

Structure and hydrogen-bonding ability of estrogens studied in the gas phase

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Abstract

The structures of estrogens (estrone, β -estradiol, estriol) and their 1:1 hydrogen-bonded (hydrated) clusters with water formed in supersonic jets have been investigated by various laser spectroscopic methods and quantum chemical calculations. In the S_1 - S_0 electronic spectra, all the three species exhibit the band origin in the 35050–35200 cm^{-1} region. By applying ultraviolet-ultraviolet hole-burning (UV-UV HB) spectroscopy, two conformers, four conformers and eight conformers, arising from different orientation of OH group(s) in the *A*-ring and *D*-ring, were identified for estrone, β -estradiol, and estriol, respectively. The Infrared-ultraviolet double resonance (IR-UV DR) spectra in the OH stretching vibration were observed to discriminate different conformers of the *D*-ring OH for β -estradiol and estriol, and it is suggested that in estriol only the intramolecular hydrogen bonded conformer exists in the jet. For the 1:1 hydrated cluster of estrogens, the S_1 - S_0 electronic transition energy is quite different depending on whether the water molecule is bound to *A*-ring OH or *D*-ring OH. It is found that the water molecule prefers to form an H-bond to the *A*-ring OH for estrone and β -estradiol due to the higher acidity of phenolic OH than that of the alcoholic OH. On the other hand, in estriol the water molecule prefers to be bound to the *D*-ring OH due to the formation of a stable ring-structure H-bonding network with two OH groups. From these results, we conclude that estriol has a hydrogen bonding ability quite different from that of β -estradiol although their difference is just only one substituent.

I. INTRODUCTION

Estrogens (estrone, β -estradiol, and estriol) are important endogenous female hormones. They have a common steroidal backbone (scheme 1) with a phenolic OH group at position 3C of the *A*-ring. The differences among them are the substituents at 16C and 17C in the *D*-ring. Estrone has a carbonyl group at 17C, β -estradiol has an OH group at β side of 17C, and estriol has two OH groups, one is at α side of 16C and the other is at β side of 17C. Estrogens exert several physiological activities such as regulating differentiation, growth and homeostasis in various tissues of human body^{1,2}. However, estrogens play a negative role as well as a positive role on human body, such as inducing breast or endometrial cancer^{3,4}. Thus, up to now, endogenous and exogenous estrogens have been investigated by variety of studies to reveal the origin of the activities⁵⁻²¹. From these studies, it is known that the physiological effect of estrogen is initiated by binding with some receptors, such as estrogen-receptor. In these receptors, estrogens are fixed to the ligand binding domains (LBDs) via the hydrogen-bonding (H-bonding) at the *A*-ring OH, and the H-bond at the *D*-ring OH (CO) regulates structural alternations of LBDs for physiological activity^{5-8, 19}. It has been revealed that β -estradiol has the highest physiological activity, which is followed by estrone and estriol. However it is not well understood how the difference of the substituents is related to the difference of their activities. Since estrogens have many possible conformations at room temperature in solution, it will be very difficult to investigate how the substituents as well as the conformation are related to the inherent property of each estrogen. To solve these problems, we have been studying the structures of gas phase cold estrogens and their hydrated clusters formed in supersonic free jet expansion.

In our previous study²² we reported the conformation of β -estradiol and the structure of its mono-hydrated cluster. We showed that *A*-ring OH is more acidic than the *D*-ring OH so that water prefers to form H-bonding to the *A*-ring OH as an acceptor. On the other hand, *D*-ring OH plays both a donor and an acceptor depending on its orientation. In the present study, we extend our investigation to the conformation of estrone and estriol, and the structures of the hydrated clusters. The molecule

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3 and the clusters are cooled in a supersonic free jet expansion, and we measure the electronic and IR
4 spectra by applying various laser spectroscopic techniques; laser induced fluorescence (LIF),
5 fluorescence-detected UV-UV HB, and IR-UV DR spectroscopy. We determine their structures by
6 the comparison of the observed spectra with those obtained by theoretical calculations with density
7 functional theory (DFT). On the basis of the analysis of the conformation and H-bonding structure
8 for each estrogen, we discuss their H-bonding ability and its relationship with a physiological
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21 II. EXPERIMENTAL AND COMPUTATIONAL METHODS

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23 The details of the experimental approach were described in a previous paper²². Briefly,
24 jet-cooled estrogen and its hydrated clusters were produced by a supersonic expansion of gaseous
25 samples using a homemade heated pulsed nozzle. Solid powder of estrogen was put in a housing
26 attached at the head of the pulsed nozzle and was heated at 150 °C for estrone, 130 °C for β -estradiol
27 and 190 °C for estriol. A gas mixture of estrogen and He carrier gas at total pressure of 3-5 bar was
28 expanded into a vacuum chamber through a 1 mm orifice of the nozzle. Hydrated clusters of estrogen
29 were obtained by introducing small amount of water vapor into He carrier gas and expanding the
30 mixture into vacuum. The S_1 - S_0 electronic spectra were measured by LIF spectroscopy. A tunable
31 UV light obtained by second harmonics generation (SHG) of an output of the pulsed dye laser
32 (Lambda Physik Scanmate) pumped by Nd^{3+} :YAG laser (Continuum Surelite II) crossed the
33 supersonic jet of estrogen at 30 mm downstream of the nozzle. Fluorescence from the sample was
34 collected on a photomultiplier tube after passing through a band pass filter, and the current from the
35 photomultiplier tube was fed into a boxcar integrator (Stanford Research systems SR250). Averaged
36 signals were processed by a PC via an analog/digital converter. We also carried out UV-UV
37 hole-burning (HB) spectroscopy²³ to distinguish a peak belonging to a different conformer. In this
38 spectroscopy, the frequency of the probe UV laser was fixed to a vibronic band of a specific species
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4 (hole laser) obtained by SHG of a pulsed dye laser (Continuum ND6000 /Surelite II) was introduced
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6 at 10 mm upstream of the jet with a timing of ~ 4 μ s prior to the probe laser pulse. The frequency of
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8 the UV hole laser was scanned and depletion of the fluorescence intensity induced by the hole laser
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10 was observed. Thus, the UV-UV HB spectrum is observed as a fluorescence-dip spectrum. IR-UV
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12 DR spectroscopy²⁴⁻²⁶ is very similar to UV-UV HB spectroscopy. An output of a pulsed tunable IR
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14 laser (LaserVision/Quanta-Ray GCR250) was introduced 90 ns prior to the probe UV pulse. The
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16 frequency of the IR laser was scanned and depletion of the fluorescence induced by the IR laser was
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18 observed, giving IR-dip spectra of the UV monitored species. Estrogens were purchased from
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20 SIGMA-ALDRICH and used without further purification.
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23 Geometry optimization and vibrational analysis were done by DFT calculations at the
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25 M05-2X/6-311++G** level of theory. The oscillator strengths and the transition energies were
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27 calculated with time-dependent DFT (TD-DFT) using the same calculation level. This calculation
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29 level was also used in our previous work on β -estradiol and its mono-hydrated clusters.²² All the
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31 calculations were performed by using GAUSSIAN 09 program package.²⁷ For comparison of the
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33 observed IR spectra with the calculated ones, scaling factors of 0.9360, 0.9383 and 0.9359 were
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35 employed to the calculated vibrational frequencies of estrone, estriol and its monohydrated cluster,
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37 respectively. These factors were determined so as to reproduce the frequency of the phenolic OH
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39 stretch vibration for each species. For the electronic transition energies, we used a scaling factor of
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41 0.8432 for all estrogens, which was determined so that an averaged value of the calculated transition
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43 energies of different conformers of bare estrogen is equal to that of the observed transitions.
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49 **III. RESULTS AND DISCUSSION**

50 **III. 1 UV and IR spectra of estrogens**

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52 Figure 1 shows the S_1 - S_0 LIF spectra of jet-cooled (a) estrone, (b) β -estradiol, and (c) estriol,
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54 in the frequency region of 34960-35250 cm^{-1} . Since they have a common phenol chromophore, they
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56 show the absorption spectra in a similar region. As seen in Fig. 1, spectral features become
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3 complicated in the order of complexity of the *D*-ring substituent. In following sections, we show the
4 experimental results of bare estrogens in the order of estrone, β -estradiol and estriol, and determine
5 their structures by comparison of computational results.
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10 11 12 **III.1.1 estrone**

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14 Figure 2(a) shows the S_1 - S_0 LIF spectrum of bare estrone. There are two strong bands at
15 35065 (band **1**) and 35164 cm^{-1} (band **2**). The UV-UV HB spectra obtained by monitoring bands **1**
16 and **2** shown in Figure 2(b) indicate that bands **1** and **2** are the origin bands of different conformers,
17 that is *cis* and *trans*-estrone. The interval of the two bands, 99 cm^{-1} , is almost the same as the interval
18 of the transition energies of *cis*- and *trans*-conformers of 3-methyl phenol (118.5 cm^{-1}).²⁸ The IR-UV
19 spectra obtained by monitoring these two bands in Figure 2(d) show almost identical *A*-ring OH
20 stretch band. These results indicate that bands **1** and **2** are *cis*- and *trans*-estrone, respectively. All the
21 three estrogens investigated in the present study exhibit the electronic transitions with similar
22 interval due to the conformers originating from the orientation of the *A* ring OH group. We tried to
23 generate the hydrated clusters of estrone by adding water vapor to He carrier gas. However, we could
24 not generate them because estrone easily changes to β -estradiol upon the heating of the estrone/water
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43 **III.1.2 β -estradiol and its hydrated clusters**

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45 Figure 3(a) displays the LIF spectrum of bare β -estradiol in the 34600-35200 cm^{-1} region. In
46 this region, we found four prominent bands labeled **a** (35048 cm^{-1}), **b** (35051 cm^{-1}), **c** (35148 cm^{-1}),
47 and **d** (35151 cm^{-1}). The assignments of these bands were already reported in our previous paper²² on
48 the basis of the comparison of the electronic transition energies and IR spectra of the OH stretching
49 bands with those of the calculated ones. Though the calculation predicts six stable conformers, as
50 shown in Figure 3(d), only four bands are observed under the jet-cooled condition. The bottom panel
51 of Figure 3(d) displays the three configurations of the *D*-ring OH group with respect to the 17C-H
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3 bond (see Scheme 1). The configuration with the H–O–17C–H dihedral angle of ~ 180 degrees is
4 called *anti*, and those with the angle of about $+60$ and about -60 degrees are called *gauche(+)* and
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8 *gauche(-)*, respectively.

