学位論文 核子対あたり重心系エネルギー5.02 TeV 陽子--陽子及び鉛--鉛 原子核衝突における中性中間子と直接光子測定

Measurement of neutral mesons and direct photons in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02 { m ~TeV}$

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Abstract

The new state of matter, called quark-gluon plasma (QGP), created by the high-energy heavy-ion collision has been studied for more than 40 years. Partons originating from initial hard scatterings lose their energy in the hot and dense QCD medium, which results in suppression of hadron production at high transverse momentum ($p_{\rm T}$), compared to pp collisions at the same center-of-mass energy $\sqrt{s_{\rm NN}}$. Light flavor particles are excellent probes to study the suppression in a wide $p_{\rm T}$ range with high precision. Especially, neutral mesons such as π^0 and η mesons that decay into two photons can be reconstructed and identified by a fine-segmented electro-magnetic calorimeter in a wide $p_{\rm T}$ range.

In this thesis, the suppression of π^0 and η mesons in Pb–Pb collisions at the highest energy 10 $\sqrt{s_{\rm NN}} = 5.02$ TeV is reported. By increasing the collision energy, $p_{\rm T}$ spectra of π^0 meson be-11 come harder than that at $\sqrt{s_{\rm NN}} = 2.76$ TeV in both pp and Pb–Pb collisions. Nevertheless, 12 the suppression of π^0 meson in Pb–Pb collisions compared to pp collisions is the same level, 13 which is by a factor of up to 8. This indicates the larger energy-loss at the higher collision 14 energy. Comparing light and heavy flavor hadrons, namely π^0 and D mesons, the suppression 15 of D mesons at low $p_{\rm T}$ is weaker than that of π^0 meson. This is interpreted as the smaller 16 energy-loss for charm quarks than for up, down quarks. The suppression pattern of η meson 17 seems to be similar to K^{\pm} meson consisting of a strange quark, though uncertainties for the 18 η meson measurement is large. 19

Direct photons that are defined as photons not originating from hadron decays are also dis-20 cussed in this thesis. Direct photons are unique probes to study the space-time evolution of 21 the QGP, since they are not involved in strong interaction and can carry information when 22 they are produced. When focusing on direct photons, π^0 and η mesons contribute as huge 23 backgrounds. To subtract decay photon yields, the cocktail simulation where $p_{\rm T}$ spectra of 24 neutral mesons are inputs has been performed. Direct photon spectra or upper limits at 25 the 90% of confidence level have been extracted. Finally, $R_{\rm AA}$ of direct photons has been 26 determined and is consistent with unity at high $p_{\rm T}$ which justifies the measurement. On the 27 other hand, the excess beyond the pQCD calculation is observed at low $p_{\rm T}$ by a factor of up 28 to 4 in central Pb–Pb collisions. This indicates thermal photon emissions from the hot and 29 dense QCD medium. The obtained effective temperature $T_{\rm eff}$ is 345 ± 222 (total unc.) MeV 30 in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV for centrality 0-10%. This is the first measurement 31 and setting upper limits on direct photons in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV. 32

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407 **1** Introduction

Our main goal in high-energy heavy-ion collisions is to understand properties, such as energy density, temperature, transport coefficient, order of the phase transition e.t.c., of the quark-gluon plasma (QGP), which is the state of deconfined quarks and gluons from hadrons. These research for the QGP will provide phenomenological knowledge of fundamental Quantum Chromo-Dynamics (QCD).

413 1.1 Quantum Chromo-Dynamics (QCD)

The Quantum Chromo-Dynamics is a fundamental non-Abelian SU(3) gauge theory to describe 414 strong interaction. The strong interaction is mediated by gluons between elementary particles 415 which have color charge (red, blue and green). As gluon also has color, self-interaction among 416 gluons can be induced. On the other hand, in Quantum Electro-Dynamics (QED), photon is 417 neutral gauge boson and mediates electric charge with coupling constant $\alpha_{\text{OED}} = 1/137$. Hence, 418 photons do not interact themselves. This is a main difference between QCD and QED. One 419 of the most important point of QCD is that the strong interaction among quarks and gluons 420 becomes weaker at high energy (i.e. large momentum transfer Q^2). This behavior is called 421 "asymptotic freedom". The strong coupling constant α_s at large Q^2 can be approximated as : 422

$$\alpha_s(Q^2) \approx \frac{12\pi}{(33 - 2N_f) \ln{(Q^2/\lambda_{\rm QCD}^2)}},$$
(1)

where N_f is the number of quark flavors ($N_f \leq 6$), λ_{QCD} is called QCD scale, which is typically 200 MeV. Therefore, $\alpha_s(Q^2)$ becomes smaller and perturbative calculation is applicable at large Q^2 . The confinement can be also expressed by a following phenomenological potential:

$$V(r) = -\frac{4}{3}\frac{\alpha_s}{r} + kr,\tag{2}$$

where 1/r term is dominant at small distance which is similar to Coulomb potential and kris related to the confinement of quarks in hadrons. When one wants to separate two quarks, the potential energy kr increases and tends to produce a new $q\bar{q}$ pair. This results in two shorter strings. Finally, extracting single quark is not possible and new colorless hadrons will be produced.

431 1.2 Quark-gluon plasma (QGP)

The confined state of quarks and gluons in hadrons can be broken at the extremely high temper-432 ature or high density of many body systems of hadrons. This leads a transition from hadronic 433 phase to the deconfined state of partons. The deconfined state of partons is called "quark-gluon 434 plasma (QGP)" proposed by Bjorken [1]. Numerical calculations based on the lattice QCD are 435 performed. Step-like behavior of ε/T^4 at $T = T_C$ is clearly seen in Figure 1. This is interpreted 436 as the transition from the hadronic phase to the QGP at the critical temperature $T_{\rm C} = 150 \sim 200$ 437 MeV due to increase of degrees of freedom related to deconfined quarks and gluons from hadrons. 438 In addition, recent lattice QCD calculations also predict crossover transition [2, 3]. 439

⁴⁴⁰ Figure 2 shows a schematic phase diagram of QCD matter. The horizontal axis represents the

441 net baryon density normalized to the normal nucleus, the vertical axis indicates the tempera-

ture. It is thought that the QGP has existed in the early universe at a few micro seconds afterBig-Bang.



Figure 1: The energy density ε divided by 4th power of the temperature T^4 predicted by lattice QCD [4].



Figure 2: A schematic phase diagram of QCD matter [5].

444 1.3 High-energy heavy-ion collisions

High-energy heavy-ion collisions provide an unique opportunity to study strongly interacting 445 matter, namely the QGP. In high-energy heavy-ion collisions, two Lorentz-contracted nuclei 446 interact at the geometrical overlap region (Figure 3). A distance between the center of each 447 nuclei is called "impact parameter" b. Nucleons participating the interaction are "participants" 448 and the others are "spectators". The impact parameter b is not directly measured, but can be 449 simulated by the Glauber model calculation [6]. Then it provides the number of participant 450 N_{part} and the number of binary nucleon-nucleon collisions N_{coll} . N_{part} is related to the volume 451 of the interaction region. The number of particles produced at the later stage of collisions is 452 roughly scaled by N_{part} . On the other hand, the number of particles produced by initial hard 453 scatterings is basically scaled by $N_{\rm coll}$.



Figure 3: A schematic view of collision geometry in high-energy heavy-ion collisions [7].

454

As shown by Figure 4, the space-time evolution of the QCD matter created by heavy-ion collisions pass through various phases.

457 1. Pre-equilibrium $(0 < t < \tau_0)$

Two accelerated nuclei collide with each other at t = 0 and high energy is released in a tiny volume. Multiple parton scatterings lead local equilibrium of the hot and dense matter.

460 2. QGP phase $(\tau_0 < t < \tau_C)$

The QGP phase is formed at $t = \tau_0$, if energy density is higher than a value necessary for the transition ($\varepsilon > 1 \text{ GeV/fm}^3$). Its evolution can be described by hydrodynamics and the temperature becomes cooler.

464 3. Mixed phase between QGP and hadron gas ($\tau_{\rm C} < t < \tau_{\rm H}$)

The mixed phase consisting of quarks, gluons and hadrons can exist only if the phase transition is at first order. When the temperature reaches the transition temperature $T_{\rm C}$, hadronization will start. Eventually, inelastic scattering of hadrons stops. This temperature is called "chemical freeze-out temperature".

469 4. Hadron gas $(\tau_{\rm H} < t < \tau_{\rm F})$

Hadronization processes finishes here, but still keep interaction as momentum exchange by
elastic scatterings. At the end, elastic scattering ceases, too. This temperature is called
"kinetic freeze-out temperature". After the kinetic freeze-out, hadrons fly to our detectors.



Figure 4: A schematic view of space-time evolution of the matter in high-energy heavy-ion collisions

473 1.4 Suppression of high $p_{\rm T}$ hadrons

Partons originating from initial hard scatterings lose their energy in the hot and dense medium, 474 which results in modification of $p_{\rm T}$ spectra of hadrons. Light flavor hadrons are excellent probes 475 to study the hadron suppression with high precision, because their statistics is large. It has 476 been reported that the suppression of hadron yields compared to those in pp collisions scaled 477 by $N_{\rm coll}$, quantified by the nuclear modification factor $R_{\rm AA}$ (Eq. 4), is up to by a factor of 5 478 in Au–Au collisions at $\sqrt{s_{\rm NN}} = 0.2$ TeV at RHIC [8, 9]. It is by a factor of up to 8 in Pb–Pb 479 collisions at $\sqrt{s_{\rm NN}} = 2.76$ TeV in LHC Run1 (2009–2013) [10, 11, 12]. At the latest during 480 LHC Run2 (2015–2018), the LHC provided Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV, which is 481 the highest collision energy in the world. In this thesis, neutral meson (π^0 and η mesons) are 482 focused on. Its advantage is that π^0 and η mesons can be reconstructed via their 2γ decays 483 with a fine-segmented electro-magnetic calorimeter in a wide transverse momentum $(p_{\rm T})$ range. 484 In addition, photons decayed from neutral mesons are huge backgrounds, which have to be 485 subtracted from inclusive photons, for the direct photons measurement described in section 1.5 486 later. 487

488 1.4.1 Particle production in hadron colliders at high $p_{\rm T}$

First of all, the particle production at high $p_{\rm T}$ 489 was measured by CERN-ISR in pp collisions 490 at different energies (23, 45 and 62 GeV) [14]. 491 Figure 5 shows the production cross section 492 of charged hadrons in pp collisions at 23, 53, 493 546 and $p\bar{p}$ collisions at $\sqrt{s} = 1800$ GeV. The 494 invariant differential cross section of charged 495 hadrons is described by an exponential func-496 tion $\exp(-a \cdot p_{\rm T})$ at low $p_{\rm T}$ region, while a 497 power-law behavior $p_{\rm T}^{-n}$ is seen at high $p_{\rm T}$. 498 Moreover, the power-law parameter n is lower 499 at higher collision energies, resulting in harder 500 slope of $p_{\rm T}$ spectra at high $p_{\rm T}$. 501



Figure 5: The production cross section of charged hadrons in pp collisions [13].

The hard scattering occurs in the initial stage of pp and heavy-ion collisions and can be calculated by perturbative QCD (pQCD) based on factorization theorem. Figure 6 shows a schematic diagram of parton interaction $a + b \rightarrow c + x$ in hadronic collisions. The production cross section is defined as :

$$d\sigma^{pp \to h_C X} = dx_a dx_b dz_c \cdot f_a(x_a, \mu_F) \cdot f_a(x_a, \mu_F) \times d\sigma_{a+b\to c+x}(\alpha_s(\mu_R)) \times D_c(z_c, \mu_F), \quad (3)$$

where $f_{a(b)}(x_{a(b)}, \mu_F)$ is called parton distribution function (PDF) which is probability to find a parton a(b) at its momentum fraction at $x_{a(b)}$ in a proton A(B).

There, $x_{a(b)} =$ momentum of parton a(b)/momentum of proton A(B). $d\sigma_{a+b\to c+x}(\alpha_s(\mu_R))$ is a production cross section of parton c from scattering between parton a and b. $D_c(z_c, \mu_F)$ is fragmentation function (FF) which describes probability to hadronize into a hadron h_C from a parton c at momentum fraction z_c , where $z_c =$ momentum of $h_C/$ momentum of parton c. μ_F : factorization scale and μ_R : re-normalization scale are dummy parameters introduced to avoid divergence in theoretical calculations. Usually, they are fixed to transverse momentum of the particle ($\mu_F = \mu_R = p_T$) in calculations.

515 1.4.2 Nuclear modification factor R_{AA}

One of ideas to observe medium-induced effects is to compare particle yields between A–A collision and pp collisions. Due to the large number of partons in A–A collisions, particle yields in A–A collisions is normalized by the number of binary nucleon-nucleon collisions $N_{\rm coll}$. If there are medium-induced effects in A–A collisions, particle yields in A–A collisions may be different from $N_{\rm coll}$ scaling. The medium-induced effects to high $p_{\rm T}$ particles is quantified by a ratio of particle yields in A–A collisions to that in pp collisions at the same center-of-mass energy $\sqrt{s_{\rm NN}}$, called $R_{\rm AA}$:

$$R_{\rm AA} = \frac{d^2 N/dp_{\rm T} dy|_{\rm AA}}{T_{\rm AA} \times d^2 \sigma/dp_{\rm T} dy|_{\rm pp}} = \frac{d^2 N/dp_{\rm T} dy|_{\rm AA}}{N_{\rm coll} \times d^2 N/dp_{\rm T} dy|_{\rm pp}},\tag{4}$$

where $d^2 N/dp_T dy|_{AA}$ is differential particle yields in A–A collisions, $d^2\sigma/dp_T dy|_{PP}$ is differential production cross section in pp collisions and T_{AA} is called nuclear overlap function which is



Figure 6: A schematic diagram $a + b \rightarrow c + d$, where hadron X represents anything else.

connected to the average number of inelastic collisions by $T_{\rm AA} = N_{\rm coll}/\sigma_{\rm pp}^{\rm INEL}$. In case of no medium-induced effects, $R_{AA} = 1$ at high $p_{\rm T}$. Hence, $R_{\rm AA}$ is an excellent probe to see mediuminduced effects. As of 2018, it has been known that $R_{\rm AA} < 1$ for hadrons, $R_{\rm AA} = 1$ for electro-weak bosons $(\gamma, W^{\pm}/Z)$ respectively.

529 1.4.3 Cold nuclear matter effects

In order to understand hadron suppression in A–A compared to pp $(R_{AA} < 1)$, it is important to test particle productions in p–A collisions where the hot and dense QCD medium is not likely created. Possible effects to modify particle yields are multiple soft scatterings or different parton distribution function in a nucleus, which are generally called "cold nuclear matter effects".

Cronin effect It was observed that the produc-534 tion cross section in p–A collisions is not scaled by 535 mass number A of the target nucleus [15] at ISR in 536 1970, compared to that in pp collisions. They got 537 these results by incident proton beam at 200, 300 538 and 400 GeV to fixed Be, Ti and W targets. They 539 found production cross section in p–A collisions as 540 a function of $p_{\rm T}$ and A can be expressed by : 541

$$E\frac{d^{3}\sigma}{dp^{3}}(p_{\rm T},A) = E\frac{d^{3}\sigma}{dp^{3}}(p_{\rm T},1) \times A^{\alpha(p_{\rm T})}, \quad (5)$$

where power $\alpha > 1$ for $p_T > 2$ GeV as shown by Figure. 7. Thus, an enhancement of particle yields in p–A collisions compared to the expectation from pp collisions was observed. This effect is refereed as "Cronin effect" and interpreted as multiple soft scatterings of incoming nucleons, which cause an additional p_T broadening of particles.



Figure 7: Power parameter α vs. $p_{\rm T}$ [15].

Nuclear shadowing Another initial effect is dif-549 ferent parton distribution function in a nucleus. 550 European Muon Collaboration (EMC) firstly re-551 ported that nuclear structure function in a nucleus 552 is different from that in a free proton by deep in-553 elastic scattering (DIS) with μ -Fe(d) collisions [17]. 554 This results in different parton distribution func-555 tion in a nucleus from one in a free proton. Fig-556 ure 8 shows the ratio of nuclear structure func-557 tion in a heavier ion to that in a Carbon ion mea-558 sured by New Muon Collaboration (NMC) [16]. 559 $F_2^{\rm A}/F_2^{\rm C} < 1$ at x < 0.07 referred as "shadowing", 560 $F_2^{\rm A}/F_2^{\rm C} > 1$ at 0.07 < x < 0.3 referred as "anti-561 shadowing" and there is a dip at 0.3 < x called 562 "EMC effect". The relevant x of a parton can be 563 estimated from transverse momentum $p_{\rm T}$ of a lead-564 ing hadron which carries the largest momentum 565 fraction of the original scattered parton by means 566 of : 567

$$x \approx \frac{2p_{\rm T}}{\sqrt{s_{\rm NN}}} \tag{6}$$



At LHC energies $\sqrt{s_{\rm NN}} = 2.76 \sim 5.5$ TeV and Figure 8: The ratio of nuclear structure leading $p_{\rm T}^h \sim O(100)$ GeV, hence x < 0.05 where the shadowing effect is the most relevant.

