

1 Dynamical mechanisms controlling formation and avalanche of a stagnant slab

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3 T. Nakakuki^{1*}, M. Tagawa^{1**} and Y. Iwase²

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5 1. Department of Earth and Planetary Systems Science,

6 Hiroshima University

7 2. Department of Marine and Earth Science,

8 National Defense Academy

9

10 * Corresponding author (nakakuki@hiroshima-u.ac.jp)

11 ** Now at TERRAPUB, Tokyo

12

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1 **Abstract**

2

3 We performed a numerical study to understand the dynamical mechanism controlling the
4 formation and avalanche of a stagnant slab using two-dimensional dynamical models of
5 the integrated plate-mantle system with freely movable subducting and overriding plates.
6 We examined slab rheology as a mechanism for producing various styles of stagnating or
7 penetrating slabs that interact with the 410-km and 660-km phase transitions. The
8 simulated results with the systematically changed rheological parameters are interpreted
9 using a simple stability analysis that includes the forces acting on the stagnant slab. Slab
10 plasticity that memorizes the shape produced by past deformation generates slab
11 stagnation at various depths around the 660-km phase transition. The slab stagnates even
12 beneath the 660-km phase boundary, with a gentle Clapeyron slope. Feedbacks between
13 trench backward migration and slab deformation promote each other during the slab
14 stagnation stage. Slab viscosity also determines the final state of the subducted slab, that
15 is, it continues stagnation or initiates penetration. A low-viscosity slab can finally
16 penetrate into the lower mantle because the growth time of the Rayleigh-Taylor instability
17 is shorter. After the avalanche, the direction of the trench migration changes depending on
18 the lower mantle slab viscosity.

19

19 1. Introduction

20

21 Seismic tomography images variable structures of subducted slabs (van der Hilst et
22 al., 1991; Fukao et al., 1992; review by Fukao et al., 2001). Some subducted slabs
23 stagnate horizontally not only above the 660-km discontinuity but also in the mantle
24 transition zone or beneath the 660-km discontinuity, and others penetrate into the deep
25 lower mantle. Seismological observations show cessation of deep seismicity at about 700
26 km depth (e.g., Frohlich, 1989). The focal mechanisms always indicate down-dip
27 compression in the deep slabs above the 660-km discontinuity (e.g., Isacks and Molnar,
28 1971). These observations also imply that convective flow to the lower mantle is impeded
29 at least partly.

30 The 660-km discontinuity is inferred as a phase transition from ringwoodite (Rw) to
31 decomposed perovskite (Pv) and magnesiowüstite (Mw) with a negative Clapeyron slope
32 (Akaogi et al., 1989; Katsura and Ito, 1989) that behaves as a barrier against penetration
33 of a cold slab (e.g., Schubert et al., 2001; review by Tackley, 1995). Christensen and
34 Yuen (1984) performed numerical simulation of the subducting slab interacting with
35 phase and/or chemical boundaries using models with temperature- and stress-dependent
36 viscosity. Nakakuki et al. (1994) and Davies (1995) emphasized the important effects of
37 the viscosity on flow penetration with comparing plume and slab interaction with the
38 phase boundary. They posed the important question of what mechanism enables the
39 highly viscous slab to stagnate with a Clapeyron slope as gentle as -3 MPa K^{-1} .

40 Slab stagnation above the 660-km discontinuity is often accompanied by trench
41 retreat, that is, backward migration of the subducted slab (van der Hilst and Seno, 1993).
42 The seismic structure of the transition zone slab determined by waveform modeling
43 (Tajima and Grand, 1998; Shito and Shibutani, 2001) indicated a relationship between
44 trench motion inferred from reconstruction of the Philippine Sea plate (Seno and
45 Maruyama, 1984) and a stagnant slab in the Izu-Bonin-Mariana subduction zone. Based
46 on these tectonic considerations, Christensen (1996) showed that trench retreat imposed
47 to the surface of his models strongly influences the styles of transition zone slab. On the
48 other hand, Karato et al. (2001) pointed out the relationship between the age of the
49 subducted lithosphere and the slab structure, and they concluded that the slab viscosity
50 reduction due to the grain-size reduction that was accompanied by the phase transition
51 (Rubie, 1984; Karato, 1989; Riedel and Karato, 1997) is a more important mechanism