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10 Figures 3(b) and 3(c) show the LIF spectra of hydrated β -estradiol. Two types of
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12 monohydrated clusters of β -estradiol were observed by adding water vapor. One is the clusters
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14 labeled **A-D**, in which a water molecule forms H-bond with *A*-ring OH. This type of the cluster
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16 exhibit large spectral red-shift as seen in Figure 3(b). The other is the one in which the water
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18 molecule is bound to the *D*-ring OH, as seen with asterisks in Figure 3(c). These clusters exhibit very
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20 small shifts of the electronic transition, because the effect of the H-bond on the electronic structure is
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22 very small. The former clusters are much more abundant than the latter because the *A*-ring (phenolic)
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24 OH is more acidic than the *D*-ring (alcoholic) OH. This experimental result that shows the difference
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26 of hydrophilicity between *A*-ring and *D*-ring is consistent with the results of solid-state NMR given
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28 by Scheidt et al.¹⁶
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34 **III.1.3 estriol and its hydrated clusters**

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36 Figures 4(a) and 4(b) show the LIF spectrum of bare estriol and the UV-UV HB spectra
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38 obtained by monitoring bands **1-7** and **9-11** in Fig. 4(a). The UV-UV HB indicates that all of them
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40 are the band origins belonging to different species from each other; hereafter we use the numbers
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42 **1-12** also for calling the species of the corresponding bands. Bands **1-4**, **9**, and **10** are located on the
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44 red side of bands **5-8**, **11** and **12** by ~ 100 cm^{-1} . Similar to the case of estrone and β -estradiol, this
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46 interval is attributed to the difference of *cis* and *trans*-isomers of estriol, where the former and the
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48 latter species are the *cis*- and *trans*-isomers, respectively. On the other hand, the bands with small
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50 splitting, $3-7$ cm^{-1} , in each isomer are attributed to different conformers originating from the different
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52 conformation of the OH groups in the *D*-ring. The IR-UV DR spectra in the OH stretching region for
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54 bands **1-3** and **5-7** and **9-11** are shown in Figures 5(a)-(i). Among them, bands **1-3**, **5-7** exhibit two
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56 bands at $3644-3664$ cm^{-1} , which are assigned to the *A*-ring (phenolic) OH and *D*-ring OH stretch
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bands. Since estriol has three OH groups, three OH peaks are expected to exist in this region. The reason of the disappearance of the third band is due to the accidental overlap of the OH bands. A similar situation was observed in β -estradiol,²² where one or two OH bands appear(s) depending on the conformation of *D*-ring OH. In all the spectra of Figures 5(a)-(i), one band is observed at ~ 3656 cm^{-1} . Since this frequency is almost the same as the stretch frequency of the *A*-ring OH of estrone (Figure 2(b)) and β -estradiol²², the bands around 3656 cm^{-1} can be assigned to the *A*-ring OH of estriol. The bands appearing at 3644 and 3663 cm^{-1} are assigned to the *D*-ring OH groups. Species **1** and **5** have a similar *D*-ring conformation with each other, because these species show similar IR spectra (Figs. 5(a) and 5(d)). Similarly, species **2** and **6**, as well as species **3** and **7** have a similar *D*-ring OH configuration with each other.

The IR spectra for bands **9-11** show different spectral features from those of bands **1-7**. The IR bands at ~ 3657 cm^{-1} are narrower than the corresponding bands of species **1-7**. In addition, new bands are found at ~ 3536 and ~ 3722 cm^{-1} , and the former bands are quite broad. Since this spectral feature is typical for the H-bonded OH and free OH of water, bands **9-11** are due to hydrated cluster.^{22,29} The UV-UV HB spectra of estriol in Fig. 6(a) provide additional support for the assignments of species **9-11** to the hydrated clusters. In the figure, two bands are commonly observed at 31 and 47 cm^{-1} for bands **1-7**, corresponding to estriol monomer. On the other hand, the spectra of species **9-11** show a new band at $20-30$ cm^{-1} , assignable to intermolecular vibrational mode. In addition, the positions of the monomer bands at 32 cm^{-1} is shifted to 37 cm^{-1} . These results also support that the species **1-3**, **5-7** and **4**, **8** are assigned to bare estriol, and species **9-11** are its hydrated clusters. Therefore, from these results and those of the IR spectra, we observed eight conformers of estriol monomer (band **1-8**); four each *cis* and *trans*, and four isomer of hydrated cluster of estriol (band **9-12**); two each *cis* and *trans*. In the later section, we will give further discussion by comparing the observe spectra with the calculated ones, figures 6(b) and 6(c).

III. 2 Structure of bare estrogens

III. 2. 1. Estrone

In Figure 2(f), we show two stable conformations of bare estrone obtained by the DFT calculation. At the M05-2X/6-311++G** level, *trans*-conformer is 0.41 kJ/mol more stable than the *cis*-conformer. TD-DFT calculated S₁- S₀ electronic transition energies in Figure 2(c) indicates that bands **1** and **2** are assigned to the *cis*- and *trans*-conformer, respectively. The lower transition energy for the *cis*-conformer than that for the *trans* one is due to the difference of spatial distribution of molecular orbitals (MOs) between them, as explained in our previous paper of β-estradiol.²² That is, TD-DFT calculated results show that the spatial distribution of the highest occupied MO (HOMO) is almost the same between the *cis*- and *trans*-conformers, while that of the lowest unoccupied MO (LUMO) of the *cis*-conformer is more delocalized and stabilized than that of *trans*-conformer, resulting in energy gap between HOMO and LUMO of *cis*-conformer is less than that of *trans*-conformer. The calculated OH stretch band for the two conformers in Figure 2(e) shows almost identical spectra similar to the observed ones of Figure 2(d). From these results, we conclude that bands **1** and **2** are assigned to *cis*- and *trans*-estrone, respectively. Table 1 lists the electronic transition energies of estrone and their assignments.

III. 2. 2. β-estradiol

Since the structure of bare β-estradiol was already determined in our previous paper, the conclusion is briefly explained here.²² The upper panel of Figure 3(d) shows the six lowest stable conformers. We assigned bands **a-d** to *cis-anti*, *cis-gauche*(+), *trans-anti*, and *trans-gauche*(+), from the comparison of the observed electronic transition energies and IR spectra with those of the calculated ones. Two *gauche*(-) conformers, *cis-gauche*(-) and *trans-gauche*(-), are predicted to have higher energies than the others. In addition, the energy barrier between the *gauche*(-) and the *anti* configurations are quite low (260 cm⁻¹), compared to the other barriers. Therefore, the *gauche*(-) conformers are considered to relax to the *anti* conformers during the cooling process and are not seen in the experiment. Table 1 also lists the observed electronic transition energies of β-estradiol and

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8 **III. 2. 3. Estriol**

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10 Figure 7 show sixteen lowest energy stable conformers of estriol optimized by the DFT
11 calculations at the M05-2X/6-311++G** level. These sixteen conformers come from different
12 orientations of the OH groups in the *A*- and *D*-rings. In the labels of the conformers (3*c*_16*g*-_17*g*+,
13 for instance), the numbers 3, 16 and 17 indicate the position of the carbon atoms in Scheme 1. The
14 alphabets *c*, *t*, *a*, *g*- and *g*+ stand for *cis*, *trans*, *anti*, *gauche*(-) and *gauche*(+) configuration,
15 respectively. For example, “3*c*_16*g*-_17*g*+” represents a configuration of estriol with the dihedral
16 angles “H–O–3C–4C” to be ~0 degree, “H–O–16C–H” to be ~-60 degrees and “H–O–17C–H” to be
17 ~+60 degrees, respectively. The ZPE corrected total energies relative to the most stable
18 “3*c*_16*g*-_17*g*+” conformer are also shown. In Figure 7, the conformers are first classified into two
19 groups, *cis* and *trans*, where the energy difference between them is very small. Each group can be
20 further classified into two groups; intramolecular H-bonded structure and non-H-bonded structure.
21 There is energy difference of 2 kJ/mol between the former and the latter groups. The right panel in
22 the figure summarizes the eight configurations of the OH groups around 16C–17C bond (see Scheme
23 1). There are nine possible conformations with the 16OH and 17OH groups, because these OH
24 groups have three possible configurations each (*anti*, *gauche*(-), and *gauche*(+)). However,
25 “16*g*-_17*g*-” configuration is not obtained as a stable one in the calculation. This configuration has a
26 huge steric hindrance between the hydrogen atoms of 16 and 17 OH groups.
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47 Figure 8 shows the potential energy curves of estriol along the conformation coordinate in
48 the *D*-ring. In Figure 8(a), the configuration of 16OH is fixed to “*gauche*(+)” and the energy is
49 calculated as a function of the dihedral angle of H–17C–O–H. Similarly, in Figures 8(b) and (c),
50 16OH is fixed to “*anti*” and “*gauche*(-)”, respectively, and the dihedral angle of 17 OH is changed. In
51 Figures 8(a) and 8(b), the intramolecular H-bonded conformer is most stable, and there is very small
52 barrier (2.47 kJ/mol at most) between the lowest conformer and higher ones. Therefore, only the
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3 intramolecular H-bonded conformer will be generated in these configurations. On the other hand, in
4 Figure 8(c), both “16g-_{17g-}” and “16g-_{17a}” are stable and there is a large barrier (~6kJ/mol)
5 between them. Thus, both conformers will be generated in the jet. Thus, four conformers are
6 expected to coexist for *trans* and *cis*-conformers of estriol in the supersonic jet, which is in good
7 agreement with the experiment. From these results, we conclude that all bare estriol observed in LIF
8 spectra have the intramolecular H-bonded structure.
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10 We then assign the bands of estriol in the LIF spectrum to the conformers in Fig. 7, based on
11 the calculated total energies and IR spectra. In the LIF spectrum of Fig. 4(a), the band intensity is in
12 the order **3** > **1** > **2** > **4** for the *cis*-conformer, and **7** > **5** > **6** > **8** for the *trans*-conformer. If the
13 fluorescence quantum yield is same for all the conformers, the relative band intensity will correlate
14 to the relative stability of the conformers. In the calculation, the relative stability of the *cis*- and
15 *trans*-conformer is in the order 16g-_{17g+} > 16g+_{17g-} > 16g-_{17a} > 16a_{17g-}. Thus, the bands **3**,
16 **1**, **2**, and **4** can be assigned to 3c_16g-_{17g+}, 3c_16g+_{17g-}, 3c_16g-_{17a} and 3c_16a_{17g-},
17 respectively. In the same manner, the bands **7**, **5**, **6** to **8** can be assigned to the corresponding *trans*
18 conformers, that is, 3t_16g-_{17g+}, 3t_16g+_{17g-}, 3t_16g-_{17a} and 3t_16a_{17g-}, respectively. These
19 assignments are further supported by the comparison of the observed IR spectra with those of
20 calculated ones in Figs. 5(a)-(f), where the calculated IR spectra of the eight intramolecular
21 H-bonded conformers are compared with the observed IR-UV DR spectra. In all the calculated
22 spectra, the *A*-ring (phenolic) OH stretching vibration appears at the same position for different
23 conformers. In the observed IR spectra, this vibration is considered to correspond to band appeared
24 at 3656-3658 cm⁻¹ for all the complexes. On the other hand, in the calculated spectra the positions of
25 the two OH stretching vibrations in the *D*-ring sensitively differ for different conformers, which
26 gives an appearance of the two OH bands in overall with different energy interval for different
27 conformers. Among the possible conformers, the calculated 3c_16g+_{17g-} and
28 3t_16g+_{17g-}-conformers show a small interval (~10 cm⁻¹) of the two OH bands compared to other
29 conformers. These conformers correspond to the species of bands **1** and **5**, respectively, showing 6-7
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3 cm^{-1} intervals in their IR spectra. The assignment of bands **1** and **5** to $3c_{16g+17g-}$ and
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5 $3t_{16g+17g}$, respectively, is in good agreement with that determined from the relative stability as
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7 discussed above. For other conformers, the observed spectra are also compared with those of the
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9 plausible conformers based on the relative stability. In these species, two OH bands are observed
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11 with the interval of 12-14 cm^{-1} , which correspond to 20 cm^{-1} in the calculated spectra. We see that
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13 the calculated IR spectrum of each conformer well reproduces the observed IR spectrum of each
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15 band. Tables 1 and 2 show the assignments of the structures for the observed bands and the relative
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17 energies.
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23 **III.3 Structures of hydrated clusters of estrogens**