570 1.4.4 Parton energy-loss

One possible explanation for $R_{AA} < 1$ is parton energy-loss in interaction with the hot and 571 dense QCD medium. By traversing the QCD medium, the parton loses its energy by elastic 572 scattering or gluon radiation. Initially, only radiative energy-loss in static QCD medium (non-573 moving constituents) was assumed in theoretical models such as GLV [18, 19], DGLV [20], 574 BDMPS[21, 22] till ~ 2008 . The radiative energy is similar to Bremsstrahlung of an electron 575 in an electro-magnetic field. However, these calculation gave disagreement with experimental 576 results. Then, one of theoretical models have included radiative energy-loss in dynamical QCD 577 medium (moving constituents) [23, 24]. Currently, it is considered that radiative and elastic 578 energy-losses are comparable in dynamical QCD medium [25, 26]. Theoretical models shown in 579 this thesis are described below. 580

DREENA-C [25] and DREENA-B [26] Descriptions are taken from [25, 26]. DREENA stands for Dynamical Radiative and Elastic ENergy loss Approach and C denotes the constanttemperature QCD medium and B stands for Bjorken expansion of the QCD medium. They aim to calculate the nuclear modification factor R_{AA} and the azimuthal anisotropy v_2 simultaneously in their framework. First, let T be an averaged temperature of the medium, L be an averaged path-length traversed by particles and $\Delta E/E$ be fractional energy-loss. In a simple case for the purpose of these estimations, it is assumed that

$$\Delta E/E \approx \eta T L,\tag{7}$$

where η is a proportionality factor. The nuclear modification R_{AA} is commonly estimated [27] as:

$$R_{\rm AA} \approx \left(1 - \frac{1}{2} \frac{\Delta E}{E}\right)^{n-2},$$
(8)

where n is the steepness of the initial momentum distribution function. Here, different pathlength between in-plain $(L_{\rm in} = L - \Delta L)$ and out-of-plain $(L_{\rm in} = L - \Delta L)$ is introduced. For the constant-temperature QCD medium, the nuclear modification factor $R_{\rm AA}$ can be expressed as :

$$R_{\rm AA} \approx \frac{1}{2} (R_{\rm AA}^{\rm in} + R_{\rm AA}^{\rm out}) \approx 1 - \xi T L, \qquad (9)$$

593 The azimuthal anisotropy v_2 can be :

$$v_2 \approx \frac{1}{2} \frac{R_{AA}^{\rm in} - R_{AA}^{\rm out}}{R_{AA}^{\rm in} + R_{AA}^{\rm out}} \approx \frac{\xi T \Delta L}{2}$$
(10)

For the evolving system, the average temperature along in-plane is higher than that along outof-plane ($T_{\rm in} = T + \Delta T$ and $T_{\rm out} = T - \Delta T$). In this case,

$$R_{\rm AA} \approx 1 - \xi T L, \tag{11}$$

596 and

$$v_2 \approx \frac{\xi T \Delta L - \xi \Delta T L}{2} \tag{12}$$

⁵⁹⁷ Therefore, DREENA-B and -C predict the similar R_{AA} , while the smaller v_2 is predicted by ⁵⁹⁸ DREENA-B. Only R_{AA} is compared to experimental data in this thesis.

⁵⁹⁹ 1.5 Direct photons production

The direct photon is an unique tool to study space-time evolution of the hot and dense matter. 600 Direct photons are defined as photons not originating from hadron decays, for example $\pi^0 \to \gamma \gamma$, 601 $\eta \to \gamma \gamma$ and so on. Because they are not involved in the strong interaction, they carry undistorted 602 information at the time of their productions. Moreover, direct photons are divided into to two 603 sources. One is "thermal photon" originating from the thermal radiation from the hot and dense 604 medium. An averaged temperature $T_{\rm eff}$ of locally equilibrated medium over the all space-time 605 evolution can be measured by the $p_{\rm T}$ spectrum of thermal photons, assuming the Boltzmann 606 distribution $A \times \exp(-p_{\rm T}/T_{\rm eff})$. The previous measurement by PHENIX at RHIC reported $T_{\rm eff}$ = 607 221 ± 19 (stat.) ± 19 (syst.) MeV [28, 29] via virtual photons and $T_{\text{eff}} = 239 \pm 25$ (stat.) ± 7 (syst.) 608 MeV [30] via real photons in 0-20 % central Au–Au collisions at $\sqrt{s_{\rm NN}} = 0.2$ TeV. In ALICE, 609 $T_{\rm eff} = 294 \pm 12 ({\rm stat.}) \pm 47 ({\rm syst.}) \text{ MeV} [31] \text{ in } 0-20 \% \text{ central Pb-Pb collisions at } \sqrt{s_{\rm NN}} = 2.76 \text{ TeV}.$ 610 The other one is "prompt photon" produced by initial hard scatterings between partons. The 611 prompt photon is a powerful probe to test pQCD calculations. Thermal photons are dominant 612 at low $p_{\rm T}$ (1 < $p_{\rm T}$ < 3) regime, while prompt photons exhibit at high $p_{\rm T}$. Figure 9 illustrates 613 Feynman diagrams for direct photon productions. Thermal photons are also emitted from a hot 614 hadron gas (HHG), which is the last stage of collisions. Main constituents of the hot hadron gas 615 are pions and ρ mesons. They produce photon as $\pi^{\pm}\rho \to \pi^{\pm}\gamma$, $\pi^{+}\pi^{-} \to \rho\gamma$ and $\rho \to \pi^{+}\pi^{-}\gamma$. 616



(a) Compton scattering of quark–gluon (b) Annihilation of quark–anti-quark

Figure 9: Feynman diagrams for direct photon productions

⁶¹⁷ 1.5.1 Pioneers of the direct photon measurement

618 WA80

The first attempt to measure thermal photons was performed by the WA80 (West Area) col-619 laboration [32, 33]. WA80 is a fixed-target experiment at the SPS in CERN colliding ¹⁶O and 620 32 S beam at 200A GeV with Au. They reported upper limits on the direct photon yield at the 621 90% confidence level in central ³²S-Au collisions by employing a statistical subtraction method, 622 as shown by Figure 10b. It is a technique to subtract decay photon yields simulated by known 623 sources (e.g. $\pi^0 \to \gamma\gamma, \eta \to \gamma\gamma$ e.t.c.) from inclusive photon yields. The dotted curve is the 624 calculated thermal photon production from a QGP by reference [34]. The solid curve is the ex-625 pected thermal photon production from a hot hadron gas by reference [34]. The dashed curve is 626 also thermal emissions from a hot hadron gas taken from reference [35]. This was the important 627 step, as hadron gas scenarios were excluded by their upper limits. 628

629 WA98

WA98 [36, 37] is also a fixed-target experiment upgraded from WA80. The improvement was 630 a lead glass calorimeter which has excellent energy resolution. The WA98 collaboration has 631 measured direct photon yields in central 158A GeV Pb–Pb collisions for the first time. They 632 used the same statistical subtraction method explained above. Figure 11a shows excess of direct 633 photons beyond decay photons from known sources. The upper (lower) panel is for peripheral 634 (central) collisions. If the ratio is greater than unity beyond statistical (bar at each point) 635 and systematic (shaded band around unity) uncertainties, there are direct photons. Figure 11b 636 shows invariant yields of direct photons in central 158A GeV Pb–Pb collisions. Clear direct 637 photon signals were observed at $p_T > 1.5$ GeVc. Downward arrows indicate upper limits at 90% 638 confidence level. 639

640 1.5.2 Direct photon puzzle

The PHENIX collaboration at RHIC reported not only the invariant yield [30], but also the 641 azimuthal anisotropy $v_2 = \langle \cos(2\Delta\varphi) \rangle$ of direct photons [38] at low $p_{\rm T}$ as shown by Figure 12. 642 It was surprisingly a big discovery of the large v_2 of direct photons. The observed large v_2 643 together with the large direct photon yield contradicts our interpretations. The large direct 644 photon yield are produced at the very early stage, when the temperature of the medium is the 645 highest where the collective flow of the medium is small. Contrary to this, the large v_2 suggests 646 that photons are produced at the very late stage of the collision, when the collective flow of the 647 system is fully developed where the temperature and the corresponding thermal emission rate is 648



Figure 10: Results from WA80 [33].



(a) The ratio of measured inclusive photon yields (b) Invariant yields of direct photons in central colto calculated decay photon yields. lisions.

Figure 11: Results from WA98 [37].

small. Hence, there is difficultly in theoretical models to describe the large yield and the large v_2 for direct photons at the same time. This is called "direct photon puzzle", which is not solved yet as of now. On the other hand, due to the large uncertainty, there is not direct photon puzzle at the LHC energy (Figure 13).



Figure 12: Direct photon yields and flow in 20-40 % Au–Au collisions at $\sqrt{s_{\rm NN}} = 0.2$ TeV with PHENIX [30, 38].



Figure 13: Direct photon yields and v_2 in 20-40% Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV with ALICE [31, 39].

652

653 1.6 Organization of this thesis

Neutral mesons (π^0, η) and direct photon $\gamma^{\rm dir}$ production in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ 654 = 5.02 TeV in ALICE with the PHOS detector are described. This thesis is organized by 655 following. The LHC and ALICE detectors are introduced in Chapter 2. Data sets and its 656 quality assurance for this thesis are written in Chapter 3. Chapter 4 introduces analysis method 657 for neutral mesons measurements. Systematic uncertainties of neutral mesons measurements are 658 summarized in Chapter 5. Results of neutral mesons measurements are discussed in Chapter 6. 659 After that, analysis method for direct photons are given in Chapter 7. Systematic uncertainties 660 of inclusive and direct photons measurements are summarized in Chapter 8. Results of photons 661 measurements are discussed in Chapter 9. Finally, the conclusion of this thesis is in Chapter 10. 662

⁶⁶³ 2 The LHC and the ALICE apparatus

⁶⁶⁴ This section is aimed at basic informations about the LHC accelerator at CERN and the ALICE ⁶⁶⁵ detectors which are relevant to this thesis.

666 2.1 The Large Hadron Collider (LHC)

Descriptions about the LHC are taken from these references [40, 41, 42]. The Large Hadron
Collider (LHC) is located at CERN across the border between France and Switzerland. The
LHC underground tunnel was previously hosted by the Large Electron Positron (LEP) collider.
It is the most powerful particle accelerator in the world, whose circumference length is 27 km.
The LHC can collide protons at a center-of-mass energy up to 14 TeV and Pb ions up to 5.5
TeV per nucleon.
First, protons are produced from Hydrgen gas by stripping electrons in an electic field. They are

accelerated through LINear ACcelerator 2 (LINAC2) up to 50 MeV and injected to a booster for Proton Synchrotoron (PS). At the booster for PS, they are accelerated up to 1.4 GeV. PS accelerates proton beams up to 25 GeV, then sends them to Super Proton Synchrotron (SPS) where they are futher accelerated up to 450 GeV. Finally, proton beams are delivered to the LHC ring and accelerated up to 6500. The designed maximum energy is 7000 GeV per beam, but it is operated at 6500 GeV during Run2 which means center-of-mass energy is at 13 TeV.

⁶⁸⁰ Lead (Pb) ions are produced by heating slid ²⁰⁸Pb to make a vapour [43]. Ion beams are

accelerated up to 4.2 MeV per nucleon by LINear ACcelerator 3 (LINAC3). Low Energy Ion

Ring (LIER) takes them from LINAC3 and accelerates to 72 MeV/n. The rest of path is the same as proton beams, but beam energy is 5.9 GeV/n at the PS, 177 GeV/n at the SPS, 2510

CERN's Accelerator Complex

GeV/n at the LHC.

CMS LHC North Area ALICE LHCb **TT41** SPS AWAK ATLAS HiRadMat TT60 TT2 ISOLDF Y East Area -1 **LFIR** ▶ p (antiproton) electron ->+> proton/antiproton conversion THC Large Hadron Collider SPS Super Proton Synchrotron PS Proton Synchrotron AD Antiproton Decelerator CTE3 Clic Test Facility AWAKE Advanced WAKefield Experiment ISOLDE Isotope Separator Online DEvice

Figure 14: CERN accelerator complex [44].

LEIR Low Energy Ion Ring LINAC LINear ACcelerator n-ToF Neutrons Time Of Flight HiRadMat High-Radiation to Materials

685 2.2 ALICE apparatus

⁶⁸⁶ Detectors descriptions are taken from these references [45, 46].

687 2.2.1 Overview of ALICE apparatus



Figure 15: Overview of ALICE detectors in Run2

From the inner side of the central barrel, Inner Tracking System (ITS) which is six layers of 688 silicon tracker and Time Projection Chamber (TPC) which also provides particle identification 689 (PID) by ionization energy loss dE/dx are installed. They are central tracking systems to 690 measure momenta of charged particles under a solenoid magnet B = 0.5 T in ALICE. Two type 691 of electro-magnetic calorimeters (Photon Spectrometer (PHOS) and EMCal/DCal) are located 692 from 4.6/4.4 m from a interaction point to measure photon and electron energy and its hit 693 position. In addition to them, there are several PID detectors such as Time of Flight (TOF), High 694 Momentum Particle Identification Detector (HMPID), Transition Radiation Detector (TRD) at 695 mid-rapidity. Trigger detectors (VZERO, T0) are installed to study event property (e.g. event 696 plane and multiplicity) at forward and backward rapidity. Zero Degree Calorimeter (ZDC) at 697 forward and backward rapidity is used to reject events induced by beam-gas interactions. Muon 698 tracker and trigger are installed at only forward rapidity under a dipole magnet B = 0.7 T. 699 Hereafter, VOA(C) denotes VZERO detector at A(C)-side, same for T0. In ALICE, A-side is 700 for $\eta > 0$ and C-side is for $\eta < 0$. 701

702 2.2.2 Basic kinematic variables in ALICE coordinate

The coordinate system in ALICE for emitted particles from the interaction point (IP) is righthanded Cartesian coordinate system (x,y,z). The point (0,0,0) is the center of ALICE detectors. The beam axis is in parallel to the z-axis and the x-y plane is transverse to the beam(z-) axis. The positive direction of x-axis is defined as the direction from the IP to the center of the LHC ring. The positive direction of y-axis is upward. More often, a spherical coordinate system (r, θ, φ) is used. The azimuthal angle around the beam(z-) axis $\varphi = \arctan(y/x)$, the polar angle from beam(z-) axis $\theta = \arctan(\sqrt{x^2 + y^2}/z)$, and the distance from the IP $r = \sqrt{x^2 + y^2 + z^2}$. The azimuthal angle φ in the transverse plane starts from $\varphi = 0$ pointing to x = 0, the center of the LHC ring. Rapidity y of a particle is defined as :

$$y = \frac{1}{2} \ln\left(\frac{E+p_z}{E-p_z}\right),$$

where E is energy of the particle, p_z is momentum along the z-axis. Pseudo-rapidity η , the relativistic limit of rapidity y, is also used to point the particle position.

$$\eta = -\ln\left(\tan\left(\frac{\theta}{2}\right)\right)$$

Furthermore, to be Lorentz-invariant in high-energy particle physics, transverse momentum $p_{\rm T}$ which is momentum along the transverse plane is defined as :

$$p_{\rm T} = p\sin\theta = \sqrt{p_x^2 + p_y^2}$$

- Especially, $p_{\rm T}$ is important variable, as it is given by collisions.
- The distance in $\eta \varphi$ plane ΔR is used for jet reconstruction and particle isolation as :

$$\begin{split} \Delta R &= \sqrt{\Delta \eta^2 + \Delta \varphi^2} \\ \Delta \eta &= \eta_i - \eta_j \\ \Delta \varphi &= \varphi_i - \varphi_j, \end{split}$$

where $\eta_{i(j)}$, $\varphi_{i(j)}$ represent the position of particle i(j).

2.2.3**Trigger detectors** 719

VZERO The VZERO detector [47] consisting of 32×2 plastic scintillators covers -3.7 <720 $\eta < -1.7$ V0C and 2.8 $< \eta < 5.1$ V0A. This detector provides minimum-bias (MB) triggers 721

V0OR/V0AND. V0OR (INT5) requires at least one hit on either V0A or V0C. V0AND (INT7) 722

requires at least one hit on each V0A and V0C. The VZERO detector also measures event 723 multiplicity and event plane in Pb–Pb collisions.



Figure 16: Sketches of VOA and VOC arrays [48].



Figure 17: Position of VZERO (A-C) arrays and ITS around the beam pipe [48].

724

726

It also rejects beam-gas interactions by collision timing. As shown by Figure 19, three event 725 classes are observed: collisions at (8.3 ns,14.3 ns), beam-gas interactions at (-14.3 ns,-8.3 ns)

and (14.3 ns,8.3 ns). 727



Figure 18: V0 (V0A + V0C) amplitude distribution [46].



Figure 19: Correlation between the sum and the difference of hit timing of VOA and VOC [46].

T0 The T0 detector [47], quartz Cherenkov detector, measures collision timing and the position

- ⁷²⁹ of the interaction along the beam line precisely. It also delivers luminosity at IP2 to LHC
- operators. The acceptance of the T0 detector is $-3.3 < \eta < -3.0$ for T0C and $4.6 < \eta < 4.9$ for T0A.



Figure 20: Positions of T0A and T0C [49].

731

732 2.2.4 Central Tracking System

Inner Tracking System (ITS) The ITS 733 detector [51] is inner-most silicon tracker to 734 reconstruct a primary vertex of a collision and 735 momenta of charged particles. The coverage 736 of the ITS is $|\eta| < 0.9$ and 2π in azimuth. It 737 consists of three different types that are Sil-738 icon Pixel Detector (SPD), Silicon Strip De-739 tector (SSD) and Silicon Drift Detector (SDD) 740 from inner to outer layer. Each of them has 741 two layers. SSD and SDD also provide ioniza-742 tion energy loss dE/dx for PID at low trans-743 verse momentum. 744

Time Projection Chamber (TPC) TPC [54] 745 is the main tracking detector which mea-746 sures momenta of charged particles and ion-747 ization energy loss dE/dx for PID in AL-748 ICE. Advantages of TPC are great spatial res-749 olution under high multiplicity environment 750 $N_{\rm ch} \sim O(10^3)$ produced by Pb–Pb collisions 751 and strong PID performance. The coverage 752 is $|\eta| < 0.9, 2\pi$ in azimuth and its radius is 753 between 85 and 250 cm around the beam axis. 754



Figure 21: The layout of ITS [50].



Figure 23: The layout of TPC [52, 53].



Figure 22: dE/dx measured in ITS standalone as a function momentum of charged particle [46].



Figure 24: dE/dx measured in TPC as a function momentum of charged particle [46].