52 controlling the slab structure. Čížková et al. (2002) produced stagnant slabs in a
53 semi-dynamic numerical model with yielding and grain-size reduction to reduce the
54 bending strength of the slab. Their models indicate that trench retreat controls the slab
55 structure more than rheological strength reduction. Torii and Yoshioka (2007) found that
56 a viscosity jump generates a stagnant slab under conditions that include trench retreat less
57 than 2 cm yr^{-1} , even when the Clapeyron slope of the 660-km discontinuity is 0 MPa K^{-1} .
58 A recent review by Billen (2008) summarized effects of the slab and surrounding mantle
59 viscosity and trench migration on the structure and the fate of subducted slabs. Fukao et al.
60 (2009) compared various types of numerically simulated slabs with seismic tomography
61 images. Although the dependence of the slab structure on rheological and tectonic
62 parameters has been revealed, we do not have certain dynamical explanations for the
63 simulated slab structures. Questions still remain of how trench retreat and phase boundary
64 buoyancy mechanically control the behavior of the subducted lithosphere in the transition
65 zone.

66 We also do not yet well understand dynamical mechanisms that generate trench
67 retreat. The rollback of a gravitationally unstable slab (e.g., Molnar and Atwater, 1978;
68 Sdrolias and Müller, 2006) is still considered to be a candidate for the main driving force
69 of back-arc basin formation, because active back-arc opening accompanies a fast
70 retreating slab (Heuret and Lallemand, 2005). Kincaid and Olson (1987) showed that
71 trench retreat is induced by slab stagnation at the chemical boundary. Tagawa et al.
72 (2007a) showed that backward slab motion is promoted when the phase transition
73 impedes penetration into the lower mantle using a two-dimensional (2-D) numerical
74 simulation of an integrated plate-mantle system. Zhong and Gurnis (1997) found forward
75 slab motion during slab penetration in their 2-D global-scale convection model.

76 In this study, we concentrate our efforts on revealing how slab rheology in the
77 transition zone controls the formation and avalanche of a stagnant slab. We construct 2-D
78 dynamical models of the subduction system that interacts with the mantle phase
79 transitions at 410-km and 660-km depths. In our model, plate motion and plate-boundary
80 migration are generated dynamically without velocity conditions imposed on the plate as
81 our previous studies (Tagawa et al., 2007b; Nakakuki et al., 2008). We introduce the
82 viscosity reduction in the transition-zone and/or the lower-mantle slab because of the
83 grain-size reduction that accompanies the phase transitions at 410-km (Rubie, 1984;
84 Karato, 1989; Riedel and Karato, 1997; Shimojuku et al., 2004; Yamazaki et al., 2005)

85 and 660-km (Ito and Sato, 1991; Karato et al, 1995; Kubo et al., 2000) depths. The
86 importance of the slab plasticity is highlighted in the mechanism that determines the
87 structure and the fate of the subducted plate. The results obtained will be examined based
88 on a simple stability analysis of the stagnant slab. We also examine the mutual interactions
89 between slab deformation and trench migration during the process of stagnant slab
90 formation and its avalanche.

91

91 **2. Model settings and basic equations**

92

93 **2.1 Model configuration**

94 We trace the evolution of the developing slab interaction with the mantle transition
95 zone under a freely movable and deformable overriding plate. A schematic view of the
96 model configuration is shown in Fig. 1. The model is constructed based on the long-time
97 integrated model in Nakakuki et al. (2008). Major changes from the previous model
98 include: (1) the 410-km and 660-km phase transitions, (2) enlargement of the model size
99 (10000 km \times 2000 km), (3) the 6-km thick layer with history-dependent yielding (Tagawa
100 et al., 2007b), and (4) viscosity and yield-stress reduction due to hydration in the wedge
101 mantle area (see Section 2.3 for details). Several assumptions are made to produce the
102 plate-like motion of the surface as mentioned in Nakakuki et al. (2008). The physical
103 parameters are described in Table I.