24 **III.3.1 Hydrated clusters of β -estradiol**

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27 In Fig. 3(a), weak bands are seen in the 34700-34900 cm^{-1} region, which are 300-400 cm^{-1}
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29 red-shifted from the monomer bands **a-d**. The intensities of these bands, labeled as bands **A-D**,
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31 become very stronger by adding the water vapor so that they are attributed to hydrated clusters of
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33 β -estradiol. In our previous study²², we assigned them to the mono-hydrated cluster of β -estradiol in
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35 which a water molecules is H-bonded to the *A*-ring OH, that is β -estradiol-(*A*)-H₂O. Figure 9 exhibit
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37 the stable structures of β -estradiol-(*A*)-H₂O obtained by DFT calculation. Among the calculated six
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39 isomers, we assigned bands **A** and **B** to *cis-anti*-(*A*)-H₂O and *cis-gauche*(+)-(*A*)-H₂O, respectively,
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41 and bands **C** and **D** to *trans-anti*-(*A*)-H₂O, and *trans-gauche*(+)-(*A*)-H₂O, respectively. The reason of
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43 the missing of the other two higher energy isomers can be explained by the low energy barrier
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45 between *cis-gauche*(-)-(*A*)-H₂O to *cis-anti*-(*A*)-H₂O, that is essentially the same as the case of
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52 The bands of other isomers of the mono-hydrated cluster are observed near the band origins
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54 of the monomer. They are marked by asterisks in the LIF spectrum of Fig. 3(c). These bands are also
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56 observed by adding water vapor to the sample gas. The UV-UV HB spectroscopic measurement
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58 indicates that they are different isomers of species **A-D**. By comparing the electronic transition using
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3 TD-DFT calculation, we concluded that they are the hydrated cluster of β -estradiol in which a water
4 molecule is bound to the *D*-ring OH, β -estradiol-(*D*)-H₂O, as shown in Fig. 9(b). In these isomers,
5 the *D*-ring OH acts either as an H-donor or acceptor depending on its conformation. Such the
6 different role is a typical behavior of a secondary alcohol. For example, the H-bond donor and
7 acceptor parameters ($\log K_\alpha$ and $\log K_\beta$)³⁰ of 2-propanol are reported to be 0.91 and 1.36, respectively,
8 while those of phenol are 2.1 and 0.2, respectively.^{7, 30} Thus, in β -estradiol, the *A*-ring OH works
9 mainly as an H-donor, while the *D*-ring OH works as an H-donor and an acceptor, which is
10 consistent with the computational results by Gao et al.¹⁹. As seen in Figs. 3(b) and 3(c), the relative
11 intensity of the bands attributed to β -estradiol-(*A*)-H₂O is much stronger than those of
12 β -estradiol-(*D*)-H₂O, because of higher acidity of *A*-ring OH than the *D*-ring OH. The calculated
13 energies of the clusters also support larger stabilization energy of β -estradiol-(*A*)-H₂O than
14 β -estradiol-(*D*)-H₂O; the latter isomers are 3-6 kJ/mol higher than the former isomers. The *A*-ring
15 is more hydrophilic than the *D*-ring in β -estradiol.
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34 III.3.2 Hydrated clusters of estriol

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36 In estriol, an additional OH group is substituted to β -estradiol at 16 α position of the *D*-ring.
37 As mentioned in bare estriol, the additional OH group largely controls the conformation of the
38 *D*-ring OHs. That is only the intramolecular H-bonded conformers are generated. This situation will
39 also affect the H-bond formation with water molecule(s). Actually, we did not observe the S₁-S₀
40 bands attributed to the clusters H-bonded at the *A*-ring OH as shown in Fig. 4(c). In this spectrum,
41 we measured LIF spectrum by adding water vapor to He carrier gas. The bands attributed to
42 estriol-(*A*)-H₂O are expected to appear in the 34700-34800 cm⁻¹ region, which are red-shifted by
43 300-400 cm⁻¹ from the monomer bands. Instead, the relative intensities of bands **1-12** change by
44 adding water vapor. Actually, bands **9-11** are attributed to the hydrated clusters as seen in the IR-UV
45 DR spectra of Figs. 5(g)-(i). Though we did not measure the IR spectrum of band **12**, this band is
46 also attributed to the hydrated cluster from the relative position of bands **12** and **10**. In these IR
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3 spectra, a sharp band appears at 3720 cm^{-1} and a broad one at 3535 cm^{-1} , which are assigned to the
4 free OH stretch and the H-bonded OH of water, respectively. Since their S_1-S_0 band positions are
5 close to those of monomer, they are assigned to the hydrated clusters in which water is H-bonded to
6 the *D*-ring OHs.
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11 Figure 10(a) exhibits stable isomers of estriol-(*D*)-H₂O obtained by DFT calculation. The
12 ZPE corrected energies relative to the most stable isomer, (3*t*_16*g*+_17*g*)-(*D*)-H₂O, are also shown
13 in the figure. In each *trans*- and *cis*-conformer, there are four most stable isomers within 2 kJ/mol.
14 They have a ring-type H-bonded structure, in which the 17OH acts as a donor to the water molecule
15 and the 16OH acts as an acceptor or vice versa. Another point that should be noted is that the number
16 of possible conformers for estriol is reduced upon the H-bonding with a water molecule on the
17 *D*-ring site. In bare estriol, the number of possible conformers is sixteen, while it is reduced to twelve
18 as seen in Fig. 10(a). This reduction is experimentally supported from the number of S_1-S_0 bands of
19 estriol monomer and estriol-(*D*)-H₂O; the number of estriol is eight (bands **1-8**) while it is reduced to
20 four (bands **9-12**) in the cluster. Such the reduction of the possible conformation or conformation
21 preference was observed in hydrated clusters of amino acids³¹, crown ethers³² and other molecules³³.
22 Figure 5(j) shows the calculated IR spectra of the four lowest energy conformers of
23 *cis*-estriol-(*D*)-H₂O, which can be compared with the observed IR-UV DR spectra of bands **9-11**. The
24 IR spectra of *trans*-conformer are essentially the same with those of *cis*-conformer, so that they are
25 not shown here. All of them show very similar spectral feature. The bands at $3500-3520\text{ cm}^{-1}$ are the
26 mixed vibrations of H-bonded OH group of estriol (17OH or 16OH) and H₂O and the band at 3710
27 cm^{-1} is assigned to ν_3 of H₂O free from the H-bond. The band at 3567 cm^{-1} , which appears in all the
28 clusters are assigned to *A*-ring OH.
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51 In addition to the comparison of the IR spectra, we also compared the electronic transition
52 energies for the additional support of the structure determination. Figure 4 shows the S_1-S_0 electronic
53 transition energies of (d) estriol, (e) estriol-(*D*)-H₂O and (f) estriol-(*A*)-H₂O obtained by TD-DFT
54 calculation. The band positions of both estriol monomer and estriol-(*D*)-H₂O are well reproduced in
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3 the calculation. The S_1 - S_0 band origins of the estriol-(*A*)- H_2O isomer are predicted to appear at
4 34700-34800 cm^{-1} , but no band appears in this region as seen in Figure 4(c). Thus, it is concluded
5
6 that the estriol-(*A*)- H_2O isomer is not generated in the present condition. Figures 6(b) and 6(c) show
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8 the position of low frequency bands of estriol-(*D*)- H_2O in S_0 , which can be compared to the UV-UV
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10 HB spectra of Figure 6(a). In the UV-UV HB spectra of the monomer (band 1-7), two bands are
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12 observed at 31 and 47 cm^{-1} , and in the cluster (bands 9-11), three bands are observed at 25, 37 and 45
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14 cm^{-1} . In the calculated spectra of monomer two bands appear at 30 and 57 cm^{-1} , and three bands
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16 appear at 24, 37 and 54 cm^{-1} in the clusters. The results also support the validity of the assignments
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18 of the hydrated cluster of estriol.
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23 We then compare the stability of the H-bonding at *A*-ring OH of estriol. Figure 10(b) shows
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25 the stable isomers of estriol-(*A*)- H_2O calculated at the same level of calculation. Very interestingly,
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27 the energies of the *A*-ring hydrated clusters are more than 11 kJ/mol higher than the *D*-ring hydrated
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29 clusters, estriol-(*A*)- H_2O . This is opposite to the case β -estradiol- H_2O , where β -estradiol-(*A*)- H_2O are
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31 4-6 kJ/mol more stable than β -estradiol-(*D*)- H_2O as seen in Fig. 9. The results strongly indicate that
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33 the H-bonding ability of *D*-ring of estriol is much larger than that of β -estradiol, or *D*-ring of estriol
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35 is much more hydrophilic than that of estriol.
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38 This result sounds very strange since physiological activity of β -estradiol is known to be
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40 stronger than estriol, and we simply consider that the activity will be proportional to the H-bonding
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42 ability of the *D*-ring. However, the present results show opposite relationship. That is the
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44 physiological activity of estriol having stronger H-bonding ability is weaker than β -estradiol with
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46 weaker H-bonding ability. Though we do not have clear answer to explain this contradiction, we
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48 can point out a few possibilities. In the biological system, the phenolic OH of the estrogen receptor
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50 (ER) first bind to the ligand binding domain (LBD) and the *D*-ring OH group(s) bind with histidine
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52 residue (His 524) either as a H-donor or an acceptor depending on the protonation state of the
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54 associated His 524. Thus, one possibility to explain lower physiological activity of estriol is that
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56 *D*-ring OHs of estriol first incorporate a water molecule by forming the stable ring-type H-bonding
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3 and this water cannot be easily replaced with His 524. Another possibility is the functionality of the
4 *D*-ring OH for the H-bonding. That is the aliphatic OH group has high deprotonation energy so that it
5 will also act as a hydrogen acceptor. In this sense, the *D*-ring OH of β -estradiol can be H-donor or an
6 acceptor depending on the orientation of the OH group so that it can more easily bind to His 524
7 compared to estriol.
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16 IV CONCLUSION

