1988; McMinn, 1991; Rochon et al., 1999; Matsuoka and Fukuyo, 2000). Among these 44 types, 22 species could be identified to species level in 11 genera. Total 12 autotrophic cyst types and 32 heterotrophic cyst types were listed from two surveys. The samples were generally dominated by the cysts of heterotrophic species as 80.88 % in December and 60.73 % in March (Table 2.7, Fig. 2.13a,b), mainly *Protoperidinium* spp. including different paleontological genera such as *Brigantedinium* spp. (Figs. 2.12B. 2- 4), *Selenopemphix* spp. (Figs. 2.12B. 12-14), *Stelladinium* spp. (Figs. 2.12B. 15,16; 2.12C. 1,2) and cyst forms of *Protoperidinium* spp. (Figs. 2.12C. 5-12). Among these *Protoperidinium* species, the round brown cysts of *Protoperidinium avellanum* (*Brigantedinium cariacoense*) (Fig. 2.12B. 4), the spiny round brown cysts of *Protoperidinium* sp. 14 and the brown peridinioid cysts of *Protoperidinium* sp. 15 (new form 1) (Fig. 2.12C. 5,6) were detected as common cyst types throughout the stations. Cysts of *P. avellanum* were found as a dominant species in St. 1 (3.99 cysts g^{-1}), St. 2 $(7.62 \text{ cysts g}^{-1})$, and at St. 3, *Protoperidinium* sp. 15 (new form 1) was found to be the dominant species with density of 4.97 cysts g^{-1} in the December survey. Other heterotrophic species also included a diplopsalid group identified as *Dubridinium caperatum* Reid (Fig. 2.12C. 13,14) and *Oblea acanthocysta* Kawami, Iwataki & Matsuoka (Fig. 2.12C. 15) and one undescribed form (Fig. 2.12C. 16).

Relatively low proportions of 12 autotrophic species including *Gymnodinium catenatum* (Fig. 2.12A. 7), *Alexandrium* cf. *tamiyavanichii*, *Alexandrium affine* (Fig. 2.12A. 1), *Gonyaulax scrippsae* Kofoid (*Spiniferites delicatus*) (Fig. 2.12A. 2) and *Gonyaulax spinifera* (*Spiniferites ramosus*) (Fig. 2.12A. 3), *Lingulodinium polyedrum* (Stein) Dodge (*Lingulodinium machaerophorum*) (Fig. 2.12A. 4) were detected. The highest densities of autotrophic cysts $(9.99 \text{ cysts } g^{-1})$ were found at St. 1 in the December survey and (11.45 cysts g^{-1}) were found at St. 2 in the March survey. Low density of autotrophic cyst comprising only one species of *A. affine* (2.85 cysts g^{-1}) was found at St. 2 in the December survey, however highest density of autotrophic cyst (11.45 cysts g-1) comprising *A. affine*, *Gonyaulax spinifera*, *Gymnodinium catenatum*, *G. impudicum* and *Scrippsiella* sp. were found at same station in the March survey. We should note that identification of *A. affine* from cyst morphology is provisional because some other *Alexandrium* species can also produce spherical cysts, as shown in (Fig. 2.12A. 1). Meanwhile, presence of *A. affine* cyst in the sediments was highly possible because the vegetative cells were found from the same sampling station. Cysts of *Pyrodinium bahamense* Plate (*Polysphaeridinium zoharyi* (Wall)), PSP producer, were not observed.

2.3.3. Nutrients

The vertical distribution of nutrients concentrations were listed in Table 2.2 for December, 2010 and Table 2.3 for March, 2010. Also, the differences of those in the surface waters were depicted in Figs. 2.8-2.11. The nitrite plus nitrate concentration ranged between less than the detectable limit (DL; ca. 0.05 μ M) to 3.23 μ M in the December survey and \leq DL to 4.33 μ M in the March. Ammonia concentrations ranged between undetectable \leq DL to 20.43 μ M in December and \leq DL to 0.41 μ M in March. The ammonia distribution in the December survey was relatively higher than that in the March survey. Low concentration of phosphate showed a similar pattern in both surveys; ranged between 0.14 μ M to 0.71 μ M in December and ranged between 0.21 μ M to 0.64 μ M in March. In overall stations, the mean N:P ratio is 15.9:1 in the December survey and 4.7:1 in the March survey. Silicate concentration ranged between 6.98 µM to 15.4 µM in December and 8.61 µM to 17.69 µM in March.

2.4. DISCUSSION

2.4.1. Species diversity in pre- and post-rainy season: overview

In this study, total thrice surveys were conducted: twice in the pre-rainy season (May 2010 and March 2012) and once in the post-rainy season (December 2010). In the postrainy season survey, diatoms were much abundant than other two surveys in the prerainy season, and total 57 species were observed on this survey. On the other hand, lesser diatoms' abundance and diversity were found in the March survey: total 52 species were recorded.

For dinoflagellates, 57 and 67 species were recorded respectively in the prerainy season in the May 2010 and March 2012 surveys, while just 26 species were in the post-rainy season in December 2010. It should be noted here that plankton-net hauling was performed in different manners: oblique in the May survey in 2010 and vertically in the December and March surveys in 2010 and 2012. Nonetheless, the corresponding area is shallow and the water depths are approximately less than 5 or 6 m (St. 3) or even less than 3 m (Sts. 1, 2 and 6) except at Sts. 4 and 5. Therefore, it is assumed that the differences of species diversity between the pre- and post-rainy seasons were not derived from the sampling manners but rather from the significant differences of climate or oceanographic systems.
Diverse occurrences of dinoflagellates in the pre-rainy season are probably explained with oligotrophic condition in the late dry season. Another reason would be transportations of oceanic species by the currents: In the two surveys conducted in prerainy season, maximum species number of 44 was detected in St. 2 in the May (2010) survey. In the March survey in 2012, while samplings were not conducted as wide area as the previous survey, maximum species number of 43 was detected in St. 3 (Fig. 2.11). These two stations face to the open ocean, rather than the continental coast. Since the Myanmar coasts are affected by southwest monsoon from May to October, wind stress and surface current from southwest bring oceanic water of southern part of the Bay of Bengal to the Myanmar coast. These two surveys were conducted just before an onset of the rainy season from June, and therefore beginning of southwest wind brought oceanic waters toward the coast. At St. 2 in the first survey, oceanic and neritic waters probably mixed, explaining the coexistence of diverse oceanic species (e.g. *Ornithocercus* spp., *Podolampas bipes*) and neritic species (e.g. *Prorocentrum* spp., *Gonyaulax* spp. and *Alexandrium tamiyavanichii*). In the March survey in 2012, *Ornithocercus magnificus* was found also at St. 4 and Sts. 1 and 6; the latter two stations located inner side of the Island. It indicates the mixing of oceanic water probably reached to the entire coastal area until March. Interestingly, a red-tide of neritic *Prorocentrum* spp. was detected near the St. 6 (see Chapter 4), indicating typical neritic and oceanic characters can coexist in this season.

 By contrast, in the survey conducted in the post-rainy season (December, 2010), nutrient-rich terrestrial water had flooded into the coastal areas from prolonged rainy weather during the rainy season, and a shift from southwest winds to northeast winds in December may have carried these terrestrial waters to the entire coastal area. This

explains why diverse diatom species, rather than dinoflagellates, were detected in this survey. The largest number of 19 dinoflagellate species (Fig. 2.10) was found at St. 3 (Fig. 2.2), located opposite the mainland coast, and the smallest number of species at Sts. 1 and 2, facing the rivers from the mainland, at where red-tide of diatoms were detected. Usually diatoms and dinoflagellates compete each other; the former prefer nutrient-rich, terrestrial influenced waters, and after senescence of diatom blooms, dinoflagellates can occur.

This diatom-dinoflagellate competition was also clearly demonstrated in the data obtained in the December and March surveys. Seasonally, while the number of diatoms species was similar in these two surveys, dinoflagellate abundance (Fig. 2.9) and diversity (Fig. 2.11) were higher in the March survey. Spatially, lowest diversity of dinoflagellate composing only neritic species were found at St. 2, at where intensive river runoffs influence and characterized by the massive diatom blooms and lowest salinity in the December survey. Nonetheless, while the climate and oceanographic structure can be understood by seeing dinoflagellate diversity as well, it can be said that diatoms are dominator in any season and their densities were always over 90%.

2.4.2. Characters of species occurrences

(Notes on each species)

Neritic cosmopolitan species of *Prorocentrum micans*, *Dinophysis caudata*, *Ceratium furca*, *C. fusus* and *Scrippsiella trochoidea* were found in the both seasons. Another cosmopolitan species found in the both seasons was *Gonyaulax spinifera*, which is reported to be thermo-tolerant and prefer warmer waters (Taylor, 1987). Other than *G.*

spinifera, diverse *Gonyaulax* species were found in the May and March survey. In the cruise of "Anton Bruun" conducted at Bay of Bengal in 1963 (Taylor, 1976), 20 species of *Gonyaulax* were found in March through June. In other studies conducted at postrainy season in the Bay of Bengal, Kamba and Yuki (1980) did not recorded *Gonyaulax* species, and three species were recorded in Boonyapiwat's study (2008), and one species were recorded from west coast of India (D'Costa et al., 2008). Among the total occurrence of 10 species in our study, six species (i.e. *G. digenesis*, *G. kofoidii, G. polygramma, G. scrippsae, G. spinifera* and *G. turbynei*) are coincided to Taylor's study (1976), and *G. spinifera* matched to Boonyapiwat's study (2008).

Interestingly, *Prorocentrum rhathymum* was detected at St. 6 in the May survey. This species widely distributes in tropical to temperate waters and potentially be epibenthic. Although specimens were not quantitatively collected in the May survey, many cell-clumps of *P. rhathymum* were observed as dominant species in the St. 6 sample (Fig. 2.4A. 8-10). Morphology of *P. rhathymum* and *P. mexicanum* was taxonomically confused due to overlapping morphological characters. The unique characters of *P. rhathymum* different from *P. mexicanum* is absent of pyrenoid, lack of poroids and ornamentation of a row of six to seven trichocyst pores in the right valve of periflagellar area. It should be noted here that in the previous published report (Su-Myat et al., 2012), this species was identified as *P. mexicanum*, but in further DNA analysis conducted in this work (Chapter 3), the species was finally identified as *P. rhathymum*. In the March survey in 2012, red-tide of *P. rhathymum* was detected near the St. 6 (Fig. 2.2) and this species was also detected in St. 2, which is the same station of St. 6 in the May survey (at where cells-clumps of *P. rhathymum* were detected). Therefore, this area should be regarded to be suitable for the red-tide for coastal dinoflagellate species.

 The oceanic species such as *Ornithocercus* spp. and *Podolampas bipes*, which were found at Sts. 2 and 4 in the May survey in 2010 and *O. magnificus*, which was found in the March survey in 2012, at Sts. 1, 4 and 6, were probably transported from the offshore seas due to the prevailing southwest wind. These species were also recorded, including nine species of *Ornithocercus*, in the cruise of Anton Bruun (Taylor, 1976). In his report, six species were found from northeast and east part of the Bay of Bengal and the Andaman Sea. The occurrences of *O. magnificus* Stein, *O. quadratus* Schütt, *O. steinii* Schütt and *O. thumii* (Schmidt) Kofoid & Skogsberg in the current study coincided with Taylor's record.

Through the whole surveys, 41 species of *Protoperidinium* were dominating in this area. They were especially abundant and diverse at St. 2 in May 2010, St. 3 in December 2010 and St. 4, in March 2012, all of which sites exposed to the open ocean. Since *Protoperidinium* species are nonphotosynthetic, their occurrence is often associated with blooms of prey organisms such as diatoms and dinoflagellates (Bralewska and Witek, 1995). Other than these two prey organisms, they may feed on bacteria or nanoflagellates (Naustvoll, 2000; Sherr and Sherr, 2002). The occurrence of diverse *Protoperidinium* species at these three stations might be associated with bacterial concentrations or organic matters supplied by upwelling (Taylor, 1987). Among the *Protoperidinium* species listed in this study, 19 species were previously reported from the Bay of Bengal and the Andaman Sea (Taylor, 1976), four species from the Bay of Bengal (Boonyapiwat et al., 2008), six species from Phuket, Thailand (Taylor, 1975) and four species from west coast of India (D'Costa et al., 2008).

For other species, especially for harmful species, detail discussions will be opened in the separate chapter (Chapter 3), with referencing Myanmar fisheries. For unarmored dinoflagellates, the possibility for species losing was high by using only fixative samples for microscopic observation. It was noticeable point to detect all species of unarmored dinoflagellates, hence, which comprised many harmful species such as *Karenia* spp., *Cochlodinium polykrikoides* etc.

(Species similarities)

The dendrogram of species similarity in the May survey showed one distinct group including Sts. 1, 4, 5, 7 at 35.95 % similarity level (Fig. 2.5). The group comprised a total of 31 species including nine heterotrophic species. These stations are located in the middle part of the surveyed area (Fig. 2.1) and may largely receive terrestrial nutrients from the mainland coast. The outgroup of the dendrogram consisted of Sts. 2, 3 and 6. St. 2 faces to the open sea and is not protected by offshore islands. Therefore, this station is probably affected by the southwest oceanic current at the onset of rainy season, and thus showed diverse oceanic species. St. 6 showed small number of species occurrences (11 species); however, this is distinct in the dendrogram because of the lack of oceanic species and the presence of two unique coastal species, *Prorocentrum rhathymum* and *Metadinophysis* cf. *sinensis.* St. 6 is surrounded by numerous islands or islets and is influenced by estuarine water from mangrove forests on the east coast of Kadan Island. Only five species were recorded at St. 3, and the data may be insufficient to position the station adequately in the dendrogram. The dendrogram of species similarity in the December survey in 2010 showed no distinct group, due to less dinoflagellate species detected (Fig. 2.6). The dendrogram of species similarity in March 2012 showed one distinct group including Sts. 1, 4, 5 and 6 at 53.27% similarity

level (Fig. 2.7). The group comprised a total of 59 species including 37 heterotrophic species. These stations locate at the inner and upper part of study area (Fig. 2.2) and may largely receive terrestrial nutrients from the mainland coast and mangrove forests, which locate along the inner coast of Kadan Island. Moreover, the occurrence of the oceanic species, *O. magnificus* in Sts. 1, 4 and 6 indicated the mixing of oceanic water seems to be extended to the inner coastal area in March 2012. The outgroup of the dendrogram consisted Sts. 2 and 3, the latter station faces to the open sea and former station locates at the lower part of study area, which are protected by offshore islands. However at the St. 3 is facing to the open sea, any oceanic species was not detected in the March survey in 2012.

(Environmental characters and related phytoplankton occurrences)

In the December survey in 2010, the diatoms blooms were detected near St. 2. The low salinities in the surface waters of Sts. 1 and 2 and lowest silicate concentration (6.98 µM) were detected in St.2 (Fig. 2.10). It is possible that the nutrients transported by the river runoffs were biologically consumed by diatoms. As mentioned in previously, in the March survey in 2012, the dinoflagellates bloom, more specifically *Prorocentrum* bloom, was detected near St. 6. The high salinity (32.42) and lowest nutrients were detected at the surface water of St. 6. This suggests that, in addition to the prolonged dry season until March, nutrient concentrations were extensively consumed by dinoflagellates. The silicate concentration in St. 6 showed as high level as other stations (Fig. 2.11), since the dinoflagellate species do not use silicate for their growth.

2.4.3. Dinoflagellate cyst assemblage

Dinoflagellate cyst concentrations from Sts. 2 and 3 in the December survey in 2010 and from St. 2 in the March survey in 2012 were relatively high, and the bottom environment of these stations was characterized by finer and oxygen-rich (dark-browncolor clay) conditions. This was due to high clay content, which suggests higher cyst concentration (Azanza et al., 2004). Sedimentation rates of tropical regions could be correlated with low cyst concentrations in the surface sediment of Sts. 1 and 6 in the December survey and Sts. 6 in the March survey, at where sediment discharge is high from river runoff from the mainland coast.

In this study, 44 different cyst types including 12 autotrophic and 32 heterotrophic types were recorded. High diversities of heterotrophic cysts were characteristic of the Myanmar coast. In this study, the heterotrophic taxa were mainly composed by one *Polykrikos* species and large diversities of the Protoperidiniaceae. Radi and Vernal (2004) reported that the Peridiniales included *Brigantedinium* spp., *Quinquecuspis concreta*, *Islandinium minutum*, *Votadinium* spp., *Selenopemphix* spp., and *Polykrikos kofoidii*, which can be positively linked with high productivity in the northeastern Pacific margin. Based on other studies of dinoflagellate cyst occurrences, especially associated with eutrophication (Matsuoka, 1999; Harland et al., 2006), the existence of high abundance of heterotrophic cysts (80.88 % in the December survey; 60.73 % in the March survey) in this survey area indicates high-nutrient conditions and an abundance of prey organisms.

 Among the autotrophic species, spherical *Alexandrium* cysts were not restricted only to the inner coastal stations but also distributed at offshore stations in both surveys.

Based on the morphology of cysts and the finding of vegetative cells of *A. affine*, we assumed that these spherical *Alexandrium* cysts may probably be *A. affine*. In other studies conducted on the west coast of India (D'Costa et al., 2008), *Alexandrium* cf. *affine* was reported in May. Finding of higher density of autotrophic cysts in the March survey, suggests as the newly encysted assemblages which may reflect the plankton population during dry season. Cysts of *Gymnodinium catenatum* were found in low number (0.62 cysts g^{-1} in the December survey at St. 3; 0.60 cysts g^{-1} in the March survey at St. 2) (Table 2.7). Although vegetative cells of *G. catenatum* were not found in the December survey, 4-8 cells long chain of *G. catenatum* were detected in Sts. 1 and 3 in the March survey. Boonyapiwat et al. (2007) recorded moderate cell densities of *G. catenatum* (566 cells L-1) in Myanmar water. Cysts of *G. catenatum* and *Gymnodinium* cf. *catenatum* were also recorded from the southwest coast and the west coast of India (Godhe et al., 2000; D'Costa et al., 2008).