104 We use an extended Boussinesq fluid model (Christensen and Yuen, 1985) in a 2-D
105 rectangular box to minimize numerical difficulties from handling a complex rheology.
106 Neither external forces nor velocities are imposed on the model boundaries in order to
107 generate dynamic plate boundary migration. The internal buoyancy forces drive the
108 lithosphere motion and underlying mantle flow. Free slip (tangential stress free)
109 conditions are assigned to all the boundaries for the mechanical boundary condition. In
110 the previous study, on the contrary, an imposed velocity boundary at the surface is
111 employed because the total buoyancy of 3-D slabs controls the subducting plate motion
112 (King and Ita, 1995; Christensen, 1996; Torii and Yoshioka; 2007). We assume that
113 internal heating is equal to 0 because its heating effect is negligible for the short elapsed
114 time (< 100 Myr) of our simulation. The temperature of the lowest 500-km-thick layer is
115 fixed to that of the initial conditions (Segments 8 and 9, Fig. 1). Constant temperature is
116 applied to the top boundary, and thermal insulation is on the bottom and both side
117 boundaries.

118 The transition zone is modeled with two phase transitions of olivine series minerals:
119 olivine to wadsleyite at the 410-km depth, and ringwoodite to perovskite and
120 magnesiowüstite at the 660-km depth. In this study, the Clapeyron slope for the 410-km
121 phase transition is set to be +3 MPa K⁻¹ or +2 MPa K⁻¹, and that for the 660-km is -3
122 MPa K⁻¹ or -2 MPa K⁻¹. These values are more consistent with those inferred from the
123 depression of the 660-km discontinuity obtained by seismological study (e.g., Flanagan

124 and Shearer, 1998) than those from recent high pressure experiments (Katsura et al.,
125 2003; Fei et al., 2004). The density contrasts at phase boundaries are provided according
126 to the PREM model (Dziewonski and Anderson, 1981). For the olivine composition
127 model, the density contrasts are assumed to be 100% of the PREM density contrast (7.8
128 and 8.3% for the 410- and 660-km phase transitions, respectively) and for the pyrolite
129 composition model (Irifune, 1993), it is 60% of the PREM density contrast.

130

131 **2.2 Lithosphere settings and initial conditions**

132 We consider initiating subduction of the oceanic lithosphere at the continental margin.
133 The models consist of two lithospheres — “oceanic” and “continental.” The oceanic
134 lithosphere is a surface layer with the same composition as that of the underlying mantle
135 and a high viscosity due to its low temperature. The oceanic lithosphere has a layer with
136 history-dependent yielding (Segment 2, Fig. 1) acting as a weak fault zone at the
137 oceanic-continental plate boundary (Segment 3, Fig. 1). This simulates lubrication due to
138 a wet oceanic crust. This layer does not have a density difference from the underlying
139 mantle. The continental lithosphere includes an intrinsically buoyant layer simulating a
140 continental crust 34 km thick (Segment 5, Fig. 1) and a low-temperature mantle
141 lithosphere beneath the crust.

142 Because we examine the effects of the overriding plate motion, we introduce a
143 condition in which the overriding plate is disconnected from the right-side boundary. The
144 low viscosity zone is introduced to the top-right corner (Segment 6, Fig. 1). The viscosity
145 of this zone is fixed at 10^{22} Pa·s, which is close to the effective viscosity of the orogenic
146 zone (Gordon, 2000). The continental crust is placed between 6000 and 9000 km for the
147 x-direction to avoid the low viscosity zone. The lateral density contrast ($d\rho/dx$) is set at 0
148 in the lower viscosity zone and the underlying area (Segment 10, Fig. 1) to avoid
149 gravitational instability of the cold thermal boundary layer in this zone.