18 In this study, the conformation of bare estrone, β -estradiol, estriol and their H-bonded
19 clusters with water molecule have been investigated by measuring the electronic spectra and IR
20 spectra under jet-cooled conditions and by quantum chemical calculations. These species exhibit the
21 electronic transitions in the same energy region, but the number of the conformers drastically
22 increases in the order of estrone < β -estradiol < estriol. By comparing the structures of the
23 conformers and isomers of β -estradiol and estriol, we found a considerable difference of the
24 conformation stability and hydrogen-bonding ability between them. First in bare estriol, the
25 intramolecular H-bonding formed by the two OH groups at the *D*-ring leads a severe conformational
26 restriction of *D*-ring OHs. This restriction will result in lower receptor binding affinity⁷ than that of
27 β -estradiol, because the *D*-ring OH of β -estradiol is more flexible to bind with the receptor compared
28 to estriol¹⁹. Secondly, β -estradiol and estriol show quite different H-bonding ability of their *D*-ring
29 between them. In β -estradiol, the *A*-ring OH-H₂O isomer is much more stable than the *D*-ring
30 OH-H₂O isomer, while it becomes opposite in estriol. In other words, *A*-ring is more hydrophilic
31 than *D*-ring in β -estradiol, while in estriol *D*-ring is more hydrophilic than *A*-ring. It is not clear how
32 the conformational stability and the opposite H-bonding ability will reflect in the difference in their
33 physiological activities, and further study on the structures on the clusters with histidine residue will
34 be necessary.
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Table 1. Positions (cm^{-1}) of the S_1 - S_0 band origin and assignments for estrone, β -estradiol, estriol and their 1:1 hydrated clusters.

species	Position / cm^{-1}	Label	Assignment	Species	Position / cm^{-1}	Label	Assignment
estrone	35065	1	<i>cis</i> -estrone	estriol	35046	1	3c_16g+_17g-
	35164	2	<i>trans</i> -estrone		35053	2	3c_16g-_17a
β -estradiol	35048	a	<i>cis-anti</i>		35059	3	3c_16g-_17g+
	35051	b	<i>cis-gauche</i> (+)	35039	4	3c_16a_17g-	
	35148	c	<i>trans-anti</i>	35147	5	3t_16g+_17g-	
	35151	d	<i>trans-gauche</i> (+)	35153	6	3t_16g-_17a	
β -estradiol- H_2O	34710	A	<i>cis-anti</i> -(A)- H_2O^a	35159	7	3t_16g-_17g+	
	34713	B	<i>cis-gauche</i> (+)-(A)- H_2O^a	35142	8	3t_16a_17g-	
	34805	C	<i>trans-anti</i> -(A)- H_2O^a	estriol- H_2O	35043	9	<i>cis</i> -estriol-(D)- H_2O^a
	34810	D	<i>trans-gauche</i> (+)-(A)- H_2O^a		35062	10	<i>cis</i> -estriol-(D)- H_2O^a
	~35031	*	β -estradiol-(D)-(H_2O)		35144	11	<i>trans</i> -estriol-(D)- H_2O^a
	~35057	*	β -estradiol-(D)-(H_2O)		35163	12	<i>trans</i> -estriol-(D)- H_2O^a
	35157	*	β -estradiol-(D)-(H_2O)				

^a (A or D)- H_2O represents the 1:1 hydrated cluster in which H_2O is H-bonded to the A- or D-ring OH. See text.

Table 2 Relative energies (kJ/mol) of possible conformers of bare estriol, estriol(*D*)-H₂O and estriol(*A*)-H₂O obtained by DFT calculation at the M05-2X/6-311++G** level.

	bare-estriol ^a	Estriol-(<i>D</i>)-H ₂ O ^b	Estriol-(<i>A</i>)-H ₂ O ^b
<i>3c</i> _16g-_17g+	0.00	1.66	11.7
<i>3c</i> _16g+_17g-	0.554	0.168	12.4
<i>3c</i> _16g-_17a	2.49	1.81	14.0
<i>3c</i> _16a_17g-	2.94	1.58	14.5
<i>3c</i> _16g+_17g+	5.57	15.7	17.4
<i>3c</i> 16g+_17a	8.85	-	20.4
<i>3c</i> 16a_17g+	9.52	17.4	20.5
<i>3c</i> _16a_17a	9.96	-	21.3
<i>3t</i> _16g-_17g+	0.268	1.78	11.6
<i>3t</i> _16g+_17g-	0.554	0.00	11.8
<i>3t</i> _16g-_17a	2.48	1.83	13.3
<i>3t</i> _16a_17g-	2.94	1.37	14.1
<i>3t</i> _16g+_17g+	5.55	15.6	17.0
<i>3t</i> _16g+_17a	8.73	-	19.6
<i>3t</i> _16a_17a	9.52	-	20.6
<i>3t</i> _16a_17g+	9.63	17.5	20.7

^a relative to the most stable *3c*_16g-_17g+ conformer of bare estriol

^b relative to the most stable *3t*_16g+_17g- conformer of estriol-(*D*)-H₂O

Figure caption

Figure 1. The LIF spectra of jet-cooled (a) estrone, (b) β -estradiol and (c) estriol in the S_1 - S_0 band origin region.

Figure 2. (a) The S_1 - S_0 LIF spectrum of jet-cooled estrone. (b) The UV-UV HB spectra of estrone obtained by monitoring bands **1** and **2**. (c) The calculated S_1 - S_0 transition energy and oscillator strengths of bare estrone, where a scaling factor of 0.8432 is multiplied to the transition energies. (d) IR-UV DR spectra of the OH stretching vibration of estrone observed by monitoring bands **1** and **2**. (e) The calculated IR spectra of *cis* and *trans*-estrone. A scaling factor of 0.9360 is multiplied. (f) *Cis* and *trans*-conformers of estrone calculated at the M05-2X/6-311++G** level. The numbers represent the ZPE corrected total energy relative to that of the most stable one(*trans*-estrone).

Figure 3. (a) The S_1 - S_0 LIF spectrum of jet-cooled β -estradiol. (b) The S_1 - S_0 LIF spectrum of β -estradiol obtained by adding large amount water vapor to He carrier gas. Bands **A–D** are assigned to the origin band of β -estradiol(*A*)- H_2O . See text. (c) The LIF spectrum of the β -estradiol-water clusters obtained with a trace of water vapor in the sample gas. The bands marked by asterisks are assigned to β -estradiol(*D*)- H_2O . (d) (Upper) Stable conformers of bare β -estradiol calculated at the M05-2X/6-311++G** level. The numbers in the figure represent the total energy relative to that of the most stable one (*trans-gauche*(+)). (Bottom) Newman-projections of *anti*, *gauche*(+), and *gauche*(-) configurations at the OH group of the *D*-ring.

Figure 4. (a) The S_1 - S_0 LIF spectrum of jet-cooled estriol. (b) The UV-UV HB spectra of estriol obtained by monitoring bands **1-7** and **9-11**. (c) The S_1 - S_0 LIF spectrum of estriol measured by adding water vapor to He carrier gas. The calculated S_1 - S_0 transition energy and oscillator strengths of (f) bare estriol (g) estriol-(*D*)- H_2O , and (h) estriol-(*A*)- H_2O calculated at the M05-2X/6-311++G** level. A scaling factor of 0.8432 is used.

1 Figure 5. (a)-(f) IR-UV DR spectra obtained by monitoring monomer bands of estriol **1-3**, **5-7** and its 1:1
2 hydrated cluster bands **9-11**. In figures (a)-(f), also shown are the calculated IR spectra of possible
3 conformers having intramolecular H-bond in *D*-ring. See text. A scaling factor of 0.9383 is used. (j)
4 The calculated IR spectra of the possible four most stable *cis*-estriol(*D*)-H₂O isomers. A scaling
5 factor of 0.9359 is used.
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13 Figure 6. (a) The UV-UV HB spectra of estriol. The horizontal axis represents the energy relative to the
14 origin bands and only the bands less than 90 cm⁻¹ are shown. (b), (c) The DFT calculated vibrations
15 for the most stable eight conformers of bare estriol and estriol(*D*)-H₂O in the 0-60 cm⁻¹ region of S₀.
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22 Figure 7. Stable conformers of bare estriol calculated at the M05-2X/6-311++G** level. The numbers in
23 each structure represent the energy relative to that of the most stable one (3c_16g-_17g+). (Right
24 panel) The enlarged portion of *D*-ring conformation of estriol. The dashed line represents the
25 intramolecular H-bond.
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33 Figure 8. The one-dimensional potential energy curves of estriol vs the dihedral angle of the 17OH group of
34 the *D*-ring with the 16OH conformation fixed at (a)g+, (b)a and (c)g-. ZEP corrections are not
35 included.
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42 Figure 9. Stable isomers of (a) β-estradiol-(*A*)-H₂O and (b) β-estradiol-(*D*)-H₂O calculated at the
43 M05-2X/6-311++G** level. The numbers in each structure represent the energy relative to that of
44 the most stable one (*cis-gauche*(+)-β-estradiol-(*A*)-H₂O). The dashed line represents the H-bond.
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51 Figure 10. Stable isomers of (a) estriol-(*D*)-H₂O and (b) estriol-(*A*)-H₂O calculated at the
52 M05-2X/6-311++G** level. The numbers in each structure represent the energy relative to
53 that of the most stable one ((3t_16g+_17g-)-estriol-(*D*)-H₂O). The dashed line represents
54 the H-bond.
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scheme.1 estrogen

