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2 **Title:** Biomechanical mechanism of lateral trunk lean gait for knee osteoarthritis patients

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4 Kazuki Tokuda^a, Masaya Anan^b, Makoto Takahashi^{c,d}, Tomonori Sawada^a, Kenji Tanimoto^a,
5 Nobuhiro Kito^e, and Koichi Shinkoda^{c,d}

6

7 ^aGraduate School of Biomedical and Health Sciences, Hiroshima University

8 ^bPhysical Therapy Course, Faculty of Welfare and Health Science, Oita University

9 ^cDepartment of Biomechanics, Graduate School of Biomedical and Health Sciences,

10 Hiroshima University

11 ^dCenter for Advanced Practice and Research of Rehabilitation, Graduate School of
12 Biomedical and Health Sciences, Hiroshima University

13 ^eDepartment of Rehabilitation, Faculty of Rehabilitation, Hiroshima International University

14

15 **Corresponding author:** Koichi Shinkoda, RPT, PhD

16 Department of Biomechanics, Graduate School of Biomedical and Health Sciences,
17 Hiroshima University

18 Center for Advanced Practice and Research of Rehabilitation, Graduate School of Biomedical
19 and Health Sciences, Hiroshima University

20 **Address:**

21 2-3 Kasumi 1-chome, Minami-ku, Hiroshima 734-8553, Japan

22 Phone No: +81 82 257 5415

23 Email: biomec@hiroshima-u.ac.jp

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27 **ABSTRACT**

28 The biomechanical mechanism of lateral trunk lean gait employed to reduce external knee
29 adduction moment (KAM) for knee osteoarthritis (OA) patients is not well known. This
30 mechanism may relate to the center of mass (COM) motion. Moreover, lateral trunk lean gait
31 may affect motor control of the COM displacement. Uncontrolled manifold (UCM) analysis
32 is an evaluation index used to understand motor control and variability of the motor task.
33 Here we aimed to clarify the biomechanical mechanism to reduce KAM during lateral trunk
34 lean gait and how motor variability controls the COM displacement. Twenty knee OA
35 patients walked under two conditions: normal and lateral trunk lean gait conditions. UCM
36 analysis was performed with respect to the COM displacement in the frontal plane. We also
37 determined how the variability is structured with regards to the COM displacement as a
38 performance variable. The peak KAM under lateral trunk lean gait was lower than that under
39 normal gait. The reduced peak KAM observed was accompanied by medially shifted knee
40 joint center, shortened distance of the center of pressure to knee joint center, and shortened
41 distance of the knee–ground reaction force lever arm during the stance phase. Knee OA
42 patients with lateral trunk lean gait could maintain kinematic synergy by utilizing greater
43 segmental configuration variance to the performance variable. However, the COM
44 displacement variability of lateral trunk lean gait was larger than that of normal gait. Our
45 findings may provide clinical insights to effectively evaluate and prescribe gait modification

46 training for knee OA patients.

1 **1. Introduction**

2 Knee osteoarthritis (OA) is a musculoskeletal disorder resulting in pained walking and
3 even impaired walking ability. Excessive medial compartment loading of the knee joint
4 during walking is a major risk factor for OA progression (Bennell et al., 2011; Miyazaki et al.,
5 2002). The external knee adduction moment (KAM) during walking is generally regarded as
6 a substitute evaluation for medial compartment loading of the knee joint (Andriacchi et al.,
7 2000; Andriacchi et al., 2006). The first peak in KAM is related to the presence (Hurwitz et
8 al., 2002) and progression (Miyazaki et al., 2002) of knee OA.

9 Gait modification increasing the lateral trunk lean during the stance phase of the
10 symptomatic knee reduces peak KAM and KAM impulse (Simic et al., 2012). However,
11 exactly how the lateral trunk lean gait reduces KAM is not well known. KAM is determined
12 by the product of the ground reaction force (GRF) vector magnitude and the knee–GRF lever
13 arm (KLA). The lateral trunk lean may influence KAM by causing the center of mass (COM)
14 displacement in the frontal plane toward the stance limb during walking (Hunt et al., 2008);
15 however, the movement strategy for how the GRF vector magnitude or KLA change to
16 reduce KAM according to the lateral trunk lean gait is unknown. Therefore, elucidating the
17 mechanism of underlying the reduction of KAM of the lateral trunk lean gait for knee OA
18 may be useful for exercise instruction to promisingly reduce KAM.

19 Despite evidence demonstrating the benefits of lateral trunk lean gait KAM reduction,

20 lateral trunk lean gait remained difficult for knee OA patients (Hunt et al., 2011). Simic et al.
21 (2011) reported that lateral trunk lean gait may occur in conjunction with difficulty in
22 coordinating body movements to achieve an adequate lateral trunk lean angle during the
23 stance phase for knee OA patients. However, it has not been reported in terms of coordinating
24 the body movements during lateral trunk lean gait.

25 Coordination of body segments during walking was investigated in terms of motor
26 variability using uncontrolled manifold (UCM) analysis (Krishnan et al., 2013; Rosenblatt et
27 al., 2014; Rosenblatt et al., 2015; Black et al., 2007; Qu et al., 2012; Papi et al., 2015). UCM
28 analysis is a quantitative tool used to understand motor variability (Scholz et al., 1999;
29 Stergiou et al., 2011). UCM analysis discerns variability of a selected functional task with
30 many degrees of freedom (DOFs), and this analysis tests the assumption of all combinations
31 with respect to motor elements (i.e., elemental variables) that lead to important variables
32 produced by the motor system (i.e., performance variables). Motor variability is defined by
33 all segmental configurations that contribute to a particular motor task, which can be divided
34 into two variance components. One component represents the variance projected onto UCM
35 (V_{UCM}) that does not affect the performance variable (good variability); the other component
36 represents the variance orthogonal to UCM (V_{ORT}) that affects the performance variable (bad
37 variability). As good variability increases, more movement patterns are used to perform a
38 task; bad variability increases performance variability and thus destabilizes performance

39 (Krishnan et al. 2013). If $V_{UCM} > V_{ORT}$, the selected performance variable is stabilized by
40 synergy (Scholz et al., 1999).