755 2.2.5 Electro-magnetic calorimeters

Photon Spectrometer (PHOS) PHOS [55, 45] is the main detector in this thesis. PHOS is 756 a homogeneous electro-magnetic calorimeter located from 4.6 m from the interaction point. It 757 consists of fine-segmented 12,544 PbWO₄ crystals readout by Avalanche Photo Diode (APD)s, 758 operated at -25 degrees Celcius. A Moliere radius of the PbWO₄ crystal is 2.2 cm which allows us 759 to distinguish two photons decayed from π^0 at high $p_{\rm T}$ with a small opening angle. A radiation 760 length X_0 is 0.89 cm and a density is 8.29 g/cm³ for the PbWO₄ crystal. Volume of one crystal 761 is $2.2 \times 2.2 \times 18$ cm³, which corresponds to 20 X₀. The acceptance of the PHOS detector is 762 $|\eta| < 0.12, 250^{\circ} < \varphi < 320^{\circ}, \Delta \varphi = 20^{\circ}$ for one module. The energy resolution as a function of 763 energy E in GeV is [56] : 764

$$\frac{\sigma_E}{E} \ (\%) = \sqrt{\left(\frac{0.013}{E}\right)^2 + \left(\frac{0.036}{\sqrt{E}}\right)^2 + (0.0112)^2}$$

The position resolution as a function of energy E in GeV is [55] :

$$\sigma_{x,z} \text{ (mm)} = \sqrt{\left(\frac{3.26}{\sqrt{E}}\right)^2 + 0.44^2}$$



Figure 25: Elements of the PHOS detector.

PHOS is constructed as shown by Figure 25. The $PbWO_4$ crystal readout by the APD for 767 one element on top left, one strip unit has 8×2 elements on to right. One module consists of 768 $64 \times 56 = 3584$ elements on bottom left. Finally, there are three and a half modules are installed 769 in ALICE. (A half module have been installed since 2015.) The PHOS detector provides Level-770 0 and Level-1 triggers to select events containing high energy deposition in the area of 4×4 771 cells on PHOS. Energy thresholds of triggers are configurable and were set to 4 GeV (L0) in 772 pp collisions at $\sqrt{s} = 5.02$ TeV (2017) and 8 GeV (L1 High), 4 GeV (L1 Midium) in Pb–Pb 773 collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (2015). The latency of the L0 and the L1 trigger is 1.2 and 7 μ s 774 respectively [57]. 775

776 2.2.6 Other detectors

ALICE detectors that are not relevant to this thesis (ACORDE, AD, CPV, EMCal, FMD, HMPID, MCH, MTR, PMD, TOF, TRD, ZDC) are explained in [45, 46].

779 **3** Data sets

The detailed event selection, cluster selection on PHOS and quality of data are described in this
 section.

782 3.1 Data sets in pp collisions at $\sqrt{s} = 5.02$ TeV

783 Minimum-bias events and PHOS triggered events have been analyzed in this these. The inte-

⁷⁸⁴ grated luminosity used in this analysis is 19 nb⁻¹ for Minimum-bias and 550 nb⁻¹ for PHOS L0 triggered events respectively.



Figure 26: The integrated luminosity in pp collisions at $\sqrt{s} = 5.02$ TeV taken in 2017.

785

786 Run lists

787 LHC17p

	•
788	282343, 282342, 282341, 282340, 282314, 282313, 282312, 282309, 282307, 282306, 282305, 2823
789	282304, 282303, 282302, 282247, 282230, 282229, 282227, 282224, 282206, 282189, 282147,
790	$282146,\ 282127,\ 282126,\ 282125,\ 282123,\ 282122,\ 282120,\ 282119,\ 282118,\ 282099,\ 282098,$
791	$282078,\ 282051,\ 282050,\ 282031,\ 282030,\ 282025,\ 282021,\ 282016,\ 282008.$
792	m LHC17q
793	282441, 282440, 282439, 282437, 282399, 282398, 282393, 282392, 282391, 282367, 282366,
794	282365.
795	In LHC17q, MB events were recorded in only 282367, 282366, 282365.
700	Monto Carlo simulation samples
796	Monte-Carlo sinulation samples
797	LHC17l3b PYTHIA8 for LHC17p-q (~ 200 M events)
798	LHC17j3[a,b,c][1,2] single particle simulation (π^0, η, γ) for LHC17pq (main efficiency for
799	correction in LHC17pq)

800

801	Event selection
802	physics selection (reject beam-gas interactions)
803	the number of charged track associated with the primary vertex > 0
804	pileup rejection by SPD
805	$ \mathrm{Z}_{\mathrm{vtx}} < 10 \mathrm{~cm}$
806	
807	Minimal cluster selection
808	$E_{\text{cluster}} > 0.2 \text{ GeV}$ (to extract photon signal as much as possible at low energy)
809	M02 > 0.1 cm for only $E > 1$ GeV (to extract photon signal as much as possible at low
810	energy)
811	M20 > 0.1 cm for only $E > 2$ GeV (to extract photon signal as much as possible at low
812	energy)
813	M20 < 2.0 cm (to remove clusters whose size is too large)
814	TOF < 12.5 ns in real data (to remove photons from other bunch crossings)
815	
816	The total number of events after these event selection is about 975 M MB events and 1.0 M

⁸¹⁶ The total number of events after these event selection is about 975 M MB events and 1.0 M ⁸¹⁷ PHOS triggered events. A cluster means "a group of cells". Photons interact with PbWO₄ ⁸¹⁸ crystals and generate electro-magnetic showers, depositing energy in a group of cells around the ⁸¹⁹ impact point of each photon. This group of cells is defined as a cluster. The sum of amplitudes ⁸²⁰ measured in each cell in the cluster is proportional to the initial photon energy. The center of ⁸²¹ gravity in cell coordinates weighted by the cell energy logarithmically defines the hit position. ⁸²² Second moments (M20, M02) of the cluster is used to discriminate electro-magnetic or hadronic ⁸²³ showers [58, 59].

824 3.1.1 Quality assessment of MB data

The minimum-bias (MB) trigger configuration was V0AND (INT7 in Figure.26) in this data taking period. As a first check of PHOS data, an average cluster energy and an average number of hits are plotted. The average values are stable in all runs. π^0 peak parameters are plotted run-by-run to verify that PHOS was stable in this period. As a result, M1,2,3 are all stable.

Especially, π^0 peak could not be seen well on M4, because M4 has limited detector acceptance. A peak position in M1,2,3 are consistent within statistical error bar. There are poor statistics in some runs where π^0 peak is not so clear. M4 was excluded from the beginning because a systematic uncertainty of material budget is large in front of M4 due to TOF + TRD, which is not suitable for the precise photon measurement.



Figure 27: The average cluster energy and number of hits in each run on PHOS in LHC17p pass1.



Figure 28: The average cluster energy and number of hits in each run on PHOS in LHC17q pass1.



Figure 29: π^0 yield, peak position and sigma in each run in LHC17p pass1.



Figure 30: π^0 yield, peak position and sigma in each run in LHC17q pass1.
⁸³⁴ 3.1.2 Quality assessment of PHOS triggered data

In addition to minimal event selection described above, at least one high energy hit on PHOS 835 is required for the PHOS trigger. Additional quality assessments were performed in case of 836 PHOS triggered data. PHOS L0 trigger decision is taken by each TRU by the sliding window 837 algorithm. If analogue sum of 2×2 FastORs (= 4×4 cells) is greater than the threshold, 838 PHOS L0 trigger fires. On the other hand, PHOS L1 trigger decision is taken by STU. STU 839 stands for Summary Trigger Unit and it is new trigger device since Run2. STU summarizes all 840 TRU information and scan them by the same sliding window algorithm beyond TRU borders. 841 Thanks to STU, PHOS L1 trigger can detect high energy hits between borders of TRUs, while 842 L0 can not. At first, one has to check distance between a fired TRU channel and cluster hit 843 positions in X and Z coordinate respectively. Since TRU stores cell indices at the bottom-left of 844 fired channels, a typical distance is expected to be [-3,0] in X and [-3,0] in Z. Figure 31 proves 845 that the typical distance is [-3,0] in X and [-3,0] in Z. Based on this fact, a matching criterion 846 between a fired TRU channel and a cluster is set to [-3,0] in X and [-3,0] in Z respectively. The 847 dead TRUs are in white (Figure 31,32). PHOS triggered events must contain at least one cluster 848 which matches the fired TRU channel decided by the criterion based on the distance between 849 fired TRU channels and clusters. Fig.32 shows energy distribution in PHOS L0 triggered events. 850 The matching efficiency is close to 100% above the trigger threshold at 4 GeV in pp collisions 851 at $\sqrt{s} = 5.02$ TeV (LHC17pq). The rejection factor of the PHOS L0 trigger in pp collisions at 852 $\sqrt{s} = 5.02$ TeV is stable at 30.6 k as shown by Figure 33. 853



(a) The distance between fired TRU channels and cluster position on M1 in LHC17pq.



(b) The distance between fired TRU channels and cluster position on M2 in LHC17pq.



(c) The distance between fired TRU channels and cluster position on M3 in LHC17pq.

Figure 31: The distance between fired TRU channels and cluster position in different module for $E_{\text{cluster}} > 4 \text{ GeV}$ in LHC17pq.



(c) Energy distribution on M3 in LHC17pq.

Figure 32: Energy distribution of all clusters and triggered clusters and ratios in LHC17pq.



Figure 33: The rejection factor of PHOS L0 trigger (run-by-run) in pp collisions at $\sqrt{s}=5.02~{\rm TeV}$

$_{854}$ 3.2 Data sets in Pb–Pb collisions at $\sqrt{s_{ m NN}} = 5.02~{ m TeV}$

The integrated luminosity used in this analysis is $12 \ \mu b^{-1}$ for Minimum-bias and $70 \ \mu b^{-1}$ for PHOS L1 triggered events respectively.



Figure 34: The integrated luminosity in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV taken in 2015.

856

```
Run lists
LHC150
```

```
pass1
859
         246982, 246980, 246937, 246930, 246928, 246867, 246865, 246855, 246851, 246847, 246846,
860
         246845, 246844, 246810, 246809, 246808, 246807, 246805, 246804, 246766, 246765, 246763,
861
         246760, 246759, 246758, 246757, 246751, 246750, 246676, 246675, 246495, 246493, 246488,
862
         246487, 246434, 246431, 246428, 246424, 246275, 246271, 246225, 246222, 246217, 246185,
863
         246182, 246181, 246180, 246178, 246153, 246152, 246151, 246148, 246115, 246113, 246089,
864
         246087, 246049, 246048, 246042, 246037, 246036, 246012, 246003, 246001, 245963, 245954,
865
         245952, 245949, 245923, 245831, 245829, 245705, 245702, 245700, 245692, 245683.
866
         pass1_pidfix
867
         245545, 245544, 245543, 245542, 245540, 245535, 245507, 245505, 245504, 245501, 245497,
868
         245496, 245454, 245453, 245452, 245450, 245446, 245441, 245439, 245410, 245409, 245407,
869
         870
         245232, 245231, 245152, 245151, 245146, 245145
871
         low_IR pass5
872
         246392, 246391, 246390, 245068, 245066, 245064, 244983, 244982, 244980, 244975, 244918
873
874
```

875	Monte-Carlo simulation samples
876	LHC16g1[,a,b,c] HIJING for LHC150 (~ 10 M events)
877	LHC17i7[a,b,c][1,2] single particle simulation (π^0, η, γ) for LHC150 (main efficiency for
878	correction in LHC150)
879	
880	Event selection
000	physics selection (reject beam gas interactions)
881	physics selection (reject beam-gas interactions)
882	the number of charged track associated with the primary vertex > 0
883	pileup rejection by SPD
884	$ \mathbf{Z}_{\mathrm{vtx}} < 10 \mathrm{~cm}$
885	centrality estimator : V0 multiplicity (V0M)
886	
887	Minimal cluster selection
888	$E_{\text{cluster}} > 0.2 \text{ GeV}$ (to extract photon signal as much as possible at low energy)
880	M02 > 0.1 cm for only $E > 1$ GeV (to extract photon signal as much as possible at low
800	energy)
090	M20 > 0.1 cm for only $E > 2$ CoV (to ovtract photon signal as much as possible at low
891	M20 > 0.1 cm for only $E > 2$ GeV (to extract photon signal as much as possible at low
892	energy)
893	M20 < 2.0 cm (to remove too large size cluster)
894	TOF < 50.0 ns in real data (to remove photons from other bunch crossings)
895	

⁸⁹⁶ 3.2.1 Quality assessment of MB data

The minimum-bias (MB) trigger configuration was V0AND (MB in Figure.34) in this data taking 897 period. As a first check of PHOS data, an average cluster energy and an average number of 898 hits are plotted here. Average values stay stable in all runs. π^0 peak parameters are plotted 899 (Figure.38, Figure.39 and Figure.40) run-by-run to verify that PHOS was stable in this period. 900 As a result, M1,2,3 are all stable. Especially, π^0 peak could not be seen well on M4, because 901 M4 has limited detector acceptance. A peak position in M1,2,3 are consistent within statistical 902 error bar. There are poor statistics in some runs where π^0 peak is not so clear. Note that M4 903 was excluded from analyses in Pb–Pb, too. 904

⁹⁰⁵ 3.2.2 Quality assessment of PHOS triggered data

In this data taking period (LHC150), 2 different L1 triggers that are high (L1H) and medium 906 (L1M) threshold triggers were active. As it has been known that PHOS L1 triggers on M3 did 907 not work because of poor matching efficiency between trigger units and readout units from the 908 begenning of analyses in this data taking perid, Since STU stores cell indices at the top-left of 909 fired channels, a typical distance is expected to be [-3,0] in X and [-1,2] in Z. Based on Figure 41 910 and 42, a matching criterion between a fired TRU channel and a cluster is set to [-3,0] in X 911 and [-3,0] in Z for module 1 and [-3,0] in X and [-1,2] in Z for module 2. M3 is excluded from 912 trigger analyses in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV. The matching efficiency is close to 913 100% above the trigger thresholds at 4 GeV for medium (L1M) and 8 GeV for high (L1H) in 914 Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (LHC15o). The rejection factor of PHOS L1 triggers in 915 Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV is stable at 9.66 k for L1H and 0.835 k for L1M as shown 916 by Figure 45. According to Figure 45a, runs 245233, 245439 and 246391 have small rejection, 917 which means the L1H trigger have fired too often. Thus, these 3 runs were excluded from PHOS 918 L1 trigger analyses. 919



Figure 35: The average cluster energy and number of hits in each run on PHOS in LHC150 pass1.



Figure 36: The average cluster energy and number of hits in each run on PHOS in LHC150 pass1_pidfix.



Figure 37: The average cluster energy and number of hits in each run on PHOS in LHC150 lowIR pass5.



Figure 38: π^0 yield, peak position and sigma in each run in LHC150 pass1.



Figure 39: π^0 yield, peak position and sigma in each run in LHC150 pass1_pidfix.



Figure 40: π^0 yield, peak position and sigma in each run in LHC150 lowIR pass5.



(b) Module 2.

Figure 41: The distance between fired TRU channels and cluster position on different modules for L1H at $E_{\rm cluster} > 8$ GeV in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV



Figure 42: The distance between fired TRU channels and cluster position on different modules

for L1M at $E_{\text{cluster}} > 4$ GeV in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV



Figure 43: Energy distribution of all clusters and triggered clusters and ratios on different modules for L1H in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV



Figure 44: Energy distribution of all clusters and triggered clusters and ratios on different modules for L1M in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV



Figure 45: The rejection factor of PHOS L1 trigger (run-by-run) in Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV

⁹²⁰ 4 Analyses of neutral mesons

Procedure to measure production cross section of neutral mesons are described in this section. At first, an analysis strategy to give an overview of analyses is summarized in 4.1. Since photon identification is a key of this thesis, criteria for photon selection is in 4.2. The detailed explanation about analyses in pp and Pb–Pb are in section 4.3 and 4.4, respectively.

925 4.1 Analysis strategy

The PHOS detector is used to measure energies and positions of produced photons. The minimum-bias trigger is V0AND which requires at least 1 hit on each V0A and V0C. Neutral mesons (π^0 and η) are reconstructed by invariant mass method defined by Eq. 13, which is based on 4-momentum conservation between a particle and its decay products.

$$M_{\gamma\gamma} = \sqrt{2E_1 E_2 (1 - \cos\theta_{12})},\tag{13}$$

where $E_{1/2}$ is energy of photon 1/2, θ_{12} is opening angle between photon 1 and photon 2. The 930 invariant mass reconstruction is performed over all possible combinations in each event. Raw 931 yields of neutral mesons are obtained by counting histogram entries around 135 MeV/ c^2 for π^0 932 and 547 MeV/ c^2 for η respectively. The background is subtracted by mixed-event technique 933 (a first photon is taken from a current event and a second photon is from another event). 4-934 momentum of particles never conserves in this technique and this gives us only background. Same 935 procedure is performed in M.C. simulation. Since generated particle is known in simulation, an 936 acceptance \times reconstruction efficiency ε can be measured by : 937

acc. × rec. efficiency
$$\varepsilon = \frac{\text{Number of reconstructed particles on PHOS}}{\text{Number of generated particles in } |y| < 0.5 \text{ and } 2\pi \text{ in azimuth}}$$
 (14)

⁹³⁸ Finally, a production cross section of particle is given by :

$$E\frac{d^3\sigma}{dp^3} = \frac{1}{2\pi} \times \frac{1}{p_{\rm T}} \frac{dN}{dp_{\rm T}} \times \frac{1}{\Delta y} \times \frac{1}{\varepsilon} \times \frac{1}{L_{\rm int}},\tag{15}$$

where $\frac{dN}{dp_{\rm T}}$ is transverse momentum- $(p_{\rm T}$ -)differential raw yield of particle and $L_{\rm int} = \frac{N_{\rm ev}}{\sigma_{\rm pp}^{\rm VOAND}}$ is an integrated luminosity. The cross section of VOAND trigger $\sigma_{\rm pp}^{\rm VOAND} = 51.2 \pm 1.2$ mb and the total inelastic cross section $\sigma_{\rm pp}^{\rm INEL} = 67.6 \pm 0.6$ mb [60] in pp collisions at $\sqrt{s} = 5.02$ TeV. In case of rare-triggered data (e.g. high-energy photon trigger in PHOS), the particle yields have to be further normalized by a trigger rejection factor (RF).

$$RF = \frac{MB}{MB \& \text{ rare-trigger input}}$$
(16)

$$L_{\rm int} = \frac{N_{\rm ev}}{\sigma_{\rm pp}^{\rm V0AND}} \times \rm RF \tag{17}$$

Once neutral mesons yields are measured in both pp and Pb–Pb collisions, the nuclear modification factor R_{AA} for each particle is measured based on.4.