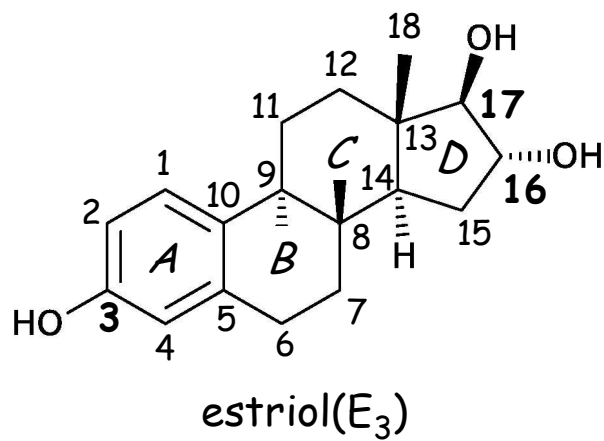
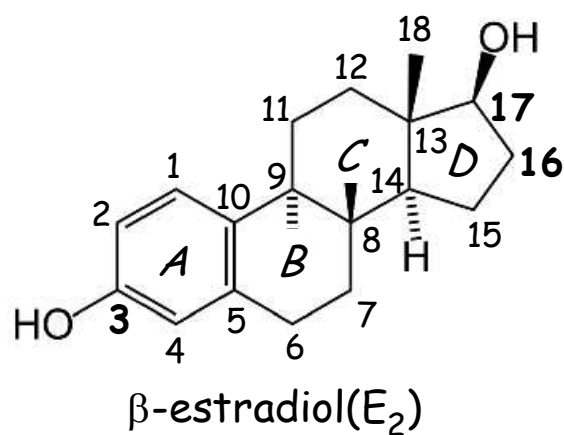
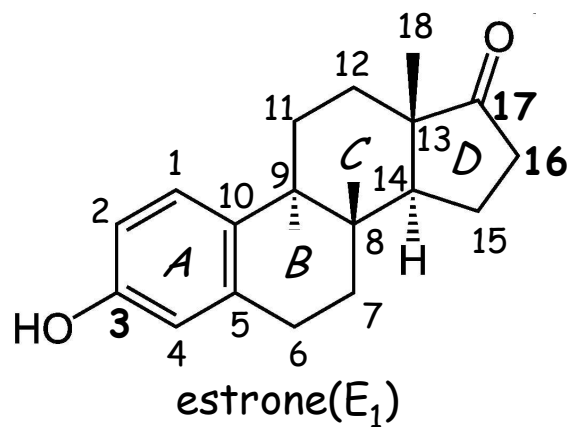