41 Previous studies describing the coordination of walking using UCM analysis
42 demonstrated that the performance variable sets the mediolateral trajectory of the swing foot
43 (Krishnan et al., 2013; Rosenblatt et al., 2014; Rosenblatt et al., 2015) and the COM
44 displacement during walking (Black et al., 2007; Qu et al., 2012; Papi et al., 2015). In these
45 studies, the synergy index value was changed by the degree of task difficulty according to
46 various conditions of the foot contact position (Rosenblatt et al., 2014; Rosenblatt et al.,
47 2015). However, the change of motor variability with regards to controlling the COM
48 displacement during lateral trunk lean gait is unknown. Lateral trunk lean gait for knee OA
49 patients may change motor variability and thus increase task difficulty.

50 There are advantages of gait modifications with respect to KAM reduction; however, the
51 lateral trunk lean gait may affect the control of the COM displacement owing to the
52 movement strategy of the leaning trunk toward the stance side. Utilizing the UCM approach
53 in this study, one can investigate whether the lateral trunk lean gait for knee OA patients
54 affects the control of the COM displacement, and it may clarify the availability of the lateral
55 trunk lean gait in terms of motor coordination related to task difficulty during walking.

56 This study had two purposes. The first purpose was to clarify the biomechanical
57 mechanism to reduce KAM of lateral trunk lean gait for knee OA patients. We hypothesized

58 that KAM of lateral trunk lean gait would decrease because the KLA would be shortened via
59 shifting COM in the frontal plane toward the stance limb. The secondary purpose was to
60 quantify how motor variability that controls the COM displacement during the stance phase
61 of gait is affected when the lateral trunk lean angle was adjusted. We hypothesized that V_{UCM}
62 would significantly increase to maintain the COM displacement in the frontal plane when
63 knee OA patients walked to adjust the lateral trunk lean angle compared with those with
64 normal gait.

65

66 **2. Methods**

67 2.1. Subjects

68 Subjects with knee pain were recruited from orthopedic clinics and through advertisements
69 to the local residents. After recruitment, 20 subjects with radiographic medial OA were
70 diagnosed by an experienced orthopedic surgeon. If patients had bilateral knee OA, the limb
71 comprising the more symptomatic knee was selected for this study. Subjects were included if
72 they reported knee pain on most days of the previous month and had tenderness in
73 combination with osteoarthritic signs according to the Kellgren/Lawrence (K/L) classification
74 of Grade 1 or higher over the medial tibiofemoral compartment of the knee. Subjects were
75 observed by an orthopedist for the improvement of knee pain and the prevention of the
76 progress of the knee OA. Exclusion criteria were as follows: patients diagnosed with any

77 neurological disease, rheumatoid arthritis, and lower limb artificial joint replacement and
78 those using a cane daily or who had difficulty walking without assistance. Knee OA severity
79 was assessed according to the Kellgren/Lawrence (K/L) grading scale (Kellgren et al., 1957).
80 The Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC) was used to
81 assess knee pain, stiffness, and impairment in physical function (Bellamy et al., 1988).
82 Patient characteristics are presented in Table 1. This study was approved by the Ethics
83 Committee of Division of Physical Therapy and Occupational Therapy Sciences, Graduate
84 School of Biomedical and Health Sciences, Hiroshima University (Approval no. 1414), and
85 all patients provided informed consent prior to participation.

86

87 2.2. Experimental set-up and procedures

88 Patients walked across a 10-m laboratory walkway at a comfortable walking speed under
89 two conditions: normal and lateral trunk lean gaits. Walking speed was assessed using two
90 photoelectric timers (TM-02; Tamagawa Shop, Hiroshima, Japan). With regard to lateral
91 trunk lean gait, patients were instructed to lean their trunk toward the study limb during the
92 ipsilateral stance phase and to reach their maximum lateral trunk lean to the target angle after
93 initial contact of the study limb. Using a real-time visual feedback system, patients were
94 instructed to shift trunk lean displayed in real time to match a target angle of 10° (Fig. 1). If
95 the patients could not achieve the target trunk lean angle, they were provided additional

96 verbal feedback and encouraged to continue to try to reach the target. 10° was chosen as the
97 target lateral trunk lean angle as previous studies have shown significant changes in KAM at
98 this target angle, while maintaining a feasible amount of lateral trunk lean (Clark et al., 2013;
99 Takacs et al., 2014). Trunk marker positional data were streamed from the Vicon Nexus
100 version 2.1.1 software (VICON MX; Vicon Motion Systems, Oxford, UK) to MATLAB
101 R2014a software (MathWorks, Natick, MA) in real time. MATLAB calculated and displayed
102 the lateral trunk lean angle animation. Before data collection, patients practiced for
103 approximately 10 min to achieve the target trunk lean angle. The error range for the trunk
104 lean angle corresponded to $\pm 2^\circ$ during the stance phase, and the practice was completed after
105 the patients successfully achieved the target angle within the error range. For each condition,
106 data collection required a minimum of 10 trials to ensure appropriate gait modification, and
107 subjects took one step per trial. The 10 trials within the error range ($\pm 2^\circ$) for the target angle
108 were included in the analysis.