150 The oceanic lithosphere temperature in the initial conditions is determined according to
151 the half-space cooling model with 0 to 100 Ma. The continental lithosphere temperature is
152 set to the value of the oldest (100 Ma) oceanic lithosphere. The temperature in the
153 underlying mantle is set to be adiabatic with a potential temperature of 1280 °C (Fig. 2).
154 Temperature jumps due to latent heat at the phase boundaries are included in the initial
155 temperature. To initiate subduction, we introduce a preexisting weak zone with the
156 history-dependent yielding into the oceanic-continental plate boundary, according to

157 Tagawa et al. (2007b).

158

159 **2.3 Rheology model**

160 We use a viscous fluid model to approximate long-term mantle deformations. The
161 viscosity depends on the temperature, pressure (depth), grain size, yield stress, and
162 yielding history (Honda et al., 2000; Tagawa et al., 2007b; Nakakuki., et al., 2008). The
163 effective viscosity (η) is given by an Arrhenius-type law including grain-size dependence
164 when the stress is lower than the yield strength. According to Karato and Wu (1993),
165 dislocation creep is dominant in the deformation mechanism in the shallow part of the
166 upper mantle (asthenosphere) because the activation volume is larger than that for
167 diffusion creep. We assume that the non-Newtonian rheology parameterized by reducing
168 temperature and pressure dependence as an approximation (Christensen, 1984) governs
169 the effective viscosity in the layer shallower than 300 km as follows:

170

$$171 \quad \eta = \min \left[A d_r^m \exp \left[\frac{2}{n+1} \cdot \frac{E^* + pV^*}{R(T + T_0)} \right], \eta_L \right], \quad (1)$$

172

173 where E^* is activation energy, p is hydrostatic pressure, V^* is activation volume, R is the
174 gas constant, T is temperature, m ($= 2$) is a body-diffusion exponent of the grain size, and
175 n ($= 3$) is the stress exponent. η_L is the viscosity limit to reduce the effective viscosity of
176 the lithosphere at low temperature (Tagawa et al., 2007b). This value is varied to examine
177 the effects of slab viscosity in the transition zone and/or the lower mantle on the slab
178 structure. The viscosity-depth profile in the initial conditions is shown in Fig. 2. The
179 pre-exponential factor A is fixed as it is equal to the reference viscosity $\eta_{ref} = 5 \times 10^{20}$ Pa·s
180 at the depth of 410 km in the initial conditions. This is a mean value for the upper mantle
181 obtained by the postglacial rebound study (Milne et al., 1999; Okuno and Nakada, 2001).
182 The activation volume V^* is assumed to decrease linearly with depth as

183

$$184 \quad V^* = V_0 + (V_L - V_0)z / h \quad (2)$$

185

186 The activation energy E^* and the activation volume at the surface V_0 are provided by

187 values for a wet olivine according to Karato and Wu (1993). The activation volume at the
 188 bottom of V_L is determined as the mean value of the viscosities in the layer from a depth
 189 of 660 to 1500 km and coincides with the observed lower mantle viscosity (10^{22} Pa·s)
 190 derived by the postglacial rebound study (Milne et al., 1999; Okuno and Nakada, 2001).

191 The relative grain size d_r introduces the viscosity-grain size dependence. When the
 192 viscosity does not depend on the grain size, d_r is fixed at 1. When the grain-size
 193 dependence is considered, d_r is described as a linearized Arrhenius law (Čížková et al,
 194 2002)

195

$$196 \quad d_r = d_f \quad \text{at} \quad T < T_f, \quad (3)$$

$$197 \quad d_r = \exp \left[\ln \left(\frac{1}{d_f} \right) \frac{T - T_c}{T_c - T_f} \right] \quad \text{at} \quad T_f \leq T \leq T_c, \quad (4)$$

198

199 and

$$200 \quad d_r = 1 \quad \text{at} \quad T > T_c, \quad (5)$$

201

202 where is d_f the minimum relative grain size at temperatures lower than the minimum grain
 203 size temperature T_f .