946 4.2 Photon identification

⁹⁴⁷ There are two types of photon identification cut to clusters measured by PHOS. They are ⁹⁴⁸ Charged Particle Veto (CPV) and shower shape cut called dispersion cut.

949 4.2.1 CPV cut

This cut is to reject charged particles. As photon is neutral and can not be tracked, photon hits on PHOS should not match extrapolated tracks from ITS/TPC. Hence, if a distance in the x - z plane between a cluster and an extrapolated track is closer than a certain threshold, the cluster is rejected.

954 4.2.2 Dispersion cut

This cut is to select electro-magnetic clusters by an elliptic shape of the electro-magnetic shower evolution in $PbWO_4$ crystals. It is characterized by eigenvalues in a cluster [58, 59] :

$$M02 \text{ (cm)} = \frac{1}{2} \left(\sigma_{xx}^2 + \sigma_{zz}^2 + \sqrt{(\sigma_{xx}^2 - \sigma_{zz}^2)^2 + 4\sigma_{xz}^4} \right) \text{ for long axis}$$
$$M20 \text{ (cm)} = \frac{1}{2} \left(\sigma_{xx}^2 + \sigma_{zz}^2 - \sqrt{(\sigma_{xx}^2 - \sigma_{zz}^2)^2 + 4\sigma_{xz}^4} \right) \text{ for short axis,}$$

where $\sigma_{xz}^2 = \langle xz \rangle - \langle x \rangle \langle z \rangle$, $\langle x \rangle = \frac{1}{w_{\text{total}}} \sum_i w_i x_i$ is the weighted average over all cells in a

cluster. The weight w_i is given by $w_i = \max(0, 4.5 + \ln(E_i/E))$, where E_i is cell energy at i and $w_{\text{total}} = \sum_i w_i$. Clusters are required to pass a criterion based on correlation between M02 and

 M_{20} as a function of the energy. Especially for clusters at low energy, simple minimum and maximum thresholds to N_{cell} and M_{02} as a function of their energy are imposed, instead of the dispersion cut. N_{cell} is the number of cells in a cluster (i.e. how many cells a cluster consists of). In order to save photon clusters at low energy, these criteria are loose for low energy clusters

⁹⁶⁴ where the evolution of the electro-magnetic shower is poor.

4.3 Analyses in pp collisions at $\sqrt{s} = 5.02 \text{ TeV}$

Details of analyses in pp collisions are described here. First, neutral meson reconstruction via two photons were performed. Second, M.C. tuning to reproduce realistic peak parameters and determine efficiency. Then, various cut efficiencies (cluster timing, triggering, feed down from strange hadrons) have been evaluated.

970 4.3.1 Raw yield extraction

 π^0 and η mesons are reconstructed via their two photons decay with invariant mass method. The neutral meson peaks are fitted by Gaussian function and integrated over the mean value $\pm 3\sigma$. Backgrounds are estimated by mixed event technique. Varying fitting ranges, functions and integral ranges are included in systematic uncertainties.



Figure 46: Invariant mass distributions in pp collisions at $\sqrt{s} = 5.02$ TeV (INT7)



Figure 47: Invariant mass distributions in pp collisions at $\sqrt{s} = 5.02$ TeV (PHI7)

Figure 46, 47 are invariant mass distributions for MB and L0 PHOS triggered events respectively. Neutral meson signal are clearly seen. The number of neutral meson signals is obtained by bincounting on the invariant mass distribution at each $p_{\rm T}$ bin.



Figure 48: Raw yields of neutral mesons in pp collisions at $\sqrt{s} = 5.02$ TeV

Raw yields are plotted on Figure 48. No PID cut was applied in π^0 signal extraction in pp, while an energy asymmetry cut ($\alpha = \frac{|E_1 - E_2|}{E_1 + E_2} < 0.7$) and CoreDisp 2.5 σ only in INT7 events were applied for the η meson measurement. As η has heavier mass (547 MeV/ c^2) than π^0 mass (135 MeV/ c^2), the tighter cut is helpful to extract its signal.

982 4.3.2 Acceptance \times reconstruction efficiency

The efficiency is obtained by M.C. simulation. First, M.C. simulation has to reproduce realistic peak position and width of neutral mesons by tuning energy measurement in M.C.. Figure 49, 50 show good agreement of peak parameters by Gaussian fitting to π^0 and η meson between data and M.C..



Figure 49: π^0 peak parameters in pp collisions at $\sqrt{s} = 5.02$ TeV



Figure 50: η peak parameters in pp collisions at $\sqrt{s} = 5.02$ TeV

Once properties of neutral meson peak are reproduced by M.C., acceptance \times reconstruction efficiency has been measured based on Eq. 14.



(a) acceptance \times reconstruction efficiency of π^0 (b) accept

(b) acceptance \times reconstruction efficiency of η

Figure 51: acceptance × reconstruction efficiency of neutral mesons in pp collisions at $\sqrt{s} = 5.02$ TeV with PHOS

989 4.3.3 Timing cut

The bunch space of each proton beam bunch was 25 ns during LHC-Run2 operation. Timing cut ($|\text{TOF}_{\text{cluster}}| < 12.5 \text{ ns}$) was applied at cluster level to reject clusters from other BCs. The timing of a cluster is defined as the timing of a leading cell which has the highest amplitude in APDs. TOF cut efficiency(ε_{TOF}) is defined by :

$$\varepsilon_{\rm TOF} = \frac{N_{\rm TOF \ \gamma}^{\rm triggered \ BC}}{N_{\rm all \ \gamma}^{\rm triggered \ BC}},\tag{18}$$

⁹⁹⁴ where $N_{\text{TOF }\gamma}^{\text{triggered BC}}$ is the number of photons after TOF cut in the triggered BC and $N_{\text{all }\gamma}^{\text{triggered BC}}$ ⁹⁹⁵ is the number of all photons in the triggered BC respectively. The efficiency is measured by ⁹⁹⁶ data driven, called tag-and-probe method. This technique is widely applicable for any kinds ⁹⁹⁷ of efficiency, e.g. trigger efficiency, PID cut efficiency and so on. The first photon is required to pass the timing cut (tagged photon) and reconstructing invariant mass with two photons in same events. If the reconstructed invariant mass is in the π^0 (η) meson signal window, typically 0.12 < $M_{\gamma\gamma}$ < 0.15 GeV/ c^2 (0.5 < $M_{\gamma\gamma}$ < 0.6 GeV/ c^2), the second photon is called probe photon. Then, the efficiency can be measured with probe photons by :

$$\varepsilon = \frac{\text{The number of probe photons which pass criteria}}{\text{The number of all probe photons}}$$
(19)

The drop of TOF efficiency in Figure 52b at $E_{\text{cluster}} > 6$ GeV is due to switching high gain (HG) to low gain (LG) channels in the PHOS readout electronics. Timing resolution is worse in LG, as LG channels have lower gain. Then, the number of photons is corrected by ε_{TOF} as a function of photon energy. Since ε_{TOF} is measured as a function of photon energy, $\frac{1}{\varepsilon_{\text{TOF}}^1 \times \varepsilon_{\text{TOF}}^2}$ is necessary at neutral mesons level which is reconstructed from two photons.



ergy.

Figure 52: The cluster timing distribution and TOF cut efficiency

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1007 4.3.4 Trigger efficiency

The PHOS trigger allows us to measure high energy photons/electrons efficiently in AL-ICE. The energy threshold of the PHOS L0 trigger in pp collisions at $\sqrt{s} = 5.02$ TeV (LHC17pq) period was set to 4 GeV in sum of 4 × 4 analogue signal (FastOR). The rejection factor is defined by :

$$RF = \frac{MB}{MB \& 0PH0 \text{ and matched with cluster}}$$
(20)

as shown by The PHOS trigger efficiency ismeasured in MB events by means of :

$$\varepsilon_{\rm trg} = {{\rm Number of \ triggered \ clusters \ in \ kINT7}\over {\rm Number \ of \ all \ clusters \ in \ kINT7}_{(21)}}$$



Figure 53: PHOS L0 trigger efficiency in pp collisions at $\sqrt{s} = 5.02$ TeV

¹⁰¹⁷ Charged particle veto and dispersion cut were applied for both nominator and denominator to ¹⁰¹⁸ get high photon purity. The trigger efficiency in pp collisions at $\sqrt{s} = 5.02$ TeV (LHC17pq) reaches 0.6 above the energy threshold. For the neutral meson reconstruction, at least one triggered cluster (logical-OR) is required in this analysis. The trigger efficiency for π^0 and η is $\varepsilon_{\rm trg}^{\rm OR} = \varepsilon_{\rm trg}^1 + \varepsilon_{\rm trg}^2 - \varepsilon_{\rm trg}^1 \times \varepsilon_{\rm trg}^2$.

1022 4.3.5 Feed down correction from strange hadrons

 π^0 from strange hadrons decays such as $K_S^0 \rightarrow \pi^0 \pi^0$ (BR = 30.69%, $c\tau$ = 2.7 cm) and $\Lambda \rightarrow$ 1023 1024 $n\pi^0$ (BR = 35.8 %, $c\tau = 7.9$ cm (negligible)) 1025 contribute the total number of π^0 , while π^0 1026 from primary interaction is focused on. Hence, 1027 they have to be subtracted from the total 1028 number of π^0 . For this study, M.C. simula-1029 tion with PYTHIA8 event generator was used 1030 to estimate this contribution. However, it 1031 is known that PYTHIA event generator does 1032 not reproduce realistic K^{\pm}/π^{\pm} ratio. There-1033 fore, re-weighting to K_S^0 spectrum is neces-sary. Since K^{\pm}/π^{\pm} ratio in pp collisions at 1034 1035 $\sqrt{s} = 5.02$ TeV has not been published as of 1036 January 31 2019, K^{\pm}/π^{\pm} ratio in pp collisions 1037



Figure 54: Feed down factor for π^0 from K_S^0 in pp collisions at $\sqrt{s} = 5.02$ TeV

at $\sqrt{s} = 2.76$ TeV [61, 62] are taken as a reference. K^{\pm}/π^{\pm} ratio does not depend on collision energy at ~TeV energy region [61, 63]. The feed down factor is defined as :

$$FD = \frac{\text{Number of reconstructed } \pi^0 \text{ from } K_S^0}{\text{Number of all reconstructed } \pi^0}$$
(22)

Figure 55 shows K^{\pm}/π^{\pm} ratio before and after the re-weighting procedure. The FD factor is plotted on Figure 54, which is about 6% at the maximum and decreases with $p_{\rm T}$.





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¹⁰⁴² 4.4 Analyses in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02 \text{ TeV}$

Details of analyses in Pb–Pb collisions are described in this section. They are generally the 1043 same as in pp collisions. In addition to analyses in pp, events are classified by multiplicity 1044 on the VZERO detector called "centrality class". The centrality at 0 % indicates the highest 1045 multiplicity class and the higher value of centrality, the lower multiplicity class. There were 1046 two active L1 PHOS triggers in Pb–Pb collisions recorded in 2015. One is CINT7PHH, high 1047 energy threshold at 8 GeV for all centrality classes. The other is CPER7PHM, medium energy 1048 threshold at 4 GeV for peripheral collisions (centrality > 60%). As shown by Figure 56, the 1049 centrality distribution in Minimum-Bias events (CINT7) is well calibrated and flat. However, 1050 they are biased in PHOS triggered data. It is understood that the probability to detect a 1051 high energy photon under the high multiplicity environment is higher than that in peripheral 1052 collisions, because the number of produced photons is also large in central collisions. Trigger 1053 rejection factors for L1H and L1M are biased, too.



Figure 56: Centrality V0M distributions in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (2015)

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1055 4.4.1 Raw yield extraction

Figure 57, 58 are invariant mass distributions for MB and L1 PHOS triggered events respectively. Neutral meson signal are clearly seen in all centrality classes. The number of neutral meson signals is obtained by bin-counting on the invariant mass distribution at each $p_{\rm T}$ bin. Raw yields are plotted on Figure 59, 60 in different centrality classes. Both CPV and core-dispersion cuts were applied to clusters in Pb–Pb collisions. Furthermore, energy asymmetry $\alpha = \frac{|E_1 - E_2|}{E_1 + E_2} < 0.8$ for π^0 and $\alpha < 0.7$ for η mesons were also applied.



Figure 57: Invariant mass distributions in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (INT7)



Figure 58: Invariant mass distributions in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (PHI7)



Figure 59: Raw yields of π^0 in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV



Figure 60: Raw yields of η in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

1062 4.4.2 Acceptance \times reconstruction efficiency

¹⁰⁶³ Due to the extremely high charged particle multiplicity $dN_{\rm ch}/d\eta \approx O(10^3)$ [64, 65] in central ¹⁰⁶⁴ Pb–Pb collisions, the reconstruction efficiency for photons and neutral mesons is influenced and ¹⁰⁶⁵ centrality-dependent. In order to take high multiplicity environment into account, the efficiency ¹⁰⁶⁶ in Pb–Pb collisions is obtained by using embedding technique. The main idea of embedding ¹⁰⁶⁷ technique is to merge real data as underlying events (UE) with events from single particle ¹⁰⁶⁸ simulation (π^0 , η and γ) and to reconstruct data again. This allows us to study how clusters are ¹⁰⁶⁹ modified under the realistic high multiplicity environment. The general procedure is following :

- 1070 1. embed 1 simulated particle per 1 underlying event.
- cell information in both UE and simulation are inversely calibrated to ADC values from cell
 energy. At this step, global energy scale and non-linear response of energy measurement
 in simulation is also inversely applied.
- ¹⁰⁷⁴ 3. merge all cells at ADC level.
- ¹⁰⁷⁵ 4. clusterize merged cells by the same clustering algorithm.



(a) acceptance \times reconstruction efficiency of π^0

(b) acceptance \times reconstruction efficiency of η

Figure 61: acceptance × reconstruction efficiency of neutral mesons in Pb–Pb collisions at $\sqrt{s_{\text{NN}}}$ = 5.02 TeV with PHOS

As well as analyses in pp, M.C. simulation has to reproduce realistic peak position and width 1076 of neutral mesons. To avoid overlapping effect under high multiplicity environment, π^0 peak 1077 parameters were tuned in peripheral collisions. Figure 62, 63, 64, 65 are the comparison of 1078 peak parameters for π^0 and η between data and embedding M.C. Peak parameters are in good 1079 agreement in peripheral collisions, while 1% of discrepancy in peak position is found in central 1080 collisions. The global energy scale and the non-linearity response of energy measurement in M.C. 1081 are fully detector response and should not depend on event multiplicity. Therefore, $\Delta E/E \approx 0.01$ 1082 in central collisions is attributed to an additional systematic uncertainty of the global energy 1083 scale. 1084



Figure 62: π^0 peak position in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV for different centrality classes



Figure 63: π^0 peak width in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV for different centrality classes



Figure 64: η peak position in Pb–Pb collisions at $\sqrt{s_{\rm NN}}=5.02$ TeV for different centrality classes



Figure 65: η peak width in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV for different centrality classes

1085 4.4.3 Timing cut

The general procedure is the same as in pp, but the bunch space was 100/150/175/225 ns in Pb–Pb collisions (2015). So, the timing cut for clusters is |TOF| < 50 ns. This wide time window leads higher TOF cut efficiency than one in pp. The drop of TOF efficiency in Figure 66b at $E_{\text{cluster}} > 6$ GeV is due to switching high gain (HG) to low gain (LG) channels in the PHOS readout electronics.



Figure 66: Timing distribution of clusters and TOF cut efficiency

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1091 4.4.4 Trigger efficiency

There were two active L1 PHOS triggers in Pb–Pb collisions recorded in 2015. One is CINT7PHH,
high energy threshold at 8 GeV for all centrality classes. The other is CPER7PHM, medium
energy threshold at 4 GeV for peripheral collisions (centrality > 60%). As the rejection factor
strongly depends on centrality (Figure 67a), this bias was also taken into account for the event
normalization. The trigger efficiency has a plateau region at 0.45 above the threshold shown
by Figure 67b. The rejection factor and trigger efficiency are plotted for centrality 0-90 %,
because they have been measured in MB events. This method is available, since all fired triggers information is stored even in MB events.



Figure 67: PHOS L1 triggers performance in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

1100 4.4.5 Feed down correction from strange hadrons

HIJING event generator was used to esti-1101 mate feed down in Pb–Pb collisions. The re-1102 weighting to K_S^0 spectrum is necessary, be-1103 cause it is also known that HIJING does not 1104 reproduce realistic K^{\pm}/π^{\pm} ratio. K^{\pm}/π^{\pm} ra-1105 tio in Pb–Pb collisions at $\sqrt{s} = 2.76$ TeV [61] 1106 are taken as a reference. Figure 69, 70 show 1107 K^{\pm}/π^{\pm} ratio before and after the re-weighting 1108 procedure. The FD factor in different cen-1109 trality classes is plotted on Figure 68. It is 1110 about 11% at the maximum in central (0-5%)1111 collisions and becomes smaller in peripheral 1112 (60 - 80%) collisions. 1113



Figure 68: Feed down factor for π^0 from K_S^0 in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

1114 4.5 Combining MB and PHOS triggered data

Neutral meson spectra have been measured independently in minimum bias data and PHOS triggered data. Finally, they have been combined by the weighted average described in [66]. Since systematic uncertainties of global energy scale, PID, material budget, feed down in case of π^0 and acceptance of detector are common between minimum bias and PHOS triggered data, quadratic sum of uncertainties of yield extraction, TOF in INT7, trigger efficiency in PHI7 and statistical uncertainty are used as weights. The weighted average is defined as :

$$\hat{\mu} = \frac{1}{w} \sum_{i}^{n} w_i y_i, \tag{23}$$

where $w_i = \frac{1}{\sigma_i^2}$ and $w = \sum_i^n w_i$. The standard deviation of $\hat{\mu}$ is $\frac{1}{\sqrt{w}}$.