109

110 2.3. Kinematics and kinetics measurements

111 Infrared-reflecting markers were attached to 40 anatomical landmarks (Anan et al., 2015).

112 Kinematic data during gait were collected using a 3D motion analysis system with six
113 infrared cameras (VICON MX; Vicon Motion Systems, Oxford, UK). Kinetic data were
114 collected using eight force plates (Tec Gihan, Uji, Japan) to measure GRF under each

115 individual foot. These 3D coordinates were collected by the motion analysis system at a
116 sampling rate of 100 frame/s, and the 3D GRF data were collected by the force plates at a
117 sampling frequency of 1000 Hz. The stance phase was defined as when the vertical vector of
118 GRF was >10 N (O'Connor et al., 2007). Kinematic and kinetic data were low-pass filtered
119 using 4th-order Butterworth filters (6 Hz and 20 Hz, respectively). The lateral trunk lean angle
120 was calculated as the angle between the trunk line (a line joining the center between the line
121 connecting the midpoint across both posterior superior iliac spines and the line connecting the
122 midpoint across both acromia) and global vertical axis. Here the mean of the maximum value
123 of lateral trunk lean angle of 10 trials was used. KAM was calculated using a tibial coordinate
124 system with the origin in the knee joint center (Kito et al., 2010). Peak KAM was calculated
125 at the points of the first KAM peak during the stance phase. KAM impulse was calculated as
126 the timed integral of KAM for stance duration (Kito et al., 2010). Collected marker
127 coordinates were used to define the respective local coordinate systems of a nine
128 rigid-body-link model consisting of the head (both temple and nuchal), thorax (both acromia
129 and superior edge of the iliac crests), pelvis (both anterior superior iliac spines and posterior
130 superior iliac spines), both thighs (the superior aspect of the greater trochanter and medial
131 and lateral epicondyles of the femur), both shanks (the medial tibial condyle, lateral tibial
132 condyle, medial malleolus, and lateral malleolus), and both feet (the posterior distal aspect of
133 the calcaneus and the head of the first and fifth metatarsals). The whole-body COM

134 displacement was calculated using coefficients of each body segment's inertia obtained from
135 the work of Okada et al. (1996). The knee joint center was located at the midpoint between
136 the lateral and medial femoral epicondyles (Shull et al., 2013). The knee joint center position
137 was defined as the distance in the frontal plane from the center between the lateral and medial
138 malleoli (ankle center), and the medial position to the ankle center was considered as positive
139 value. The COM and center of pressure (COP) displacements in this study were defined as
140 the distance from the knee joint center in the frontal plane. Step width was defined as the
141 distance between both ankle joint centers during initial double support (Favre et al., 2015).
142 GRF was calculated as the resultant force vector of the vertical and mediolateral components
143 (Hunt et al., 2006). KLA was calculated as the perpendicular distance between the line of
144 action of the GRF vector and the knee joint center in the frontal plane of the shank reference
145 frame. KAM and GRF were then normalized to each patient's body mass. The COP and
146 COM displacements, knee joint center, KLA, and GRF vector were analyzed at the first peak
147 KAM during the stance phase.

148

149 2.4. UCM analysis

150 UCM analysis was used to characterize the control of the COM displacement during the
151 stance phase. For UCM analysis, data were time-normalized (0%–100%) from the initial
152 contact to toe off. For analysis with regards to controlling the COM displacement in the

153 frontal plane of the lateral trunk lean gait, the performance variable was selected as the COM
154 displacement in the mediolateral direction. UCM analysis generated a geometric model of the
155 performance variable. The geometric model comprised the following eight segments:
156 stance-limb shank, stance-limb thigh, pelvis, swing-limb thigh, swing-limb shank, trunk,
157 thorax, and head (Fig. 2). The segments i ($i = 1-5$) had motions outside the frontal plane as
158 defined by angles $\alpha_1, \alpha_2, \alpha_3, \alpha_4,$ and α_5 , respectively. α_1 and α_5 represent the projection of the
159 line connecting the ankle and knee joint centers of the limb to the frontal plane, effectively
160 incorporating knee and ankle movements in the sagittal plane (α_1 : stance limb and α_5 : swing
161 limb). α_2 and α_4 represent the projection of the line connecting the knee and hip joint centers
162 of the limb to the frontal plane, effectively incorporating hip and knee movements in the
163 sagittal plane (α_2 : stance limb and α_4 : swing limb). α_3 represents the projection of the line
164 connecting both hip joint centers of the limb to the frontal plane, effectively incorporating
165 both hip movements in the transverse plane. Due to the large amount of motion outside the
166 frontal plane, the movements of the lower limbs in the sagittal plane and the pelvis in the
167 transverse plane were included to account for changes in the effective length of the segments
168 when projected onto the frontal plane (Krishnan et al., 2013). The geometrical model for
169 COM delimited to the mediolateral direction in the frontal plane is described as follows:

$$170 \text{ COM} = x_0 + C_1 \times M_1 \times L_1 \times \cos\alpha_1 \sin\theta_1 + C_2 \times M_2 \times L_2 \times \cos\alpha_2 \sin\theta_2$$

$$171 \quad + C_3 \times M_3 \times L_3 \times \cos\alpha_3 \cos\theta_3 + C_4 \times M_4 \times L_4 \times \cos\alpha_4 \sin\theta_4$$

172 $+ C_5 \times M_5 \times L_5 \times \cos\alpha_5 \sin\theta_5 + C_6 \times M_6 \times L_6 \times \sin\theta_6$

173 $+ C_7 \times M_7 \times L_7 \times \sin\theta_7 + C_8 \times M_8 \times L_8 \times \sin\theta_8$

174 where x_0 is the segmental position of the absolute coordinate system in the mediolateral
 175 direction; C_i ($i=1-8$) is the estimated position of COM_i on the segment; M_i is the proportion of
 176 the total body mass of each segment; L_i is the length of the segment; θ_i are the segment angles
 177 relative to the frontal plane; and α_3 is the segment angle relative to the transverse plane.