204 We introduce yield stress with history dependence to generate the plate-like behavior
 205 of the surface layer as described in Tagawa et al. (2007b) and Nakakuki et al. (2008).
 206 When the stress reaches the yield strength, the effective viscosity is derived by

207

$$208 \quad \eta = Y / 2\dot{\epsilon}_{II} \quad \text{at} \quad \sigma_{II} = Y, \quad (6)$$

209

210 where Y is the yield stress, and σ_{II} and $\dot{\epsilon}_{II}$ are the second invariants of stress and
 211 strain rate tensors. The value of Y depends on the segment. Except for the oceanic crust,
 212 the yield stress is expressed depending on the depth as

213

214
$$Y = \min[Y_0 + c_Y \rho_s g z, Y_m], \quad (7)$$

215

216 where Y_0 is the cohesive strength, c_Y is the friction coefficient of a brittle layer (Byerlee,
 217 1978), ρ_s is the density at the surface, Y_m is the maximum yield strength concerned with
 218 brittle-ductile transition of the lithosphere (Kohlstedt, et al., 1995).

219 On the other hand, the yield stress in the oceanic crust depends on the past yielding
 220 history. In the intact material before its first yielding, the yield stress takes a value
 221 identical to that of the other segment. Once this segment fractures, its yield strength drops
 222 to a smaller value until it heals. The values of Y_0 and c_Y are changed to Y_F and c_F . In the
 223 initial conditions, a preexisting weak zone with the same strength as that of the fractured
 224 segment is assumed to initiate subduction at the “oceanic-continental” plate boundary. The
 225 strength of the spreading centre at the top-right corner is also set to be that of the fractured
 226 segment because of weakening by melting.

227 Because we seek to understand the feedback interactions between the slab
 228 deformation during the slab interaction with the mantle transition zone and the overriding
 229 plate deformation in the subduction zone, weakening of the wedge mantle and the
 230 overriding plate due to the slab dehydration is incorporated into the models (Peacock,
 231 1991; Iwamori, 1998). We assume that the hydrous area is placed in the region above the
 232 slab in depths of 0 to 240 km (Segment 4, Fig. 1). This area is migrated and its shape is
 233 changed as the slab upper surface traced by the subducted oceanic crust segment
 234 (Segment 3, Fig. 1) moves. The activation energy E^* is reduced by 28.8 kJ mol⁻¹K⁻¹ for
 235 viscosity reduction. This value corresponds to about 150 K reduction of the melting
 236 temperature. The yield stress is also reduced in the hydrated region. At the tip of the
 237 overriding plate (continental crust) above the slab with a depth of 0 to 34 km, the material
 238 is assumed to be less hydrated so that the yield stress is set at a higher value than that of
 239 the lithosphere above the slab with a depth of 34 to 240 km.

240

241 **2.4 Basic equations and numerical methods**

242 The basic equations governing the models to be presented are the equations of
 243 continuity, motion, state, energy and mass transport. The equation of state determines
 244 density that is related to the temperature (T) in degrees Celsius, the composition function

245 indicates compositional difference between the continental crust and the mantle (Γ_C), and
 246 the phase function expresses phase changes at the 410- and 660-km depths (Γ_{410} and
 247 Γ_{660}) by the equation of state

248

$$249 \quad \rho = \rho_0(1 - \alpha T) + \Delta\rho_C(1 - \Gamma_C) + \Delta\rho_{410}\Gamma_{410} + \Delta\rho_{660}\Gamma_{660}, \quad (8)$$

250

251 where ρ_C is the density difference between the continental crust and the mantle, ρ_{410}
 252 and ρ_{660} are the density difference at the 410-km and 660-km phase boundaries,
 253 respectively. According to Christensen and Yuen (1985), the phase functions are defined
 254 as

255

$$256 \quad \Gamma_p = \frac{1}{2} \left[1 + \tanh \left(\frac{\pi_p}{d_p} \right) \right], \quad (9)$$

257

258 where d_p is the half thickness for the completion of the phase transition. The excess depth
 259 π_p is calculated from

260

$$261 \quad \pi_p = z - z_p + \gamma_p(T - T_p), \quad (10)$$

262

263 where z_p is the phase transition depth at the phase transition temperature T_p , and γ_p is the
 264 Clapeyron slope of the phase transition. The subscript p is the index replaced by 410 or
 265 660 for the 410- or 660-km phase transition, respectively.

