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ABSTRACT

We have produced an eccentric roller type total artificial heart (ERTAH). As the first step in the development of this ERTAH, we conducted simulations such as a numerical simulation, a mock test, and an acute animal experiment using DeBakey roller pumps to analyze the left-right balance during its operation. The next step was redesigning the blood chambers to improve energy efficiency and implanting the ERTAH with an interatrial shunt into an animal for evaluation of the *in vivo* performance of the device. In the simulations, shunt flow through the bronchial arteries was approximately 500–600ml/min, and the interatrial resistance was varied from 2.9–7.7 mmHg·min/liter. Redesigning the blood chambers resulted in the mock test in a 20% increase in energy efficiency, about a two-fold increase in cardiac output and improved durability compared to the previous type in the mock test. In the animal experiment the ERTAH operated with a left flow rate of 6.0 liters/min and a right flow rate of 5.4 liters/min. The interatrial shunt flow rate was 250–400ml/min. Creating an interatrial shunt is a useful method for balancing the blood flow between the left and right heart of the ERTAH. A decrease in friction resistance and the prevention of backward flow resulted in an increase in energy efficiency, cardiac output, and improved durability, in spite of downsizing the blood chambers.

Key words: Total artificial heart, Roller pump, Interatrial shunt, Left-right balance

A variety of motor-driven artificial heart systems have been developed by many institutions throughout the world, with the aim of realizing a totally implantable artificial heart^{3,6,13,18)}. Many of these artificial hearts are pulsatile with blood pumps requiring artificial valves. On the other hand, research work on developing a rotary blood pump without an artificial valve has been frequently published¹³⁾. We have developed various prototypes of a totally implantable total artificial heart (TAH) at Hiroshima University School of Medicine^{4,5,8,10,11,16}, and we continue in our effort to improve the TAH for several reasons: a satisfactory cardiac output has not yet been obtained; the energy efficiency was low; and there remains the problem of antithrombogenicity. Among recent prototypes of electric motor-driven TAH studied, the latest to be developed is an ERTAH in which right and left silicone blood chambers output blood alternately by being compressed by alternate rotations of eccentric rollers^{8,15,17)}. The advantages of this artificial heart are that no valves are mounted, it is one of the most compact devices in the world $(8 \times 10 \text{ cm})$, and a pulsatile-like flow waveform can be obtained although it is a roller pump. On the other hand, the disadvantages are that the left-right output balance of the device is fixed, its

output volume is relatively low and its energy efficiency and durability are unsatisfactory. Like the DeBakey roller pump, its right and left output volumes are determined to be constant by the chamber volumes and rolling rates of the rollers because of an active filling mechanism. In physiological conditions, however, the volume of circulating blood in the left side is larger than in the right side due to blood circulation through the bronchial artery (left to left shunting). Furthermore, the left pump afterload and workload are larger than those of the right pump. In the current ERTAH, the left-right balance of the artificial heart is maintained by differences in the size of the left and right chambers^{15,17)}. However, this technology was thought to have a limitation in that it could accommodate physiological not fluctuations because the left-right output ratios were constant. For these reasons we conducted simulations for LL (left to left) shunting under the ERTAH operation, and redesigned the blood chambers to improve its basic performance.