Fig.2.1. Map showing sampling locations around Mali and Kadan Islands, southern Myanmar coast, for the first survey in May, 2010.

Fig.2.2. Map showing sampling locations around Kadan Island, southern Myanmar coast for the second survey in December, 2010 and third survey in March, 2012.

Fig.2.3A. Light and scanning electron microscope (SEM) micrographs of centric looking diatoms. **1,2** *Coscinodiscus concinnus*, **3** *Coscinodiscus radiatus*, **4,5** *Coscinodiscus wailesii*, **6** *Actinocyclus octonarius*, **7,8** *Roperia tesselata*, **9** *Cyclotella striata*, **10** *Melosira moniliformis*, **11** *Paralia sulcata*, **12,13** *Skeletonema costatum*, **14** *Skeletonema menzelii*, **15** *Detonula pumila*, **16** *Detonula confervacea*, **17** *Lauderia annulata*, **18-20** *Porosira glacialis*, **21** *Planktoniella blanda*, **22** *Planktoniella sol*, **23-25** *Thalassiosira eccentrica*, **26-28** *Thalassiosira partheneia*, **29-31** *Thalassiosira oestrupii*. Scale bars = 20 µm.

Fig.2.3C. Light and SEM micrographs of chain forming diatoms with spines and setae. 1,2 Corethron criophilum, 3 Chaetoceros danicus, 4,5 *Chaetoceros decipiens*, **12** *Chaetoceros mitra*, **13,14** *Chaetoceros lorenzianus*, **15-17** *Bacteriastrum furcatum*, **18-20** *Bacteriastrum hyalinum*. Chaetoceros peruvianus, 6 Chaetoceros curvisetus, 7 Chaetoceros compressus, 8,9 Chaetoceros diversus, 10 Chaetoceros furcellatus, 11 Chaetoceros decipiens, 12 Chaetoceros mitra, 13,14 Chaetoceros lorenzianus, 15-17 Bacteriastrum furcatum, 18-20 Bacteriastrum hyalinum. **Fig.2.3C.** Light and SEM micrographs of chain forming diatoms with spines and setae. **1,2** *Corethron criophilum*, **3** *Chaetoceros danicus*, **4,5** *Chaetoceros peruvianus*, **6** *Chaetoceros curvisetus*, **7** *Chaetoceros compressus*, **8,9** *Chaetoceros diversus*, **10** *Chaetoceros furcellatus*, **11** Scale bars = $20 \mu m$. Scale bars $= 20 \mu m$.

Fig.2.3D. Light and SEM micrographs of leaf-like looking diatoms. **1** *Helicotheca tamesis*, **2,3** *Odontella mobiliensis*, **4-6** *Odontella* Fig.2.3D. Light and SEM micrographs of leaf-like looking diatoms. 1 Helicotheca tamesis, 2,3 Odontella mobiliensis, 4-6 Odontella sinensis, 7,8 Hemiaulus hauckii, 9 Triceratium favus, 10 Neocalyptrella robusta, 11,12 Eucampia zodiacus. Scale bars = 20 µm. *sinensis*, **7,8** *Hemiaulus hauckii*, **9** *Triceratium favus*, **10** *Neocalyptrella robusta*, **11,12** *Eucampia zodiacus*. Scale bars = 20 µm.

Fig.2.4A. Light (1, 4, 9, 11), fluorescence (2, 5, 8) and SEM (3, 6, 7, 10, 12, 13) micrographs of dinoflagellates, order Prorocentrales. 1-3 Prorocentrum micans, 4-7 Prorocentrum sigmoides, 8-10 Prorocentrum rhathymum, 11-13 Prorocentrum shikokuense. (Fluorescence *Prorocentrum micans*, **4-7** *Prorocentrum sigmoides*, **8-10** *Prorocentrum rhathymum,* **11-13** *Prorocentrum shikokuense*. (Fluorescence **Fig.2.4A.** Light (**1, 4, 9, 11**), fluorescence (**2, 5, 8**) and SEM (**3, 6, 7, 10, 12, 13**) micrographs of dinoflagellates, order Prorocentrales. **1-3** micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 µm, 12, 13= 10 µm. micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 µm, 12, 13= 10 µm.

Fig.2.4B. Light (**1, 3, 4, 6, 8, 10-12, 14, 16-18, 20-22**), fluorescence (**7, 15, 23**) and SEM (**2, 5, 9, 13, 19, 24-26**) micrographs of dinoflagellates, **15** *Dinophysis infundibulus*, **16-17** *Dinophysis parvula*, **18-19** *Dinophysis rotundata*, **20-26** *Metadinophysis* cf. *sinensis*,. (Fluorescence Fig.2.4B. Light (1, 3, 4, 6, 8, 10-12, 14, 16-18, 20-22), fluorescence (7, 15, 23) and SEM (2, 5, 9, 13, 19, 24-26) micrographs of dinoflagellates, order Dinophysiales. 1-3 Dinophysis caudata, 4-7 Dinophysis miles, 8-10 Dinophysis miles var. schroeteri, 11-13 Dinophysis doryphorum, 14-15 Dinophysis infundibulus, 16-17 Dinophysis parvula, 18-19 Dinophysis rotundata, 20-26 Metadinophysis cf. sinensis,. (Fluorescence order Dinophysiales. 1-3 Dinophysis caudata, 4-7 Dinophysis miles, 8-10 Dinophysis miles var. schroeteri, 11-13 Dinophysis doryphorum, 14micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 µm. micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 µm.

Fig.2.4E. Light (1, 4, 7, 8-21, 23-25), fluorescence (2, 3, 5, 6) and SEM (22) micrographs of dinoflagellates, order Gonyaulacales. 1-3 Pyrophacus horologium, 4-6 Pyrophacus steinii, 7,8 Ceratium fusus, 9 Ceratium falcatum, 10-13 Ceratium furca, 14,15 Ceratium *Pyrophacus horologium,* **4-6** *Pyrophacus steinii,* **7,8** *Ceratium fusus*, **9** *Ceratium falcatum,* **10-13** *Ceratium furca*, **14,15** *Ceratium* macroceros, 16-18 Ceratium horridum, 19-22 Ceratium breve, 23-25 Ceratium tripos, 26 Ceratium massiliense. (Fluorescence *macroceros*, **16-18** *Ceratium horridum*, **19-22** *Ceratium breve*, **23-25** *Ceratium tripos*, **26** *Ceratium massiliense*. (Fluorescence **Fig.2.4E.** Light (**1, 4, 7, 8-21, 23-25**), fluorescence (**2, 3, 5, 6**) and SEM (**22**) micrographs of dinoflagellates, order Gonyaulacales. **1** micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 µm. micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 µm.

Fig.2.4G. Light (**1, 2, 9, 12, 16, 19**), fluorescence (**3-5, 7, 8, 10, 11, 13-15, 17, 18, 20, 21**) and SEM (**6**) micrographs of dinoflagellates, order *Protoperidinium majus*, **21** *Protoperidinium monospinum*. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose Fig.2.4G. Light (1, 2, 9, 12, 16, 19), fluorescence (3-5, 7, 8, 10, 11, 13-15, 17, 18, 20, 21) and SEM (6) micrographs of dinoflagellates, order Peridiniales. 1-3 Protoperidinium depressum, 4 Protoperidinium divaricatum, 5,6 Protoperidinium divergens, 7 Protoperidinium elongatum, 8 Protoperidinium excentricum, 9,10 Protoperidinium globiferum, 11 Protoperidinium isthmus, 12,13 Protoperidinium latidorsale, 14 Protoperidinium latispinum, 15 Protoperidinium latissimum, 16,17 Protoperidinium leonis, 18 Protoperidinium mariaelebourae, 19-20 Protoperidinium majus, 21 Protoperidinium monospinum. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose Peridiniales. **1-3** *Protoperidinium depressum*, **4** *Protoperidinium divaricatum*, **5,6** *Protoperidinium divergens*, **7** *Protoperidinium elongatum*, **8** *Protoperidinium excentricum*, **9,10** *Protoperidinium globiferum*, **11** *Protoperidinium isthmus*, **12,13** *Protoperidinium latidorsale*, **14** *Protoperidinium latispinum*, **15** *Protoperidinium latissimum*, **16,17** *Protoperidinium leonis*, **18** *Protoperidinium mariaelebourae*, **19-20** thecal plate). Scale bars = 20 µm. thecal plate). Scale bars = $20 \mu m$.

Fig.2.4H. Light (**4-6, 8, 19, 20**), fluorescence (**1-3, 7, 9, 11-18, 21**) and SEM (**10**) micrographs of dinoflagellates, order Peridiniales. **1** *Protoperidinium monovelum*, **2** *Protoperidinium mutsuensis*, **3** *Protoperidinium nudum*, **4,5** *Protoperidinium oblongum*, **6,7** *Protoperidinium* obtusum, 8-10 Protoperidinium pallidum, 11 Protoperidinium pentagonum, 12 Protoperidinium pyriforme, 13 Protoperidinium simulum, 14 Protoperidinium sphaeroideum, 15 Protoperidinium subinerme, 16 Protoperidinium thorianum, 17 Protoperidinium thulesense, 18 *Protoperidinium unipes*, **19-21** *Protoperidinium venustum*. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose Protoperidinium monovelum, 2 Protoperidinium mutsuensis, 3 Protoperidinium nudum, 4,5 Protoperidinium oblongum, 6,7 Protoperidinium Protoperidinium unipes, 19-21 Protoperidinium venustum. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose *obtusum*, **8-10** *Protoperidinium pallidum*, **11** *Protoperidinium pentagonum*, **12** *Protoperidinium pyriforme*, **13** *Protoperidinium simulum,* **14** *Protoperidinium sphaeroideum*, **15** *Protoperidinium subinerme*, **16** *Protoperidinium thorianum*, **17** *Protoperidinium thulesense*, **18** Fig.2.4H. Light (4-6, 8, 19, 20), fluorescence (1-3, 7, 9, 11-18, 21) and SEM (10) micrographs of dinoflagellates, order Peridiniales. 1 thecal plate). Scale bars = 20 µm. thecal plate). Scale bars = $20 \mu m$.

Fig.2.4I. Light (1, 4, 7, 9, 12, 15, 16, 18-20, 26, 27) and fluorescence (2, 3, 5, 6, 8, 10, 11, 13, 14, 17, 21-25, 28) micrographs of dinoflagellates, **Fig.2.4I.** Light (**1, 4, 7, 9, 12, 15, 16, 18-20, 26, 27**) and fluorescence (**2, 3, 5, 6, 8, 10, 11, 13, 14, 17, 21-25, 28**) micrographs of dinoflagellates, order Peridiniales. 1-3 Preperidinium meunieri, 4-8 Oblea baculifera, 9-11 Diplopelta asymmetrica, 12-14 Diplopelta bomba, 15-17 Podolampas bipes, 18-20 Goniodoma polyedricum, 21,22 Scrippsiella spinifera, 23 Scrippsiella trochoidea, 24,25 Heterocapsa niei, 26-28 Heterocapsa sp. bipes, 18-20 Goniodoma polyedricum, 21,22 Scrippsiella spinifera, 23 Scrippsiella trochoidea, 24,25 Heterocapsa niei, 26-28 Heterocapsa sp. order Peridiniales. 1-3 Preperidinium meunieri, 4-8 Oblea baculifera, 9-11 Diplopelta asymmetrica, 12-14 Diplopelta bomba, 15-17 Podolampas (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 µm. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 um.

Fig.2.4J. Light (1-3, 5, 7, 8, 10, 11, 13-15), fluorescence (6, 9, 12) and SEM (4) micrographs of unarmoured dinoflagellates. 1-4 Balechina coerulea, 5-7 Gymnodinium lira, 8,9 Gymnodinium catenatum, 10,11 Pyrocystis lunula, 12 Pyrocystis fusiformis, 13-15 Noctiluca **Fig.2.4J.** Light (**1-3, 5, 7, 8, 10, 11, 13-15**), fluorescence (**6, 9, 12**) and SEM (**4**) micrographs of unarmoured dinoflagellates. **1-4** *Balechina coerulea*, **5-7** *Gymnodinium lira*, **8,9** *Gymnodinium catenatum*, **10,11** *Pyrocystis lunula*, **12** *Pyrocystis fusiformis*, **13-15** *Noctiluca* scintillans. Scale bars = 20 μ m, 8,9 = 50 μ m. *scintillans*. Scale bars = $20 \mu m$, $8,9 = 50 \mu m$.

Fig.2.5. Dendrogram produced by clustering of 7 stations sampled in May, 2010 based on dinoflagellate species similarity using cluster analysis.

Fig.2.6. Dendrogram produced by clustering of 6 stations sampled in December, 2010 based on dinoflagellate species similarity using cluster analysis.

Fig.2.7. Dendrogram produced by clustering of 6 stations sampled in March, 2012 based on dinoflagellate species similarity using cluster analysis.

Fig.2.8. Graph showing the proportion of dinoflagellates and diatoms cell density, and surface nutrient concentration in each station in December, 2010.

Fig.2.9. Graph showing the proportion of dinoflagellates and diatoms cell density, and surface nutrient concentration in each station in March, 2012.

Fig.2.10. Graph showing species diversity of dinoflagellates and diatoms, and surface nutrient concentration in each station in December, 2010.

Fig.2.11. Graph showing species diversity of dinoflagellates and diatoms, and surface nutrient concentration in each station in March, 2012.

Fig.2.12A. Light micrographs of dinoflagellate cysts. **1** *Alexandrium affine*, **2** *Gonyaulax scrippsae* (*Spiniferites delicatus*), **3** *Gonyaulax spinifera* (*Spiniferites ramosus*), **4** *Lingulodinium polyedrum* (*Lingulodinium machaerophorum*), **5,6** *Pyrophacus steinii* (*Tuberculodinium vancampoae*), **7** *Gymnodinium catenatum*, **8** *Gymnodinium impudicum*, **9** *Gymnodinium* cf. *instriatum*, **10** *Gymnodinium* sp., **11** *Polykrikos hartmannii*, **12** *Scrippsiella* sp. Scale bar = 20 µm.

Fig.2.12B. Light micrographs of dinoflagellate cysts. **1** *Polykrikos* cf. *kofoidii*, **2,3** *Protoperidinium denticulatum* (*Brigantedinium irregulare*), **4** *Protoperidinium avellanum* (*Brigantedinium cariacoense*), **5** *Protoperidinium* sp. 2 (*Lejeunecysta* sp. 1), **6** *Protoperidinium* sp. 3 (*Lejeunecysta* sp. 2), **7** *Protoperidinium* sp. 4 (*Lejeunecysta* sp. 3), **8** *Protoperidinium* sp. 5 (*Leipokatium* sp.), **9** *Protoperidinium* sp. 6, **10** *Protoperidinium leonis* (*Quinquecuspis concreta*), **11** *Protoperidinium* sp. 7 (*Quinquecuspis* sp.), **12** *Protoperidinium conicum* (*Selenopemphix quanta*), **13** *Protoperidinium nudum* (*Selenopemphix* sp. 1), **14** *Protoperidinium* sp. 8 (*Selenopemphix* sp. 2), **15** *Protoperidinium* cf. *compressum* (*Stelladinium robustum*), **16** *Protoperidinium* sp. 10 (*Stelladinium* sp. 1). Scale bar = 20 µm.

Fig.2.12C. Light micrographs of dinoflagellate cysts. **1** *Protoperidinium* sp. 11 (*Stelladinium* sp. 2), **2** *Protoperidinium* sp. 12 (*Stelladinium* sp. 3), **3** *Protoperidinium pentagonum* (*Trinovantedinium capitatum*), **4** *Protoperidinium latissinum*, **5,6** *Protoperidinium* sp. 15 (new form 1), **7,8** *Protoperidinium* sp. 16 (new form 2), **9** *Protoperidinium* sp. 17 (new form 3), **10** *Protoperidinium* sp. 18 (new form 4), **11,12** *Protoperidinium* sp. 19 (new form 5), **13,14** *Preperidinium maumieri* (*Dubridinium caperatum*), **15** *Oblea acanthocysta*, **16** Diplopsalid new cyst form. Scale bar = 20 µm.

Fig.2.13a. Graph showing the proportion of autotrophic and heterotrophic dinoflagellate cysts in December, 2010

Fig.2.13b. Graph showing the proportion of autotrophic and heterotrophic dinoflagellate cysts in March, 2012.

Table 2.1. Sampling locations around Mali and Kadan Island (May, 2010).

Table 2.2. Sampling locations around Kadan Island: depths and environmental parameters (December, 2010). **Table 2.2.** Sampling locations around Kadan Island: depths and environmental parameters (December, 2010).

Table 2.3. Sampling locations around Kadan Island: depths and environmental parameters (March, 2012). **Table 2.3.** Sampling locations around Kadan Island: depths and environmental parameters (March, 2012).

Table 2.4. List of diatom species observed around Kadan Island, southern coast of Myanmar. **Table 2.4.** List of diatom species observed around Kadan Island, southern coast of Myanmar.