178 A linearization approximation of the geometric model of the performance variable was
 179 obtained at the mean segmental configuration during each stance phase across all repetitions
 180 using the Jacobian system (\mathbf{J}). \mathbf{J} is the matrix of the partial derivatives corresponding to
 181 changes in the performance variable with respect to each of the segmental angles (the
 182 elemental variables) (Scholz et al., 1999). ϵ , the null space of \mathbf{J} , was calculated to provide
 183 basis vectors spanning the linearized UCM. The null space has $n - d$ vectors that span UCM
 184 $(\epsilon_1, \epsilon_2, \dots, \epsilon_{n-d})$, where n represents the number of dimensions in the segmental configuration
 185 space and d represents the number of dimensions of the performance variable. For the
 186 analysis regarding the control of COM in the mediolateral direction, $n = 13$ and $d = 1$. Every
 187 percentage of each stance $(\theta - \bar{\theta})$ was projected onto the null space:

188
$$\Theta_{\text{UCM}} = \sum_{i=1}^{n-d} (\theta - \bar{\theta}) \cdot \epsilon_i$$

189 and onto a component orthogonal to this subspace:

190
$$\Theta_{\text{ORT}} = (\theta - \bar{\theta}) - \Theta_{\text{UCM}}$$

191 Consider N is the number of repetitions. The variance in Θ , which did not affect good
192 variance, was calculated as the average squared length of Θ_{UCM} per DOF over all N steps:

$$193 \quad V_{UCM} = \sqrt{(n-d)^{-1} N^{-1} \Sigma(\Theta_{UCM})^2}$$

194 The variance that affected bad variance was calculated as follows:

$$195 \quad V_{ORT} = \sqrt{d^{-1} N^{-1} \Sigma(\Theta_{ORT})^2}$$

196 The UCM analysis was calculated using the whole-body COM in the frontal planes. The
197 average total variance in the segmental configuration space per total DOFs was calculated
198 using V_{TOT} :

$$199 \quad V_{TOT} = \left(\frac{1}{n+d} \right) (dV_{ORT} + (n-d)V_{UCM})$$

200 The strength of synergy is reflected by the synergy index (ΔV), and ΔV was calculated as
201 follows (Krishnan et al., 2013):

$$202 \quad \Delta V = \frac{V_{UCM} - V_{ORT}}{V_{TOT}}$$

203 The more positive ΔV is, the stronger the synergy. Non-positive values indicate the absence
204 of synergy. ΔV ranges from -14 (all variance is partitioned into V_{ORT}) to $14/12$ (all variance
205 is partitioned into V_{UCM}). The different components of variance (V_{TOT} , V_{UCM} , and V_{ORT}) are
206 always positive, and the index of synergy ΔV ranges from positive to negative values. These
207 variables do not follow a normal distribution. To address this and to apply statistical analysis,
208 the ΔV was log-transformed using Fisher's z-transformation (Robert et al., 2009):

209
$$\Delta V_z = \frac{1}{2} \log \left[\frac{14 + \Delta V}{\left(\frac{14}{12} - \Delta V \right)} \right]$$

210 Prior to statistical analysis, V_{UCM} , V_{ORT} , V_{TOT} , ΔV_z , and COM variability were averaged
211 across the first half (0–50%) and latter half (51%–100%) of the stance phase.

212

213 2.5. Statistical analysis

214 The normality of the data distributions was assessed using the Shapiro–Wilk test. To
215 compare the difference between conditions, a t-test was performed for KAM-related
216 biomechanical parameters. The peak KAM was analyzed using analysis of covariance
217 (ANCOVA), with walking speed as a covariate because it may affect the peak KAM (Zeni et
218 al., 2009; Gerbrands et al., 2017). Regarding the synergy index, a mixed design ANOVA was
219 performed and included a within-subject factor of the variance component (V_{UCM} and V_{ORT})
220 and between-subject factor of condition (normal and lateral trunk lean gait). A significant
221 main effect of the variance component ($V_{UCM} > V_{ORT}$) indicated the existence of synergy.
222 Further, paired t-tests were used to compare ΔV_z , V_{UCM} , and V_{ORT} under the two conditions.
223 All statistical analyses were performed using IBM SPSS version 22.0 for Windows (IBM
224 Japan, Tokyo, Japan), with significance set at $p < 0.05$.

225

226 3. Results

227 The lateral trunk lean angle was $3.0 \pm 2.0^\circ$ under the normal gait condition and $11.1 \pm 1.9^\circ$
228 under the lateral trunk lean gait condition. The gait parameters are shown in Table 2. Walking
229 speed and stance time under the lateral trunk lean gait condition were significantly distinct
230 compared with the normal gait condition ($p < 0.001$ and $p < 0.001$, respectively). The step
231 width under the lateral trunk lean gait condition was significantly larger than that under the
232 normal gait condition ($p < 0.001$). The peak KAM and KAM impulse under the lateral trunk
233 lean gait condition significantly decreased compared to the normal gait condition ($p < 0.001$
234 and $p < 0.001$, respectively) (Table 3). After adjusting for walking speed, the peak KAM
235 under trunk lean gait condition significantly decreased compared with the normal gait
236 condition ($p < 0.05$). The data related to KAM are shown in Table 4. The KLA under the
237 lateral trunk lean gait condition was significantly shorter than that under the normal gait
238 condition ($p < 0.01$). The GRF vector magnitude did not significantly differ between
239 conditions. The knee joint center position the lateral trunk lean gait condition significantly
240 shifted medially than that under the normal gait condition ($p < 0.001$). The COP displacement
241 under the lateral trunk lean gait condition was significantly shorter than that under the normal
242 gait condition ($p < 0.001$). The COM displacement did not significantly differ between the
243 conditions.