MATERIALS AND METHODS

1. Animals, surgical procedures, and instrumentation.

A mock test using the Donovan mock circulatory

*All correspondence should be addressed to Dr. Hideichi WADA, First Department of Surgery, Hiroshima University School of Medicine, 1–2–3 Kasumi, Minami-ku, Hiroshima, 734–8551, Japan. system¹⁴⁾ was performed. An acute animal experiment involving an adult goat of 60kg was performed to simulate the left-right balance of the ERTAH, and another experiment was performed for ERTAH implantation involving a goat of 40kg. In the animal experiments, an intravenous line was established in the marginal ear vein. General anesthesia was induced by thiopental sodium (5mg/kg) administered intravenously. Tracheal intubation was performed. Anesthesia was maintained with isoflurane inhalation after connecting a tracheal cannula with an anesthetic device (AM 210, Aika Co., Ltd.). Blood flows were measured electromagnetic flowmeters using (MFV-210, Nihon Koden Co., Ltd.). Electrocardiogram (ECG), blood flows and blood pressures were recorded on a polygraph (361, NEC Medical Systems, Ltd.). The animals received humane care in compliance with the Principles of Laboratory Animal Care formulated by the National Society for Medical Research and the Guide for the Care and Use of Laboratory Animals prepared by the Institute of Laboratory Animal Resources and published by the National Institutes of Health (NIH publication No.86-23, revised 1985).



Fig. 1. The numerical simulation circuit.

Abbreviations.

AOP: Aortic Pressure CAO: Compliance of Aorta CLA: Compliance of Left Atrium CO: Output of the ERTAH, CPA: Compliance of Pulmonary Artery CRA: Compliance of Right Atrium ECG: Electrocardiogram ERTAH: Eccentric Roller Type Total Artificial Heart FL: Flow Rate of LAH FR: Flow Rate of RAH LAH: Left Artificial Heart LAP: Left Atrial Pressure LL shunting: left to left shunting

2. Simulations of left-right balance of blood flow in the ERTAH.

2–1. Numerical simulation.

Fig. 1 and Table 1 show the simulation circuit and basic equations for vascular resistance, vascular compliance, and artificial heart, replaced by resistance, capacitance, and current sources, respectively, and the vascular system is reconstructed as lumped element network, as depicted in Fig. 1. We chose the resistance of the interatrial shunt (RAA) and right artificial heart flow rate (FR) as independent variables, and the other parameters are fixed at appropriate values. The right to left and left to right shunt flows (QALR) are presented as positive and negative blood flows, respectively.

2–2. Mock test using DeBakey roller pumps for simulation of the left-right balance of the ERTAH.

Two DeBakey roller pumps were connected to the right and left sides of a Donovan mock circulatory system and an interatrial shunt was created between the inlet ports of the left and right roller pumps. An electromagnetic flowmeter was used for measuring interatrial shunt flow rate, and catheter lines to determine pressures were connected to the aorta, the pulmonary artery, and the right and left atria. Cardiac output and blood pressures were measured at a fixed pump speed of 60 rpm at the left side for making a constant FL (4.8 liters/min), with the pump speed at the right side varying from 45 to 75 rpm, and consequently FR varying from 3.6 liters/min to 6.0 liters/min. The following three sizes of interatrial shunts were prepared and compared: 6, 8, and 10 mm.

2–3. Acute animal experiment using DeBakey roller pumps for simulation of the left-right balance under the ERTAH operation.

As in the mock test, two roller pumps were used to bypass the right and left hearts of a goat weighing 60 kg. An interatrial shunt 10 cm in length and 10 mm in inner diameter was set between the left and right inlet ports, and the blood flow from the two rotary pumps and that in the interatrial shunt were measured using an electromagnetic flowmeter at a fixed pump speed of 60 rpm for the

PAP: Pulmonary Artery Pressure QALR: Interatrial (L to R) Shunt Flow QLL: LL Shunt Flow QP: Pulmonary Flow QS: Systemic Flow RAA: Interatrial Resistance RAH: Right Artificial Heart RAP: Right Atrial Pressure RLL: LL Shunt Resistance RP: Pulmonary Resistance RS: Systemic Resistance SV: Stroke Volume of the ERTAH. TAH: Total Artificial Heart