Table 2.4 continued **Table 2.4** continued

Table 2.4 continued **Table 2.4** continued

Table 2.4 continued **Table 2.4** continued

Table 2.5. List of dinoflagellate species observed around Mali and Kadan Island, southern coast of Myanmar. **Table 2.5.** List of dinoflagellate species observed around Mali and Kadan Island, southern coast of Myanmar.

Table 2.5 continued **Table 2.5** continued

Table 2.5 continued **Table 2.5** continued

Table 2.6. List of dinoflagellate cysts recorded around Kadan Island, southern coast of Myanmar. **Table 2.6.** List of dinoflagellate cysts recorded around Kadan Island, southern coast of Myanmar.

Table 2.6 continued **Table 2.6** continued

Table 2.6 continued **Table 2.6** continued

Table 2.7. Density of dinoflagellate cysts recorded around Kadan Island, southern coast of Myanmar. **Table 2.7.** Density of dinoflagellate cysts recorded around Kadan Island, southern coast of Myanmar.

Table 2.7 continued **Table 2.7** continued

Table 2.7 continued **Table 2.7** continued

CHAPTER 3: OCCURRENCES OF POTENTIALLY HARMFUL DINOFLAGELLATES

3.1. INTRODUCTION

Modern dinoflagellates comprise over 2,500 species worldwide (Hoppenrath et al., 2009), and approximately 76 species are recognized as harmful, causing water discoloration and mass mortalities of caged fishes, and producing biotoxins, which can accumulate in fish and shellfish (IOC-UNESCO web: http://www.marinespecies.org/ HAB/dinoflag.php, accessed on 24 December 2012).

An increase in marine fish culture and shellfish farming is leading to an increase in reports of harmful events world-wide. Especially in Southeast Asia, concurrent with the recent economic progress of countries in this region, increasing number of reports concerning harmful algal blooms (HAB) have been coming out. For example, it has been reported; diarrhetic shellfish poisoning due to *Dinophysis* spp. in Singapore (Holmes et al., 1999) and the Philippines (Marasigan et al., 2001), paralytic shellfish poisoning due to *Alexandrium* spp. in Malaysia (Usup et al., 2002) and the Philippines (Relox and Bajarias, 2003), and *Pyrodinium bahamanse* var. *compressum* in Malaysia, Brunei Darussalam, the Philippines and Indonesia (Azanza and Taylor, 2001). Blooms of *Gymnodinium catenatum* co-existed with the *Pyrodinium bahamanse* var. *compressum* were reported from the Philippine (Fukuyo et al., 1993) and from Malaysia (Mohammad-Noor et al., 2002). Also fish-kills due to *Cochlodinium polykrikoides* were found in Malaysia (Anton et al., 2008) and the Philippines (Relox and Bajarias, 2003; Azanza et al., 2008), etc. These increases in HAB incidents are closely linked with increased scientific awareness of harmful species, increased utilization of coastal waters

for aquaculture, stimulation of plankton blooms by cultural eutrophication and/or unusual climatological conditions, and transport of dinoflagellate resting cysts either the ships' ballast water or associated with movement of shellfish stocks from one area to another (Hallegraeff, 1993).

In Myanmar, although such harmful events have not been reported so far, coastal development in Myanmar is proceeding at a rapid pace; currently large numbers of fish and shrimp aquaculture facilities are being established for export purposes. Marine finfish culture farms are mainly operating in the southern coastal areas, and shrimp aquaculture farms have increased in whole coastal areas, and the latter production was once over 46,000 tons in 2010 (Department of Fisheries, 2010). As consequence, many fisheries industries, such as fishmeal plants and processing plants, and other factories have also been constructed in the coastal areas. These coastal developments, along with the drastic economic progress in Myanmar, might lead to eutrophication of coastal areas and subsequent HAB events.

To seek the possibility of HAB in Myanmar, and if there are, to find ways for the risk-managements, phytoplankton studies focusing on potentially harmful species was conducted in this study. In there, accurate species identification is a ground-work for further HABs investigation. In the identification of harmful dinoflagellate species, basically morphological observation is a sole and indispensable criterion. However, sometimes only morphological observation is not sufficient and moreover difficult for some genus to understand exact species. This is because cellular morphology could sometime change due to the environment, life-cycle transformations and other influences, and culture cells can have more variable morphology than field materials (Hallegraeff, 2004). Indeed, many researchers now use molecular methods to gain clear

understanding on taxonomy over broad geographical ranges (Scholin et al., 1994; Hansen et al., 2000; Kim and Kim, 2007; Lilly et al., 2007; Collins et al., 2009; Anderson et al., 2012).

In the previous chapter, some of potentially harmful dinoflagellate species were listed. The aim of this chapter is to extract these species from the list and surmise their existence and occurrence off the Myeik coast, and discuss their potential implications in the region. For this purpose, as much as possible, ribosomal RNA gene (rDNA) analyzes were employed for confirmation of their species and phylogenetic positions. Moreover, as previously mentioned in the previous chapter, we had encountered notable red-tide event in March 2012. Since this would probably be a first report of red-tide in the region, here detail species identification and their regional phylogeny are given by accomplishing rDNA analyzes.

3.2. MATERIALS AND METHODS

3.2.1. Sampling

The details of the samplings were described in the previous chapter. Briefly, plankton net (20-µm-mesh size) samples were collected around the Mali and Kadan Islands, southern Myanmar coastal area during three surveys (May 2010, December 2010 and March 2012). 50 ml of plankton samples were concentrated using a 10 μ m mesh to 15 ml total volume, and fixed with glutaraldehyde at final concentration of 1 %. During the March survey, some of plankton net samples were diluted in 500 ml bottle for live samples. For DNA analysis, concentrated plankton samples were fixed in 9 volumes of the standard saline ethanol fixative (a mixture of 25 ml of 90% ethanol, 2 ml H_2O , and

3ml 25× SET buffer [3.75 M NaCl, 25 mM EDTA, 0.5 M Tris-HCl, pH 7.8]) solution (Takahashi et al., 2005) and stored at -20°C.

3.2.2. Isolation and culture

At near the St. 6 in the March survey (2012), we found notable red-tide (see Chapter 2). Therefore clonal cultures of the composed species were established at on the site by capillary pipette isolation from the diluted live samples collected near the station. They were tentatively maintained in 1/10 IMK medium (Wako Jyunyaku Co, Japan). The culture tubes were carefully brought back to Hiroshima University, Japan, and kept in the incubator at a temperature of 25 $^{\circ}$ C and an irradiance of 60 µmol m⁻²s⁻¹ in a 12:12 h light : dark regime. Cell-growth was checked every day under an inverted light microscope. When the cell densities reached about 100 cells in each culture tube, cultures were transferred to plant-culture tubes, which containing 30 ml of sterilized f/2 medium (Guillard, 1975). The culture strains are named as PRRM01 for *P. rhathymum*, PRSM01 for *P. shikokuense* and ALAM01 for *A. affine*.

3.2.3. Morphological observation and identification

Morphological observations were carried out same method mentioned at previous chapter (Chapter 2). Since culture cells of *Prorocentrum shikokuense* possess thin cell wall than wild cells, fixation and dehydration methods were not used as others species. Culture *P. shikokuense* was washed with distilled water and dried with freeze drier (Aqua FD-6500, Kyowa, Japan), and coated with Pt in a coater (JFC-1600, JEOL).

Observation was made under a SEM (JSM-6390LV, JEOL). For armored dinoflagellates, designation of thecal plates tabulation was basically followed the Kofoidian system and particularly for the sulcal plates by Balech (1995). For the fixed unarmored dinoflagellates, such as *Gymnodinium catenatum*, species identification was also confirmed by DNA analysis as well as the light microscopy. All species identifications were made according to literatures (Taylor, 1976; 1975; Dodge, 1988; Balech, 1988; 1995; Larsen and Moestrup, 1992; Taylor et al., 1995; Steidinger and Tangen, 1997; Hoppenrath et al., 2009).

3.2.4. Analyzes of DNA sequences and their phylogenies

(DNA extraction from clonal culture)

The cultures (15 ml) of *Alexandrium* sp. and two *Prorocentrum* species, which were isolated near St. 6 in the March survey (2012), were harvested at mid-exponential growth phase by centrifugation (1,500 rpm, 5 min, 4°C). Supernatant was removed and the cell-pellet was stored frozen $(-20^{\circ}C)$ until further analysis. The frozen cell-pellets were thawed in ice box, and total DNA was extracted using a Takara Plant DNA Isolation Reagent Kit (code=9194, Takara Bio, Japan).

(Cell isolation from ethanol fixed sample)

Single cell or a chain of dinoflagellate was isolated individually under the light microscope, using a capillary pipette from the ethanol fixed samples collected as a manner mentioned above. The isolated cells were washed 3 times in Milli-Q water and transferred into 0.2 ml PCR tube, which was primarily filled with 5 μ l autoclaved Milli-Q water, and then stored frozen (-20°C) until further analysis.

(PCR amplification)

 A set of primers, D1R and D2C (Scholin et al., 1994), was used for the amplification of D1-D2 regions in 28S rRNA gene (rDNA). Using TaKaRa Ex Taq (TaKaRa-Bio), approximately 700 bp of the 28S rDNA were PCR-amplified with the following thermal cycle conditions: an initial denaturing at 94°C for 5 min; 35 cycles of denaturing at 94 \degree C for 1 min, annealing at 48 \degree C for 1.5 min, 72 \degree C for 0.5 min; and concluded with a final elongation step of 72° C for 7 min followed by a hold at 4° C. Denaturing and annealing cycles were increased to 40 cycles for single cell isolated samples. The amplified products were examined by 1.5% agarose gel containing ethidium bromide for DNA band visualization.

(DNA sequencing and phylogenetic analysis)

 Amplified PCR products were subsequently cleaned by the Exo-SAP method (Dugan et al., 2002). DNA sequencing was performed using ABI PRISM 3130xl Genetic Analyzer (Applied Biosystem, USA) in Natural Science Center for Basic Research and Development, Genetic Experiment Division, Hiroshima University. Alignment and phylogenetic analyses for obtained sequences were carried out using MEGA Ver. 5.05 (Tamura et al., 2011). Together with the reference sequences of same or similar species obtained from GenBank data by BLAST search via MEGA 5, the nucleotide sequences

were aligned using Clustal W parameters. The alignments were also visually inspected and manually edited, and some gaps and all ambiguous sites in the alignments were removed. Phylogenetic tree of each species was inferred by using Maximum-Likelihood (ML) (Guindon and Gascuel, 2003). Distance matrices for ML analysis were calculated with appropriate model, estimated by MEGA, for each species. Bootstrap analyses were performed using 1000 replicates.

3.3. RESULTS

3.3.1. Morphological analysis

Twenty-one species of potentially harmful dinoflagellates were found around the Mali and Kadan Islands, southern Myanmar coastal area. These can be subdivided into two groups: one of them including shellfish toxin producing species such as *Alexandrium tamiyavanichii* Balech (Fig. 3.4), *Gymnodinium catenatum* Graham (Fig. 3.6), *Lingulodinium polyedrum* (Stein) Dodge (Fig. 3.7), *Gonyaulax spinifera* (Claparède & Lachmann) Diesing (Fig. 3.8), *Dinophysis caudata* Saville-Kent (Fig. 3.10), *D. miles* Cleve (Fig. 3.11), *D. rotundata* Claparède & Lachmann (Fig. 3.12), *Dinophysis infundibulus* Schiller (Fig. 3.1. m), *Prorocentrum rhathymum* Loeblich (Fig. 3.2), *Protoperidinium crassipes* (Kofoid) Balech (Fig. 3.1. l); the another group comprising of massive bloom (red-tide) forming species such as *Prorocentrum micans* Ehrenberg (Fig. 3.1. a-b), *P. sigmoides* Böhm (Fig. 3.1. c-e), *P. shikokuense* Hada ex Balech (Fig. 3.3), *Alexandrium affine* (Inoue & Fukuyo) Balech (Fig. 3.5), *Gonyaulax polygramma* Stein (Fig. 3.9), *Ceratium furca* (Ehrenberg) Claparède & Lachmann (Fig. 3.1. f-g), *C. fusus* (Ehrengerg) Dujardin (Fig. 3.1. h), *C. tripos* (Müller) Ehrenberg (Fig. 3.1. i),

Peridinium quinquecorne Abé (Fig. 3.1. j), *Scrippsiella trochoidea* (Stein) Balech ex Loeblich III (Fig. 3.1. k) and *Noctiluca scintillans* (Macartney) Kofoid & Swezy (Fig. 3.13). The morphological features of some potentially harmful dinoflagellates species are explained in the following.

Prorocentrum rhathymum Loeblich (Fig. 3.2. a-h)

The cells of *Prorocentrum rhathymum* from Myanmar water are somewhat oval in outline (Fig. 3.2. a-d). Cells are 27-39 µm long and 18-27 µm wide. Cell contains dark yellowish chloroplasts. Nucleus locates toward the posterior end (Fig. 3.2. a-c) and large pusule locates near the anterior end of the cell (Fig. 3.2. b), and pyrenoid absent. The cells were embedded in mucilage in culture condition and not actively motile. Periflagellar area is located in the apical excavation of the right valve with an apical spine. This can be seen as a small pointed spine in light microscopy (Fig. 3.2. c, arrow heads) and prominently as ear-shape in SEM micrographs (Fig. 3.2. d, e, arrows). Two flagella are shown to emerge from the apical depression (Fig. 3.2. b, arrow heads). Apical plates are not able to be clearly observed in the SEM micrographs. The valve surface is smooth, ornamented with rounded trichocyst pores of two different sizes (Fig. 3.2. f). Small pores (Fig. 3.2. f, arrow heads) are scattered randomly over the valves in lesser numbers. The larger pores are lying in shallow circular depressions (Fig. 3.2. f, arrows), and these are radially located near the valve margin rather than central (Fig. 3.2. d, g). Right valve is moderately convex and left valve is somewhat straight or slightly concave in central part (Fig. 3.2. g). There are about 70 trichocyst pores on the right valve including a row of 6-7 pores which locate near the apical excavation of the right

valve (Fig. 3.2. e, arrow heads) and about 80 pores on the left valve. The inner surface is smooth with rounded sac like base of large pores (Fig. 3.2. h, arrows) and openings of the small pores (Fig. 3.2. h, arrow heads). The intercalary band is obviously wide with horizontally striated smooth surface and without poroids (Fig. 3.2. g, arrow). *P. rhathymum* was identified based on the characteristic features: lack of pyrenoid, a row of six or seven trichocyst pores on the right valve near the periflagellar area, smooth valve surface, and small apical spine. *P. rhathymum* was detected at St. 6 in May (2010) and at Sts. 2 and 6 in March (2012) surveys.

Prorocentrum shikokuense Hada ex Balech (Fig. 3.3. a-k)

Cells of *Prorocentrum shikokuense* from Myanmar water are elongate and asymmetric with variable in shape such as sunflower seed shape, elongated rectangular shape and caudate shape. Cells size range between 17-22 µm in length and 5-12 µm in width. Cells were photosynthetic with yellowish chloroplast. Rounded nucleus is located posteriorly (Fig. 3.3. d, e). One side of the anterior end is somewhat extended than the other (Fig. 3.3. a, b, e, arrows). Periflagellar area is slightly concave (Fig. 3.3. f) and bears apical spine, which is difficult to be seen under light microscope. In the SEM micrographs, periflagellar area of the right valve is slightly concaved with ear-shaped collar protrusion which varied in shape and size (Fig. 3.3. i, k, arrow). The surface of the valves is densely covered with knob-like spines (Fig. 3.3. k, small arrows) and randomly ornamented with trichocyst pores (Fig. 3.3. g, arrows). The intercalary band is wide and striated with small channels and ornamented with dense rows of tiny knobs (Fig. 3.3. h, arrow). *P. shikokuense* was identified based on the characteristic features:

slightly extended the one side of anterior end, ear-shaped collar protrusion in the right valve of periflagellar area, densely cover the knob-like spines and randomly ornamented trichocyst pores on the valve surface, and well developed intercalary band. *P. shikokuense* was detected at Sts. 1, 4, 5 and 6 in March survey (2012).

Alexandrium tamiyavanichii Balech (Fig. 3.4. a-h)

Cells of *Alexandrium tamiyavanichii* from Myanmar water are round to slightly wider than long (Fig. 3.4. f). Cells range in size between 30-42 µm in length and 32-38 µm in width. The epitheca is conical shaped and wider than long (Fig. 3.4. c). The hypotheca is slightly longer than the epitheca (Fig. 3.4. f). The first apical plate $(1')$ is large and wide rhomboidal shape, and is direct contact with the apical pore plate (Po) (Fig. 3.4. h). Posterior margin of the plat 1ʹ is slightly concave. A small ventral pore is present in the posterior portion on the anterior right margin of 1ʹ (Fig. 3.4. h, black arrow). The apical pore plate (Po) is wide and oval shaped with centrally located a large comma-shaped foramen, and large connecting pore (pc) is located near the right margin of the comma head (Fig. 3.4. h). The cingulum is deeply excavated (Fig. 3.4. c, f). The sulcus is deep and wide posteriorly (Fig. 3.4. f). The S.a. plate is long (Fig. 3.4. f) and has a trapezoidal-shaped precingular part (Fig. 3.4. c, arrow). Two wing-like sulcal lists project toward the antapex (Fig. 3.4. f, arrow). The round posterior attachment pore (Fig. 3.4. g, arrow) is present in the center of the posterior sulcal plate (S.p). *A. tamiyavanichii* was identified based on these characteristic features: the shape of precingular part, the shape of plate 1ʹ and the shape of Po. These characters are distinctive features to distinguish between the morphologically similar species *A.*

cohorticula. *A. tamiyavanichii* was detected at Sts. 2 and 6 in May (2010) and at Sts. 1, 3, 5 and 6 in March (2012) surveys.