266 The motion of the continental crust (Γ_C), the oceanic crust (the layer with
 267 history-dependent yielding, Γ_O), and the fractured segment (Γ_F) are governed by the
 268 equation of mass transport

269

$$270 \quad \frac{\partial \Gamma_L}{\partial t} + \mathbf{u} \cdot \nabla \Gamma_L = 0, \quad (11)$$

271

272 where L is the index for the layers and is replaced by C , O , or F . The continental crust is

273 represented by the position where $\Gamma_C = 1$. The oceanic crust in which the yield stress has
274 history dependence is also expressed by the location where $\Gamma_O = 1$. We determine Γ_F
275 which memorizes the history of yielding as follows: Before the first yielding, Γ_F is set to
276 be 0. When the first yielding (the star, Fig. 1) occurs in the oceanic crust layer ($\Gamma_O = 1$:
277 Segment 2, Fig. 1), $\Gamma_F = 1$ is imposed (Segment 3, Fig. 1). We also assume that the
278 failure recovers at the 240-km depth, where Γ_F is reset to be 0. This simulates the effects
279 of dehydration of the oceanic crust. This segment is used as an indicator of the slab
280 surface to track the bottom and the side boundary of the hydrous area in the wedge mantle.
281 In the initial condition, a segment with Γ_F of 1 is placed at the oceanic-continental plate
282 boundary.

283 We employ a finite volume method developed by Tagawa et al. (2007b) to solve the
284 equations. In this scheme, dual-layer grid space is adopted to discretize the equations. For
285 the equation of energy and mass transport, the grid is uniform with 2×2 km spacing. For
286 the equation of motion, the grid is non-uniform. The spacing of the control volumes
287 (CVs) varies from region to region. The control volumes with the finest spacing (2×2
288 km) are placed in regions with intense viscosity variations such as divergent or
289 convergent plate boundaries, or the transition zone under the subduction zone. The
290 numbers of CVs for the x- and z-directions are 5000×1000 for the uniform grid, and
291 1678×308 for the non-uniform grid. A direct method (a modified Cholesky method) is
292 applied to solve a band matrix generated by the discretized equation of motion. A
293 cubic-interpolated pseudo-particle (CIP) method (Takewaki et al., 1985) is adopted for the
294 equation of mass transport. The CIP method is established as a valid method to solve
295 problems with sharp interfaces in computational fluid dynamics.

296

296 **3. Results**

297

298 **3.1 The effects of slab rheology**

299 We first concentrate our efforts on revealing how the slab viscosity controls the
300 formation and avalanche of the stagnant slab. We therefore treat the rheological properties
301 of transition zone slab as varying parameters, as shown in Table II. Various slab
302 structures with varying slab rheologies near the final stage of the slab evolution are
303 summarized in Fig. 3.

304 When the slab in the transition zone and the lower mantle has a high viscosity (10^{25}
305 Pa·s) with pyrolite composition when $\gamma = -2$ (Case 1) and -3 MPa K^{-1} (Case 2: Fig. 3 (a)),
306 the slab penetrates into the lower mantle even though the trench retreat occurs at about 4
307 cm yr^{-1} . The slab finally forms a horizontally lying shape at about 1000 km deep because
308 the pressure-dependent viscosity increases viscous resistance. Because the stiff slab acts
309 as a stress guide, the plate motion becomes as slow as 3 cm yr^{-1} . In the case when the
310 material is composed of 100% olivine with a Clapeyron slope of -2 (Case 3: Fig 3(b)) and
311 -3 MPa K^{-1} (Case 4), the slab penetration into the lower mantle is impeded so that a
312 stagnant slab forms because the positive buoyancy of the 660-km phase transition is
313 larger than when the material is composed of pyrolite. In these cases, the buoyancy of the
314 phase transition has a substantial role in producing the stagnant slab, causing the slab to
315 stagnate above the 660-km discontinuity. This type is hereafter called “phase-buoyancy”
316 slab stagnation. This type of stagnation is shown as S(PB) in Table II.