Newly Designed ERTAH

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Basic Equations	AOP*CAO+RAP*CRA+PAP*CPA+LAP*CLA=V0 AOP-RAP=RS*QS PAP-LAP=RLL*QP AOP-LAP=RLL*QLL LAP-RAP=RAA*QALR FL=QS+QLL FR=QS+QALR FR=QP QP+QLL=FL+QALR	 (1) (2) (3) (4) (5) (6) (7) (9)
Constants	FL=6 (liters/min) CLA=5 (liters/mmHg) @ 4 (mmHg) CAO=1 (liter/mmHg) @ 100 (mmHg) CRA=10 (liters/mmHg) @ 0 (mmHg) CPA=1 (liter/mmHg) @ 15(mmHg) RS=16 (liters/mmHg) RP=2.5 (mmHg · min/liter) RLL=160 (mmHg · min/liter) V0= 135 (mL), Total blood bolume in compliance (C	CAO, CRA, CPA, CLA)
Variables	FR=5~6 (liters/min) RAA=0~500 (mmHg·min/liter)	

Table 1. Basic equations, constants and variables

left side, and a varying pump speed for the right side. Vascular grafts were sutured to the left atrium, the pulmonary artery, and the descending aorta (inner diameters, 19, 12, and 12mm, respectively). An exsanguination catheter (inner diameter 12mm) was inserted into the inferior vena cava through the jugular vein. With 3 grafts and a line connected, extra corporeal circulation was established using a roller pump, the roller pump was driven, and the hemodynamic parameters were measured.

3. Modification of the ERTAH. 3–1. Redesign of the blood chambers.

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The pump system basically has the same configuration as that previously reported in which right and left silicone blood chambers output blood alternately by compressing them with alternate rotations of eccentric rollers (Fig. 2). The newer system has smaller blood chambers (right side volume, 35 ml; left, 40 ml) than those of the previous ERTAH (right side volume, 53 ml; left, 60 ml), which had been designed to have as large a stroke volume as possible (Fig. 3). In addition, the designs of the input and output ports were modified to prevent backward flow. It is expected that these modifications will improve energy efficiency and durability, and increase output volume through a possible reduction of the friction resistance generated between the blood chambers and casing, although the previous pulsatile-like wave form will not be obtained.



Fig. 2. Principle and structure of the eccentric roller type total artificial heart.

Previous fype

Fig. 3. Left blood chambers. Left: previous type. Right: new type blood chamber. Size and shape are different. Left blood chamber volume was changed from 60ml to 40ml. Right blood chamber volume was changed from 53ml to 35ml. The form between inlet and outlet ports is modified to prevent backward flow.

3-2. Mock test for evaluation of basic performance of the ERTAH.

A mock test was performed using a Donovan type mock circulatory system. In the test the newer ERTAH without an interatrial shunt was connected to a mock circulatory system and the basic performance of the device as a blood pump system was evaluated.

3-3. Animal experiment design for implantation.

An animal experiment involving an adult goat of 40kg was performed under general anesthesia with a cardiopulmonary bypass. A 24-Fr exsanguination catheter was inserted into the inferior vena cava through the jugular vein. After place-

ment of a tube for the blood supply into the descending aorta, a cardiopulmonary bypass (CPB) was established. After induction of ventricular fibrillation using a fibrillator in the cardiopulmonary bypass condition, inflow cannulas for TAH with an inner diameter of 12 mm were inserted into the left and right atriums via the left and right apexes of the heart, respectively, and a blood supply tube with an inner diameter of 8 mm was inserted into the aorta and the pulmonary artery, respectively. The central side of the aorta and the pulmonary artery were ligated after vascular cannulations were completed. The blood flow into the CPB was gradually reduced and the blood flow into the TAH was increased correspondingly. Finally a transition from CPB to TAH was achieved after weaning from CPB. A shunt circuit with an inner diameter of 10 mm and a length of 5 cm was created between the left and right exsanguination tubes (Fig. 4). Blood flows at the outlet ports of the right and left sides of TAH and that in the interatrial shunt were measured using electromagnetic flowmeters.

RESULTS

1. Simulations of the left-right balance of the ERTAH.

1–1. numerical simulation.