244 COM variability during the first half of the stance phase under the lateral trunk lean gait
245 condition was significantly larger than that under the normal gait condition ($p < 0.05$) (Fig. 3).

246 Our ANOVA analysis showed a significant main effect of variance component ($V_{UCM} > V_{ORT}$),
247 indicating the presence of kinematic synergy ($p < 0.01$). ΔV_z did not significantly differ
248 between conditions (Fig. 4). V_{UCM} under the lateral trunk lean gait condition was significantly
249 larger than that under the normal gait condition (first half: $p < 0.05$ and latter half: $p < 0.05$).
250 V_{ORT} did not significantly differ between conditions (Fig. 5). V_{TOT} of the lateral trunk lean
251 gait condition was significantly larger than that of the normal gait condition (first half: $p <$
252 0.01 and latter half: $p < 0.01$) (Fig. 6).

253

254 **4. Discussion**

255 We aimed to determine the biomechanical mechanism of KAM reduction during the
256 lateral trunk lean gait in knee OA patients. Contrary to our hypothesis, the COM
257 displacement did not significantly differ between the conditions. We speculated that the COM
258 displacement relative to the knee joint center did not significantly differ according to the
259 movement strategy of shifting the knee joint position to the opposite direction of leaning
260 trunk toward the stance side. Therefore, the medial shift of the knee joint center may shorten
261 the distance of the COP displacement and KLA with respect to the knee joint center, and the
262 motion may have led to the KAM reduction. Favre et al. (2016) reported that the instruction
263 to modify trunk sway affected the step width. In this study, the step width under the lateral
264 trunk lean gait condition was significantly larger than that under the normal gait condition.

265 Thus, the increase in the step width may be related to the medial shift of the knee joint center.
266 Our finding will help clinicians to further understand the mechanisms that reduce the KAM in
267 the lateral trunk lean gait for knee OA patients.

268 There was no significant difference in kinematic synergy between the conditions, despite
269 increased V_{UCM} and V_{TOT} . Rosenblatt et al. (2015) reported that an increased synergy index
270 was shown with a decreased V_{ORT} . Other studies that investigated upper extremity of older
271 adults demonstrated that V_{ORT} of older adults was larger than that of younger adults, resulting
272 in a reduced synergy index (Verrel et al., 2012; Kapur et al., 2010). The change in V_{ORT} may
273 influence the synergy index magnitude. V_{ORT} in this study did not significantly differ between
274 conditions, thus possibly leading to a similar synergy index.

275 Walking in daily living is repeatedly performed; therefore, it is necessary to assess whether
276 knee OA patients can stably perform lateral trunk lean gait across repetitions. UCM analysis
277 is described as a method of analysis through which hypotheses regarding controlled and
278 uncontrolled degrees of freedom of movements can be tested (Scholz et al., 1999). In this
279 analysis, the trial-to-trial variability can be used to assess stability and control the means to
280 stabilization so that the lack of control implies reduced stability. Hsu et al. (2013) reported
281 that older adults changed their joint coordination pattern to control the COM during balance
282 recovery and had a lower synergy index with increased V_{ORT} , suggesting that UCM analysis
283 can be used to detect poor balance coordination in the elderly. In this study, our results

284 showed that knee OA patients can perform lateral trunk lean gait by increasing V_{UCM} (without
285 increasing V_{ORT}), while synergy index did not change. Rosenblatt et al. (2014) reported that
286 increasing good variability may indicate improving the stability of gait patterns. Our findings
287 indicate that lateral trunk lean gait was possible without changing the coordination of each
288 joint movement to control COM displacement by utilizing good variability to accomplish
289 stable task, although the COM variability increased. Thus, our results suggested that knee OA
290 patients stably perform lateral trunk lean gait across repetitions.

291 This study had a limitation related to differing gait speeds. Considering the findings that
292 slower walking leads to greater gait variability (Kang et al., 2008), slower gait speed under
293 lateral trunk lean gait may affect gait variability. If so, it is expected that both V_{UCM} and V_{ORT}
294 increases under lateral trunk lean gait. In agreement with this view, Domkin et al. (2002)
295 reported that an improvement in movement speeds after practicing a bimanual pointing task
296 was accompanied by decreases in both V_{UCM} and V_{ORT} . However, in our study, knee OA
297 patients adapted movement strategy to achieve task by increasing V_{UCM} in terms of trunk lean
298 gait because V_{ORT} did not significantly differ between the conditions. Therefore, we believe
299 that UCM analysis in this study could assess the characteristics of synergy in terms of trunk
300 lean gait, although a possibility that slower gait speed modifies the synergy cannot be
301 excluded. Our results showed only a temporary change in the kinematic and variability data
302 of trunk lean gait using a real-time visual feedback system. Thus, future research should

303 investigate thorough longitudinal studies to determine the change via motor learning.

304

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308

309 **Conflict of Interest Statement**

310 The authors declare no conflicts of interest.

311

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Fig. 1. The real-time movement visual feedback system used for training and gait with altered lateral trunk lean angle is shown. In order to reach the target angle, subjects walked toward a projection screen, which displayed the lateral trunk lean angle toward the stance limb in real time. On the screen, the thick arrow represents the target angle and the thin arrow represents the lateral trunk lean angle in real time.