Alexandrium affine (Inoue & Fukuyo) Balech (Fig. 3.5. a-h)

Cells of *Alexandrium affine* from Myanmar water are approximately pentagonal in shape, generally a little longer than wide (Fig. 3.5. b). Cell size ranges $28-44 \mu m$ in length and 23-43 µm width. Cell contains yellowish chloroplast, and nucleus (n) was located centrally (Fig. 3.5. b). Commonly single cell form and chains of two cells are rarely found in the culture condition. The epitheca is longer than the hypotheca. Apical pore plate (Po) is narrow and long with a ventrally located small oval-shaped foramen (Fig. 3.5. e), and direct connects with 1ʹ plate (Fig. 3.5. e, black arrow). The connection pore (pc) is located above the apical pore (Fig. 3.5. f). Plate 1ʹ is long rhomboidal shaped with long and slightly convex left margin (Fig. 3.5. e), and has a small ventral pore at the half of the right margin of plate (Fig. 3.5. e, white arrow). Plate 3ʹ is asymmetrically hexagonal shape (Fig. 3.5. e). Plate 6ʹʹ is longer than wide, posterior left margin is long and concave and anterior left margin is short and straight (Fig. 3.5. c). The cingulum is descending and deeply excavated. Anterior sulcal plate (S.a.) is somewhat longer than wide (Fig. 3.5. c), anterior margin is nearly straight and right margin is somewhat convex. Posterior sulcal plate (S.p.) is longer than wide, with well projected anterior ends. S.p. has obvious rounded pore, which located near the half of the right margin linked with a small channel (Fig. 3.5. g, arrow). *A. affine* was identified on theses characteristic features, and distinguished from other *Alexandrium* species by a

distinctive character of the shape of Po pate. *A. affine* was detected at St. 3 in December (2011) survey, and at Sts. 4 and 6 in March (2012) survey.

Gymnodinium catenatum Graham (Fig. 3.6. a-e)

Cells of *Gymnodinium catenatum* from Myanmar water are observed in long chain as 4, 8 or 16 cells and occasionally more cells. Cell size ranges between 30-45 µm long and 25-40 µm wide (might be decreased in size by fixation). The cells contain numerous chloroplasts. The nucleus is located in the central part of the cell (Fig. 3.6. d, arrow). *G. catenatum* was identified based on the distinct characters of long chain formation and nucleus position. The characters of long chain formation and large cell size are distinct character to distinguish among other *Gymnodinium* species. *G. catenatum* was detected at Sts. 1 and 3 in March (2012) survey.

Lingulodinium polyedrum (Stein) Dodge (Fig. 3.7. a-f)

Cells of *Lingulodinium polyedrum* from Myanmar water are angular, pentagonal and polyhedral in shaped (Fig. 3.7. a,b). Cell size ranges from 39-55 m in length and 35-53 m in width. Apical horn or antapical spines are absent. Thecal plates are coarsely areolated. Distinct ridges are present along the plate sutures (Fig. 3.7. c, e, f). The epitheca has shoulders, and an off-center apex which is flattened or slightly pointed (Fig. 3.7. c). The apical pore plate (Po) contains a raised inner elliptical ridge (Fig. 3.7. e). The first apical plate (1ʹ) is long and narrow, directly contacts with the Po and bears a ventral pore on its right side (Fig. 3.7. c). The cingulum is deeply excavated. The sulcus

is deep and slightly invades to the epitheca and widens posteriorly (Fig. 3.7. d). The hypotheca has straight sides and a truncated antapex (Fig. 3.7. b, d). *L. polyedrum* was detected at Sts. 1 and 6 in March (2012) survey.

Gonyaulax spinifera (Claparède & Lachmann) Diesing (Fig. 3.8 a-c)

Cells of *Gonyaulax spinifera* from Myanmar water are small, longer than width. Cells size ranges from $24-42 \mu m$ long and $32-35 \mu m$ wide. Cell is asymmetry due to torsion. The epitheca bears a small apical horn. The cingulum is deeply excavated. The cingulum starts median ventrally, and turning spirally to the left. The sulcus starts at the beginning of the cingulum and twists posteriorly. The sulcus is deep and wider posteriorly (Fig. 3.8. a, b). The hypotheca bears a few antapical spines (Fig. 3.8. c). *G. spinifera* was identified based on these morphological characters. *G. spinifera* was distinguished from other similar species of *G. digitale* and *G. digenesis* by the distinct features of apical horn, cingulum width and amount of overlapping. *G. spinifera* was detected at Sts. 1, 4, 5 and 7 in May (2010), at St. 3 in December (2011) and at St. 6 in March (2012) surveys.

Gonyaulax polygramma Stein (Fig. 3.9. a-f)

Cells of *Gonyaulax polygramma* from Myanmar water are pentagonal in shape (Fig. 3.9. a-f) and ranges from 27-58 μ m long and 25-40 μ m wide in size. The epitheca is convex to angular with prominent apical horn. The cingulum is deeply excavated and slightly twisted, somewhat overlapping (Fig. 3.9. a,b,d). The sulcus is slightly excavated and

widened posteriorly (Fig. 3.9. d). The hypotheca truncates with straight sides and bears antapical spines (Fig. 3.9. d, f). Thecal plates are ornamented with longitudinal ridges (Fig. 3.9. a-f). *G. polygramma* was identified based on these morphological characters. *G. polygramma* was detected at St. 2 at May (2010), at St. 3 in December (2011) and at Sts. 1, 3 and 6 in March (2012) surveys.

Dinophysis caudata Saville-Kent (Fig. 3.10. a-g)

Cells of *Dinophysis caudata* from Myanmar water are large, long and laterally compressed. Cells size ranges from $72-100 \mu m$ in length and $35-50 \mu m$ in dorso-ventral width (excluding the left sulcal list). Epitheca is extremely small. The hypotheca is large irregularly sub-ovate, which comprises four large plates, and ventral margin is generally straight or undulate along the body. The dorsal margin is slightly concave along the anterior half of the hypotheca and straight in the posterior half, which lined with small knob-like spine (Fig. 3.10. f). The hypotheca has a long ventral projection, which extended posteriorly. The extended process varies in length and shape (Fig. 3.10. b, d, e), and is often toothed on its posterior end (Fig. 3.10. c, e, arrow). Left sulcal list (LSL) is long and extends to half of the total length of the cell and widest at the base. Thecal plates are thick and strongly areolated, each areolae with a pore (Fig. 3.10. f). The small epitheca is hidden in lateral views. The cingulum is narrow with two well-developed lists, anterior cingular list (ACL) and posterior cingular list (PCL), supported by ribs (Fig. 3.10. f). Both cingular lists are projected anteriorly. The ACL is wide and deep funnel obscuring the epitheca (Fig. 3.10. c-f). LSL is supported by three ribs. A right sulcal list (RSL) is shorter than left sulcal list (LSL) and narrow posteriorly. *D. caudata*

was detected at Sts. 1, 2, 4 and 5 in May (2010), at St. 1 in December (2011) and at Sts. 1, 3, 4, 5 and 6 in March (2012) surveys.

Dinophysis miles Cleve (Fig. 3.11. a-d)

Cells of *Dinophysis miles* from Myanmar water are large and anterio-posteriorly elongated with two long projections: dorsal and posterior projections. Cells size range between 120-150 µm long. Epitheca is small. Ventral side of hypotheca is undulated and dorsal side is concaved anteriorly and extended to the dorsal projections. The distal end of projection bends posteriorly, which lines with small wing (Fig. 3.11. d, arrow). Posterior projection is longer than dorsal projection. Anterior cingular list (ACL) is wide, small funnel shape, supported by many ribs (Fig. 3.11. a). Left sulcal list (RSL) sometime extends until one third of the length of posterior projection and supports with three ribs. Thecal plates are thick with round areolae. *D. miles* was detected at Sts. 1 and 2 in May (2010) survey.

Dinophysis rotundata Claparède & Lachmann (Fig. 3.12. a-c)

Cells of *Dinophysis rotundata* from Myanmar water are broadly rounded with convex ventral and dorsal margins. Cells have numerous vacuoles and a posteriorly located nucleus (n) (Fig. 3.12. a*).* Cells size ranges from 34-50 µm in length and 30-41 µm in width. The slightly rounded epitheca is convex above the cingulum. The cingulum bears two narrow well developed lists: an anterior cingular list (ACL), and a posterior cingular list (PCL) (Fig. 3.12. b, arrows). The left sulcal list (LSL) is narrow and wider posteriorly (Fig. 3.12. b) supported by three ribs. The right sulcal list (RSL) is narrower than LSL and extend until the third rib of LSL. The hypotheca comprises four large plates, the ventral margin is slightly concave at the half of the LSL. The dorsal margin is convex. Posterior part is rounded. Thecal plates are areolated, and some areolae with a pore (Fig. 3.12. b,c). *D. rotundata* was detected at St. 3 in May (2010) and at Sts. 3 and 4 in March (2012) surveys.

Noctiluca scintillans (Macartney) Kofoid & Swezy (Fig. 3.13. a-f)

Cells of *Noctiluca scintillans* from Myanmar water are red-colored, large, balloon-like and sub-spherical (Fig. 3.13. a-c). Cells size range from 155-1400 µm in diameter. A large eukaryotic nucleus (n) is located near the ventral groove (Fig. 3.13. A). The ventral groove is deep and wide (Fig. 3.13. b, arrow). The prominent tentacle is extended posteriorly and slightly twisted in fixed specimens (Fig. 3.13. c, arrow). *N. scintillans* was detected at Sts. 1, 2, 3 and 6 in March (2012) survey.

3.3.2. DNA phylogeny

ML phylogenetic tree for *Prorocentrum rhathymum* strain (PRRM01) was constructed using the Kimura-2-parameter model with gamma distribution (G) (Fig. 3.14). The D1- D2 region of 28S rDNA sequences (698 bp) of this species was aligned with eight species of *Prorocentrum* in database. Two strains of *P. triestinum* (Korea and China) were used as the outgroup species. ML tree showed two major clades. First clade further branched into four major groups: the first group comprises four strains of *P. rhathymum*

(Florida Bay, Malaysia, Iran, Australia); the second group comprises three strains of *P. mexicanum* (USA, Denmark) and one strain of *P. rhathymum*; the third group comprises *P. sigmoides* and *P. gracile*; and the fourth group comprises four strains of *P. micans* (UK, USA, Korea). The second lower clade comprises two strains of *P. dentatum* (China and South Pacific) and two strains of *P. minimum* (China and East China Sea). The Myanmar strain of *P. rhathymum* belongs to the first *P. rhathymum* group in the first *P. rhathymum – mexicanum* clade, and nests within the four strains of *P. rhathymum* from Florida Bay (FIU9), Sabah, Malaysia (NMN016), Iran and Australia (PRHI01) at the bootstrap value of 93%. Based on this phylogenetic result, the current species could be confirmed as *P. rhathymum*. However, six bases substitution among 698 bp was found in the Myanmar strain comparing to other *P. rhathymum* strains, and this seems to be intraspecies variation.

ML phylogenetic tree for *Prorocentrum shikokuense* strain (PRSM01) was constructed using the Jukes-Cantor model (Fig. 3.15). The D1-D2 region of 28S rDNA sequences (694 bp) of *P. shikokuense* was determined with five *Prorocentrum* species. *Prorocentrum* sp. of strain FIU22 was used as the outgroup species. ML tree showed two major groups. First group comprises four strains of *P. donghaiense* (East China Sea and China) and two strains of *P. dentatum* from China. The second group comprises six strains of *P. minimum* (Spain, USA, Korea and China) and *P. balticum* strain from Korea. The Myanmar strain of *P. shikokuense* belongs to the first group of *P. donghaiense* (East China Sea and China). Based on this phylogenetic result, the current Myanmar strain might be identified under the name of *P. donghaiense*. However, rDNA sequence of *P. donghaiense* (East China Sea strain) was identical to that of *P. shikokuense* from Japan and these two species are now treated as same species (Takano

and Matsuoka, 2011). Since *P. donghaiense* Lu and Goebel (2001) is a junior synonym of *P. shikokuense*, which was formerly identified as *P. shikokuensis* by Hada (1975), we identified the Myanmar strain as *P. shikokuense*, which is still valid and prior in nomenclatural position.

ML phylogenetic tree for *Alexandrium affine* strain (ALAM01) was constructed using the Tamura-Nei model with gamma distribution (G) (Fig. 3.16). The D1-D2 region of 28S rDNA sequences (703 bp) was aligned with 22 *Alexandrium* data. *A. andersoni* of USA strain was used as the outgroup species. The current strain belongs to the clade, which comprising *A. affine* from various geographic areas (Mexico, China, Japan, South China Sea, Malaysia, Gulf of Thailand, France, Spain and Australia). Among this clade, the current strain has genetic identity with a *A. affine* strain (CU1) from the Gulf of Thailand. Based on phylogenetic result, the current Myanmar strain is confirmed as *A. affine*, and it was closely related with *A. affine* from The Gulf of Thailand strain.

 ML phylogenetic tree for *Alexandrium tamiyavanichii* was constructed using the Tamura-Nei model with gamma distribution (G) (Fig. 3.17). The D1-D2 region of 28S rDNA sequences (690 bp) of the current species was aligned with 13 *Alexandrium* data. *A. taylori* from Italy strain (AY4T) was used as the outgroup species. *A. tamiyavanichii* shows two distinct clades. First clade comprises *A. tamiyavanichii* from Japan strains (TAMI 2207, TAMI2201, TAMI22012), Brazil strains (PSAA1, PSII, PSAB2) and *A. cohorticula* from the Straits of Malacca. The first clade sub-branched into two groups, one group comprises Japan strains of *A. tamiyavanichii* and *A. cohorticula* from the Strait of Malacca, and the other group comprises Brazil strains of *A. tamiyavanichii*. The Myanmar sequence belongs to the first group, and shows genetic identity with *A.*

tamiyavanichii from Japanese strains. Based on this phylogenetic result, the current cells occurring in Myanmar water is confirmed as *A. tamiyavanichii*.

 ML phylogenetic tree for *Gonyaulax polygramma* was constructed using the Tamura-Nei model with gamma distribution (G) (Fig. 3.18). The D1-D2 region of 28S rDNA sequences (703 bp) of the current species was aligned with 12 *Gonyaulax* data, two strains of *Alexandrium pseudogonyaulax* and one strain of *Protoceratium reticulatum*. *Lingulodinium polyedrum* of Mexico strain was used as the outgroup species. ML tree showed three clades. First clade comprises seven strains of *Gonyaulax* species. The second clade comprises two strains of *Alexandrium pseudogonyaulax*, *Protoceratium reticulatum*, *Gonyaulax cochlea* and *G. verior*. The third clade comprises two strains of *Gonyaulax spinifera* from the Andratic Sea and one strain of *G. spinifera* from New Zealand. The Myanmar sequence is belonging to the first clade, which composes of two strains of *G. baltica*, *G. elongata*, *G. digitale*, *G. membranacea*, *G. spinifera* from USA and *G. polygramma* from South Korea. Among this clade, the current Myanmar sequence is genetically closely related with the strain of *G. polygramma*. Based on this phylogenetic result, the Myanmar species is confirmed as *Gonyaulax polygramma*.

 ML phylogenetic tree for *Dinophysis caudata* was constructed using the Hasegawa-Kishino-Yano model (Fig. 3.19). The D1-D2 region of 28S rDNA sequences (731 bp) is aligned with 16 *Dinophysis* data. *D. hastata* from the Pacific Ocean is used as the outgroup species. ML tree showed one major clades. This clade has two major groups: first group comprises nine data of *D. caudata* from various geographic regions (Pacific Ocean, Japan, Vietnam, France, and USA); second group comprises two data of *D. tripos* (Japan and France) and three data of *D. miles* (Indian Ocean, China, Vietnam).
D. acuta from Scottish Coastline and *D. acuminata* from Korea are separately branched in this clade. The current Myanmar sequence belongs to the first group and shows identity with three data of *D. caudata* from Japan, two data of *D. caudata* from Vietnam, one data form France and one data from USA. Based on this phylogenetic result, the current *Dinophysis* cells in Myanmar are identified as *Dinophysis caudata*.

 ML phylogenetic tree for *Dinophysis rotundata* was constructed using the Tamura-Nei model with gamma distribution (G) (Fig. 3.20). The D1-D2 region of 28S rDNA sequences (701 bp) was aligned with 11 *Dinophysis* data. Two data of *D. parvula* in Japan was used as the outgroup species. ML tree showed two major clades. The first clade comprises *D. odiosa*, *D. schroederi*, *D. norvegica* and *D. acuminata*. The second clade comprises *D. parvula* from the Pacific Ocean and three *D. rotundata* from Japan, France and Norway. The Myanmar sequence belongs to the second clade and closely related with the group of three *D. rotundata* data. Based on this phylogenetic result, the current cells in Myanmar are confirmed as *D. rotundata*.

 ML phylogenetic tree for *Gymnodinium catenatum* was constructed using the Tamura-Nei model with gamma distribution (G) (Fig. 3.21). The D1-D2 region of 28S rDNA sequences (716 bp) was aligned with 14 *Gymnodinium* data. *Akashiwo sanguinea* of strain JL36 was used as the outgroup species. ML tree showed two major clades. First clade comprises *G. microreticulatum*, *G. nolleri* and eight strains of *G. catenatum* (Korea, Algeria, China, Spain, Japan, South Australia, Singapore, Hong Kong and Denmark). The second clade comprises *G. impudicum*, *G. fuscum* and two strains of *G. aureolum* (Denmark and USA). The Myanmar sequence belongs to the first clade and shows identity with the all strains of *G. catenatum*. Based on this phylogenetic result, the current cells occurring in Myanmar are confirmed as *G.catenatum*.