Numerical simulation was performed under conditions where the flow of the FL was fixed at 6 liters/min, the flow of the FR was varied from 4.8 liters/min to 6 liters/min, and the RAA was 0 and 20 mmHg·min/liter. The relationship between QALR and output of the left and right artificial hearts was balanced when the FR was 5.45 liters/min (FR-FL=0.55 liters/min). Regarding the relationships between the pressure data (RAP, LAP, PAP, and AOP) and the interatrial shunt,



Fig. 4. Acute animal experiment involving an adult goat.

Left: Functional shunt circuit with an inner diameter of 10 mm. A length of 5 cm was created between the left and right exsanguination tubes. Right: ERTAH creating interatrial shunt.

Table 2. Numerical simulation

a) RAA=0mmHg·min/liter													
1	FR	liters/min	5.00	5.10	5.20	5.30	5.40	5.50	5.60	5.70	5.80	5.90	6.00
	AOP	mmHg	89.34	89.33	89.32	89.30	89.29	89.27	89.26	89.24	89.23	89.21	89.20
J	RAP	mmHg	2.07	2.06	2.04	2.03	2.01	2.00	1.98	1.97	1.95	1.94	1.93
j	PAP	mmHg	14.57	14.81	15.01	15.28	15.51	15.75	15.98	16.22	16.45	16.69	16.93
]	LAP	mmHg	2.07	2.06	2.04	2.03	2.01	2.00	1.98	1.97	1.95	1.94	1.93
	QS	liters/min	5.45	5.45	5.45	5.45	5.45	5.45	5.45	5.45	5.45	5.45	5.45
	QP	liters/min	5.00	5.10	5.20	5.30	5.40	5.50	5.60	5.70	5.80	5.90	6.00
	QLL	liters/min	0.55	0.55	0.55	0.55	0.55	0.55	0.55	0.55	0.55	0.55	0.55
	QALR	liters/min	0.45	-0.35	-0.25	-0.15	-0.05	0.05	0.15	0.25	0.35	0.45	0.55
b) RA	AA=20n	nmHg∙min/li	ter										
	\mathbf{FR}	liters/min	5.00	5.10	5.20	5.30	5.40	5.50	5.60	5.70	5.80	5.90	6.00
	QALR	mmHg	91.53	91.03	90.54	90.04	89.55	89.05	88.56	88.06	87.57	87.07	86.58
]	RAP	mmHg	5.00	4.34	3.68	3.02	2.36	1.71	1.05	0.39	-0.27	-0.93	-1.58
]	PAP	mmHg	9.33	10.72	12.11	13.50	14.88	16.27	17.66	19.05	20.44	21.82	23.21
	LAP	mmHg	-3.17	-2.03	-0.89	0.25	1.38	2.52	3.66	4.80	5.94	7.07	8.21
	QS	liters/min	5.41	5.42	5.43	5.44	5.45	5.46	5.47	5.48	5.49	5.50	5.51
	$\rm QP$	liters/min	5.00	5.10	5.20	5.30	5.40	5.50	5.60	5.70	5.80	5.90	6.00
	QLL	liters/min	0.59	0.58	0.57	0.56	0.55	0.54	0.53	0.52	0.51	0.50	0.49
	QALR	liters/min	-0.41	-0.32	-0.23	-0.14	-0.05	0.04	0.13	0.22	0.31	0.40	0.49

Table 3. Mock test using DeBakey roller pumps for simulation of the left-right balance of the ERTAH

Shunt size inner diameter (mm)	Interatrial shunt flow rate (liters/min)	Interatrial pressure gradient (mmHg)	Resistance of interatrial shunt (mmHg·min/liter)
6	-1.2 to 1.2	-10 to 9.1	7.1 to 8.3 (mean 7.7)
8	-1.2 to 1.2	-5.5 to 5.4	3.5 to 4.6 (mean 4.3)
10	-1.2 to 1.2	–3.3 to 2.8	2.6 to 3.8 (mean 2.9)

there were no changes in RAP, LAP, PAP, and AOP when RAA was zero. LAP and PAP increased and RAP and AOP decreased as RAA increased (Table 2).