Figure 69: K^{\pm}/π^{\pm} ratio in M.C. before re-weighting.



Figure 70: K^{\pm}/π^{\pm} ratio in M.C. after re-weighting.

¹¹²² 5 Systematic uncertainties for neutral mesons

1123 5.1 Yield extraction

A systematic uncertainty of yield extraction was estimated by varying fitting functions, fitting ranges and integral regions. In total, 24 combinations were performed for each neutral mesons. The relative systematic uncertainty of the yield extraction is defined as standard deviation/mean value of 24 samples.

- Fitting function for signal : Gaussian/CrystalBall [67]
- Fitting function for background : polynomial 1/2
- Fitting ranges for π^0 : [0.06,0.22], [0.04,0.20], [0.08,0.24] GeV/ c^2
- Fitting ranges for η : [0.4,0.7], [0.35,0.65], [0.45,0.75] GeV/ c^2
- Integral region : $[-3\sigma, +3\sigma], [-2\sigma, +2\sigma]$ around the peak

¹¹³³ 5.2 Global energy scale

The global energy scale was evaluated by energy to momentum ratio E/p of electrons (positrons) 1134 in data and M.C.. Criteria for e^{\pm} identification are $-2 < n\sigma_e < 3$ in dE/dx measured by TPC 1135 and matched with a PHOS cluster which pass dispersion cut (2.5 σ). Here, the $n\sigma_e$ represents 1136 accepted deviation in unit of standard deviation from the dE/dx value expected for the electron 1137 signal. Figure.71 shows electron E/p reaches 1 at high energy and is well reproduced by M.C. 1138 According to this study, the discrepancy between data and M.C. in $E/p\pm 0.5\%$ is assigned to an 1139 uncertainty of energy scale. The $p_{\rm T}$ of neutral meson is shifted by $\Delta p_{\rm T}/p_{\rm T} = \pm 0.005$ in TCM 1140 function (or Hagedorn function for η meson in pp) fitting, and the ratio to the function with 1141 $\Delta p_{\rm T}/p_{\rm T} = 0$ was taken. The larger side is assigned to the final systematic uncertainty of particle 1142 yields due to the global energy scale. In case of Pb–Pb collisions, the energy scale uncertainty



Figure 71: E/p of e^{\pm} and the uncertainty of particle yield by the energy scale in pp collisions at $\sqrt{s} = 5.02$ TeV.

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¹¹⁴⁴ due to the discrepancy of peak position between data and M.C. $(\Delta p_{\rm T}/p_{\rm T} \sim 0.01$ for centrality ¹¹⁴⁵ 0-10 %, $\Delta p_{\rm T}/p_{\rm T} \sim 0.005$ for centrality 10-40 %) was added quadratically.

¹¹⁴⁶ 5.3 Non-linearity of energy measurement in simulation

The non-linear response of the energy measurement was studied in pp collisions at $\sqrt{s} = 5.02$ TeV taken in 2015 data, described in section B.8.6.

¹¹⁴⁹ 5.4 Trigger efficiency

The systematic uncertainty related to the trigger efficiency was estimated by varying fitting range at plateau region on Figure 53 and 67b. They have plateau region at 0.597 ± 0.015 for PHOS L0 trigger in pp collisions (2017) and at 0.45 ± 0.02 for PHOS L1H/M trigger in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV, respectively. Since neutral meson yields are corrected by logical-OR (i.e. $\varepsilon_{\rm NM}^{\rm trig} = \varepsilon_{\gamma 1}^{\rm trig} + \varepsilon_{\gamma 2}^{\rm trig} - \varepsilon_{\gamma 1}^{\rm trig} \times \varepsilon_{\gamma 2}^{\rm trig}$), the uncertainty of trigger efficiency for 1 photon is analytically propagated to the uncertainty of their yields at high $p_{\rm T}$.

1156 5.5 Timing cut efficiency

There were data taking period when a bunch space of each pp collision was 1000 ns which was much wider than timing resolution of PHOS. These runs allow us to estimate systematic uncertainty of TOF cut efficiency. The idea is defined by Eq.24. The deviation from unity in the ratio is considered as a systematic uncertainty of TOF cut.

ratio =
$$\frac{\pi^0 \text{ yield at BS} = 25 \text{ ns corrected by } \varepsilon_{\text{TOF}}^1 \times \varepsilon_{\text{TOF}}^2}{\pi^0 \text{ yield at BS} = 1000 \text{ ns } (\varepsilon_{\text{TOF}} = 1)}$$
 (24)

As shown by Figure.72a, it is found to be 2% in pp collisions at $\sqrt{s} = 5.02$ TeV, not depending



Figure 72: The ratio of π^0 raw yields in high intensity runs to those in low intensity runs.

1161

¹¹⁶² on $p_{\rm T}$. The same approach was applied for Pb–Pb analysis, but the nominal bunch space (BS) ¹¹⁶³ was 100 ns. It is found to be 4% in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV.

1164 5.6 PID cut efficiency

¹¹⁶⁵ In order to check photon identification cut on PHOS, each PID cut efficiency as a function of ¹¹⁶⁶ photon energy was evaluated. i.e. Charged Particle Veto (2.5σ) and dispersion cut (2.5σ) were ¹¹⁶⁷ tested. Especially in pp collisions, the CPV cut efficiency is very close to unity, because average ¹¹⁶⁸ charged track multiplicity in pp collisions is expected to be $5 \sim 7$ tracks at mid-rapidity [68]. ¹¹⁶⁹ Hence, the probability of random matching between a photon hit and a charged particle is small.
¹¹⁷⁰ The deviation from unity in the ratio Data/M.C. is considered as systematic uncertainty of PID cut, which is $\sim 2\%$ without depending on photon energy in all centralities.



Figure 73: PID cut efficiency as a function of photon energy in pp collisions at $\sqrt{s} = 5.02$ TeV.



Figure 74: PID cut efficiency as a function of photon energy in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV centrality 0-10%.



Figure 75: PID cut efficiency as a function of photon energy in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV centrality 10-20%.

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1172 5.7 Feed down from strange hadrons

The systematic uncertainty of feed down correction to π^0 is inherited from the systematic uncertainty of the measured K^{\pm}/π^{\pm} ratio [61]. Typically, the systematic uncertainty of K/π ratio is about 10 % at the maximum. Thus, it is feed down correction × 0.1 in both pp and Pb–Pb collisions.



Figure 76: PID cut efficiency as a function of photon energy in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV centrality 20-40%.



Figure 77: PID cut efficiency as a function of photon energy in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV centrality 40-60%.



Figure 78: PID cut efficiency as a function of photon energy in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV centrality 60-80%.

1177 5.8 Acceptance of PHOS detector

This estimation was done in 2015 data of pp collisions at $\sqrt{s} = 5.02$ TeV by varying the distance to the closest bad channel (0 or 1 cell), which is described in section B.8.7. Typically, it is 1.5 % for neutral mesons.

1181 5.9 Material budget

This uncertainty is common in pp and Pb–Pb data, as ALICE detector did not change during 1182 Run2 operation. The systematic uncertainty of the material budget has been estimated by 1183 comparing π^0 yields between magnetic field ON and OFF taken in 2017 data (LHC17d). As 1184 converted e^+e^- pairs do not bend without magnetic field, the e^+e^- pair is reconstructed as 1185 same as a photon candidate. This results in increase of the reconstructed π^0 yields and allows 1186 us to estimate description of the material budget in simulation. Note that there are TOF and 1187 TRD in front of PHOS M4 (a half module). As shown by Fig.79, π^0 yields at B = 0.0 T is 1188 higher those in 0.5 T and well described by M.C in M123 (1.01 ± 0.02) . However, there are large 1189 statistical error bars in M4 (1.11 ± 0.21) . Thus, I decided to exclude M4 from my analyses and 1190 the systematic uncertainty of the material budget is 2% from this study.



Figure 79: top : ratio of π^0 yields at B = 0.5 T to those at B = 0.0 T in data and M.C.. bottom : Double ratio of π^0 yields

¹¹⁹² 5.10 Summary of systematic uncertainties

1193 Total systematic uncertainties for π^0 and η mesons are summarized in this section.





Figure 80: The summary of systematic uncertainties of the π^0 measurement in pp collisions at $\sqrt{s} = 5.02$ TeV



Figure 81: The summary of systematic uncertainties of the η measurement in pp collisions at $\sqrt{s} = 5.02$ TeV

¹¹⁹⁵ 5.10.2 Summary of systematic uncertainties in Pb–Pb collisions at $\sqrt{s_{
m NN}} = 5.02$ ¹¹⁹⁶ TeV



Figure 82: The summary of systematic uncertainties of the π^0 measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (0-5 %)



Figure 83: The summary of systematic uncertainties of the π^0 measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (5-10 %)



Figure 84: The summary of systematic uncertainties of the π^0 measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (10-20 %)



Figure 85: The summary of systematic uncertainties of the π^0 measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (20-40 %)



Figure 86: The summary of systematic uncertainties of the π^0 measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (40-60 %)



Figure 87: The summary of systematic uncertainties of the π^0 measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (60-80 %)



Figure 88: The summary of systematic uncertainties of the η measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (0-10 %)



Figure 89: The summary of systematic uncertainties of the η measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (10-20 %)



Figure 90: The summary of systematic uncertainties of the η measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (20-40 %)



Figure 91: The summary of systematic uncertainties of the η measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (40-60 %)



Figure 92: The summary of systematic uncertainties of the η measurement in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV (60-80 %)

¹¹⁹⁷ 6 Results and discussions for neutral mesons

Results of neutral mesons analyses are summarized in this section. Production cross sections, invariant yield, particle ratio η/π^0 , and nuclear modification factor R_{AA} are described. In all figures, vertical bars represent statistical error and boxes indicate the systematic uncertainty.

1201 6.1 Invariant cross section of particles

The production cross section of π^0 and η mesons have been measured in pp collisions at $\sqrt{s} = 5.02$ TeV. Neutral mesons spectra are fitted by either two-component model (TCM) function [69, 70, 71] or Hagedorn function [72]. Two-component model function is :

$$E\frac{d^3\sigma}{dp^3} = A_e \exp\left(-\frac{E_{\rm Tkin}}{T_e}\right) + A\left(1 + \frac{p_{\rm T}^2}{T^2 \cdot n}\right)^{-n},\tag{25}$$

where A_e, T_e, A, T and n are free parameters for fitting and $E_{\text{Tkin}} = \sqrt{p_{\text{T}}^2 + m^2} - m$ is transverse kinetic energy (m is mass of particle). The exponential term is for soft, and the power-law is for hard particle production. Hagedorn function is :

$$E\frac{d^{3}\sigma}{dp^{3}} = A\left(1 + \frac{p_{\mathrm{T}}}{p_{0}}\right)^{-n}, \qquad (26)$$

$$\left(1 + \frac{p_{\mathrm{T}}}{p_{0}}\right)^{-n} \rightarrow \begin{cases} \exp\left(-\frac{n}{p_{0}}p_{\mathrm{T}}\right) & \text{for } p_{\mathrm{T}} \ll p_{0} \\ p_{\mathrm{T}}^{-n} & \text{for } p_{\mathrm{T}} \to \infty \end{cases}$$

where A, p_0 and n is free parameters for fitting. Hagedorn function behaves exponential at low $p_{\rm T}$ and power-law at high $p_{\rm T}$. Fitting parameters are listed in Table. 1, 2, 3, 4.



Figure 93: Production cross sections of neutral mesons in pp collisions at $\sqrt{s} = 5.02$ TeV



(b) The invariant yield of η

Figure 94: Invariant yields of neutral mesons in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

Table 1: Fitting parameters of TCM function in pp collisions at \sqrt{s} = 5.02 TeV

particle	$A_e \text{ (pb GeV}^{-2} c^3)$	$T_e \; ({\rm GeV}/c)$	$A (\mathrm{pb} \ \mathrm{GeV}^{-2} \ c^3)$	$T \; (\text{GeV}/c)$	n
π^0	$(2.57 \pm 0.58) \times 10^{11}$	0.18 ± 0.02	$(0.16 \pm 0.04) \times 10^{11}$	0.67 ± 0.03	3.16 ± 0.02

Table 2: Fitting parameters of Hagedorn function in pp collisions at $\sqrt{s}=5.02~{\rm TeV}$

particle	$A \text{ (pb GeV}^{-2} c^3)$	$p_0 \; (\text{GeV}/c)$	n
η	$(1.58 \pm 0.58) \times 10^{11}$	0.96 ± 0.08	6.7 ± 0.1

centrality (%)	$A_e \; (\mathrm{GeV}^{-2} \; c^3)$	$T_e \; ({\rm GeV}/c)$	$A (\mathrm{GeV}^{-2} \ c^3)$	$T \; (\text{GeV}/c)$	n
0-5	187 ± 26	0.39 ± 0.01	1526 ± 1055	0.29 ± 0.05	2.75 ± 0.04
5-10	144 ± 22	0.39 ± 0.01	1026 ± 500	0.33 ± 0.04	2.78 ± 0.04
10-20	105 ± 15	0.39 ± 0.01	421 ± 129	0.39 ± 0.03	2.85 ± 0.03
20-40	40.7 ± 7.4	0.40 ± 0.01	233 ± 52	0.41 ± 0.02	2.89 ± 0.03
40-60	5.9 ± 1.9	0.43 ± 0.02	92 ± 16	0.44 ± 0.02	2.93 ± 0.03
60-80	78 ± 36	0.16 ± 0.03	5.9 ± 2.8	0.64 ± 0.06	3.17 ± 0.04
0-10	185 ± 24	0.39 ± 0.01	1062 ± 466	0.32 ± 0.03	2.76 ± 0.03
0-90	43.7 ± 7.1	0.39 ± 0.01	163 ± 43	0.41 ± 0.02	2.88 ± 0.02

Table 3: Fitting parameters of TCM function for π^0 in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

Table 4: Fitting parameters of TCM function for η in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

centrality (%)	$A_e \; (\text{GeV}^{-2} \; c^3)$	$T_e \; (\text{GeV}/c)$	$A (\mathrm{GeV}^{-2} \ c^3)$	$T \; (\text{GeV}/c)$	n
0-10	6.1 ± 2.9	0.55	202 ± 27	0.36	2.68
10-20	0.78 ± 2.0	0.55	171 ± 21	0.36	2.68
20-40	3.1 ± 0.6	0.55	103 ± 10	0.36	2.68
40-60	0.81 ± 0.25	0.55	55.5 ± 6.2	0.36	2.68
60-80	0.15 ± 0.07	0.55	15.8 ± 2.1	0.36	3.68
0-90	2.6 ± 1.5	0.55 ± 0.05	112 ± 89	0.36 ± 0.05	2.68 ± 0.10

Especially, η meson spectra in Pb–Pb collisions have only 6 ~ 7 data points, that leads poor quality of the fitting or divergence. Therefore, centrality classes are merged into 0-90 % to get the full statistics of data and fitted by TCM function. When η meson spectra in different centrality classes are fitted by TCM, T_e , T and n are fixed to those in centrality 0-90 % to avoid divergence of the fitting. Hence, yield parameters A_e and A are free parameters in each centrality class.

Figure 95 shows the ratio of $p_{\rm T}$ spectra of π^0 at $\sqrt{s_{\rm NN}} = 5.02$ TeV to those at $\sqrt{s_{\rm NN}} = 2.76$

¹²¹⁷ TeV [73, 74] in Pb–Pb (color filled marker) and pp (black open marker) collisions for same

¹²¹⁸ centrality classes. Ratios of spectra increase with $p_{\rm T}$ in both pp and Pb–Pb collisions which means harder $p_{\rm T}$ spectra at higher collision energy.



Figure 95: Comparison of $p_{\rm T}$ spectra for π^0 between $\sqrt{s_{\rm NN}} = 5.02$ and 2.76 TeV in Pb–Pb collisions

1220 6.2 Particle ratio

 η/π^0 ratios have been measured in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV for different 1221 centrality classes, as shown by Figure 96 and Figure 97. As, the statistical uncertainty is large, 1222 no centrality dependence of η/π^0 ratios in Pb–Pb collisions is observed. In order to reduce 1223 statistical and systematic uncertainties, all centrality (Figure.97b) have been combined in Pb-1224 Pb collisions. The η/π^0 ratio is found to be 0.507 ± 0.017 (stat.) ± 0.008 (syst.) in pp collisions 1225 and 0.491 ± 0.022 (stat.) ± 0.017 (syst.) at $p_{\rm T} > 3.6 \text{ GeV}/c$ in centrality 0-90% Pb–Pb collisions 1226 at $\sqrt{s_{\rm NN}} = 5.02$ TeV. The measured η/π^0 ratios may be claimed to be consistent with published 1227 ALICE results [74, 75, 76, 77] within experimental uncertainties, although the ratio in pp 1228 collisions at $\sqrt{s} = 5.02$ TeV is a bit higher than that in pp collisions at $\sqrt{s} = 8$ TeV [78].