Harmful algal blooms (HAB), such as red tides and shellfish poisonings, have yet to be reported on the Myanmar coast. Nevertheless, various potentially harmful species were identified in this study. A total of 21 species of potentially harmful dinoflagellate species were found in the surveys and identified by general morphological features. Among them, 10 species were identified with detail morphological, and some of them were also conducted species confirmation by DNA analysis.

3.4.1. Species confirmation and their phylogenetic position

P. rhathymum was originally described by Loeblich (1979) from Cinnamon Bay, Virgin Island. Morphology of *P. rhathymum* and *P. mexicanum* was taxonomically confused so far due to overlapping morphological characters. Cortés-Altamirano and Sierra-Beltrán (2003) described these two species with detail morphological differences of unique characters such as apical spine, presence or absence of pyrenoid, trichocyst pores around the periflagellar area. In my study, the morphological characters such as cells shape and size, rather smooth valve with a small number of trichopores in *P. rhathymum* coincides with an original description of *P. rhathymum* (Loeblich et al., 1979) and other descriptions from Japan (Fukuyo, 1981), Malaysia (Mohammad-Noor et al., 2005), Tasmania (as oyster spat mortalities: Pearce et al., 2005) and Mexican Pacific (as *P. mexicanum*: Hernández-Becerril et al., 2000). Cells of *P. rhathymum* from Malaysia (strain NMN016) (Mohammad-Noor et al., 2005) showed different characters of two wing-like structures from periflagellar area and possessing a pyrenoid from the original description. Pyrenoid was not observed in the cells of *P. rhathymum* in this study, while

two wing-like structures were observed as Malaysian strain NMN016. Strain of *P. rhathymum* from Malaysia was reported as okadaic acid producer by Caillaud et al. (2010). However this toxic strain was smaller than the Myanmar strains. Massive fish kill of wild and cultured fish event by *P. rhathymum* was reported as *P. mexicanum* from the Kuwait Bay (Al-Yamani et al., 2004). Cell lengths of *P. rhathymum* (44-46 µm) from sediments of Kuwait Bay (Al-Yamani and Saburova, 2010) were longer than Myanmar specimens, however other characters were overlapped. The sequence of *P. rhathymum* Myanmar strain (PRRM01) showed 93% genetic identity with *P. rhathymum* strain FIU9 from Florida Bay, strain NMN016 from Malaysia, strain PRHI01 from Australia and from Iran (Oman Sea) (GenBank JN020161). Based on these morphology and genetic results, Myanmar strain *P. rhathymum* (PRRM01) is closely related with *P. rhathymum* from Malaysia waters and Iran, Oman Sea area. It should be noted here that the strain from Florida Bay (FIU9) was reported to be toxic (An et al., 2010) or *P. rhathymum* from Kuwait Bay cause massive fish kills (Al-Yamani et al., 2004). However Myanmar strain was not exactly situated within these harmful strains, and its exact identity is still unclear.

The morphology of *P. shikokuense* from this study was identical with original description of Hada (1975) and the detail description of Takano and Matsuoka (2011), and also coincided with the description of *P. donghaiense* from East China Sea by Lu et al. (2005). SEM micrographs of *P. shikokuense* from this study showed slightly shrink by using of culture cells, however the important characters of concave periflagellar area, large ear-shaped collar structure, valve surface ornamentation with trichocyst pores and knob-like spines, and intercalary band with row of tiny knobs were clearly observed. Phylogenetic result also showed the genetic identity of *P. shikokuense* Myanmar strain (PRSM01) with four strains of *P. donghaiense* and two strains of *P. dentatum* from Chinese waters. Although Myanmar strain (PRSM01) closely related with *P. donghaiense* and *P. dentatum* in genetically, I named the Myanmar strain as *P. shikokuense* under the nomenclatural priority. The identity of morphology and molecular data of *P. shikokuense* from Uwajima Bay and Iwamatsu Bay from Japan and *P. donghaiense* from East China Sea have been reported by Takano and Matsuoka (2011). Close genetic relation was also found between Myanmar strain of *P. shikokuense* and *P. donghaiense* from East China Sea strain. This molecular results showed the extend distribution of *P. shikokuense* from the East Asia waters to the Southeast Asia waters of the Indian Ocean area.

Alexandrium affine was originally described as *Protogonyaulax affinis* by Fukuyo et al. (1985), and Balech (1995) reported as *A. affine* latter. This species widely distributes in Europe, North America, Asian and Australian waters. The morphology of the specimens from Myanmar water was identical with original descriptions of Fukuyo et al. (1985) and Balech (1995), and also with the description of *A. affine* from Strait of Malacca, Malaysian water (Usup et al., 2002) and Vietnamese waters (Nguyen-Ngoc, 2004; Hong et al., 2008). Phylogenetic result showed the genetic relation of the strain of Myanmar (ALAM01) with other *A. affine* strains from various geographical areas such as Mexico, China, Japan, Malaysia, Gulf of Thailand, France, Spain and Australia. Among these strains, Myanmar strain was closely related with strain CU1 from the Gulf of Thailand, which locates closest geographical region with Myanmar waters. This phylogenetic result also showed the low genetic diversity *A. affine* and it agree the suggestion of Scholin et al. (1994) as *A. affine* has a unique ribotype within the genus *Alexandrium*.

A. tamiyavanichii was originally described by Balech (1994) from the Gulf of Thailand. This species distributes in South America, East Asia and Southeast Asian waters. Morphology of *A. tamiyavanichii* isolated from Myanmar water was identical with the description of Balech (1995). The phylogenetic results also showed the genetic relation of *A. tamiyavanichii* from this study with the other localities (Japan, Brazil) and *A. cohorticula* from the Strait of Malacca. Molecular results from this study clearly showed that the *A. tamiyavanichii* isolated from Myanmar water was closely related with the toxic strains of *A. tamiyavanichii* isolated from Harima Nada of the Seto Inland Sea, Japan (TAMI2201, TAMI22012, TAMI2207) reported by Kim et al. (2004). Note that *A. cohorticula* is taxonomically accepted as separate species.

The morphology of *Gonyaulax polygramma* isolated from Myanmar was identical to the detail description of Dodge (1988). Phylogenetic result also confirmed the cells isolated from Myanmar water as *G. polygramma*. This species is not toxic, but it causes massive blooms. *G. polygramma* red-tides have been reported from many geographical areas such as Japan (Koizumi et al., 1996), South Africa (Grindley and Taylor, 1962) and Hong Kong (Lam and Yip, 1990). During the *G. polygramma* bloom in Uwajima Bay, Japan, mass mortalities of culture and wild fishes and shellfish stocks due to oxygen depletion were reported by Koizumi et al. (1996), and the same incident of fish and invertebrates death also occurred in False Bay, near Cape Town (Grindley and Taylor, 1962).

The morphology of *G. catenatum* was identified only based on the shape and size of cells body and nucleus position under the fixed specimens in this study. The identification was then confirmed by the phylogenetic result. This result showed the close genetic identity of *G. catenatum* from Myanmar water with *G. catenatum* from

various geographic regions. It has been reported that *G. catenatum* strains from different geographic areas have significant physiological variations (Hallegraeff et al., 2012).

The morphology of *Dinophysis caudata* was identical to the later detail descriptions of Taylor et al. (1995) and Larsen and Moestrup (1992). The cells of *D. caudata* isolated from Myanmar waters showed wide morphological variation even from the same area (Fig.3.10. b, d, e). Nguyen (2009) also reported the morphological variation of *D. caudata* in Vietnamese water. Phylogenetic result showed the genetic identity *D. caudata* from Myanmar water with *D. caudata* from various geographic regions. It suggests that *D. caudata* has low genetic diversity in the analyzed locus, however high morphological diverse within intraspecies level.

The morphology of *Dinophysis rotundata* was identical to the later detail descriptions of Taylor et al. (1995) and Larsen and Moestrup (1992). Phylogenetic result showed genetic relation of *D. rotundata* from Myanmar water with *D. rotundata* from Japan, France and Norway. DSP toxin production of *D. rotundata* has been reported from Mutsu Bay, Japan (Lee et al., 1989), however *D. rotundata* from Atlantic coast did not show reaction against the DSP-antibody (Cembella, 1989).

Morphology of *G. spinifera* isolated from Myanmar water was identical to the detail description of Hoppenrath et al. (2009). *Gonyaulax spinifera* (Fig. 3.8) was detected in all the surveys (May, December and March). YTXs production of *G. spinifera* was reported from New Zealand (Rhodes et al., 2006) and the detection of YTXs in mussels associated of *G. spinifera* was reported from the north-western coasts of the Adriatic Sea (Riccardi et al., 2009).

 Morphology of *L. polyedrum* isolated from Myanmar water was identical to the original description of Dodge (1989). *L. polyedrum* (Fig. 3.7) was detected in the March survey. Blooms of *L. polyedrum* have been reported from several locations such as California (Sweeney, 1975), Arabian Sea (Currie et al., 1973) and Spain (Margalef, 1956). YTXs production of cultured *L. polyedrum* was reported from Spain (Paz et al., 2004), and *L. polyedrum* strains from California (Armstrong and Kudela, 2006).

The morphology of *Noctiluca scintillans* was identified based on its distinct characters of ventral groove, tentacle and nucleus. Blooms forming species of *Noctiluca scintillans* (red type) was detected at Sts. 1, 2, 3 and 6 in March (2012) survey. *N. scintillans* widely distributes in temperate, subtropical and tropical regions.

3.4.2. Potential implications of red-tide forming species

In regard to red-tide, *Prorocentrum micans* and *P. sigmoides*, which may cause red coloration of oysters or oxygen depletion due to massive blooms (Pastoureaud and Chrétiennot-Dinet, 2003; Lee et al., 2005), were detected, mainly in the May (2010) and March (2012) surveys. The common red-tide forming species *P. shikokuense* was found in the March (2012) survey. *P. shikokuense* had been once reported as *P. donghaiense* and it was the major organism causing red tide in Chinese coastal water (Lu and Goebel, 2001). *Ceratium fusus* and *C. furca* may potentially cause oxygen depletion or damage to finfish gills and should be listed as harmful also in Myanmar area. Further red-tide forming species of *N. scintillans* was detected in the March survey. The bloom of *N. scintillans* may cause large scale mortality of caged fish (Smayda, 1997) and other finfishes through oxygen depletion, gill clogging and production of NH4 (Okaichi and Nishio, 1976; Naqvi et al., 1998). A linkage of red *Noctiluca* (red type: lack endosymbionts) blooming events and progressive eutrophication of coastal waters was reported from the Romanian Black Sea (Porumb, 1992) and from the southwest coast of India (Padmakumar et al., 2010).

Although it is possible that our fixation methods were not suitable for long storage, species lethal to fish or bivalves such as species of the genera *Karenia* and *Cochlodinium*, and *Heterocapsa circularisquama* Horiguchi, were not observed on these occasions.

3.4.3. Potential implications of shellfish poisoning causative species

Latent events of shellfish poisonings should be of more concern in this area. In this study, *Alexandrium tamiyavanichii*, a producer of potent toxin causing PSP was detected in the May (2010) and March (2012) surveys, but not in the December (2010) survey. In 2007, high cell density of *A. tamiyavanichii* and *G. catenatum* from Myanmar waters (the offshore area of Kadan Island and near the Gulf of Martaban) were reported in February (Boonyapiwat et al., 2007). Karunasagar et al. (1990) also reported an outbreak of PSP following consumption of clams harvested from an estuary near the City of Mangalore, southwest India, which caused the death of an infant. The toxin profiles of the clams corresponded to those of a strain of *A. tamiyavanichii* isolated from Thailand (Karunasagar et al., 1990). Based on these previous reports, together with our current findings, occurrences of *A. tamiyavanichii* seem to be extending to the entire Bay of Bengal. Another PSP-causative species, *Gymnodinium catenatum*, was also previously reported from the Myanmar waters by Boonyapiwat et al. (2007); in our

surveys, vegetative cells of *G. catenatum* were detected in Sts. 1 and 3 of the March (2012) survey and its cysts were detected in both surveys (December and March). The reports on the occurrence of *G. catenatum* in Southeast Asian water have increased: Manila Bay of Philippines (Fukuyo et al., 1993), Kota Kinabalu, Malaysia (Mohammad-Noor et al., 2002), Singapore (Holmes et al., 2002), Lombok, Indonesia (Sidharta and Adyadi, 2007) and Thailand (Lirdwitayaprasit et al., 2008). In Myanmar, bivalve cultures have not yet been introduced at a successful level (FAO and NACA, 2003), however domestic consumption of oysters is potentially high, and varieties of bivalves are sold in local markets. Under such circumstances, monitoring of PSPcausative species should be conducted for safer shellfish consumption, especially in post- and pre-rainy seasons.

Not only awareness of PSP, but also the occurrence of *Dinophysis*, which contains causative species of DSP, should be concerning. In my study, potentially toxic species of *Dinophysis caudata*, *D. miles*, *D. rotundata* and *D. infundibulus* were detected sporadically, and *D. caudata* was detected in all surveys (May, December and March). *D. caudata* widely distributes in tropical and warm temperate coastal waters and may occur abundantly (Holmes et al., 1999; Marasigan et al., 2001). Although little is known about actual DSP incidences in tropical waters (Hallegraeff, 1993), DSP toxins in green mussels *Perna viridis* and an occurrence of *D. caudata* in the Johor Strait, Singapore, were reported by Holmes et al. (1999). During blooms of *D. caudata* and *D. miles* in Spain Bay, Philippines, high-level accumulations of DSP toxins in green mussels were also reported (Marasigan et al., 2001). Successful culture experimental data show growth rates of *D. caudata* as high as 1.03 division day⁻¹, and this species is able to grow for a few days without prey (Nishitani et al., 2008). Attention must be paid of DSP events off the Myanmar coast due to *D. caudata* blooms, in order to predict possible outbreaks of DSP. Furthermore, potentially okadaic acid (OA) producing species of *Prorocentrum rhathymum* was detected in the May (2010) and March (2012) surveys. In the May survey, cells clumps of *P. rhathymum* were detected in St. 6, which located at the southern part of Kadan Island. In the March survey, the red-tide of *P. rhathymum* was encountered near the St. 6, which located between the mainland coast and northeastern coast of Kadan Island. In the toxicological researches, *P. rhathymum* was previously reported to produce haemolytic (Nakajima et al., 1981) and/or fast acting methanol soluble toxins (Pearce at al., 2005), and have lethal effect for *Artemia* nauplii (Aligizaki et al., 2009). In these, OA was not detected in *P. rhathymum*, but recently shown in the Florida Bay (An et al., 2010) and Sabah, Malaysia (Caillaud et al., 2010).

Potentially yessotoxins (YTXs) producing species of *G. spinifera* was detected in all surveys (May, 2010; December, 2010; March, 2012) and that of *L. polyedrum* was detected in March survey. YTXs production of *G. spinifera* from New Zealand was reported by Rhodes et al. (2006). YTXs production of cultured *L. polyedrum* was reported from Spain (Paz et al., 2004), and *L. polyedrum* strains from California (Armstrong and Kudela, 2006). The linkage of yessotoxins detection in Adriatic mussels and the presence of *Protoceratium reticulatum* and *Lingulodinium polyedrum* species was firstly reported from the north-western coasts of the Adriatic Sea in 1995 (Ciminiello et al., 1997), and then Riccardi et al. (2009) reported the detection of YTXs in mussels associated with the presence of *G. spinifera* in the same area in late 2006.

In this study, the existence of potentially harmful dinoflagellate species around the Mali and Kadan Island, southern Myanmar coastal area, was proved by the detail

observation of both morphologically and genetically. The results showed the high diversity of potentially harmful dinoflagellate species in the May and March surveys, both of these two surveys represented the later part of dry season. This study supports an idea for the dinoflagellate monitoring and to pay more attention on the HAB awareness especially in this late dry season.

Fig.3.1. Light (a, f, h, I, m), fluorescence (c, g, j-l) and scanning electron micrographs (b, d, e) of potentially harmful dinoflagellates. **Fig.3.1.** Light (**a, f, h, I, m**), fluorescence (**c, g, j-l**) and scanning electron micrographs (**b, d, e**) of potentially harmful dinoflagellates. a, b Prorocentrum micans, c-e Prorocentrum sigmoides, f.g Ceratium furca, h Ceratium fusus, i Ceratium tripos, j Peridinium **a,b** *Prorocentrum micans*, **c-e** *Prorocentrum sigmoides*, **f,g** *Ceratium furca*, **h** *Ceratium fusus*, **i** *Ceratium tripos*, **j** *Peridinium* quinquecorne, k Scrippsiella trochoidea, l Protoperidinium crassipes, m Dinophysis infundibulus. (Fluorescence micrographs are *quinquecorne*, **k** *Scrippsiella trochoidea*, **l** *Protoperidinium crassipes*, **m** *Dinophysis infundibulus*. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bar = 20 μ m. taken using Calcoflour, which can stain the cellulose thecal plate).Scale bar $= 20 \mu m$.