Fig.1

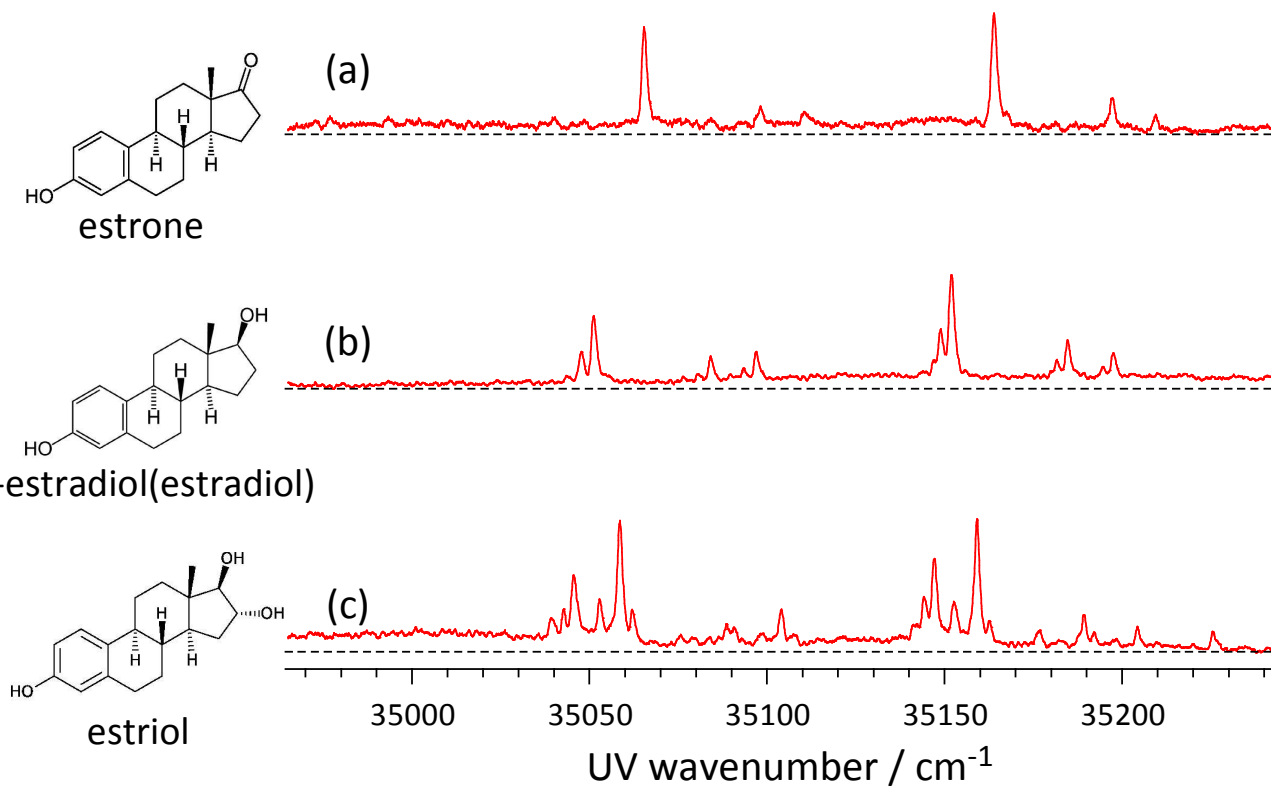


Fig.2

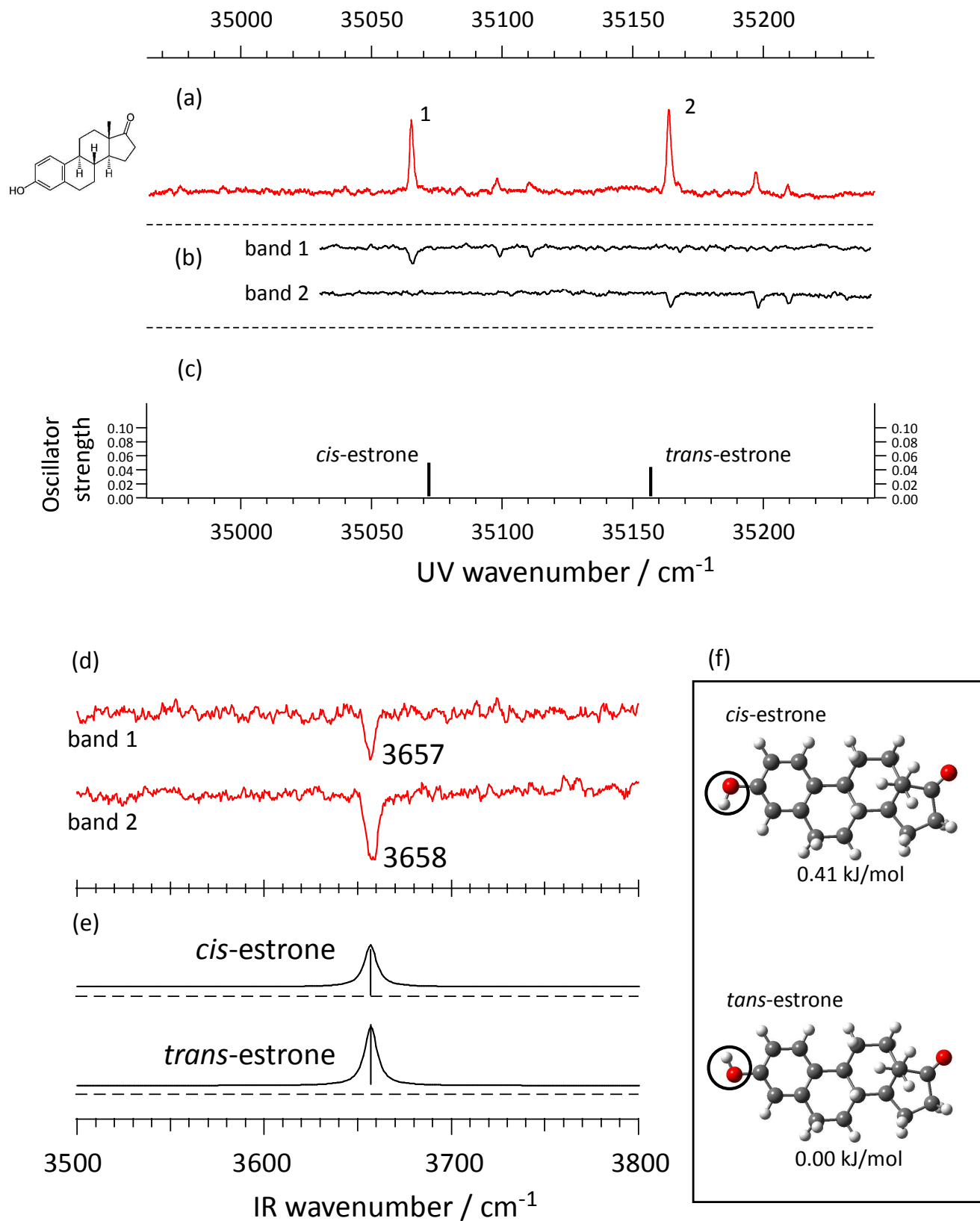


Fig.3

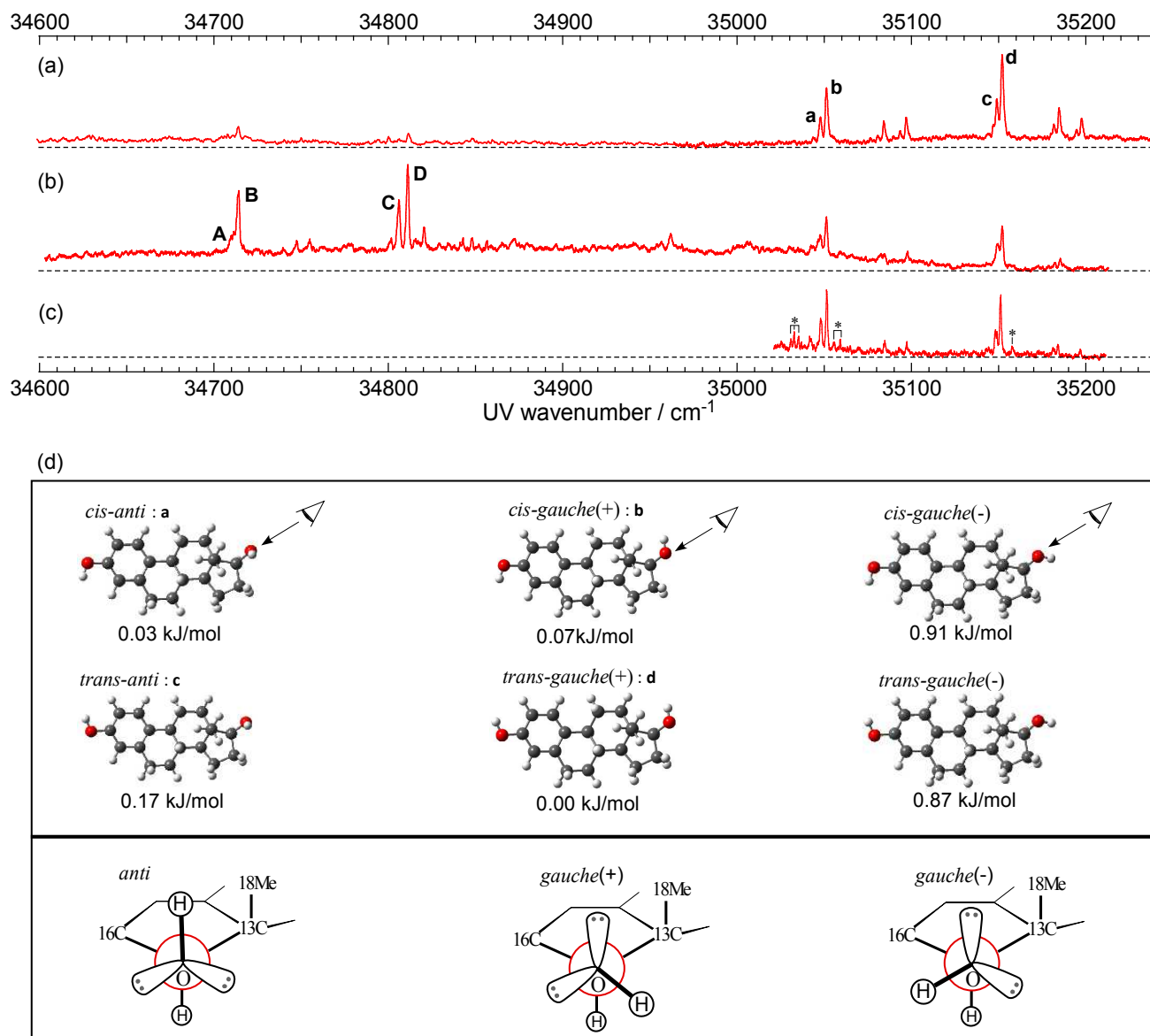


Fig.4

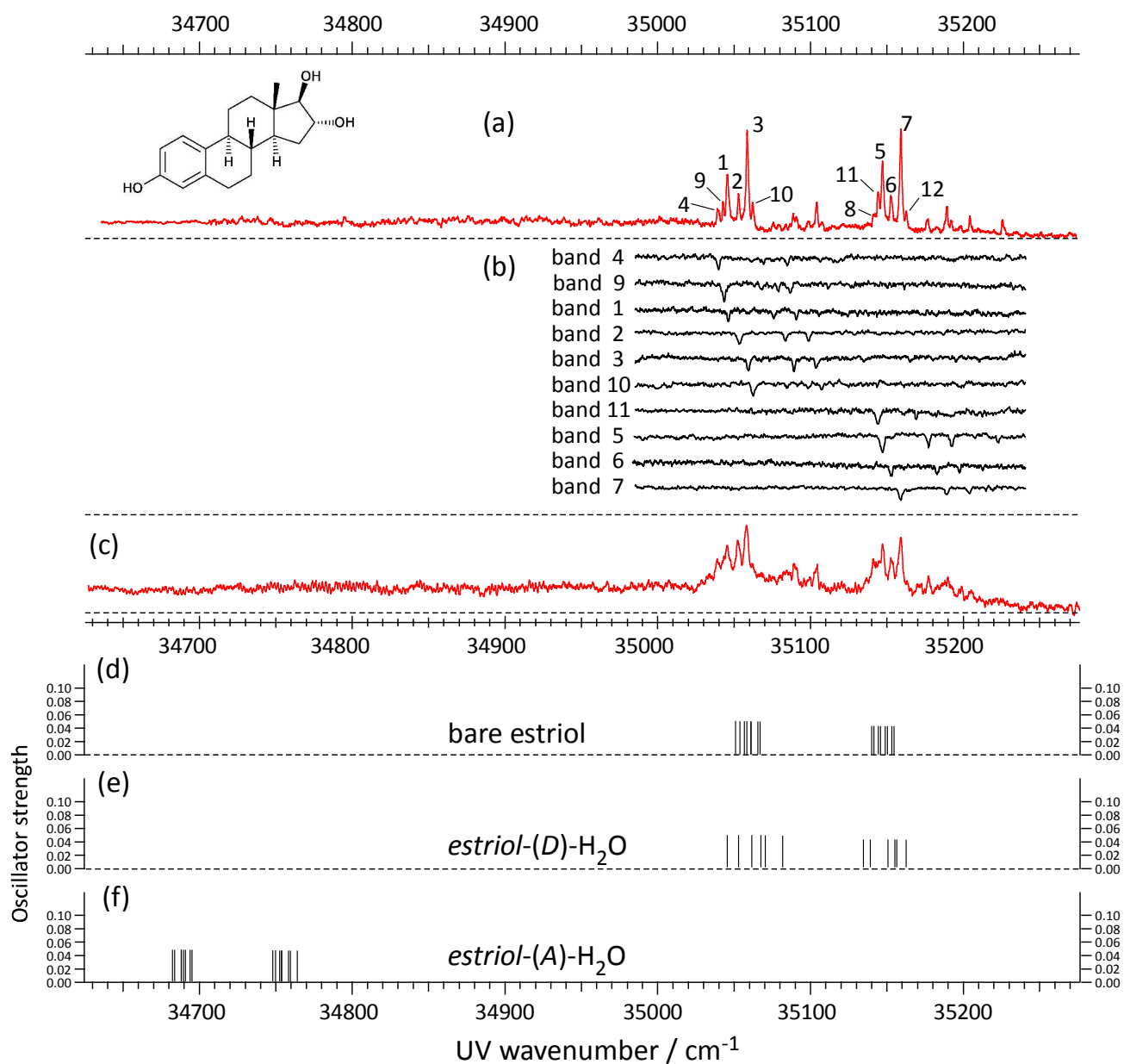