1-2. Mock test using DeBakey roller pumps for simulation of the left-right balance of the ERTAH.

In the mock test, LAP increased and RAP decreased with the increase in left to right shunting, as shown in the numerical simulation (Table 2). Furthermore, when the diameter of the interatrial shunt became smaller and shunt resistance was increased, the absolute left to right atrial pressure difference was increased. When the interatrial shunt flow rate was zero, the pressure difference was also zero. Because there was no LL shunt in this mock system, the flow difference between left and right roller pumps (FR-FL) was zero when the QALR was zero. The RAA of the mock system was varied from 7.1 to 8.3 (mean, 7.7) mmHg·min/liter, 3.5 to 4.6 (mean, 4.3) mmHg·min/liter and 2.6 to 3.8 (mean, 2.9) mmHg·min/liter when the inner diameter of the interatrial shunt was 6 mm, 8 mm, and 10 mm, respectively (Table 3).

1-3. Acute animal experiment using DeBakey roller pumps for simulation of the left-right balance under the ERTAH operation.

The outputs of both roller pumps (FR and FL),

the interatrial shunt flow rate (QALR), AOP, PAP, RAP, and LAP were measured under conditions where the speed of the roller pump used for the left side bypass was fixed at 60 rpm and that for right side bypass was varied. The right to left and left to right shunt flows were presented as positive and negative blood flows, respectively. The flow of the left pump was almost constant (4.7 liters/min). The FR was approximately 4.1 liters/min when the QALR was zero, allowing consideration that the LL shunt flow in this goat's heart was approximately 0.6 liters/min (Fig. 5). Regarding the relationships between pressure data (RAP, LAP, PAP, and AOP) and QALR, an increase in left to right shunting was associated with increases in LAP, PAP, and AOP, and a decrease in RAP (Fig. 6).

2. Modification of the ERTAH

2–1. Mock test for evaluation of basic performance of the ERTAH.

The results of the mock test using a Donovan type mock circulatory system are shown in Table 4. When the pump speed increased, the AoP and pump output increased. The ERTAH operated at a driving rate of 68–166 rpm with a left cardiac output ranging from 2.5–6.3 liters/min and a right cardiac output ranging from 2.4–5.8 liters/min. Imput power ranged from 19–36 W, output power ranged from 0.5–2.3 W, varying according to the driving rate. The overall efficiency of the ERTAH



Fig. 5. The graph shows the interatrial shunt flow rate (QALR) vs. Right to left balance (FR-FL) in the acute animal experiment using DeBakey roller pumps for simulation of the left-right balance of the ERTAH. The flow of the left pump was almost constant (4.7 liters/min). The flow of the right pump (FR) was approximately 4.1 liters/min when the QALR was zero, allowing consideration that the LL shunt flow in the goat's heart was approximately 0.6 liters/min.



Fig. 6. Pressure data (RAP, LAP, PAP and AOP) vs. interatrial shunt flow rate (QALR). An increase in left-to-right shunting was associated with increases in LAP, PAP, and AOP and a decrease in RAP.

Table 4. Mock test for evaluation of basic performance of the ERTAH

68	88	141	166
82	107	112	138
17	18	21	25
2.5	3.4	5.7	6.3
2.4	3.0	5.0	5.8
19	24	34	36
0.5	0.9	1.7	2.3
2.6	3.8	5.0	6.4
37	39	40	38
35	34	35	35
	$\begin{array}{c} 68\\82\\17\\2.5\\2.4\\19\\0.5\\2.6\\37\\35\end{array}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

AoP: aortic pressure, PAP: pulmonary arterial pressure, CO: output of the ERTAH, SV: stroke volume of the ERTAH.

was 2.6–6.4 %. Cardiac output increased to about twice as much as in the old type blood chambers, in spite of the smaller blood chambers. The overall

efficiency of the ERTAH was improved about 20 % in comparison with the old type blood chambers¹⁵). We deduced that the improvement was mainly dependent on the prevention of backward flow by modification of the form between the inlet and outlet ports. Moreover, its flow pattern changed to an entirely nonpulsatile flow from a pulsatile-like flow.