Fig.3.2. a-h Light (a-c) and scanning electron micrographs (d-h) of Prorocentrum rhathymum. a Cells clump in the mucilage showing **Fig.3.2. a-h** Light (**a-c**) and scanning electron micrographs (**d-h**) of *Prorocentrum rhathymum*. **a** Cells clump in the mucilage showing posteriorly located nucleus (n). In Single cell showing anteriorly located large pusulae (pu) and flagella (arrow heads). c,d Single cell posteriorly located nucleus (n). **b** Single cell showing anteriorly located large pusulae (pu) and flagella (arrow heads). **c,d** Single cell showing the apical spine (arrow head and arrow). e Right valve view showing the apical spine (arrow) and seven pores along the showing the apical spine (arrow head and arrow). **e** Right valve view showing the apical spine (arrow) and seven pores along the periflagellar area (arrow heads). f Smooth valve surface with randomly scattered small pores (arrow heads) and large pores located in periflagellar area (arrow heads). **f** Smooth valve surface with randomly scattered small pores (arrow heads) and large pores located in shallow circular depression (arrows). g Wide intercalary band with striated smooth surface (arrow). h Inner surface showing small pore shallow circular depression (arrows). **g** Wide intercalary band with striated smooth surface (arrow). **h** Inner surface showing small pore openings (arrow heads) and rounded sac like base of large pores (arrows). Scale bars: a-e, g-h = 10 µm. openings (arrow heads) and rounded sac like base of large pores (arrows). Scale bars: a-e, g-h = 10 µm.

Fig.3.3. a-k Light (**a-e**) and scanning electron micrographs (**f-k**) of *Prorocentrum shikokuense*. **a-e** Individual variation in cell shape in size showing rounded nucleus (n) and slightly extended anterior one end (arrow in **a**, **b**, **e**). **f** Right valve showing concave periflagellar area. **g** Left valve showing trichocyst pores (arrows). **h** Right valve view showing wide intercalary band with rows of tiny knobs. **i** Periflagellar area with earshaped collar protrusion (arrow). **j** Enlarged view of periflagellar area showing large amount of mucilage. **k** Enlarged view showing the collar protrusion (large arrow) and tiny knob-like spines (small arrows). Scale bars: $a-e = 10 \mu m$, $f-i=2 \mu m$, $j-k=1 \mu m$.

Fluorescence light micrographs of cells chain (**b**) and single cell (**c-h**). **c-d** Single cell showing thecal arrangement of epitheca in ventral Ventral view showing the anterior sucal plate (S.a.) and wing like projecting sucal list (arrow). **g** Antapical view showing a posterior sulcal plate (S.p.) with large rounded posterior attachment pore (arrow). **h** Apical plate complex (APC) showing the oval-shaped apical pore plate (Po) with connecting pore (pc) (white arrow), and first apical plate (1ʹ) with small ventral pore (black arrow). (Fluorescence micrographs plate (S.p.) with large rounded posterior attachment pore (arrow). In Apical plate complex (APC) showing the oval-shaped apical pore plate Fluorescence light micrographs of cells chain (b) and single cell (c-h). c-d Single cell showing thecal arrangement of epitheca in ventral view. c Ventral view showing the precingular part (arrow). e Apical view showing the third apical plate (3') without an attachment pore. f view. **c** Ventral view showing the precingular part (arrow). **e** Apical view showing the third apical plate (3ʹ) without an attachment pore. **f** Ventral view showing the anterior sucal plate (S.a.) and wing like projecting sucal list (arrow). g Antapical view showing a posterior sulcal (Po) with connecting pore (pc) (white arrow), and first apical plate (1') with small ventral pore (black arrow). (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 μ m. are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars = 20 um.

Fig.3.5. Light (**a, b, d, f-h**) and fluorescence (**c, e**) micrographs of *Alexandrium affine* (a-h). **a** A pair of vegetative cells. **b** Single cell showing the nucleus (n). **c** Ventral view showing the long plate 6ʹʹ. **d** Dorsal view showing thecal plate arrangement. **e** Apical view showing the first apical plate (1ʹ) with ventral pore (white arrow), and direct connection of Po (black arrow). **f** Apical pore plate (Po) showing the ventral view thecal plates arrangement. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal Fig.3.5. Light (a, b, d, f-h) and fluorescence (c, e) micrographs of Alexandrium affine (a-h). a A pair of vegetative cells. b Single cell showing the nucleus (n). c Ventral view showing the long plate 6". d Dorsal view showing thecal plate arrangement. e Apical view showing the first apical plate (1') with ventral pore (white arrow), and direct connection of Po (black arrow). f Apical pore plate (Po) showing the connecting pore (pc). g Anapical view showing the elongated posterior sucal plate (S.p.) with posterior attachment pore (arrow). h Posterioventral view thecal plates arrangement. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal connecting pore (pc). **g** Anapical view showing the elongated posterior sucal plate (S.p.) with posterior attachment pore (arrow). **h** Posterioplate).Scale bars = 20 µm. plate). Scale bars = $20 \mu m$.

Fig.3.7. Light (**a**) and fluorescence (**b-f**) micrographs of *Lingulodinium polyedrum*. **a** Normal light micrograph, **b-f** Fluorescence light micrographs. **a,b** Micrograph showing pentagonal cell outline. **c** Ventral view showing the first apical plate (1ʹ). **d** Ventral view of hypotheca showing the deep sulcus. **e** Apical view showing the apical pore plate (Po) with a raised inner elliptical ridge. **f** Dorsal view showing the distinct ridges along the plate sutures. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bar = 20μ m.

outline and small apical horn. **b** Ventral view showing the deeply excavated and spirally twisted cingulum, c Showing the posteriorly Fig.3.8. Fluorescence (a, b) and scanning electron micrographs (c) of *Gonyaulax spinifera*. a Ventral view showing the asymmetry cell **Fig.3.8.** Fluorescence (**a, b**) and scanning electron micrographs (**c**) of *Gonyaulax spinifera*. **a** Ventral view showing the asymmetry cell outline and small apical horn. **b** Ventral view showing the deeply excavated and spirally twisted cingulum, **c** Showing the posteriorly located small spines. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bar = 20 µm. located small spines. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bar = 20 µm.

Fig.3.9. Fluorescence (**a-e**) and scanning electron micrographs (**f**) of *Gonyaulax polygramma*. **a** Ventral view of cell outline. **b** Ventral view of epitheca showing the slightly invaded cingulum. **c** Dorsal view of angular epitheca showing the prominent apical horn and deeply excavated cingulum . **d** Ventral view hypotheca showing the posteriorly widened sulcus. **e-f** Dorsal view of truncate hypotheca showing the straight sides and an antapical spine. (Fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bar = 20μ m.

Fig.3.10. Light micrographs (a,b,d) and scanning electron micrographs (c,e-g) of Dinophysis caudata. a-g Lateral views showing various **Fig.3.10.** Light micrographs (**a,b,d**) and scanning electron micrographs (**c,e-g**) of *Dinophysis caudata*. **a-g** Lateral views showing various morphology of D. caudata. a, c,g Paired of cells. b,d-f Single cell. c Showing the large funnel shaped anterior cingular list (ACL), long left morphology of *D. caudata*. **a,c,g** Paired of cells. **b,d-f** Single cell. **c** Showing the large funnel shaped anterior cingular list (ACL), long left sucal list (LSL), short and narrow right sulcal list (RSL). c,e Single and couple cells showing the toothed posterior end of posterior sucal list (LSL), short and narrow right sulcal list (RSL). **c,e** Single and couple cells showing the toothed posterior end of posterior projection (arrow). I Right lateral view showing the areolae with a pore (arrow). Scale bars = 20 μ m. projection (arrow). **f** Right lateral view showing the areolae with a pore (arrow). Scale bars = 20 µm.

Fig.3.14. Maximum-likelihood (ML) tree for *Prorocentrum rhathymum* based on the nuclear 28S rRNA gene. An evolution model of Kimura-2-parameter (with G distributions) was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. Strain sequenced in this work is in bold.

Fig.3.15. Maximum-likelihood (ML) tree for *Prorocentrum shikokuense* based on the nuclear 28S rRNA gene. An evolution model of Jukes-Cantor was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. Strain sequenced in this work is in bold.

Fig.3.16. Maximum-likelihood (ML) tree for *Alexandrium affine* based on the nuclear 28S rRNA gene. An evolution model of Tamura-Nei (with G distributions) was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. Strain sequenced in this work is in bold.

Fig.3.17. Maximum-likelihood (ML) tree for *Alexandrium tamiyavanichii* based on the nuclear 28S rRNA gene. An evolution model of Tamura-Nei (with G distributions) was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. *A. tamiyavanichii* sequenced in this work is in bold.

Fig.3.18. Maximum-likelihood (ML) tree for *Gonyaulax polygramma* based on the nuclear 28S rRNA gene. An evolution model of Tamura-Nei (with G distributions) was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. *G. polygramma* sequenced in this work is in bold.

Fig.3.19. Maximum-likelihood (ML) tree for *Dinophysis caudata* based on the nuclear 28S rRNA gene. An evolution model of Hasegawa-Kishino-Yano (with G distributions) was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. *D. caudata* sequenced in this work is in bold.

Fig.3.20. Maximum-likelihood (ML) tree for *Dinophysis rotundata* based on the nuclear 28S rRNA gene. An evolution model of Tamura-Nei (with G distributions) was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. *D. rotundata* sequenced in this work is in bold.

Fig.3.21. Maximum-likelihood (ML) tree for *Gymnodinium catenatum* based on the nuclear 28S rRNA gene. An evolution model of Tamura-Nei (with G distributions) was used to construct a tree. Numbers at nodes are boot-strap values calculated with 1,000 replicates. *G. catenatum* sequenced in this work is in bold.

CHAPTER 4: GROWTH CHARACTERS OF THREE RED-TIDE FORMING SPECIES (*Prorocentrum rhathymum***,** *P. shikokuense***,** *Alexandrium affine***) AT DIFFERENT TEMPERATURES**

4.1. INTRODUCTION

In Chapter 2, the phytoplankton surveys around the Mali and Kadan Islands off the Tanintharyi coastal area were conducted thrice (May 2010, December 2010 and March 2012). One of these occasions, red-tide mainly composed by *Prorocentrum rhathymum* Loeblich was detected for the first time near the St. 6 in the March survey (Fig. 4.1). Interestingly, lesser numbers of *P. shikokuense* and *Alexandrium affine* were coexisted with *P. rhathymum* red-tide. These species were also confirmed in Chapter 3.

P. rhathymum is a benthic species and widely distributes in tropical and temperate waters. The red-tide of *P. rhathymum* was firstly reported (as *P. mexicanum*) from the Gulf of California (Ismael and Aida, 1997), and later reported by Cortés-Altamirano and Sierra-Beltrán (2003) from the same water. Al-Yamani et al. (2004) reported a bloom of *P. rhathymum* (as *P. mexicanum*) contributed to a massive fish kill of wild and culture fish of Doha, in the western side of Kuwait Bay. Pearce et al. (2005) reported association of oyster spat mortalities and high *P. rhathymum* density in Tasmania. The detection of DSP toxins associated by OA production by *P. rhathymum* was also reported from the Florida Bay (An et al., 2010) and Sabah, Malaysia (Caillaud et al., 2010).

Together with *P. rhathymum*, another red-tide forming species of *Alexandrium affine* (Inoue & Fukuyo) Balech was also found with lesser number. *A. affine* has been known to inhabit a wide range of geographical areas and has been found in temperate and tropic waters, mainly in Japan, the Gulf of Thailand and the Philippine (Balech, 1995). In 1974, 1975 and 1977, a bloom of *A. affine* was reported (as *Protogonyaulax affinis*) in several parts of Japan (Fukuyo et al., 1985; 1990). In 1997, a bloom of *A. affine* in Ambon Bay, Indonesia was reported by Wagey et al. (2001).

The other co-occurring red-tide forming species of *Prorocentrum shikokuense* Hada ex Balech (*P. donghaiense* Lu in China) is one of the causative species of large red tides occurring in the East China Sea. In 1995, a huge red-tide of *P. shikokuense* was occurred off the Chanjiang River mouth in the East China Sea and reported as *P. donghaiense* by Lu and Goebel (2001). In advance to such reports, blooming of *P. shikokuense* was reported from Iwamatsu Bay of the Bungo Channel on the western coast of Shikoku, Ehime, and reported as new species (Hada, 1975).

 Finding red-tide in this study is probably first case in Myanmar. Moreover, it is noteworthy that the red-tide was composed by three different harmful dinoflagellate species. To investigate possibility of such red-tide extension in the corresponding area, it is important to understand their growth physiology. The outbreaks of red-tides are associated with some complex ecological and oceanographic processes and can be affected by a variety of environmental factors (Sunda et al., 2006). Among them, water temperature, salinity, light and nutrient are the most basic factors for the growth of red tide organisms. Many laboratory studies have confirmed that environmental factors can significantly influence the growth rate of harmful algal species (e.g. Yamamoto et al., 2002; Xu et al., 2010). In this study, the effect of temperature on the growth of these three species were examined in the laboratory conditions.

4.2. MATERIALS AND METHODS

4.2.1. Study area

The study area (red-tide detected area) located near the mouth of a small bay near the St.6, at the northeast part of Kadan Island off the Tanintharyi coast (Fig. 4.1). This area receives terrestrial nutrients from the river runoffs, and in the same time, receives oceanic waters before the rainy season at the onset of southwest wind. The survey was carried out in March 2012, at when after passing long period from the rainy season. The surface red tide water was sampled with basket and transferred into 500 ml plastic bottle for species isolation.

4.2.2. *Prorocentrum rhathymum* **cell densities in red-tide water**

A 50 ml of surface red-tide water was fixed with neutralized formalin at final concentration of 10 %. *P. rhathymum* cells were counted from 10 ml of sample water using counting chamber. Lesser numbers of *P. shikokuense* and *A. affine* were also detected in this sample and enumerated in the same manner.

4.2.3. Organism and culture conditions

Clonal cultures of *Prorocentrum rhathymum*, *P. shikokuense* and *Alexandrium affine* were established by capillary pipette isolation from the red-tide water sample as described in Chapter 3.

4.2.4. Temperature experiments

Growths of *A. affine*, *P. rhathymum* and *P. shikokuense* at four different temperature conditions (15, 20, 25 and 30°C) were monitored. Each culture strain was inoculated into 4 autoclaved flasks each containing a total volume 200 ml of sterilized f/2 medium, and these cultured flasks were maintain at the stable conditions of 100 µmol photons $m²$ s^{-1} , 33 psu salinity, 25°C, 12:12h light:dark for 3 days prior to the experiment. To avoid shock from temperature changes during transplantation, temperature was acclimated with increase or decrease 2°C each day for one flask of each species. Each acclimated species were maintained at the above temperature regimes for 3 days and checked every day to ensure the growths. From the each culture flask, a 5ml of culture which containing 17,500 cells were inoculated into triplicated autoclaved flat bottom plant culture tubes containing 30 ml f/2 medium to become a final concentration of 500 cells ml⁻¹. Daily, at 10:00 am, *in vivo* chl. *a* fluorescence was measured using a fluorometer (10-AU, Turner Designs, Sunnyvale, USA). To obtain a stable fluorescence value, fluorescence measuring was conducted in the laboratory under dim light. The culture tubes were shaken thoroughly to ensure dissociate the cells in medium because *Prorocentrum rhathymum* has benthic nature and both of these two *Prorocentrum* species produce large amount of mucilage. Measurements were conducted until the end of stationary phase. Daily division rate (μ_2 unit: division day⁻¹) was calculated for *in vivo* chl. *a* fluorescence measurement during the exponential growth phase using the following equation

$$
\mu_2 = \frac{\log_2 N_1 - \log_2 N_0}{D_1 - D_0}
$$

Where N_0 and N_1 are initial and final value of fluorescence in exponential phase and D_0 and D_1 are initial and final time (day).

4.3. RESULTS

4.3.1. *P. rhathymum* **densities in the field sample**

The average cells number $(81,250 \text{ cells } L^{-1})$ of *P. rhathymum* were detected from the field sample of red-tide waters (Fig. 4.3). In this red-tide sample, lesser number of $(2,000 \text{ cells } L^{-1})$ of *P. shikokuense* and $(1,750 \text{ cells } L^{-1})$ of *A. affine* were also obtained.

4.3.2. Effects of temperature on growth

Growth of *A. affine* was not observed at temperature 15°C, but was observed at the temperature ranges from 20°C to 30°C (Fig. 4.4.a). *A. affine* exhibited the low tolerant to low temperature (15°C) hence it was more adapted to tropical environment. The growth curves suggested the optimum condition for growth to be at 20°C and 25°C with the growth rate of 0.46 division day⁻¹ (Fig. 4.4.b). At 30 $^{\circ}$ C, the growth rate showed 0.39 division day-1. Growth of *P. rhathymum* was observed in the temperature ranges from 15°C to 30°C (Fig. 4.4.c). *P. rhathymum* exhibited the strong tolerant to the given temperature ranges. The growth curves suggested the optimum condition for growth to be at 25 \degree C with the growth rate of 0.62 division day⁻¹ (Fig. 4.4.d). The lowest growth rate of *P. rhathymum* (0.37 division day⁻¹) was observed at 15°C. Growth of *P. shikokuense* was observed in the temperature ranges from 15°C to 30°C. *P. shikokuense* also exhibited as *P. rhathymum* with the strong tolerant to the temperature ranges from 15 $\rm ^{o}C$ to 30 $\rm ^{o}C$ (Fig. 4.4.e). The optimum growth rate (0.87 division day⁻¹) was observed at temperature 15°C. *P. shikokuense*, however, grew well even at higher temperature, i.e. 0.76 division day⁻¹ at 25 °C and 0.75 division day⁻¹ at 30 °C (Fig. 4.4.f).