Figure 96: The η/π^0 ratio in pp collisions at $\sqrt{s} = 5.02$ TeV





(b) η/π^0 in centrality 0-90 %



Nuclear modification factors R_{AA} of neutral mesons 6.3 1230

Since neutral mesons spectra have been measured in both pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 1231 5.02 TeV, nuclear modification factors R_{AA} in different centrality class have been determined. 1232 The typical values of the nuclear overlap function T_{AA} used in this thesis are summarized in 1233 Table.5. These are taken from the reference [79]. Boxes around unity is the total normalization 1234 uncertainty, namely, square root of the quadratic sum of systematic uncertainty of T_{AA} and 1235 systematic uncertainty of normalization for spectra in pp collisions. R_{AA} reaches 0.13 at p_{T} = 1236 5-6 GeV/c in central Pb–Pb collisions for both π^0 and η mesons and increase with $p_{\rm T}$.

centrality	$T_{\rm AA}~({\rm mb}^{-1})$	syst. $T_{AA} \text{ (mb}^{-1}\text{)}$	$N_{\rm coll}$	syst. $N_{\rm coll}$	$N_{\rm part}$	syst. N_{part}
0-5 (%)	25.92	0.37	1752	28	382.3	2.4
5-10 (%)	20.22	0.52	1367	37	329.1	5
10-20 (%)	14.27	0.36	964.8	25	260.2	5.2
20-40 (%)	6.872	0.21	464.5	15	158.5	3.1
40-60 (%)	2.046	0.05	138.3	3.1	70.61	1.1
60-80 (%)	0.4173	0.014	28.21	0.81	23.34	0.43

Table 5: Geometrical parameters in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV [79]

1237

6.3.1Collision energy $\sqrt{s_{\rm NN}}$ dependence 1238

 $R_{\rm AA}$ of π^0 mesons in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ and 2.76 TeV are compared on Figure 98. 1239

In spite of the fact that $p_{\rm T}$ spectra become harder at higher collision energy both in pp and 1240 Pb–Pb collisions, R_{AA} is found to be the same at two collision energies. This indicates the larger 1241

parton energy-loss at the higher collision energy.



Figure 98: R_{AA} of π^0 in Pb–Pb collisions at $\sqrt{s_{NN}} = 5.02$ and 2.76 TeV

1242

There is one more possibility to compare the $p_{\rm T}$ spectrum and $R_{\rm AA}$ of π^0 in central collisions 1243

1244 (0-10%) with higher statistics [76]. Those were recorded in 2011, so called LHC11h period, 1245 in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 2.76$ TeV. As published results are available up to $p_{\rm T} = 20$ 1246 GeV/c, the comparison has been performed at only $p_{\rm T} < 20$ GeV/c here. Considering the large 1247 experimental uncertainties for both results, comparisons on Figure 99 again indicate the harder 1248 $p_{\rm T}$ spectrum at higher collision energy, but the same suppression level at two collision energies 1249 up to $p_{\rm T} = 20$ GeV/c.



Figure 99: Comparison of the ratio of $p_{\rm T}$ spectrum and $R_{\rm AA}$ in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ and 2.76 TeV (2011 sample)

1250 6.3.2 Comparison to theoretical models

 $R_{\rm AA}$ of π^0 and η mesons are compared to theoretical models (Figure 100). The prediction in-1251 cluding both radiative and elastic energy-loss in the hydrodynamically expanding QCD medium 1252 by M.Djordjevic [26] shows quantitatively good agreement with data in all centrality classes for 1253 both π^0 and η mesons. The model based on the same approach in the constant-temperature 1254 QCD medium without the evolution by M.Djordjevic [25] also gives good agreement again. This 1255 can be interpreted as that the evolution of the medium affects the azimuthal anisotropy v_2 of 1256 hadrons, rather than to R_{AA} , as she explains [26, 25]. Models by M.Djordjevic aim to reproduce 1257 $R_{\rm AA}$ and v_2 for hadrons simultaneously in her framework. So, it might be interesting to see 1258 them for comprehensive studies in the future. 1259

1260 6.3.3 Hadron species dependence

 R_{AA} of π^0 and η mesons are consistent with each other within experimental uncertainties at $p_T > 4 \text{ GeV}/c$. However, it seems R_{AA} for η meson is systematically higher than that of π^0 at low p_T , which is similar to those previously measured in Pb–Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV [76, 80].

 $R_{\rm AA}$ for different hadron species in central Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV are summarized 1265 on Figure 102. The suppression of neutral and charged [81] pions is consistent with each other, 1266 as expected (centrality classes 0-5 and 5-10% were merged into 0-10% for π^{\pm} and K^{\pm}). The 1267 comparison indicates the similar suppression pattern between η and K^{\pm} [81] mesons for whole 1268 $p_{\rm T}$ range, but seems to differ from pions at $p_{\rm T} < 4 \ {\rm GeV}/c$. This is explained by that both η and 1269 K^{\pm} mesons consist of a strange quark and an up, down quark, while pions contain up, down 1270 quarks. However, with the present accuracy of the η meson measurement, it is not enough to 1271 determine whether the suppression is different/same for π^0 and η at low $p_{\rm T}$. On the other hand, 1272 comparing R_{AA} between π^0 and D mesons [82], the suppression of D mesons is clearly weaker 1273 than that of π^0 mesons at $p_{\rm T} < 10 {\rm ~GeV}/c$. This is because of smaller energy-loss for charm 1274 quarks than for up and down quarks due to its heavier mass. At high $p_{\rm T}$, the parton energy-loss 1275 does not depend on the quark mass [84, 85] and thus, R_{AA} is the same for light and heavy flavor 1276 hadrons. B^{\pm} mesons which contain a bottom quark and a light quark have been measure in 1277 centrality class 0-100% by CMS [83] by triggering muons from from $B^{\pm} \to J/\psi K^{\pm} \to \mu^{+}\mu^{-}K^{\pm}$ 1278 at high $p_{\rm T}$. So, it would be interesting to see $R_{\rm AA}$ of charm-hadrons and bottom-hadrons at low 1279 $p_{\rm T}$ in Run3 at $\sqrt{s_{\rm NN}} = 5.5$ TeV. 1280



Figure 100: Comparison of $R_{\rm AA}$ with theoretical models in Pb–Pb collisions at $\sqrt{s_{\rm NN}}=5.02$ TeV



Figure 101: Comparison of R_{AA} between π^0 and η in Pb–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV for different centrality classes.



Figure 102: R_{AA} of π^0 , η , π^{\pm} , K^{\pm} , D and B^{\pm} mesons in central (0-10%) Pb–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV [81, 82, 83]

1281 6.3.4 Comparison of R_{AA} and R_{pA} at $\sqrt{s_{NN}} = 5.02 \text{ TeV}$

Comparing the suppression of high p_T hadrons between A–A and p–A collisions can distinguish
whether the suppression is initial state or final state effects. Figure 103 shows there is no
suppression in p–Pb collisions [77], while the strong suppression is observed in Pb–Pb collisions.
This demonstrates that the strong suppression observed in Pb–Pb collisions is not related to
initial state effect, but to the formation of hot and dense QCD medium.



(a) $R_{\rm AA}$ and $R_{\rm pA}$ of π^0

(b) R_{AA} and R_{pA} of η

Figure 103: R_{AA} , R_{pA} of π^0 and η mesons

¹²⁸⁷ 7 Analyses for direct photon

Detailed descriptions for the direct photon γ^{dir} measurement by using measured π^0 and η mesons are described in this section.

1290 7.1 Analysis strategy

¹²⁹¹ First of all, the inclusive photon γ^{inc} spectrum has to be measured as :

$$E\frac{d^3N_{\gamma^{\rm inc}}}{dp^3} = \frac{1}{2\pi} \times \frac{1}{p_{\rm T}} \frac{dN}{dp_{\rm T}} \times P \times \frac{1}{\Delta y} \times \frac{1}{\varepsilon} \times \frac{1}{N_{\rm ev}},\tag{27}$$

where P is photon purity in the total number of clusters. The photon purity is estimated by a data driven approach described in section 7.7.

¹²⁹⁴ Direct photons γ^{dir} are defined as produced photons not originating from hadron decays as ¹²⁹⁵ follows :

$$\gamma^{\rm dir} = \gamma^{\rm inc} - \gamma^{\rm decay} = \gamma^{\rm inc} \cdot \left(1 - \frac{1}{R_{\gamma}}\right),\tag{28}$$

where γ^{inc} indicates inclusive photons and γ^{decay} denotes decay photons from hadrons. In order to observe direct photon signals, it is convenient to introduce a variable R_{γ} which is the ratio of inclusive photons yields to decay photons yields.

$$R_{\gamma} = \frac{\gamma^{\rm inc}}{\gamma^{\rm decay}} = \frac{(\gamma^{\rm inc}/\pi^0)_{\rm data}}{(\gamma^{\rm decay}/\pi^0)_{\rm cocktail}}$$
(29)

The π^0 spectrum is inserted in R_{γ} because experimentally systematic uncertainties related to 1299 the energy measurement cancel out in the ratio. The cocktail simulation (mixture of hadrons 1300 which decay into photons such as π^0 , η , ω , η' , ρ and ϕ e.t.c.) is used to determine decay photon 1301 yields. Thus, neutral mesons measurements described in the previous section are important 1302 inputs to this cocktail simulation. Finally, if $R_{\gamma} > 1$, inclusive photon yields in data are larger 1303 than decay photon yields, which means the excess of direct photon signals beyond decay photon 1304 yields. If R_{γ} is consistent with unity within experimental uncertainties, upper limits at the 90% 1305 confidence level (C.L.) are set. The invariant yield of direct photon is obtained by : 1306

$$\frac{1}{2\pi N_{\rm ev}} \frac{d^2 N_{\gamma^{\rm dir}}}{p_{\rm T} dp_{\rm T} dy} = \frac{1}{2\pi N_{\rm ev}} \frac{d^2 N_{\gamma^{\rm inc}}}{p_{\rm T} dp_{\rm T} dy} \times \left(1 - \frac{1}{R_{\gamma}}\right)$$
(30)

¹³⁰⁷ In case of upper limits on direct photon yields at the 90% confidence level, mean data point + 1.28 σ is considered at each $p_{\rm T}$ bin.

1309 7.2 Raw yields of clusters

At first, raw yields of cluster have been constructed as shown by Figure 104. Only the coredispersion cut was applied to clusters in pp and both CPV and core-dispersion cuts was used in Pb–Pb collisions.



Figure 104: Raw yields of clusters in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

1313 7.3 Acceptance \times reconstruction efficiency

The acceptance \times reconstruction efficiency has been measured by the same procedure as neutral 1314 mesons analyses, namely the single γ simulation in pp and the embedded simulation (single γ 1315 events + real underlying events) in Pb-Pb collisions. One should keep different active area of 1316 the PHOS detector in different data taking periods in mind. As single γ simulation on only the 1317 PHOS detector was employed, there is no tracking information in single γ simulation for pp case. 1318 Thus, only the dispersion cut was applied to clusters in pp collisions for both data and M.C.. 1319 However, the CPV cut efficiency in pp collisions is close to 100% due to the low multiplicity 1320 environment $\frac{dN_{\rm ch}}{dy} = 5 \sim 7$ at mid-rapidity [68]. On the other hand, after embedding photons into real underlying events, track matching between a cluster and a track was available in Pb– 1321 1322 Pb case. Late conversion electrons ($\gamma \rightarrow e^+e^-$ outside of TPC) are also considered as photon 1323 signals, because they can not be rejected by the CPV cut. Efficiencies are plotted on Figure 105. 1324 The higher efficiency is observed in peripheral collisions due to the small overlapping probability 1325 between clusters, as expected.



Figure 105: Acceptance × reconstruction efficiencies in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

1326

1327 7.4 TOF cut efficiency

¹³²⁸ This is the same as the neutral mesons analysis, but corrected by $1/\varepsilon_{\text{TOF}}$.

1329 7.5 Trigger efficiency

1330 This is the same as the neutral mesons analysis, but corrected by $1/\varepsilon_{\rm trg}$.

¹³³¹ 7.6 Feed down correction for $K_S^0 \to \pi^0 \pi^0 \to 4\gamma$

¹³³² Photons from strange hadron decays were subtracted based on PYTHIA and HIJING event ¹³³³ generator for pp and Pb–Pb respectively. K^{\pm}/π^{\pm} has been already tuned for the π^0 measurement ¹³³⁴ explained in the previous section. They are about 5-6% at low $p_{\rm T}$ and 2-3% at high $p_{\rm T}$.



(a) The feed down correction in pp collisions.

(b) The feed down correction in Pb–Pb collisions.

Figure 106: Feed down corrections from K_S^0 in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV

1335 7.7 Photon purity

In order to measure inclusive and direct photons spectra, the photon purity has been estimated
by a data driven approach. The definition of photon purity is a fraction of the number of photon
clusters in the total number of clusters.

1339 7.7.1 Data driven approach for photon purity estimation

The total number of cluster N_{cluster} can be expressed as $N_{\text{cluster}} = N_{\gamma} + N_{e^{\pm}} + N_{\pi^{\pm}} + N_{K^{\pm}} + N_{p} + N_{\bar{p}} + N_{\bar{p}} + N_{\bar{n}} + N_{\bar{n}} + N_{K_{L}^{0}} + N_{\mu^{\pm}} + N_{\nu} + N_{\bar{\nu}}$. It is known that $\bar{p}/p \sim 1$ in high-energy hadron collisions [342] [86] and $N_{p} \sim N_{n}$ based on isospin symmetry. In this analysis, there are 4 independent PID cuts (no PID, CPV, Disp, and CPV+Disp). Then, a system 31 can be constructed to estimate particle composition in PHOS clusters.

$$\begin{pmatrix} N_{\text{all}} \\ N_{\text{CPV}} \\ N_{\text{Disp}} \\ N_{\text{both}} \end{pmatrix} = \begin{pmatrix} 1 & C_{\text{ch}} + C_{\text{nh}} & 2 & 1 \\ \varepsilon_{\gamma}^{\text{CPV}} & \varepsilon_{\pi^{\pm}}^{\text{CPV}} C_{\text{ch}} + \varepsilon_{\gamma}^{\text{CPV}} C_{\text{nh}} & \varepsilon_{\bar{p}}^{\text{CPV}} + \varepsilon_{\gamma}^{\text{CPV}} & \varepsilon_{e^{\pm}}^{\text{CPV}} \\ \varepsilon_{\gamma}^{\text{Disp}} & \varepsilon_{\pi^{\pm}}^{\text{Disp}} (C_{\text{ch}} + C_{\text{nh}}) & 2\varepsilon_{\bar{p}}^{\text{Disp}} & \varepsilon_{e^{\pm}}^{\text{Disp}} \\ \varepsilon_{\gamma}^{\text{CPV}} \times \varepsilon_{\gamma}^{\text{Disp}} & (\varepsilon_{\pi^{\pm}}^{\text{CPV}} C_{\text{ch}} + \varepsilon_{\gamma}^{\text{CPV}} C_{\text{nh}}) \times \varepsilon_{\pi^{\pm}}^{\text{Disp}} & (\varepsilon_{\bar{p}}^{\text{CPV}} + \varepsilon_{\gamma}^{\text{CPV}}) \times \varepsilon_{\bar{p}}^{\text{Disp}} & \varepsilon_{e^{\pm}}^{\text{CPV}} \times \varepsilon_{e^{\pm}}^{\text{Disp}} \\ \end{pmatrix} \begin{pmatrix} N_{\gamma} \\ N_{\pi^{\pm}} \\ N_{\bar{p}} \\ N_{e^{\pm}} \end{pmatrix}$$

$$(31)$$

where $C_{\rm ch} = 1 + K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$ (sum of relative π^{\pm} , K^{\pm} and p contributions) and $C_{\rm nh} = 0.5 \times K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$ (sum of relative K_L^0 and n contributions) as a function of $p_{\rm T}^{\rm cluster}$ on 1345 1346 PHOS. ε_X^i is efficiency of PID cut *i* for particle X. Charged particles are identified by dE/dx1347 in TPC. It has been reported that electrons/positrons from semi-leptonic decays of heavy flavor 1348 hadrons becomes larger at the higher collision energy at LHC [87], compared to RHIC. So, 1349 electrons/positrons contributions has to be taken into account. Here, anti-protons contribution 1350 is different from protons because of detector response. Protons behave as minimum ionizing 1351 particles (MIP) in an electro-magnetic calorimeter. On the other hand, anti-protons can deposit 1352 higher energy because of annihilation. Finally, $N_{\gamma}, N_{\pi^{\pm}}, N_{\bar{p}}, N_{e^{\pm}}$ are obtained by solving system 1353 31. Adding/removing $C_{\rm nh}$ is considered as a systematic uncertainty of photon purity. To evaluate 1354

the CPV cut efficiency for charged particles, the mixed event technique was used to subtract random matchings. The distance between a PHOS cluster in a current event and a charged particle in another event is measured to make a random matching distribution (Figure 107). Then, the CPV cut efficiency for charged particles (i.e. how many charged particles can survive after applying the CPV cut) is defined as :

$$\varepsilon_{\rm ch}^{\rm CPV} = \frac{\text{Number of entries beyond a criterion in the real matching distribution}}{\text{Number of all entries in the real matching distribution}},$$
(32)

¹³⁶⁰ and the dispersion cut efficiency for charged particles is defined as :

$$\varepsilon_{\rm ch}^{\rm Disp} = \frac{\rm Number \ of \ particles \ with \ Disp \ cut}{\rm Number \ of \ charged \ particles \ without \ Disp \ cut}$$
(33)



Figure 107: The distance between a cluster on PHOS and a charged particle in pp collisions at $\sqrt{s} = 5.02$ TeV.

1361

¹³⁶² 7.7.2 Photon purity in pp collisions at $\sqrt{s} = 5.02$ TeV

Figure 108 shows particle ratios on PHOS that are inputs for $C_{\rm ch}$ and $C_{\rm nh}$. Figure 109 shows 1363 PID cut efficiencies for different particles. The matching criterion between a charged particle 1364 with a cluster on PHOS is $r < 2\sigma$ for evaluation of the dispersion cut efficiency. Especially for 1365 e^{\pm} , 0.8 < E/p < 1.2 was applied to get higher electron purity. To avoid statistical fluctuation 1366 at high $p_{\rm T}$ ($p_{\rm T} > 4 \ {\rm GeV}/c$), each efficiency is fitted by constant and used as matrix elements. 1367 The particle abundance on PHOS is summarized on Figure 110. The photon purity is 90 %1368 with the dispersion cut and 97 % with the CPV and the dispersion cuts at high $p_{\rm T}$. Electrons 1369 and positrons converted from photons outside of TPC, so-called late conversion electrons, can 1370 not be tracked, because there is no tracking detector there. Therefore, late conversion electrons 1371 denoted by L.C. e^{\pm} are treated as photon signals in M.C. truth. 1372



Figure 108: Measured particle ratios on PHOS in pp collisions at $\sqrt{s} = 5.02$ TeV.