Fig.5

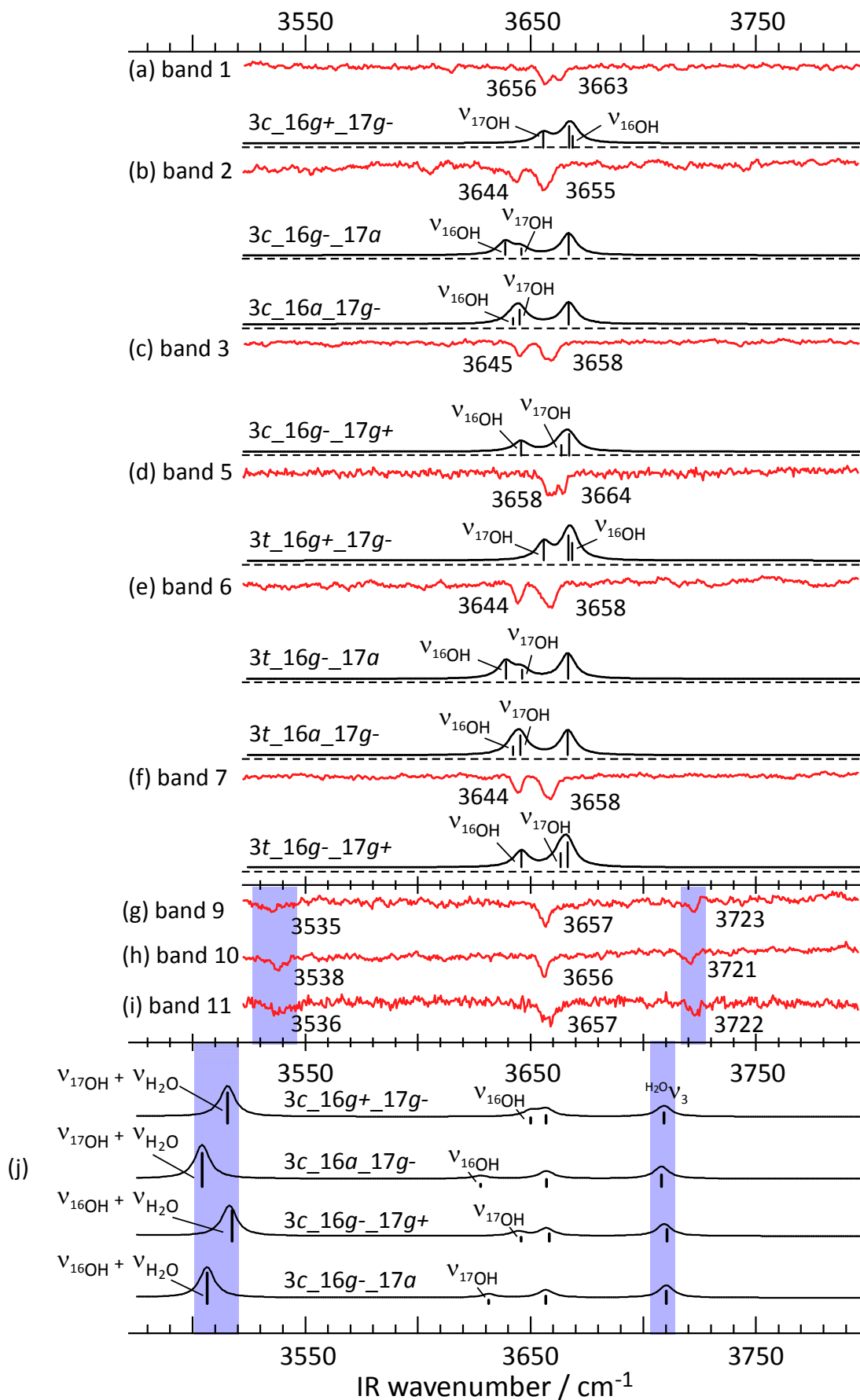
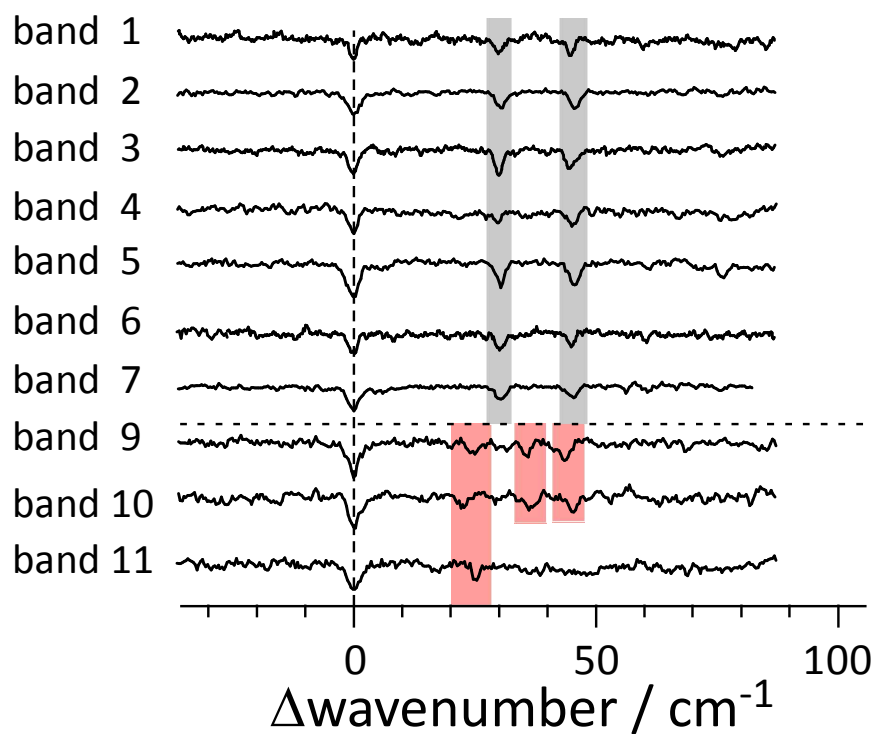
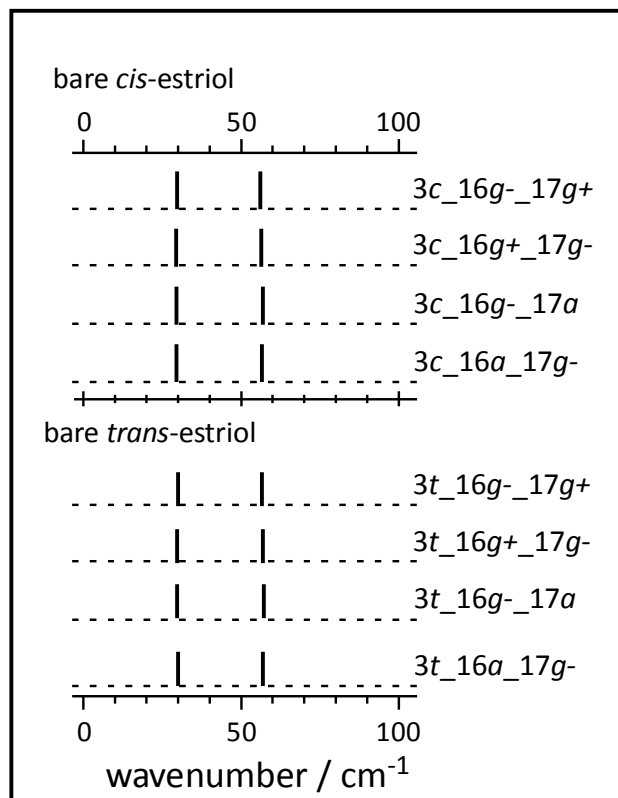


Fig.6

(a)



(b)



(c)