4.4. DISCUSSION

4.4.1. Effects of temperature on growth

The growth of *A. affine* was observed at the temperature range from 20°C to 30°C and the optimal growth occurred at temperatures 20° C and 25° C. The results for the temperature tolerance are in agree with the previous studies of *A. affine* from Vietnamese water (from 21°C to 27°C) (Nguyen-Ngoc, 2004). My results for the maximum division rate of 0.46 division day⁻¹ is also in close agreement with the results of Nguyen-Ngoc (2004), and also agree with previous studies of other *Alexandrium* species (0.5-0.7 division day⁻¹) by Anderson (1998). Anderson et al. (1984) reported that the growth rate of *A. tamarense* varied significantly in temperature, with no growth below 7°C, or above 26°C. Jensen and Moestrup (1997) reported that *A. ostenfeldii* from Danish waters grew at 11.3°C to 23.7°C.

A. affine was previously reported from the Saroma Lake and Mutsu Bay (Fukuyo et al., 1985), which locate in the cool temperate zone of the northern part of Japan. By contrast, *A. affine* isolated from Myanmar water showed more tolerant to higher temperature regimes than some other *Alexandrium* species, and low tolerant to the low temperature than *A. affine* from the cool temperate region, hence *A. affine* isolated from Myanmar water was more adapted to tropical environment.

Growth of *P. rhathymum* was observed in the temperature ranges from 15°C to 30° C, and exhibited optimum growth of 0.62 division day⁻¹ at 25 $^{\circ}$ C. The optimal temperature for maximum growth of *P. rhathymum* (Myanmar strain) was shown together with *P. rhathymum* from Vietnamese water (Nguyen-Ngoc, 2010). And, this result also agrees with an observed temperature 24.5°C of the *P. rhathymum* red-tide comprising 3,135,200 cells L^{-1} reported from the Gulf of California (Ismael and Aida, 1997). It suggests the optimal temperature for the massive bloom of *P. rhathymum* may probably be around 25 °C.

P. shikokuense exhibited the strong tolerant to the temperature ranges from 15°C to 30 $^{\circ}$ C with the optimal growth rate (0.87 division day⁻¹) at temperature 15 $^{\circ}$ C. Well growth of *P. shikokuense* was showed even at higher temperature, i.e. 0.76 division day-¹ at 25°C and 0.75 division day⁻¹ at 30°C. My experimental results for *P. shikokuense* are inconsistent with the previous study of *P. donghaiense* (junior synonym of *P. shikokuense*) from East China Sea strain by Xu et al. (2010). Maximum growth of *P. donghaiense* (East China Sea strain) exhibited 0.77 division day⁻¹ at 27°C (Xu et al., 2010), while *P. shikokuense* (Myanmar strain) showed maximum growth rate of 0.87 division day-1 at 15°C. In comparison with the higher growth of *P. shikokuense* (Myanmar strain) at 15°C, East China Sea strain of *P. donghaiense* showed lower growth rate (0.2 division day⁻¹) at temperature 10-15^oC (Xu et al., 2010). Regardless to the phylogenetic results in Chapter 3 showing the close genetic relationship between *P. shikokuense* from Myanmar and *P. donghaiense* from Chinese waters, growth physiology was significantly different possibly due to the geographical adaptation. Massive and recurrent blooms of *P. donghaiense* were detected at the Changjiang River estuary and along the coastal water of Zhejiang proviance at China (Lu et al., 2005).

Massive blooms of *P. donghaiense* were recorded at the water temperature 17-20°C and salinity between 20-30 PSU (Lu et al., 2005).

This experimental result revealed these Myanmar strains exhibited rather high cell division under a wide temperature range, and agreement or disagreement in the temperature tolerance of other localities in the red-tide affected areas, indicating similar awareness will be needed based on local strain character.

Fig.4.1. Map showing sampling location of red-tide occurring area at the northeast part of Kadan Island, Tanintharyi coast, Myanmar in March, 2012 (red circle).

Fig.4.2. a. Red-tide of *Prorocentrum rhathymum* at the northeast part of Kadan Island in the March survey (14th March 2012), **b.** *P. rhathymum* cells in the red-tide water (observed on boat).

Fig.4.3. Graph showing the densities of *P. rhathymum*, *P. shikokuense* and *A. affine* detecting in the red-tide field sample. Error bars indicate the standard deviations based on triplicate counting.

Fig.4.4. Growth curves of *A. affine* (a), *P. rhathymum* (c), *P. shikokuense* (e) and growth rate (µ2) of *A. affine* (b), *P. rhathymum* (d) and *P. shikokuense* (f) at four different temperatures conditions (\bullet 15°C, \circ 20°C, \bullet 25°C, \circ 30°C). Error bars indicate the standard deviations based on of triplicate cultures.

CHAPTER 5: OCCURRENCE OF DINOFLAGELLATE CYSTS IN THE SURFACE SEDIMENT, AND FINDING OF TOXIC *Gymnodinium catenatum* **AND** *Alexandrium tamiyavanichii* **FROM COASTAL WATERS OF SELANGOR, MALAY PENINSULA**

5.1. INTRODUCTION

A bivalve, blood cockle *Anadara granos*a (Linnaeus 1758), is one of the most important culture products in Malaysia. The culture fields are intensively gathered in the coastal waters of Selangor district, Malaysia. The cultured cockles are supplied to entire domestic markets and exported to neighboring countries such as Thailand, Indonesia and Vietnam. However, it should be noted that bivalve cultures and markets may always be along with risks of contamination of shellfish toxins. Therefore, sustaining or even promoting the bivalve fisheries largely rely on the risk management for shellfish toxins and on a strict consensus for regulating contaminated shellfishes. In this context, the regular monitoring for toxic plankton is also required as well as analyzing the toxicity of harvested products. As mentioned above, paralytic shellfish poisoning (PSP) is potent poisoning and may cause serious problem on bivalve culture industry if it happens. However, regardless to the reports for occurrence of PSP causative dinoflagellates in Malay Peninsula, phytoplankton monitoring in the culture grounds of Selangor district has not been conducted yet.

For establishing plankton monitoring systems on Selangor district in future, the investigation of dinoflagellate cysts from Selangor area, west coast of Malay Peninsula was conducted. The aim of this investigation was to realize the presence or absence of PSP causative species in the sediment of blood cockle cultures ground. This study could

support understanding on the occurrence of PSP species in Selangor area to establish the PSP risks management for blood cockle culture farms. Furthermore, since Selangor coastal area faces to the Strait of Malacca, and leads to the southern Andaman Sea, connecting to Myanmar coast, it can be also regarded in a view of HAB expansion from or to the Myanmar coast. This study was conducted under a joint survey between Fisheries Research Institute, Penang, Malaysia, and Japan International Research Center for Agricultural Sciences (JIRCAS).

5.2. MATERIALS AND METHODS

5.2.1. Study area and cyst survey

Sediment samples were collected from five sampling lines named as A) Bagan Nakhoda Omar, B) Sungai Basar, C) Sekinchan, D) Kuala Selangor, E) Sungai Buloh (3° 45'-3° 13' N latitude, 100° 51'-101° 14' E longitude) locating along the Selangor coastal area, west coast of Malay Peninsula (Fig. 5.1). The Selangor coast is facing with the Strait of Malacca, where influenced by monsoon. In the west coast of Malay Peninsula, rainy season is associated with the northeast monsoon (November to March) and dry season is associated with the southwest monsoon (June to September). There has a two shorter inter-monsoon period (October, April and May). Sampling was carried out in the rainy season (December 2011) and dry season (September 2011). Five sampling points were set at each sampling lines (Fig. 5.1; Table 5.1). The environmental parameters (depths, temperatures and salinities) were collected by CTD (AAQ sensor, JFE AlEC, Japan). Twenty-five sediment samples were collected for each survey using a handy core sampler (TFO corer) equipped with an inner tube of 2.6 cm diameter. The upper 2 cm

of core samples was cut and preserved with neutralized formalin at a final concentration of 2%. All preserved samples were transported to Hiroshima University, Japan to extract and observe dinoflagellate cysts.

5.2.2. Sample preparation and microscopy

Sample preparation was carried out based on Matsuoka and Fukuyo (2000); 6-29 g of sediment from each sample was chemically treated with 50 ml of 10% hydrochloric acid and 30% hydrofluoric acid (each for 24 hours) to remove carbonate and silicate particles. After each chemical treatment, samples were neutralized with distilled water for one night. The neutralized samples were sieved with 100 µm and 20 µm opening size meshes. The concentrated residue on the 20 μ m mesh was suspended in 5 ml distilled water and kept in a vial. Cysts were observed under the inverted light microscope (Olympus IX71) with (DIC illumination) and identified according to some literatures (Bolch et al., 1999; Matsuoka and Fukuyo, 2000; Matsuoka et al., 2006). Dinoflagellate cysts were counted with inverted light microscope at $200 \times$ to $600 \times$ magnifications. Cysts abundance was represented as number of cyst g^{-1} sediment dry weight.

5.2.3. Plankton sampling and microscopy

Plankton sampling was carried out five times in the stations A1, B1, C1, D1 and E1 from September 2011 to February 2012. Samples were collected by vertical hauling of a plankton net (20-µm-mesh size, 20 cm in diameter, 80 cm in side length) from bottom to surface and the samples were fixed with neutralized formalin solution at final

concentration of 2%. The fixed samples were observed under light and/or fluorescence microscopes after staining with calcofluor white M2R (Fritz and Triemer, 1985) to visualize thecal plate tabulation. In the samples collected in January and February, *Gymnodinium catenatum* cells were found, but their morphological preservation was not satisfactory to examine exact species. Therefore, fresh plankton samples were observed immediately after the samplings on March and May surveys. Only in the March and May surveys, plankton samplings at the offshore stations (e.g. A3, A5, B3, B5---) were carried out in addition to the regular coastal stations (e.g. A1, B1, C1--) to seek *G. catenatum*.

5.3. RESULTS

5.3.1. Cysts

At least 43 cyst types were recorded (Table. 5.2) based on current paleontological taxonomy (Matsuoka, 1987; Rochon et al., 1999), which comprise 10 autotrophic (Fig. 5.2.A) and 33 heterotrophic types (Fig. 5.2.B). Among these 43 cyst types, 21 species could be identified to species level. The densities of autotrophic species in September were higher than those in December through the stations except line E, however the density of heterotrophic species showed higher proportion in the both surveys in the whole stations (Fig. 5.3.A,B). The highest average density of autotrophic species (13.18) cysts g^{-1} dry sediment) was detected at the line A (Bagan Nakhoda Omar) in the September survey. At this line, round *Alexandrium* spp. cysts (Fig. 5.2.A. 10-12) were dominantly included (an average of 9.98 cysts g^{-1} dry sediment). Among the autotrophic cysts, yessotoxin producing species, *Protoceratium reticulatum* (*Operculodinium*

centrocarpum) (Fig. 5.2.A. 5) and *Lingulodinium polyedrum* (*Lingulodinium machaerophorum*) (Fig. 5.2.A. 8) were detected. Low density of *P. reticulatum* cysts were detected especially in the September survey, and highest density $(3.1 \text{ cysts g}^{-1} \text{ dry})$ sediment) was detected at station A5, which is the only one station where *P. reticulatum* cysts detected in the December survey (Table 5.3). *L. polyedrum* cysts were detected only in the September survey with low density. Highest density (1.2 cysts g^{-1} dry sediment) was detected at station A2 (Table 5.3). Among the autotrophic species, paralytic shellfish poisoning (PSP) causative species *Gymnodinium catenatum* Graham was detected in both survey. This is separately described in the later sections.

The highest average density of heterotrophic species $(26.22 \text{ cysts g}^{-1} \text{ dry})$ sediment) was also detected at the line A (Bagan Nakhoda Omar) in the December survey. In this heterotrophic density, *Protoperidinium denticulatum* (*Birgantedinium irregulare*) (Fig. 5.2.B. 2) (an average 8.46 cysts g^{-1} dry sediment) and spiny round brown type cysts (Fig. 5.2.B. 15,16) (an average 4.62 cysts g^{-1} dry sediment among the stations in the line A) dominantly occurred. The average cyst densities showed the trend in lowering to the southern lines in both September and December surveys (Fig. 5.3. a,b).

5.3.2. Cysts of *Gymnodinium catenatum* **Graham**

Cysts identified as *G. catenatum* are based on the following features; cyst characterized by reticulate ornaments (Fig. 5.4.a,b), two rows of finer meshes developed along the paracingulum, chasmic archeopyle along the paracingulum (Fig. 5.4.a), and larger cyst diameter (50-60 µm; Fig. 5.4.a,b). The cysts of *G. catenatum* were detected with low

numbers from 11 stations collected in September (Fig. 5.5.a) and 8 stations in December survey (Fig. 5.5.b). The maximum number of *G. catenatum* cysts (1.28 cysts g^{-1} dry weight sediment in September) and (0.78 cysts g^{-1} dry weight sediment in December) were found at the station A3 and A5 respectively. The average density of *G. catenatum* cyst was high $(< 0.6$ cyst g⁻¹ dry weight sediment weight in the line A, Bagan Nakhoda Omar, Northern part of Selangor area to compare with other lines (Fig. 5.5.a,b). Although the occurrence of *G. catenatum* was not obviously different between September and December, the density of cysts decreased to southern part (Fig. 5.6).

5.3.3. Plankton of *Gymnodinium catenatum*

At the moment, plankton-monitoring focusing on harmful phytoplankton species has not been regularly conducted, however possible plankton cells identical to *G. catenatum* were found from the samples collected on December, 2011. Unfortunately, since the sample was fixed with formalin, the cells became rounded and did not maintain the original morphology (Fig. 5.4.c,d,e). However, the spherical nucleus positioned at the center of the cell and chains composed of over 4 cells suggested that this plankton was possibly identical to *G. catenatum* (Fig. 5.4.c,d,e).

5.3.4. Plankton of *Alexandrium tamiyavanichii*

Plankton samples collected on February, 2012 contained *Alexandrium* species. Based on the following features, this plankton was identified as *A. tamiyavanichii* (Fig. 5.7); triangular apical pore complex with small connecting pore (Fig. 5.7.e), the first apical plate directly contacting with the apical pore complex, development of curtain (Fig. 5.7.b,c), additional suture running at anterior part of anterior sulcal plate (Fig. 5.7.a,d), well-developed sulcal sutures, and chains of more than 8 cells (Fig. 5.7.f,g,h). *A. tamiyavnichii* shares these features with *A. cohorticula*, but is different by lower epitheca, diagonal direction of additional suture in the anterior sulcal plate and a small connecting pore in Po plate (Balech, 1995). Based on this feature, we identified thecate dinoflagelates in the plankton samples as *A. tamiyavanichii*.

5.4. DISCUSSION

Total of 43 cyst types comprising 10 autotrophic types and 33 heterotrophic types were found in Selangor coastal area. Higher density of autotrophic cysts was found in the September survey than that in the December survey. Among these total cysts assemblage, *G. catenatum* cyst was a minor component and its density was very low. This might be due to low plankton cell densities in the Selangor coast at the moment. *G. catenatum* has been reported form Manila Bay (Fukuyo et al., 1993), Singapore (Holmes et al., 2002), Kota Kinabalu of Sabah, Malaysia (Mohamed-Noor et al., 2002), Gulf of Thailand (Lirdwitayaprasit et al., 2008), and Andaman Sea coast of Myanmar (Boonyapiwat, 2007; Chapter 2 in this study).

The occurrence of *A. tamiyavanichii* was reported from various regions of Southeast Asian waters; Manila Bay, (Furio and Gonzales, 2002), Gulf of Thailand as *Pseudogonyaulax cohorticula* (Fukuyo et al., 1989), Sebatu in the Strait of Malacca, Malaysia (Lim et al., 2004) and the Merugi Archipelago, Myanmar (Chapter 2 in this study). *A. tamiyavanichii* is also known to produce a resting cyst (Nagai et al., 2003).

However, it is very difficult to correctly identify the cysts, because simple spherical cysts characterized with transparent cyst wall without any surface ornament have also been known in *A. affine, A. fraterculus, A. pseudgonyaulax*, and *A. hiranoi*. We also observed such simple spherical cysts in surface sediments collected from Selangor coasts, but could not identify *A. tamiyavanichii* yet. These spherical *Alexandrium* cysts were found as dominant species in the autotrophic cysts assemblages from all lines in both surveys.

The distribution of *P. reticulatum* cysts was reported from the various regions of Southeast Asian coastal waters such as Indonesia, South China Sea and several basins in the Philippine (Furio et al., 2012). The occurrence of *L. polyedrum* cyst was also reported from the various regions Southeast Asian waters such as Manila Bay, Malampaya Sound, Sorsogon Bay, Juag Lagoon from Philippine and Kota Kinabalu Bay, Sipitang Bay from Malaysia (Furio et al., 2012). In this study, low density of *P. reticulatum* and *L. polyedrum* cysts were detected especially in the September survey, however *P. reticulatum* and *L. polyedrum* are not observed as plankton at the moment. Under this circumstance, cyst survey is important and significant to know the occurrences of such toxic dinoflagellates.

Selangor district is one of important areas for the cockle culture industry in the whole of Southeast Asia not only in Malaysia. From the Selangor district, high amount of blood cockles' natural spats are produced in several times for a year. Those spats are selected as seeds for the culture and exported to neighboring countries as well as domestic, because these seeds faster grow and contain higher nutritious substance compared to others (personal communication with local fishermen). However, occurrence of toxic dinoflagellates, *G. catenatum* and *A. tamiyavanichii* detected from the cockle culture grounds suggests the PSP risk presents in Selangor district. In addition, the wide distribution of *G. catenatum* cysts also may suggest diffusion risks of *G. catenatum* cysts by the fisheries activity. Thus, we need managements on cyst contamination in the transporting cockle spats and it is important to establish toxic phytoplankton monitoring systems for cultured blood cockles in Selangor district. This study can provide the important information not only for the Selangor area, and also for Myanmar coast to establish toxic phytoplankton monitoring, and to aware the future bivalve culture in the Myanmar coast.