(b) CoreDisp cut efficiency for charged particles.

Figure 109: PID cut efficiencies for identified charged particles in pp collisions at $\sqrt{s} = 5.02$ TeV. From left to right, e^{\pm} , π^{\pm} , K^{\pm} , p and \bar{p} . Black for data, red for M.C. DDA, blue for M.C. truth.



Figure 110: The summary of particle abundance on PHOS in pp collisions at $\sqrt{s} = 5.02$ TeV for $C_{\rm nh} = 0$.



Figure 111: The summary of particle abundance on PHOS in pp collisions at $\sqrt{s} = 5.02$ TeV for $C_{\rm nh} = 0.5 \times K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$.

1373 7.7.3 Photon purity in Pb–Pb collisions at $\sqrt{s_{ m NN}}$ = 5.02 TeV

The procedure is the same as the pp case.



Figure 112: The summary of particle abundance on PHOS in 0-10% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0$.



Figure 113: The summary of particle abundance on PHOS in 0-10% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0.5 \times K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$.



Figure 114: The summary of particle abundance on PHOS in 10-20% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0$.



Figure 115: The summary of particle abundance on PHOS in 10-20% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0.5 \times K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$.



Figure 116: The summary of particle abundance on PHOS in 20-40% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0$.



Figure 117: The summary of particle abundance on PHOS in 20-40% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0.5 \times K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$.



Figure 118: The summary of particle abundance on PHOS in 40-60% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0$.



Figure 119: The summary of particle abundance on PHOS in 40-60% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0.5 \times K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$.



Figure 120: The summary of particle abundance on PHOS in 60-80% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0$.


Figure 121: The summary of particle abundance on PHOS in 60-80% Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV for $C_{\rm nh} = 0.5 \times K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$.

1375 7.8 Photon cocktail simulation

¹³⁷⁶ The cocktail simulation is used to determine decay photon yield from hadrons. Measured $p_{\rm T}$ ¹³⁷⁷ spectra of hadrons described in section 6 are inputs to the cocktail simulation. Technically, ¹³⁷⁸ TPythia6Decayer in ROOT6 framework based on PYTHIA 6.4 [88] with flat $p_{\rm T}$, azimuthal ¹³⁷⁹ angle and rapidity distribution is used for decay simulation. The source of cocktail simulation ¹³⁸⁰ considered in this thesis is summarized in Table.6.

Non-measured particles (ω and η') are scaled from the π^0 spectrum using $m_{\rm T}$ scaling [89]. The

Particle	mass (MeV/c^2)	decay channel	branching ratio $(\%)$	
π^0	135	$\gamma\gamma$	98.8	
		γe^+e^-	1.2	
η	547	$\gamma\gamma$	39.2	
		$\gamma \pi^+ \pi^-$	4.8	
		$\gamma e^+ e^-$	4.9×10^{-3}	
ω	782	$\pi^0\gamma$	8.3	
		$\eta\gamma$	4.6×10^{-4}	
η'	958	$\gamma\gamma$	2.2	
		$ ho^0\gamma$	29.1	
		$\omega\gamma$	2.8	

Tal	ole	6:	Particle	s wł	nich	decay	into j	$_{ m ohotons}$
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1381

 $m_{\rm T}$ is called transverse mass which is defined by $m_{\rm T} = \sqrt{p_{\rm T}^2 + m^2}$. The relation to the invariant yield is:

$$\frac{1}{p_{\rm T}}\frac{d^2N}{dp_{\rm T}dy} = \frac{1}{m_{\rm T}}\frac{d^2N}{dm_{\rm T}dy}$$

The meaning of $m_{\rm T}$ scaling is that particle yields at the same $m_{\rm T}$ can be scaled from light hadron yields (e.g. $\pi^{\pm,0}$ for mesons or p for baryons) by a constant coefficient C_h . Therefore, one can write kinematic relation between π and particle of interest (h) as following:

$$p_{\mathrm{T},\pi}^2 + m_\pi^2 = p_{\mathrm{T},h}^2 + m_h^2$$
$$p_{\mathrm{T},\pi}^2 = p_{\mathrm{T},h}^2 + m_h^2 - m_\pi^2$$

¹³⁸⁷ Finally, the invariant $p_{T,h}$ spectrum for particle h can be obtained by:

$$f_h(p_{\mathrm{T},h}) = C_h \times f_\pi(\sqrt{p_{\mathrm{T},h}^2 + m_h^2 - m_\pi^2})$$

where, f_{π} represents parameterization of invariant $p_{\rm T}$ spectrum of reference particle π . Typically, $\omega/\pi^0 = 0.85$ [90] and $\eta'/\pi^0 = 0.40$ [88].

- 1390 7.8.1 Cocktail simulation in pp at $\sqrt{s} = 5.02$ TeV
- 1391 7.8.2 Cocktail simulation in Pb–Pb at $\sqrt{s_{\rm NN}} = 5.02 {
 m TeV}$



(a) The input $p_{\rm T}$ spectra from different mesons. (b) The fraction of each decay photon source. Figure 122: The decay photon cocktail in pp collisions at $\sqrt{s} = 5.02$ TeV



(a) The input $p_{\rm T}$ spectra from different mesons. (b) The fraction of each decay photon source. Figure 123: The decay photon cocktail in Pb–Pb collisions at $\sqrt{s} = 5.02$ TeV centrality 0-10 %



(a) The input $p_{\rm T}$ spectra from different mesons.

(b) The fraction of each decay photon source.

Figure 124: The decay photon cocktail in Pb–Pb collisions at $\sqrt{s}=5.02$ TeV centrality 10-20 %



(a) The input $p_{\rm T}$ spectra from different mesons.

(b) The fraction of each decay photon source.

Figure 125: The decay photon cocktail in Pb–Pb collisions at $\sqrt{s}=5.02$ TeV centrality 20-40 %



(a) The input $p_{\rm T}$ spectra from different mesons.

(b) The fraction of each decay photon source.

Figure 126: The decay photon cocktail in Pb–Pb collisions at $\sqrt{s}=5.02$ TeV centrality 40-60 %



(a) The input $p_{\rm T}$ spectra from different mesons.

(b) The fraction of each decay photon source.

Figure 127: The decay photon cocktail in Pb–Pb collisions at $\sqrt{s}=5.02$ TeV centrality 60-80 %

¹³⁹² 8 Systematic uncertainties for photon measurements

Systematic uncertainties for photon measurements are summarized in this section. Systematic
uncertainties from the PID cut, the triggering, the global energy scale, the non-linearity, the
acceptance of the PHOS detector and the material budget are common with neutral mesons
measurements.

1397 8.1 Photon purity

The systematic uncertainty of the photon purity is divided into two components. One is data driven approach (DDA) method itself. This has to be evaluated in M.C., because the true particle abundance is known. The other is due to the different assumption of the particle composition.

1401 8.1.1 Data Driven approach method itself

The uncertainty due to the method itself was estimated by comparing photon purity between M.C. truth and DDA in M.C., since the true particle abundance is known in M.C.. This was performed in PYTHIA simulation (pp collisions) to avoid cluster overlappings under the high multiplicity environment. As shown by Figure 128, it is found to be ~ 4% at low $p_{\rm T}$ and almost vanishes (0.2%) at high $p_{\rm T}$. The uncertainty of the DDA method itself is treated as common in pp and Pb–Pb collisions.



Figure 128: Systematic uncertainties of the DDA method itself.

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1408 8.1.2 Different assumption of particle composition

In the DDA, the system 31 was constructed to obtain the number of particles on PHOS under different assumptions of hadron contributions. This was evaluated by adding/removing neutral hadron components in system 31. The deviation from unity in the ratio $\frac{\gamma \text{ purity with } C_{\text{nh}}}{\gamma \text{ purity with } C_{\text{nh}}}$ is considered as the systematic uncertainty due to the different assumption.

1413 8.2 Cocktail simulation

Mainly, there are two systematic uncertainties in the cocktail simulation. They are due to the different input parameterization of the measured π^0 spectrum and particle ratios.

¹⁴¹⁶ 8.2.1 Shape of input π^0 spectrum

The input π^0 spectrum is parameterized by TCM function described in the prevision section. In order to take into account different parameterization, the measured π^0 spectra in pp collisions at $\sqrt{s} = 5.02$ TeV is alternatively fitted by the modified Hagedorn function [29, 91, 92] developed by the PHENIX collaboration at RHIC.

$$E\frac{d^{3}\sigma}{dp^{3}} = A\left(\exp(-(ap_{\rm T} + bp_{\rm T}^{2})) + \frac{p_{\rm T}}{p_{0}}\right)^{-n}$$
(34)

When $a \to 0$ and $b \to 0$, the modified Hagedorn function becomes the original Hagedorn function. On the other hand, the modified Hagedorn function does not fit to π^0 spectra measured for wide $p_{\rm T}$ range in central Pb–Pb collision at $\sqrt{s_{\rm NN}} = 5.02$ TeV due to a kink at $p_{\rm T} = 4 \sim 5$ GeV/c. In other wards, the TCM function is necessary for describing hadron productions for such wide $p_{\rm T}$ range in central Pb–Pb collisions. Hence, a simplified TCM-inspired function was tried for alternative parameterizations of input π^0 spectra.

$$E\frac{d^3\sigma}{dp^3} = A_e \exp\left(-\frac{p_{\rm T}}{T_e}\right) + A\left(1 + \frac{p_{\rm T}^2}{T^2}\right)^{-n}$$
(35)

¹⁴²⁷ The systematic uncertainty due to different π^0 paramterization was evaluated by the γ/π^0 ratio ¹⁴²⁸ in the cocktail simulation. The deviation from unity in the double ratio $\frac{(\gamma/\pi^0)_{\text{alt}}}{(\gamma/\pi^0)_{\text{def}}}$ in the cocktail ¹⁴²⁹ simulation is considered as the systematic uncertainty of the shape of the input π^0 spectrum. ¹⁴³⁰ However, since $(1 + \frac{p_T^2}{T^2})^{-n}$ is similar to the original TCM function, alternative parameterizations ¹⁴³¹ for π^0 spectra fitted by Eq. 35 give too small difference from default ones in Pb–Pb collisions. ¹⁴³² Thus, the systematic uncertainty due to the shape of the input π^0 spectrum in Pb–Pb collisions ¹⁴³³ is inherited from that in pp collisions. It is 4 % at low p_T and decreases with p_T down to 0.4 %.

1434 8.2.2 Particle ratios

The uncertainty due to particle ratios are originating from measured particle ratios. The η/π^0 and ω/π^0 are varied 0.50 ± 0.02 and 0.85 ± 0.15 respectively. As relative contributions to total decay photon yields (15% for photons from η mesons and 2.5% for photons from ω mesons) are known, the relative systematic uncertainty can be analytically estimated as :

$$\frac{\pm 0.02}{0.50} \times 0.15 \approx \pm 0.60\% \text{ for photons decayed from } \eta \text{ mesons}$$
(36)

$$\frac{\pm 0.15}{0.85} \times 0.025 \approx \pm 0.44\% \text{ for photons decayed from } \omega \text{ mesons}$$
(37)

They were also estimated directly in the cocktail simulation, as shown on Figure 129, which gives similar values to the analytical calculations, as expected. The uncertainty from η'/π^0 is negligible, as the relative contribution of decay photons decayed from η' mesons to total the decay photon is less than 1%.



(a) The systematic uncertainty due to the η/π^0 in (b) The systematic uncertainty due to the ω/π^0 in the cocktail simulation.

Figure 129: Systematic uncertainties due to particle ratios in the cocktail simulation

1443 8.3 Summary of systematic uncertainties for inclusive photons $\gamma^{ m inc}$

1444 The summary of systematic uncertainties for inclusive photons γ^{inc} is plotted in this section.

¹⁴⁴⁵ 8.3.1 Summary of systematic uncertainties for $\gamma^{\rm inc}$ in pp collisions at $\sqrt{s} = 5.02$ ¹⁴⁴⁶ TeV



Figure 130: Systematic uncertainties for γ^{inc} in pp collisions at $\sqrt{s} = 5.02$ TeV.

1447 8.3.2 Summary of systematic uncertainties for γ^{inc} in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} =$ 1448 5.02 TeV



Figure 131: Systematic uncertainties for γ^{inc} in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV for centrality 0-10%.



Figure 132: Systematic uncertainties for γ^{inc} in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV for centrality 10-20%.



Figure 133: Systematic uncertainties for γ^{inc} in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV for centrality 20-40%.



Figure 134: Systematic uncertainties for γ^{inc} in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV for centrality 40-60%.



Figure 135: Systematic uncertainties for γ^{inc} in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV for centrality 60-80%.

¹⁴⁴⁹ 9 Results and discussions for photons

Results toward the direct photons measurement are described in this section. Inclusive photon spectra γ^{inc} , $\gamma^{\text{inc}}/\pi^0$ ratios in data and cocktail simulation, R_{γ} which is the double ratio of $\gamma^{\text{inc}}/\pi^0$ and finally, direct photon spectra.

1453 9.1 Results on inclusive photons $\gamma^{ m inc}$

As a first step for the direct photons measurement, inclusive photon spectra have been measured in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV.



(a) The production cross section of inclusive pho- (b) Invariant yields of inclusive photons in Pb–Pb tons in pp collisions at $\sqrt{s} = 5.02$ TeV. collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV.

Figure 136: Inclusive photons spectra in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV.

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1456 9.2 Results on direct photons $\gamma^{ m dir}$

1457 9.2.1 $\gamma^{ m inc}/\pi^0$ ratio

¹⁴⁵⁸ Neutral mesons and inclusive photons have been measured as described in previous sections. ¹⁴⁵⁹ Secondly, the ratio of inclusive photon yields to π^0 yields are constructed in data and cocktail ¹⁴⁶⁰ simulation from known sources respectively for pp and Pb–Pb collisions (Figure 137). The main ¹⁴⁶¹ advantage of $\gamma^{\rm inc}/\pi^0$ ratio is to cancel out the systematic uncertainty of energy measurement, ¹⁴⁶² namely global energy scale and non-linear response in M.C., that are dominant sources in the ¹⁴⁶³ PHOS detector.



(e) The $\gamma^{\text{inc}}/\pi^0$ ratio in 40-60% Pb–Pb collisions. (f) The $\gamma^{\text{inc}}/\pi^0$ ratio in 60-80% Pb–Pb collisions. Figure 137: $\gamma^{\text{inc}}/\pi^0$ ratios in pp and Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV.

1464 9.2.2 Direct photon excess ratio R_{γ}

As plotted on Figure 138, R_{γ} becomes larger with the event multiplicity (i.e. central collisions) at high $p_{\rm T}$. This is explained by the suppression of neutral mesons in central collisions, while the direct photon is transparent probe for the QCD medium. Therefore, the excess of prompt photons that are produced by initial hard scatterings between partons becomes significant at higher p_T in central collisions. R_{γ} for the pQCD NLO calculation is defined as :

$$R_{\gamma}^{\rm NLO} = 1 + N_{\rm coll} \cdot \frac{\gamma_{\rm NLO}^{\rm dir}}{\gamma_{\rm cocktail}^{\rm decay}}$$
(38)



Figure 138: R_{γ} in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV.

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1471 9.2.3 Direct photon spectra

¹⁴⁷² Finally, direct photon spectra or upper limits at the 90% confidence level have been extracted as ¹⁴⁷³ shown by Figure 139. The pQCD calculation basically describes prompt photon yields at high $p_{\rm T}$ well in both pp and Pb–Pb collisions.



(a) The production cross section of direct photons (b) The invariant yield of direct photons in 0-10% in pp collisions. Pb–Pb collisions.



(c) The invariant yield of direct photons in 10-20% (d) The invariant yield of direct photons in 20-40% Pb–Pb collisions. Pb–Pb collisions.



(e) The invariant yield of direct photons in 40-60% (f) The invariant yield of direct photons in 60-80% Pb–Pb collisions. Pb–Pb collisions.

Figure 139: Direct photon spectra in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV.

1474 9.2.4 R_{AA} of direct photons

In this thesis, only upper limits on direct photon yields at the 90% confidence level have been set 1475 at low $p_{\rm T}$. Nevertheless, a few data points on R_{γ} (Figure 138b) and the invariant yield of direct 1476 photons (Figure 139b) in central collisions show larger value than the pQCD calculation at low 1477 $p_{\rm T}$. Hence, it is interesting to see $R_{\rm AA}$ of direct photons. As shown by Figure 140, direct photon 1478 yields beyond the pQCD calculation which can describe prompt photon yields by a factor of up 1479 to about 4 is observed at $p_{\rm T} < 4 \ {\rm GeV}/c$. This can be interpreted as an indication of thermal 1480 photon emissions from the hot and dense medium in central Pb–Pb collisions. Focusing on R_{AA} 1481 at high $p_{\rm T}$ region, hadron yields are strongly suppressed, while it is consistent with unity for 1482 direct photons. The resulting R_{AA} emphasizes the observed strong hadron suppression is related 1483 to final state effects due to the formation of hot and dense colored medium. Additionally, the 1484 experimental fact that R_{AA} of direct photons is consistent with unity at high p_T proves successful 1485 Glauber modeling in terms of the collision geometry.



Figure 140: R_{AA} of direct photons in Pb–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV for centrality 0-10%.