Fig. 2. A geometrical model was used to extract an analytical expression for each elemental variable matrix. The left, middle, and right illustrations represent views in the frontal, sagittal, and transverse planes, respectively.

Fig. 3. COM variability during the stance phase. **A** represents the first half during the stance phase. **B** represents the latter half during the stance phase. Data represent the means and standard deviations of both conditions. COM variability under the trunk lean gait condition was larger than that under the normal gait condition (**A**, $p < 0.05$, Normal gait = 49.9 ± 25.3 mm, Lateral trunk lean gait = 69.9 ± 32.7 mm; **B**, $p = 0.19$, Normal gait = 57.7 ± 28.6 mm, Lateral trunk lean gait = 77.2 ± 54.3 mm).

Fig. 4. ΔV_z during the stance phase. **A** represents the first half during the stance phase. **B** represents the latter half during the stance phase. Data represent the means and standard deviations of both conditions. ΔV_z did not significantly differ between the conditions (**A**, $p = 0.66$, Normal gait = 1.6 ± 0.2 , Lateral trunk lean gait = 1.6 ± 0.3 ; **B**, $p = 0.11$, Normal gait = 1.5 ± 0.3 , Lateral trunk lean gait = 1.6 ± 0.2).

Fig. 5. V_{UCM} and V_{ORT} during the stance phase. **A** represents the first half during the stance phase. **B** represents the latter half during the stance phase. Data represent the means and standard deviations of both conditions. V_{UCM} under the lateral trunk lean gait condition during stance phase was larger than that under the normal gait condition (**A**, V_{UCM} : $p < 0.05$, Normal gait = $4.1 \times 10^{-4} \pm 2.6 \times 10^{-4} \text{ rad}^2$, Lateral trunk lean gait = $6.4 \times 10^{-4} \pm 4.7 \times 10^{-4} \text{ rad}^2$; V_{ORT} : $p = 0.06$, Normal gait = $2.0 \times 10^{-4} \pm 1.1 \times 10^{-4} \text{ rad}^2$, Lateral trunk lean gait = $2.7 \times 10^{-4} \pm 1.5 \times 10^{-4} \text{ rad}^2$; **B**, V_{UCM} : $p < 0.05$, Normal gait = $4.0 \times 10^{-4} \pm 2.3 \times 10^{-4} \text{ rad}^2$, Lateral trunk lean gait = $6.6 \times 10^{-4} \pm 4.9 \times 10^{-4} \text{ rad}^2$; V_{ORT} : $p = 0.26$, Normal gait = $2.6 \times 10^{-4} \pm 1.3 \times 10^{-4} \text{ rad}^2$, Lateral trunk lean gait = $3.3 \times 10^{-4} \pm 2.8 \times 10^{-4} \text{ rad}^2$).

Fig. 6. V_{TOT} during the stance phase. **A** represents the first half during the stance phase. **B** represents the latter half during the stance phase. Data represent the means and standard deviations of both conditions. V_{TOT} under the lateral trunk lean gait condition was larger than that under the normal gait condition (**A**, $p < 0.05$, Normal gait = $3.7 \times 10^{-4} \pm 2.2 \times 10^{-4} \text{ rad}^2$, Lateral trunk lean gait = $5.6 \times 10^{-4} \pm 4.1 \times 10^{-4} \text{ rad}^2$; **B**, $p < 0.05$, Normal gait = $3.6 \times 10^{-4} \pm 2.0 \times 10^{-4} \text{ rad}^2$, Lateral trunk lean gait = $5.9 \times 10^{-4} \pm 4.4 \times 10^{-4} \text{ rad}^2$).

Figure
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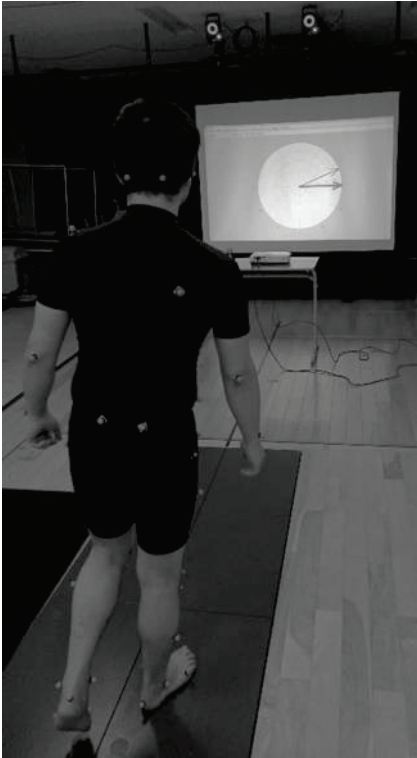