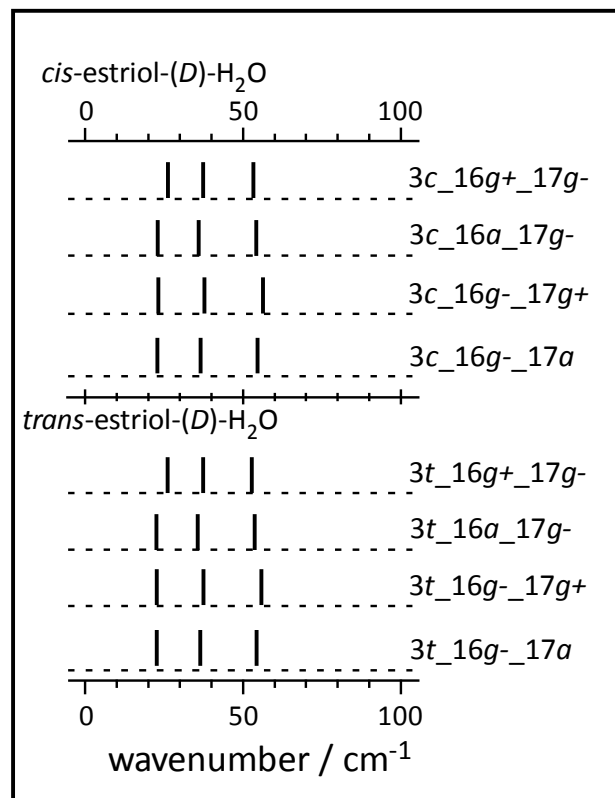


Fig. 7

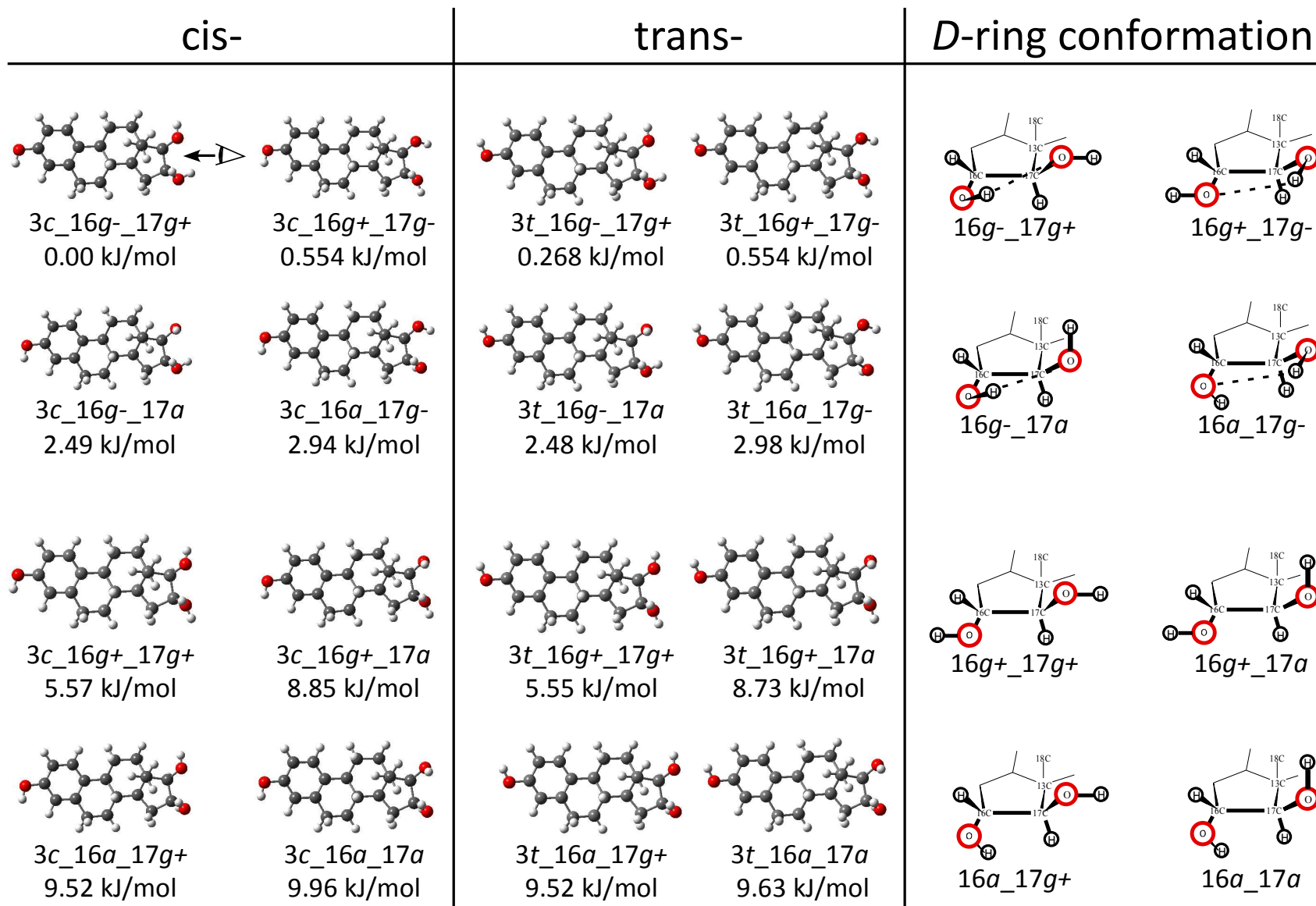


Fig.8

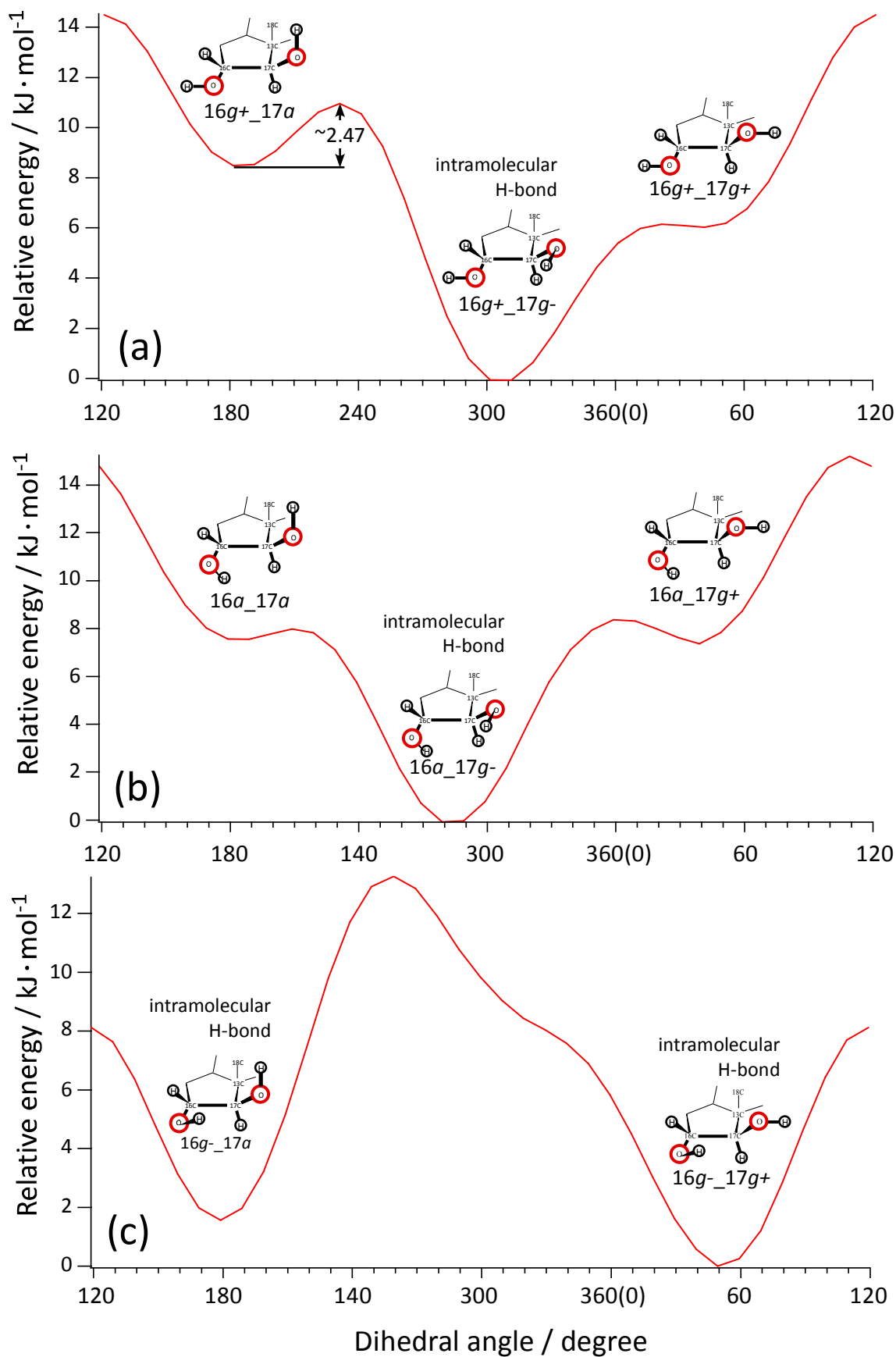
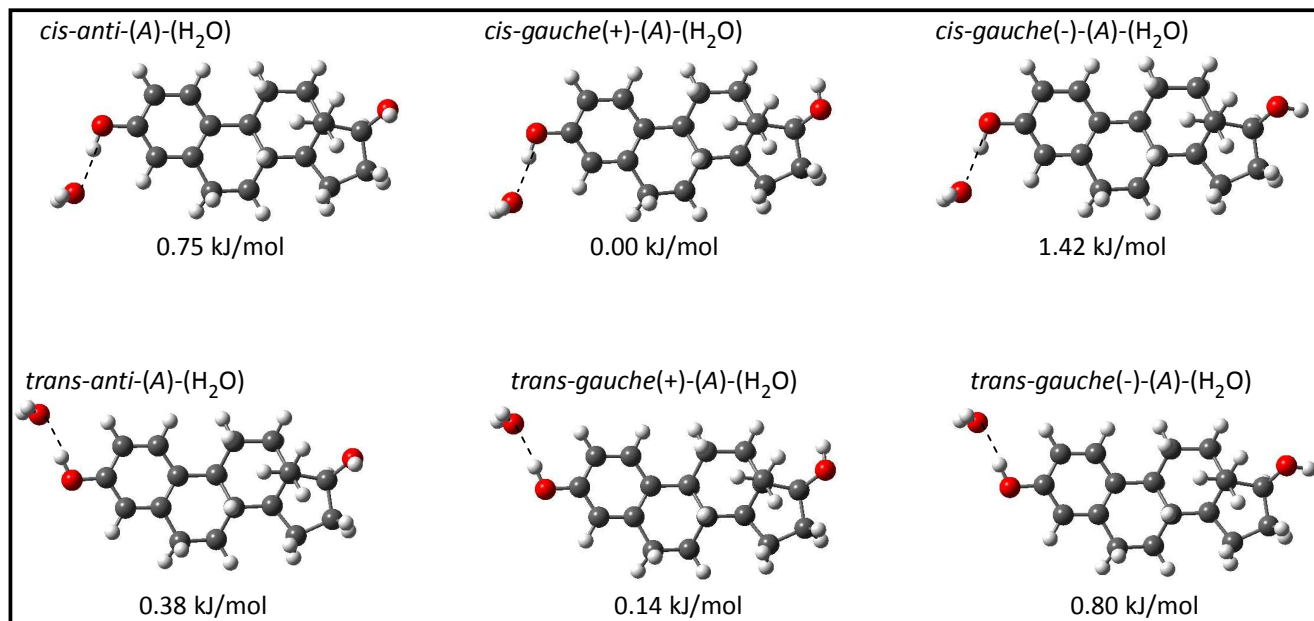


Fig.9

(a)



(b)

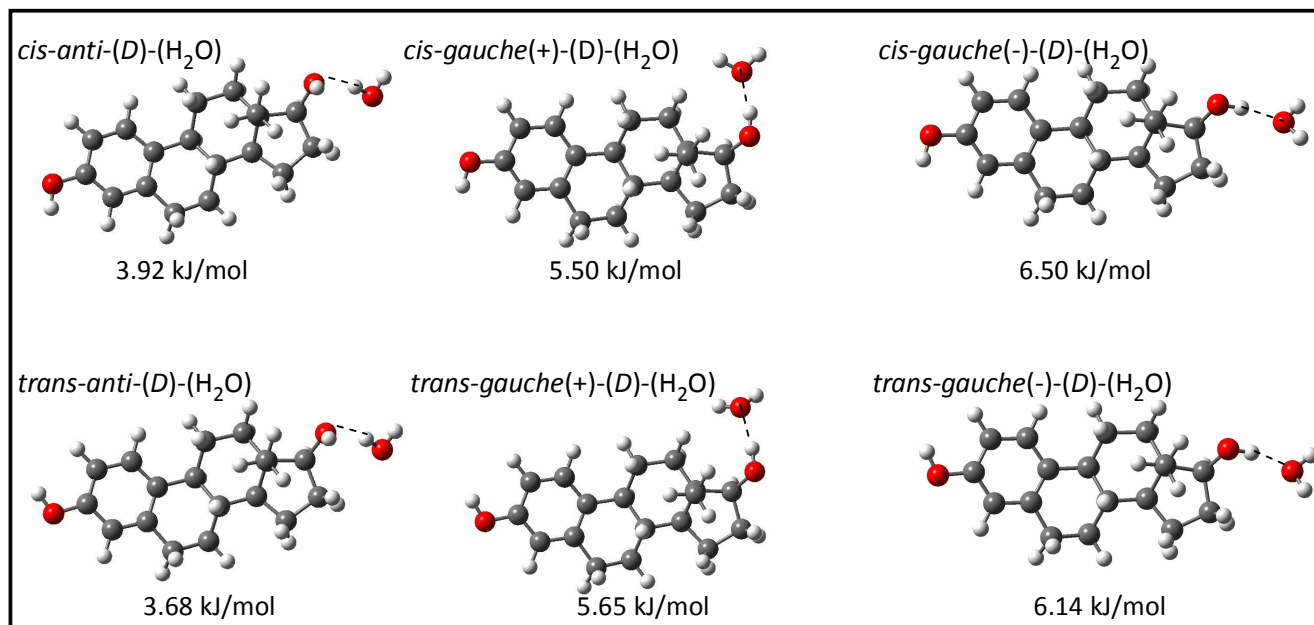
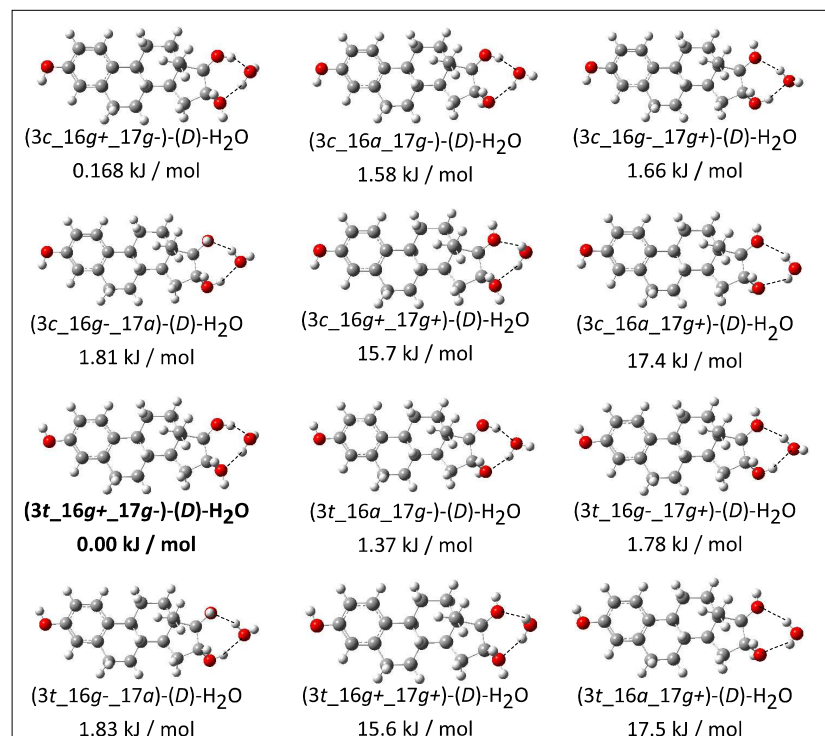


Figure 10

(a)



(b)