1487 9.2.5 Effective temperature $T_{\rm eff}$ extraction

The inverse slope of an exponential fit at low $p_{\rm T}$ regime is interpreted as the average temperature over all the space-time evolution. As written in the previous section (9.2.4), $p_{\rm T}$ spectra of prompt photons at high $p_{\rm T}$ agree with the pQCD calculation, which justifies these measurements. Moreover, there is indication of excess due to thermal emissions from the QGP at low $p_{\rm T}$ beyond the pQCD calculation in central Pb–Pb collisions (0-10%). Therefore, there is a possibility to fit data points at low $p_{\rm T}$ by the exponential function $A \times \exp(-p_{\rm T}/T_{\rm eff})$ and modified Hagedorn function. Namely, the global fitting function is :

$$\frac{1}{2\pi N_{\rm ev}} \frac{d^2 N_{\gamma^{\rm dir}}}{p_{\rm T} dp_{\rm T} dy} = A \times \exp(-p_{\rm T}/T_{\rm eff}) + B \times \left(1 + \frac{p_{\rm T}^2}{p_0^2}\right)^{-n},\tag{39}$$

where parameters B, p_0 and n for prompt photons at high p_T are fixed by the pQCD calculation to reduce the number of degrees of freedom. So, free parameters are A and T_{eff} . Both data points and upper limits at the 90% C.L. are included in the fitting. The obtained effective temperature



Figure 141: The $p_{\rm T}$ spectrum of direct photons in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV for centrality 0-10% and the TCM fit to data.

1497

Teff is 345 ± 222 (total unc.) MeV in Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV for centrality 0-10%. The statistical and systematic uncertainty of the $T_{\rm eff}$ are not separated, because upper limits on direct photon yields at the 90 % C.L. are set based on the quadratic sum of them. For references, it has been reported that $T_{\rm eff} = 239 \pm 25({\rm stat.}) \pm 7({\rm syst.})$ MeV [30] via real photons in 0-20 % central Au–Au collisions at $\sqrt{s_{\rm NN}} = 0.2$ TeV at RHIC by PHENIX, and $T_{\rm eff} = 294 \pm 12({\rm stat.}) \pm 47({\rm syst.})$ MeV [31] in 0-20 % central Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 2.76$ TeV with ALICE at the LHC.

1505 **10** Conclusion

The measurement of neutral mesons and direct photons in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ 1506 = 5.02 TeV has been performed in ALICE with the PHOS detector. $p_{\rm T}$ spectra and nuclear 1507 modification factors R_{AA} of π^0 meson in $0.4 < p_T < 35 \text{ GeV}/c$ and η meson in $2.0 < p_T < 16$ 1508 GeV/c have been measured in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV. This is the first 1509 measurement of the suppression of π^0 at such high $p_{\rm T}$ regime. π^0 and η mesons show the same 1510 suppression pattern at $p_{\rm T} > 4 \ {\rm GeV}/c$ in all centrality classes. The suppression pattern between 1511 η and K^{\pm} mesons seems to be similar at low $p_{\rm T}$, though the uncertainty for η meson is large. It 1512 is found that $p_{\rm T}$ spectrum of π^0 becomes harder than that at $\sqrt{s_{\rm NN}} = 2.76$ TeV in both pp and 1513 Pb–Pb collisions. Nevertheless, the suppression of π^0 meson in Pb–Pb collisions compared to 1514 pp collisions is the same level between $\sqrt{s_{\rm NN}} = 2.76$ and 5.02 TeV, which is by a factor of up to 1515 8. This indicates the larger energy-loss at the higher collision energy. Comparing the light and 1516 heavy flavor hadrons, namely π^0 and D mesons, the suppression of D mesons at $p_{\rm T} < 10 \ {\rm GeV}/c$ 1517 is weaker than that of π^0 , which is interpreted as the smaller energy-loss for charm quarks than 1518 for up, down quarks. The suppression pattern of η meson seems to be similar to K^{\pm} meson 1519 consisting of a strange quark, though uncertainties for the η meson measurement is large. 1520 The direct photon measurement is complicated due to the huge background of decay photons 1521 from hadrons. By using measured $p_{\rm T}$ spectra of π^0 , η mesons and $m_{\rm T}$ -scaled $\omega(782)$, $\eta'(958)$ 1522 mesons as inputs to the cocktail simulation, decay photon yields have been estimated and sta-1523

tistically subtracted from inclusive photon spectra. Direct photon excess ratios R_{γ} show clear 1524 prompt photon signals originating from initial hard scatterings at high $p_{\rm T}$. The prompt photon 1525 production is described by the pQCD NLO calculation well in both pp and Pb–Pb collisions 1526 at $\sqrt{s_{\rm NN}} = 5.02$ TeV. Direct photon spectra or upper limits at the 90 % of C.L. have been ex-1527 tracted up to $p_{\rm T} = 30 {\rm ~GeV}/c$ in central Pb–Pb collisions. The resulting $R_{\rm AA}$ of direct photons 1528 which is consistent with unity at high $p_{\rm T}$ justifies the measurement and proves the successful 1529 Glauber modeling for the collision geometry. Focusing on R_{AA} of direct photon at low p_{T} in 1530 central collisions, a few data points show the excess beyond the pQCD calculation by a factor 1531 of up to 4. This indicates thermal photon emissions from the hot and dense QCD medium. The 1532 obtained effective temperature $T_{\rm eff}$ is 345 ± 222 (total unc.) MeV in Pb–Pb collisions at $\sqrt{s_{\rm NN}}$ 1533 = 5.02 TeV for centrality 0-10%. This is the first measurement and setting upper limits on the 1534 direct photons in pp and Pb–Pb collisions at $\sqrt{s_{\rm NN}} = 5.02$ TeV. 1535

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1555 A Zero Suppression study in Run2

A new noise reduction system has been introduced in PHOS readout since Run2. This is based 1556 on minimum sequence of samples (MINSEQ) in ALTRO chip [93]. MINSEQ is set to 3 samples 1557 in PHOS readout in Run2. It means data is readout only if consecutive ALTRO sample is longer 1558 than 3 samples. This mechanism successfully reduces noise by a factor of $3 \sim 4$ compared to 1559 Run1. Data size of noise scan was $2 \sim 3$ kBytes in Run1, but it is 0.8 kBytes in Run2. ZS 1560 threshold is set to 3 ADC counts. However, ZS threshold is effectively increased due to MINSEQ. 1561 In order to test this effect, effective ZS threshold was varied in M.C. and tuned for reproducing 1562 standard cluster cut efficiency and PID cut efficiency. As shown by Fig.142, standard cluster 1563 cuts play rolls only at $E_{\gamma} < 1$ GeV where an electro-magnetic shower evolution is not well 1564 defined and ZS at 20 MeV can reproduce data very well (the best). Fig.143 shows that ZS at 1565 20 MeV is the best again.

 $(a) Ncell cut efficiency vs. <math>E_{\gamma}$ (b) M02 cut efficiency vs. E_{γ} (c) M20 cut efficiency vs. E_{γ}

Figure 142: standard cluster cut efficiency as a function of photon energy. (12.5 MeV is default value in M.C.) Note these cuts are not apply in my analysis.



Figure 143: γ -ID cut efficiency as a function of photon energy. (12.5 MeV is default value in M.C.)

1566

pp collisions at $\sqrt{s} = 5.02$ TeV in 2015 Β 1567

The LHC provided proton-proton collisions at $\sqrt{s} = 5.02$ TeV in 2015 and 2017. ALICE took 100 1568 M events (~ 2 nb⁻¹) triggered by V0AND in November of 2015. On the other hand, as described 1569 in section 3.1, ~ 10 times more V0AND events which corresponds to 19 nb⁻¹ were recorded in 1570 2017. Although data in 2015 have been also analyzed, it is just considered as a "guideline" for 1571 this thesis. This small pp data recorded in early period gave me a great opportunity to estimate 1572 systematic uncertainties at early stage and allowed me to save my time for 2017 data analyses. 1573 Hereafter, LHC15n represents pp data in 2015.



Figure 144: Integrated luminosity in pp collisions at $\sqrt{s} = 5.02$ TeV in 2015.

1574

B.1 Date sets and QA 1575

Date sets in pp collisions at $\sqrt{s} = 5.02$ TeV **B.1.1** 1576

```
run list in pp collisions at \sqrt{s} = 5.02 TeV in 2015 is following:
1577
    LHC15n
1578
    244628, 244627, 244618, 244617, 244542, 244540, 244531, 244484, 244483, 244482, 244481,
1579
    244480, 244453, 244421, 244418, 244416, 244411, 244377, 244364, 244359, 244355, 244351,
1580
    244343, 244340.
1581
1582
```

```
M.C. productions used in this analysis are following:
1583
```

- LHC16h8a + LHC16k5a PYTHIA8 for LHC15n 1584
- LHC16h8b + LHC16k5b PYTHIA6 for LHC15n 1585
- LHC16h3 PYTHIA8 jet-jet for LHC15n 1586
- LHC17i7 single particle (π^0, η, γ) simulation for LHC15n/o 1587

1588 B.1.2 event selection

¹⁵⁸⁹ Following event cuts have been applied in order to select physics events both in data and M.C..

- physics selection to reject beam-gas interaction
- the number of charged track associated with primary vertex > 0
- pileup rejection by SPD

1593 • $|Z_{vtx}| < 10 \text{ cm}$

1594 B.1.3 minimal cluster selection

- E > 0.2 GeV (to extract photon signal as much as possible at low energy)
- M02 > 0.1 cm is applied only E > 1 GeV (to extract photon signal as much as possible at low energy)
- |TOF| < 12.5 ns in pp
- As a first check of PHOS data, an average cluster energy and an average number of hits are plotted (Fig.145). Average values stay stable in all runs.



Figure 145: average cluster energy and number of hits in each run on PHOS in LHC15n.

1601 B.1.4 π^0 peak parameters vs. run numbers

1600

 π^{0} peak parameters are plotted (Fig.146) run-by-run to verify that PHOS was stable in this period. As a result, M1,2,3 are all stable. Especially, π^{0} peak could not be seen well on M4, because M4 has limited detector acceptance. A peak position in M1,2,3 are consistent within
statistical error bar. There are poor statistics in some runs where π⁰ peak is not so clear.
Note that M4 was excluded from the beginning because a systematic uncertainty of material
budget is large in front of M4 due to TOF + TRD, which is not suitable for the precise photon measurement.



Figure 146: π^0 yield, peak position and sigma in each run in LHC15n.

1608

1609 B.2 Trigger QA

¹⁶¹⁰ B.2.1 Distance between fired TRU channels and clusters

- ¹⁶¹¹ B.2.2 Energy distribution of matched clusters
- 1612 B.3 Raw yield extraction
- ¹⁶¹³ Unfortunately, η measurement was not possible due to the small statistics in LHC15n.

$_{1614}$ B.4 Acceptance \times reconstruction efficiency

¹⁶¹⁵ At first, peak positions and peak widths have been compared between data and M.C..

¹⁶¹⁶ B.5 Trigger efficiency

¹⁶¹⁷ PHOS trigger allows us to measure high energy photons/electrons efficiently in ALICE. An ¹⁶¹⁸ energy threshold of PHOS L0 trigger in LHC15n period was set to 3 GeV in sum of 4x4 FastOR. ¹⁶¹⁹ Due to the poor TRU acceptance in LHC15n period, trigger efficiency $\varepsilon_{\rm trg}$ is saturated at about ¹⁶²⁰ 0.28 ± 0.02 at high $p_{\rm T}$.



(a) The distance between fired TRU channels and cluster position on M1 in LHC15n.



(b) The distance between fired TRU channels and cluster position on M2 in LHC15n.



(c) The distance between fired TRU channels and cluster position on M3 in LHC15n.

Figure 147: The distance between fired TRU channels and cluster position in different module for $E_{\rm cluster} > 3$ GeV in LHC15n. Note that M4 is excluded from my analysis from the very beginning.



(c) Energy distribution on M3 in LHC15n.

Figure 148: Energy distribution of all clusters and triggered clusters and ratios in LHC15n. Note that M4 is excluded from my analysis from the very beginning.



Figure 149: π^0 peak in kINT7 and kPHI7. An energy threshold of PHOS L0 trigger was 3 GeV in 2015



Figure 150: Raw yields of π^0 in LHC15n.



Figure 151: peak parameters of π^0 in data and M.C. as a function of $p_{\rm T}$.



Figure 152: The acceptance \times reconstruction efficiency of π^0



Figure 153: The rejection factor and trigger efficiency of PHOS L0 trigger in LHC15n data.

1621 B.6 Timing cut

¹⁶²² Timing cut ($|\text{TOF}_{\text{cluster}}| < 12.5 \text{ ns}$) was applied at cluster level to reject clusters from other BCs. ¹⁶²³ Thus, TOF cut efficiency efficiency (ε_{TOF}) as a function of photon energy has to be measured. ¹⁶²⁴ where, $N_{\text{TOF} \gamma}^{\text{triggered BC}}$ is the number of photons after TOF cut in the triggered BC and $N_{\text{all} \gamma}^{\text{triggered BC}}$ ¹⁶²⁵ is the number of photons in the triggered BC respectively. Then, histograms are filled with the ¹⁶²⁶ number of photons weighted by the inverse of ε_{TOF} as a function of photon energy after TOF ¹⁶²⁷ cut. Since ε_{TOF} is measured as a function of photon energy, $\frac{1}{\varepsilon_{\text{TOF}}^1 \times \varepsilon_{\text{TOF}}^2}$ is necessary at neutral ¹⁶²⁸ mesons level which are reconstructed from 2 photons.



Figure 154: TOF cut efficiency as a function of photon energy in LHC15n data sample.

1629 B.7 Feed down from strange hadrons

¹⁶³⁰ The same approach as in 2017 data was applied.

¹⁶³¹ B.8 Systematic uncertainties in pp collisions at $\sqrt{s} = 5.02$ TeV in LHC15n

1632 B.8.1 Yield extraction of neutral mesons

Fitting function, range and integration range were varied to estimate systematic uncertainty of yield extraction. This estimation was performed by the fully corrected yields. R.M.S./mean value in each $p_{\rm T}$ bin is considered as the uncertainty of yield extraction.

- Fitting function [Gaussian, crystallball] for signal and [pol1,pol2] for background
- Fitting range [0.06,0.22], [0.04,0.20], [0.08,0.24] GeV/ c^2 for π^0
- Fitting range [0.40, 0.70], [0.35, 0.65], [0.45, 0.75] GeV/ c^2 for η
- Integration range $[\pm 3\sigma, \pm 2\sigma]$

1640 B.8.2 PID cut

1628

¹⁶⁴¹ No PID cut was applied in pp analysis.

1642 **B.8.3** TOF cut

There were data taking period when a bunch space of each pp collision was 1000 ns which was much wider than timing resolution of PHOS. These runs allow us to estimate systematic uncertainty of TOF cut efficiency. The idea is defined by Eq.24. The deviation from unity in the ratio is considered as a systematic uncertainty of TOF cut. It is found to be 4% from Fig.155 in kINT7 events recorded in LHC15n period, not depending on $p_{\rm T}$.



Figure 155: The ratio of π^0 yield in BS = 25 ns to one in BS = 1000 ns triggered by kINT7 in pp collisions at $\sqrt{s} = 5.02$ TeV.

1647

1648 B.8.4 Feed-down correction

The systematic uncertainty of K/π ratio in pp collisions at $\sqrt{s} = 2.76$ TeV is $\sim 10\%$ [61] at the maximum. Therefore, the final systematic uncertainty of π^0 yields from feed down correction is $0.3 \sim 0.6\%$, decreasing with $p_{\rm T}$.

1652 B.8.5 Global energy scale

¹⁶⁵³ The same approach was performed as described in section 5.2.

1654 B.8.6 Non-linearity of energy response

The peak position measured by PHOS depends on $p_{\rm T}$. This is due to $p_{\rm T}$ slope of particle spectrum and finite energy resolution of the PHOS detector. The important effect is, so called, non-linearity of energy response. One has to tune non-linearity and reproduce peak position in M.C. for efficiency calculation. However, it is too difficult to understand non-linearity response which may come from APD response and/or light yield of a crystal in simulation. A simple ¹⁶⁶⁰ non-linearity model defined by Eq.40 to correct the measured energy was used in this analysis.

$$E_{\rm corr} = E \cdot f(E), \ f(E) = 1 + \frac{a}{1 + E^2/b^2}$$
 (40)

where, $E_{\rm corr}$ is corrected energy and E is energy before non-linearity correction. Parameters a,b were varied in M.C. to find the best combination that can reproduce π^0 peak position. The ratio of π^0 peak position in data to that in M.C. was fitted by a 0th-order polynomial function and $\chi^2/{\rm ndf}$ were obtained, shown on Fig.156. The best parameters are a = -0.06, b = 0.7. Combinations (a,b) at $\chi^2/{\rm ndf} < 2$ were taken into account to estimate uncertainty of nonlinearity. The systematic ucertainty of non-linearity was estimated by R.M.S/mean value with different nonlinearity function shown by Fig.157. The systematic ucertainty of non-linearity is 2% at low $p_{\rm T}$ and deacring with $p_{\rm T}$ (Fig.156b).



Figure 156: χ^2 /ndf of fitting to the ratio of π^0 peak position in data to that in M.C. at different parameters a,b.

1668

1669 B.8.7 Acceptance of detector

The systematic uncertainty of acceptance was estimated by varying the distance to the bad channel (0 cell or 1 cell). 0 cell is default value in my analysis. The deviation from unity in the ratio of corrected yield of π^0 in different distance cut is considered as systematic uncertainty of acceptance. The deviation from unity is 1.5% and this value is systematic uncertainty acceptance.

1675 B.8.8 Material budget

¹⁶⁷⁶ This is common in all period and taken from section 5.9.

1677 B.8.9 Summary of systematic uncertainties

¹⁶⁷⁸ Total systematic uncertainty is summarized on Fig.159.

¹⁶⁷⁹ B.9 Invariant differential cross section of π^0



Figure 157: π^0 peak parameters in different NL.





(b) The ratio of corrected yield in kPHI7.

Figure 158: The ratio of corrected yield in different distance cut.



Figure 159: Summary of systematic uncertainties of π^0 measurement



Figure 160: The invariant differential cross section of π^0 .

1680 References

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