Fig. 1

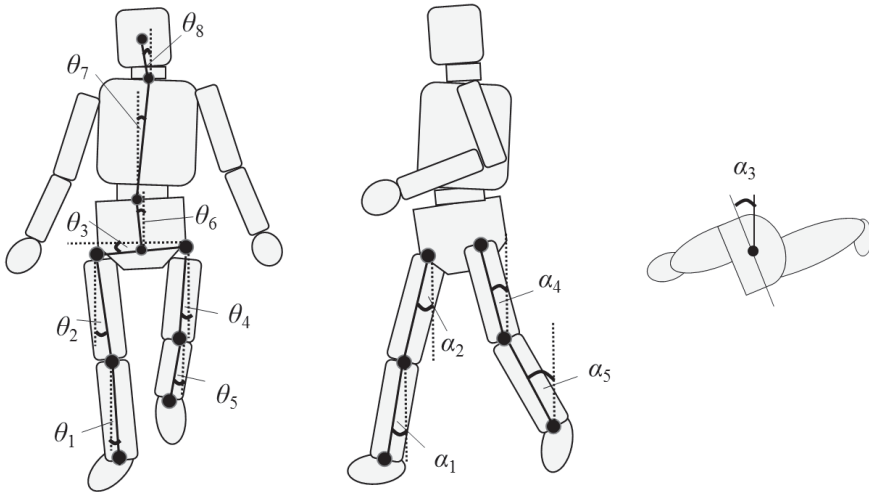


Fig. 2

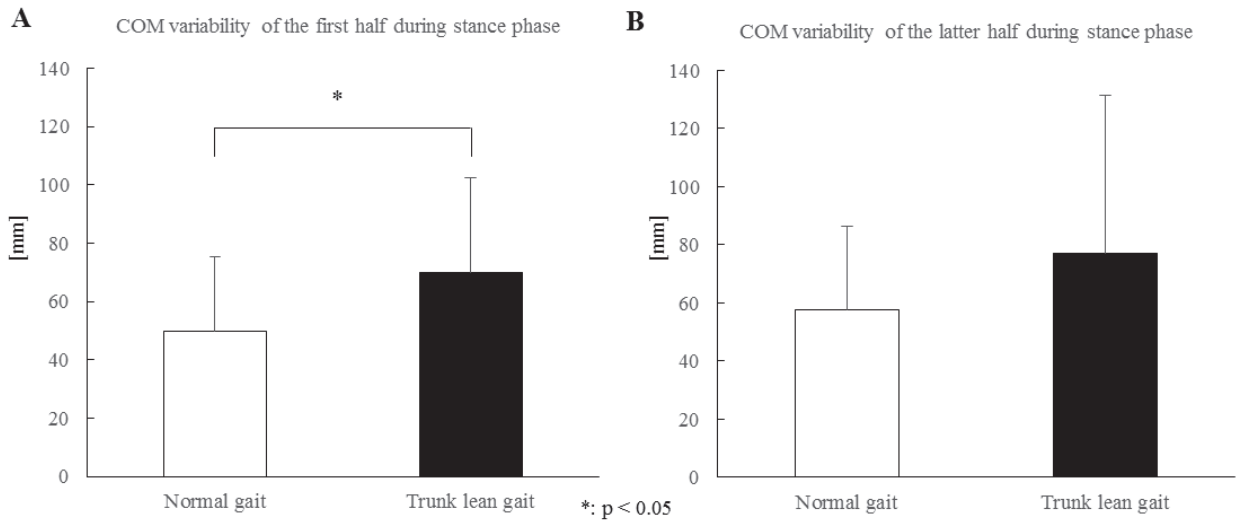


Fig. 3

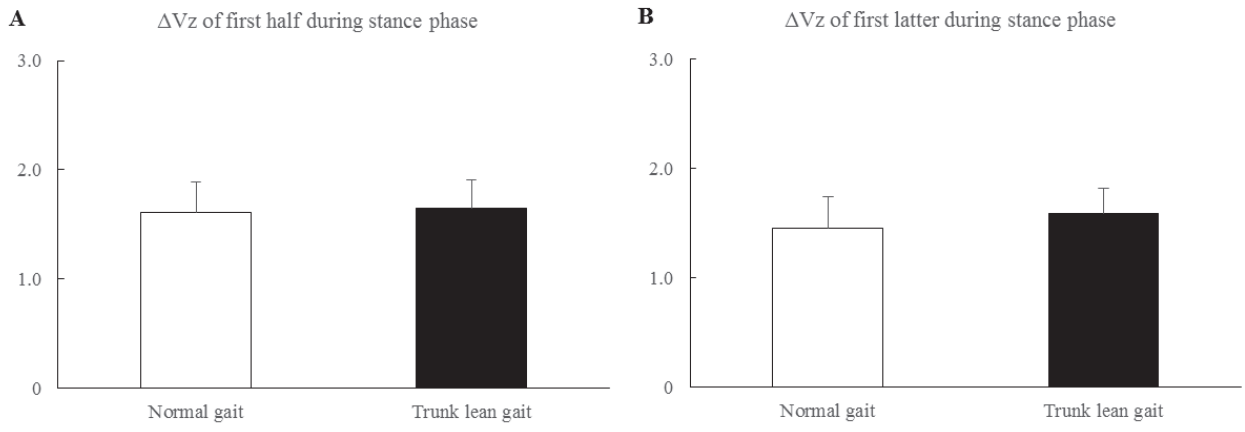


Fig. 4

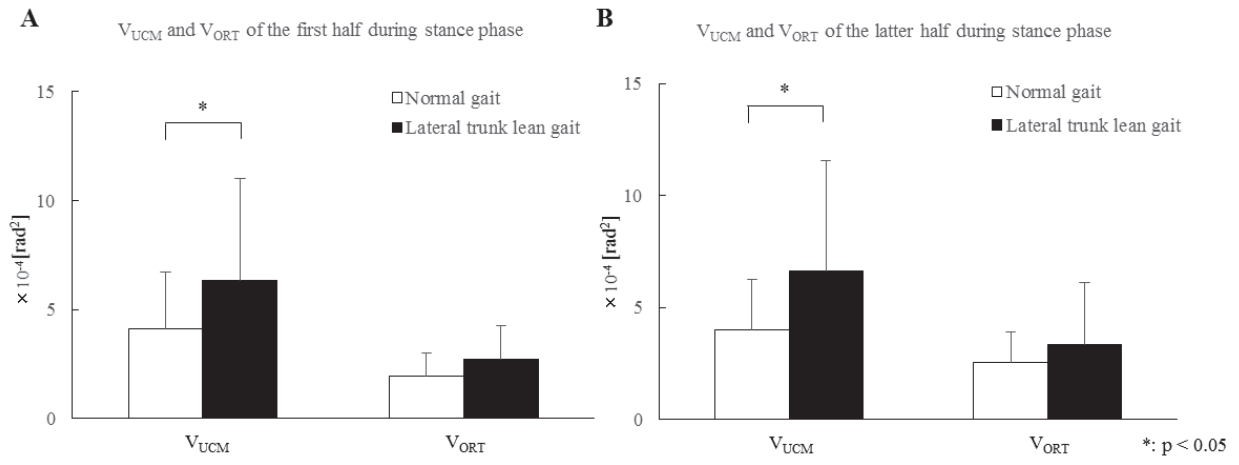


Fig. 5

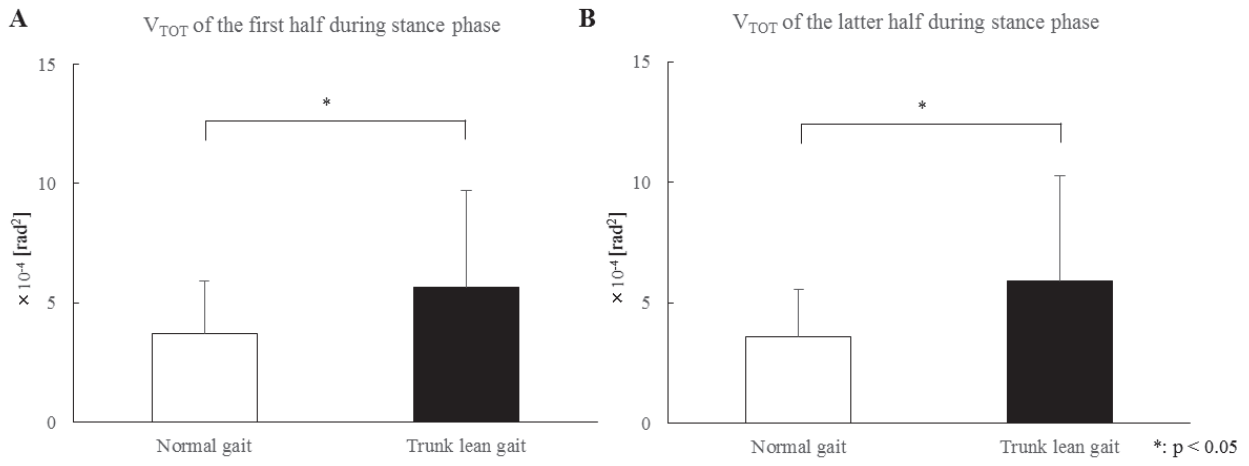


Fig. 6

Table 1. Patient characteristics

Characteristics	Mean \pm SD or n
Age (y)	72.1 \pm 4.6
Height (m)	1.54 \pm 0.08
Body mass (kg)	56.6 \pm 6.7
BMI (kg/m ²)	24.0 \pm 2.4
Gender (n)	
Female	14
Male	6
K/L grade	
Grade 1/2/3/4 (n)	10/3/4/3
WOMAC score	
Pain (0–20)	2.5 \pm 2.7
Stiffness (0–8)	0.9 \pm 1.5
Physical function (0–68)	7.6 \pm 6.6

BMI: body mass index; K/L: Kellgren/Lawrence; WOMAC: Western Ontario and McMaster Universities Osteoarthritis Index; SD: standard deviation

Table 2. Gait parameters under normal and trunk lean gait conditions

	Normal gait (mean \pm SD)	Trunk lean gait (mean \pm SD)	P-value
Walking speed (m/s)	1.14 \pm 0.21	0.76 \pm 0.24	<0.001
Stance time (s)	0.63 \pm 0.06	0.79 \pm 0.12	<0.001
Step width (mm)	121.6 \pm 33.0	171.0 \pm 39.2	<0.001

SD: standard deviation