In the durability test performed simultaneously, no problems were detected in the body of ERTAH or in the blood chambers at 18 hr. The results demonstrated an improvement in durability since a breakage of the sac had been detected at approximately 8 hr in the previous blood chambers¹⁵.

2–2. Animal experiment design for implantation.

The ERTAH operated at a driving rate of 160 rpm with a left cardiac output of 6.0 liters/min and a right cardiac output of 5.4 liters/min. The interatrial shunt flow rate was 250–400ml/min (Fig. 7). The animal experiment was terminated after 12 hr of ERTAH operation.

DISCUSSION

development process In the of totally implantable artificial hearts, left ventricular assist devices (LVAD) have been routinely applied, but no satisfying totally implantable total artificial heart (TAH) has been developed¹²⁾. Although there are many problems to be solved in developing the ERTAH, the advantages of this system are that it is simple and one of the most compact devices in the world $(8 \times 10 \text{ cm})$. The major problems encountered in developing ERTAH were mechanical problems including its low basic performance as a blood pump, and a fixed flow balance between the left and the right that was controlled by the principle of a roller pump. In the current version of ERTAH, the left-right flow bal-



Fig. 7. Hemodynamic oscillogram of the animal experiment.

The ERTAH operated at a driving rate of 160 rpm with a left cardiac output of 6.0 liters/min and a right cardiac output of 5.4 liters/min. The interatrial shunt flow rate was 250–400ml/min

ance is maintained by controlling differences in the volumes between the left and right chambers, and the device was operated for several hours in acute experiments. However, it may be limited to balancing with this method alone when working toward a completely implantable total artificial heart^{15,17,20}. An interatrial shunt was designed for balancing the left and right sides of the electrohydraulic total artificial heart by the University of Utah^{1,7,9,14,19}. Therefore, our attention focused on a method whereby an interatrial shunt could be set at the inlet side to achieve a left-right balance. Prior to trial manufacture of an ERTAH with an interatrial shunt, the actual LL shunt flow and effectiveness of the interatrial shunt on left-right balance, when this artificial heart was operated in nonpulsatile and at regular flow, was evaluated by means of a numerical simulation test, a mock test using DeBakey roller pumps and an acute animal experiment. In these simulations, the shunt flow through the bronchial arteries was approximately 500–600ml/min, and positioning an interatrial shunt between the inlet ports seems useful for balancing.

Regarding the basic performance of the pump, the two modifications-downsizing of the blood chambers (although the basic configuration of the pump was not modified), and redesigning the inlet and outlet ports to prevent regurgitation-resulted in a 20% increase of energy efficiency, about a twofold increase in cardiac output and improved durability compared with the previous type. A completely non-pulsatile flow waveform could be obtained after the modifications, in contrast with the previous pulsatile-like waveform caused by a little of the blood flow being reversed. It is thought that the decrease of regurgitation primarily contributes to the improved basic performance. Although a maximal increase of energy efficiency of 6.4 % was achieved, the possibilities are endless. To achieve this goal, it is necessary to incorporate seven features into the system: small size, atraumatic features, antithrombogenic features, antiinfection features, a simple and durable design, and low energy requirement with easy controllability. In a discussion, the following may only analyzed: size, design, energy requirement, and durability. In other respects, this device leaves much room for improvement and development. Especially in the atraumatic features, the increase of serum potassium level due to hemolysis, which is characteristic of roller pumps, has not been assessed and actually is not satisfactory at this stage. We are planning further improvements including employment of a different material for the sac, such as polyurethane, and a more effective motor. Furthermore, water-proofing of the system is to be realized for a totally implantable artificial heart.

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