Fig.5.1. Map of the Selangor area off the west coast of the Malay Peninsula, Malacca Strait showing the sampling stations.

Fig.5.2.A. Light micrographs of dinoflagellate cysts. **1** *Gonyaulax* cf. *spinifera* (*Spiniferites ramosus*), **2** *Gonyaulax* sp. (*Spiniferites* cf. *delicatus*), **3** *Gonyaulax* sp. (*Spiniferites* sp.), **4** *Pyrophacus steinii* (*Tuberculodinium vancampoae*), **5** *Protoceratium reticulatum* (*Operculodinium centrocarpum*), **6** *Protoceratium* sp. (*Operculodinium islaerianum*), **7** *Gymnodinium catenatum*, **8** *Lingulodinium polyedrum* (*Lingulodinium machaerophorum*), **9** *Scrippsiella* sp., **10-12** *Alexandrium* spp.. Scale bars = 20 µm.

Fig.5.2.B. Light micrographs of dinoflagellate cysts. **1** *Protoperidinium* sp. 2 (*Brigantedinium majusculum*), **2** *Protoperidinium denticulatum* (*Brigantedinium irregulare*), **3** *Protoperidinium* sp. 3 (*Brigantedinium* sp.), **4** *Protoperidinium divaricatum* (*Xandarodinium variabile*), **5** *Protoperidinium oblongum* (*Votadinium calvum*), **6** *Protoperidinium conicum* (*Selenopemphix quanta*), **7** *Protoperidinium subinerme* (*Selenopemphix alticinctum*), **8** *Protoperidinium* sp. 4 (*Lejeunecysta sabrina*), **9** *Protoperidinium* sp. 14 (*Trinovantedinium capitatum*), **10** *Protoperidinium latissinum*, **11** *Protoperidinium* cf. *compressum* (*Stelladinium robustum*), **12** *Oblea acanthocysta*, **13** *Diplopsalopsis* sp., **14** *Diplopsalis* cf. *labourae*, **15,16** *Protoperidinium* spp. (Spiny round brown types). Scale bars = $20 \mu m$.

Fig.5.3.a. Graph showing the average density of autotrophic and heterotrophic cyst in each station in September, 2011. Error bars indicate the standard deviations among the composed stations in a line.

Fig.5.3.b. Graph showing the average density of autotrophic and heterotrophic cyst in each station in December, 2011. Error bars indicate the standard deviations among the composed stations in a line.

Fig.5.4. Light micrographs of cysts and plankton of *Gymnodinium catenatum* found in Selangor area. **a**; cyst showing a large chasmic archeopyle, **b**; cyst showing surface reticulate ornaments, **c**; plankton forming a chain composed of four cells, **d**; chain containing of ca. 16 cells, **e**; chain consisting ca. 32 cells. All plankton cells deformed due to formalin fixation. Scale bars: **a-c** = 20 µm, **d,e** = 50 µm.

Fig.5.5. a. Map of Selangor area showing the occurrence of the cyst of *Gymnodinium catenatum* in the September survey. **b.** Map of Selangor area showing the occurrence of the cysts of *Gymnodinium catenatum* in the December survey. (ND: Not detected) (Cysts g^{-1} dry weight sediment)

Fig.5.6. Graph showing the average density of *Gymnodinium catenatum* cysts in five sampling lines of Selangor area. Error bars indicate the standard deviations among the composed stations in a line.

Fig.5.7. Light and fluorescence micrographs of planktonic cells of *Alexandrium tamiyavanichii* collected from Selangor area. **a,b** Outline of a single cell and well developed extension of sulcal lists (arrow), **c** Fluorescence micrograph of a single cell, **d** Fluorescence micrograph showing anterior sulcal plate (arrow), **e** Light micrograph showing apical pore plate (arrow), **f** Chain consisting of ten cells, **g** Normal light micrograph showing 6 cells, **h** Fluorescence micrograph showing 6 cells. (All fluorescence micrographs are taken using Calcoflour, which can stain the cellulose thecal plate). Scale bars: \mathbf{a} -c,f, $\mathbf{g} = 20 \ \mu \text{m}$, \mathbf{d} , $\mathbf{e} = 10 \ \mu \text{m}$.

Fig.5.8. Map showing presences of planktonic cells of *Gymnodinium catenatum* and *Alexandrium tamiyavanichii*.

Table 5.1. Sampling locations at the west coast of Malay Peninsula,
denths and environmental narameters (Sentember and December 2011) depths and environmental parameters (September and December, 2011). **Table 5.1.** Sampling locations at the west coast of Malay Peninsula,

Table 5.2. List of dinoflagellate cysts recorded from the Selangor area, west coast of Malay Peninsula. Table 5.2. List of dinoflagellate cysts recorded from the Selangor area, west coast of Malay Peninsula.

Table 5.2 continued

Table 5.4. Density of heterotrophic cysts from Station A (Bagan Nakhoda Omar), Selangor area. **Table 5.4.** Density of heterotrophic cysts from Station A (Bagan Nakhoda Omar), Selangor area.

Table 5.4 continued **Table 5.4** continued

Table 5.5. Density of autotrophic cysts from Station B (Sungai Besar), Selangor area. **Table 5.5.** Density of autotrophic cysts from Station B (Sungai Besar), Selangor area.

Table 5.6. Density of heterotrophic cysts from Station B (Sungai Besar), Selangor area. **Table 5.6.** Density of heterotrophic cysts from Station B (Sungai Besar), Selangor area.

Table 5.6 continued **Table 5.6** continued

Table 5.7. Density of autotrophic cysts from Station C (Sekinchan), Selangor area. **Table 5.7.** Density of autotrophic cysts from Station C (Sekinchan), Selangor area.

Table 5.8. Density of heterotrophic cysts from Station C (Sekinchan), Selangor area. **Table 5.8.** Density of heterotrophic cysts from Station C (Sekinchan), Selangor area.

Table 5.8 continued **Table 5.8** continued

Table 5.9. Density of autotrophic cysts from Station D (Kuala Selangor), Selangor area. **Table 5.9.** Density of autotrophic cysts from Station D (Kuala Selangor), Selangor area.

Table 5.10. Density of heterotrophic cysts from Station D (Kuala Selangor), Selangor area. **Table 5.10.** Density of heterotrophic cysts from Station D (Kuala Selangor), Selangor area.

Table 5.10 continued **Table 5.10** continued

Table 5.11. Density of autotrophic cysts from Station E (Sungai Buloh), Selangor area. Table 5.11. Density of autotrophic cysts from Station E (Sungai Buloh), Selangor area.

Table 5.12. Density of heterotrophic cysts from Station E (Sungai Buloh), Selangor area. **Table 5.12.** Density of heterotrophic cysts from Station E (Sungai Buloh), Selangor area.

CHAPTER 6: GENERAL DISCUSSION

6.1. General overview of studies

In this study, results of four main parts were described. The results of first three parts are regarding to the phytoplankton surveys from the Tanintharyi coastal area, southern Myanmar coast, and the results of fourth part is regarding to the dinoflagellate cysts survey from the Selangor area, west coast of Malay Peninsula. This coastal area faces to the Strait of Malacca, and leads to the southern Andaman Sea, connecting to Myanmar coast. Chapter 2 was concerning to the occurrences of phytoplankton communities and dinoflagellate cysts around the Mali and Kadan Islands, Tanintharyi coast. Chapter 3 was concerning the harmful dinoflagellates species and confirmation of their identification based on their morphologies and molecular phylogenetic data sets. Chapter 4 was concerning to the growth physiology of three red-tide forming species (*Alexandrium affine*, *Prorocentrum rhathymum* and *P. shikokuense*), which isolated from Myanmar water. Chapter 5 was concerning the occurrence of dinoflagellate cysts, and the occurrence of PSP causative species (i.e. *Alexandrium tamiyavanichii* and *Gymnodinium catenatum*) in the planktonic and cysts assemblages from the Selangor district.

6.2. Phytoplankton occurrences in the southern Myanmar coast

From the results of Chapter 2, the occurrences of phytoplankton community with a list of dinoflagellates and diatoms species were shown. Diverse dinoflagellates species were

listed in the pre-rainy season surveys (57 species in May, 2010 and 67 species in March, 2012) than the post-rainy season survey (26 species in December, 2010), and it is assumed that the dinoflagellate species diversity in two different seasons were significantly derived from the differences of climate and/or oceanographic systems before and after the rainy season. That is, the occurrence of the higher diversity of dinoflagellates species in the May and March surveys indicated that an oligotrophic environment in the late dry season was favorable for dinoflagellates. On the other hand, the occurrences of diverse diatoms species and massive diatoms blooms in the postrainy season survey (December, 2010) explained the flooding of nutrient-rich terrestrial water into the coastal areas in prolonged rainy weather during the southwest monsoon. The simultaneous occurrence of oceanic species such as *Ornithocercus* spp. and *Podolampas bipes* with neritic species (e.g. *Prorocentrum* spp., *Gonyaulax* spp. and *Alexandrium tamiyavanichii*) in the May and March surveys insisted oceanic waters were mixing with the coastal waters in this season.

Such understandings for the oceanographic characters, namely, typical neritic environment in the post-rainy season followed by rather oligotrophic environment in the late dry season (pre-rainy season) and mixture with oceanic waters, were firstly revealed by the phytoplankton observations under this study. In addition, among the occurrence of dinoflagellate cysts in the surface sediment, high diversities of heterotrophic cysts were characteristic of the Myanmar coast, and high abundance of heterotrophic cysts in the December survey indicated richness of prey plankters after the rainy season.

6.3. Occurrences of potentially harmful dinoflagellates

From the results of Chapter 3, total 21 species of potentially harmful dinoflatellates were found in the Tanintharyi coastal area. From this study, toxin-producing species, which can cause shellfish poisoning including paralytic shellfish poisoning (PSP) such as *Alexandrium tamiyavanichii*, *Gymnodinium catenatum*, diarrhetic shellfish poisoning (DSP)-causative species such as *Dinophysis caudata*, *D. miles*, *D. rotundata*, *D. infundubulus* and potentially okadaic acid (OA) producing species *Prorocentrum rhathymum*, and yessotoxin (YTX)-producing species *Gonyaulax spinifera*, *Lingulodinium polyedrum*, and several red-tide forming species such as *Alexandrium affine*, *Gonyaulax polygramma*, *Prorocentrum micans*, *P. sigmoides*, *P. shikokuense*, *Ceratium furca*, *C. fusus*, *Scrippsiella trochoidea* and *Noctiluca scintillans* were identified. Among them, 10 species were reexamined with detail morphological observation and DNA (28S rRNA gene) analyses. These potentially harmful species mainly occurred in the pre-rainy season (May, 2010 and March, 2012). These occurrences suggest that the corresponding area may have risks of HABs, and late dry seasons should be regarded as to be potentially suffered from harmful events.

6.4. Growth characters of three red-tide forming species (*Prorocentrum rhathymum***,** *P. shikokuense* **and** *A. affine***) at different temperatures**

The results of Chapter 4 are based on the finding of red-tide near St. 6, at the northeast part of Kadan Island in the March, 2012 survey. This red-tide is noteworthy by comprising three different harmful dinoflagellate species such as, *P. rhathymum*, *P. shikokuense* and *A. affine*. The clonal cultures of these harmful species were

successfully established from this red-tide water. To understand the growth physiology of these species, temperature experiments were conducted at four different temperature regimes (15, 20, 25, 30 $^{\circ}$ C) using these culture strains of Myanmar water. Among these three species, *P. rhathymum* and *P. shikokuense* showed the high tolerance to the given temperature ranges, while *A. affine* had less tolerance to low temperature (15°C). Temperature tolerance and optimal temperature for the maximum growths of *A. affine* and *P. rhathymum* showed similar with those of previous studies (Nguyen-Ngoc, 2004; Ismael et al., 1997). The optimal temperature for maximum growth of *P. shikokuense* showed significant difference from that of *P. donghaiense* (junior synonym of *P. shikokuense*) in the East China Sea by Xu et al. (2010), regardless to the genetic identity among these local strains. The temperature experiments using these culture strains provide the well understandings on the relationship of temperatures and growth rates of the local strains in comparison with other studies form different geographical areas. This understanding is beneficial for prediction the occurrence of red-tide events in the coastal area based on one of the environmental factors of water temperature.

6.5. Occurrence of dinoflagellate cysts in the surface sediment, and finding of toxic *Gymnodinium catenatum* **and** *Alexandrium tamiyavanichii* **from coastal water of Selangor, Malay Peninsula**

The result of Chapter 5 is based on the occurrence of toxic dinoflagellates in the Selangor district, west coast of Malay Peninsula. Selangor coastal area is an important for blood cockle culture industry. This coastal area faces to the Strait of Malacca, and leads to the southern Andaman Sea, connecting to Myanmar coast. To understand the

HAB expansion from or to the Myanmar coast, and regard to a future model of HAB risk management in shellfish culture fields, both planktonic and cyst forms of harmful dinoflagellate species were investigated as a multi-nation cooperative research. From this result, 43 cyst types comprising 10 autotrophic types and 33 heterotrophic types were found. In the plankton and cyst samples, PSP causative dinoflagellates, *G. catenatum* was detected with low density. The occurrence of another PSP causative species, *A. tamiyavanichii* was not confirmed in the cysts survey, however, their planktonic form was confirmed. The occurrence of toxic dinoflagellates, *G. catenatum* and *A. tamiyavanichii* from the cockle culture grounds suggests the PSP risk may present in this area, and also diffusion risks of *G. catenatum* cysts by the fisheries activity. This finding of these toxic species in Selangor district and Myanmar coast notified that HAB expansion from or to the Myanmar coast, and alarmed to establish toxic phytoplankton monitoring system and managements on cyst contamination in the transporting cockle spats.

6.6. Significance of this study

1. This is the first detail study of phytoplankton and dinoflagellate cysts from Myanmar's foremost marine fisheries area, Tanintharyi coast. It provides species lists and micrographs of phytoplankton and dinoflagellate cysts from Myeik coast. It should be beneficial for the future phytoplankton studies, especially for phytoplankton monitoring.

2. The influences of drastic seasonal change on the diversities of diatoms and dinoflagellates off Myeik coast were recognized. Diverse occurrence of diatom in the post-rainy season indicated the high productivity of this coastal region. The high abundance of heterotrophic cysts in the December survey also indicated the richness of prey plankters after the rainy season. Diverse dinoflagellates comprising the oceanic and the neritic species indicated the mixing of oceanic water in the coastal area in the prerainy season. These factors are represented as the characteristic of Tanintharyi coastal region. High diversity of diatoms and dinoflagellates off the Myeik coast is proving the high Myanmar marine capture fisheries.

3. On the other hand, many potentially harmful dinoflagellates, including red-tide and shellfish poisoning causative species were detected especially in the pre-rainy season surveys. This information should be useful for future HAB risk managements, and warned to establish the regular monitoring of harmful dinoflagellates for better prediction of HAB.

4. Furthermore, the culture strains of three red-tide forming species from red-tide water of Myanmar were successfully established, and relationship of temperatures and growth rates of local strains was understood by laboratory experiments. The understanding of local strains characters should be beneficial for prediction of the occurrence of red-tide events based on water temperature.

5. The potent paralytic shellfish poisoning (PSP) causative species (i.e. *G. catenatum* and *A. tamiyavanichii*) were found in the blood cockle culture area, Selangor district. These species were also detected off the Myeik coast. These finding are the important information to establish the toxic phytoplankton monitoring system and management on cyst contamination in the bivalve transportation.

6.7. Future studies

The occurrence of high diversities of diatoms and dinoflagellates species in the Tanintharyi coastal seems to be supported by various characteristic environments of Myeik coast such as large mangrove forests, numerous rivers, and drastic climate change (tropical monsoon regimes). Meanwhile, in Myanmar, rapid economic changes are leading to degradation of these characteristic environments by human activities such as over-fishing, harvesting mangrove forest and urbanizing in coastal area. Moreover, there is no appropriate measure (e.g. primary productivity) to control sustainable fisheries. Therefore, future phytoplankton study must be investigated to understand the basic information of primary productivity in this coastal area, and suitable control should be created on fish catch.

Recently, harmful event reports have been increasing from the Southeast Asian coast including red-tide and shellfish poisoning. The finding of many harmful dinoflagellate species in the pre-rainy season surveys and red-tide incident on the March, 2012 survey alarmed to establish the phytoplankton monitoring system in Myanmar coastal area. Based on the understanding from this study, the phytoplankton studies must be continued in regard not only for primary productivity and also for future HAB risk events.

Investigations of bio-toxin analysis for marine fishery products such as bivalve have been conducted in 2009 under the collaborated project with DOF, Myanmar and MFRD, Singapore. However, in Myanmar, the bio-toxin analysis is still difficult to perform regularly due to poor technology and high causes. In this circumstance, toxic dinoflagellate monitoring is one of useful technique for the safety of shellfish toxin by

early warning. The finding of toxic dinoflagellate species in the May and March surveys alarmed that frequent and well monitoring system for harmful dinoflagellate species should be conducted in the Tanintharyi coast especially during the dry season. Therefore, phytoplankton study is essential in regards to Myanmar sustainable fisheries, and food safety not only for export purpose and also for local consumers. Moreover, in harmful dinoflagellate monitoring, both fresh and fixed samples should be examined not to lose unarmored species, since most of these are harmful.

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