Table 3. KAM data under normal and trunk lean gait conditions

	Normal gait (mean \pm SD)	Trunk lean gait (mean \pm SD)	P-value
Peak KAM (N·m/kg)	0.56 \pm 0.21	0.41 \pm 0.15	<0.001
KAM impulse (N·m/kg·s)	0.19 \pm 0.06	0.16 \pm 0.06	<0.001

KAM: knee adduction moment; SD: standard deviation

Table 4. Data related to KAM under normal and trunk lean gait conditions at the first peak KAM during the stance phase

	At the first peak KAM		P-value
	Normal gait (mean \pm SD)	Trunk lean gait (mean \pm SD)	
Lever arm along the ML axis (mm)	37.7 \pm 27.9	21.7 \pm 23.2	<0.01
GRF vector magnitude (N/kg)	9.56 \pm 1.77	9.81 \pm 1.36	0.24
Knee joint center position along the ML axis (mm)	-22.4 \pm 17.1	-9.7 \pm 15.1	<0.001
COP displacement along the ML axis (mm)	27.2 \pm 19.0	10.8 \pm 14.6	<0.001
COM displacement along the ML axis (mm)	83.3 \pm 12.5	84.6 \pm 14.7	0.55

KAM: knee adduction moment; GRF: ground reaction force; COM: center of mass; COP: center of pressure; SD: standard deviation

Conflict of Interest Statement

The authors declare no conflicts of interest.