317 When the truncation of the lower mantle slab viscosity is introduced, a stagnant slab is
318 produced even in the case of the pyrolite models with the γ of -2 (Case 5) and -3 MPa K^{-1}
319 (Case 6). The viscosity in the lower mantle slab is the identical to that in the ambient
320 mantle. Snapshots of these cases are shown in Figs. 3 (c) and 3 (d), respectively. In Case
321 5, the slab temporarily stagnates at the 660-km phase transition and finally falls into the
322 lower mantle. The positive buoyancy of the 660-km phase transition bends the subducted
323 slab upward, although it cannot completely impede the slab penetration. Because of its
324 plasticity, the slab memorizes the shape formed by this bending. The horizontally
325 extended slab moves along the curled shape of the slab so that a horizontal slab structure
326 is formed. While the horizontally extended slab cannot descend immediately, the tip of the
327 slab climbs up into the upper mantle. Additionally, the horizontal slab broadens the phase
328 boundary depression and thus increases the positive buoyancy. Because the

329 shape-memory effect of the slab stiffness has an important role in generating the stagnant
330 slab, this type of the stagnation is hereafter called “shape-memory” slab stagnation. It is
331 indicated as S(SM) in Table II.

332 The evolution of Case 6 is shown in Fig. 4. In this case, the buoyancy of the 660-km
333 phase transition is strong enough to temporarily generate slab stagnation above the phase
334 transition, namely, “phase-buoyancy” stagnation occurs (Fig 4 (b)). The slab however
335 continues to deform at the corner between the descending part and the stagnant part of the
336 slab (Fig 4(c)). The slab finally begins to drop into the lower mantle at the corner of the
337 slab (Fig 4(d)). We call this type of avalanche a “cold-plume” avalanche in accordance
338 with Maruyama (1994) because the slab becomes drop-shaped (indicated as A(CP) in
339 Table II). In the stagnation stage, slab bending concentrates in the middle of the slab
340 around the 410-km phase transition (Fig 4 (a)). The yielding does not occur at the tip and
341 the horizontal part of the slab. In the avalanche stage, yielding is generated near the
342 660-km phase transition.

343 In Cases 5 and 6, the viscosity reduction causes the avalanche of the stagnant slab.
344 This is opposite to the result that the slab maintains stagnation above the 660-km phase
345 boundary for Cases 3 and 4. In these cases, the lower mantle slab viscosity is truncated at
346 10^{25} Pa·s, so that the slab preserves its stiffness. The lower viscosity of the slab enhances
347 the slab instability as well as generates the stagnation. The fluid dynamical aspects will be
348 analyzed in the next subsection. In both cases, the subducting plate motion maintains a
349 speed of more than 5 cm yr^{-1} .

350 A snapshot for Case 7 when the viscosity in the transition zone slab is truncated at
351 10^{23} Pa·s and the viscosity in the lower mantle slab is identical to that in the ambient
352 mantle is shown in Fig. 3 (e). In this case, the slab generates the “shape-memory”
353 stagnation accompanied by the avalanche. The evolution of this model is shown in Fig. 5.
354 The subducted lithosphere forms the stagnant slab hanging beneath the 660-km phase
355 transition because the lower viscosity of the slab has a weaker shape memory compared
356 to Case 5. Once the horizontally wide slab is formed, the tip of the slab can descend
357 sluggishly. The slab therefore behaves as a “stagnant” slab. During slab stagnation, the
358 slab migrates backward. The speed of slab retreat is fastest in the stagnant slab formation
359 stage, that is, the large slab deformation.

360 In “shape-memory” slab stagnation, the 660-km phase transition cannot completely
361 block the slab penetration and the slab finally drops into the lower mantle. In Case 5, the

362 slab finally penetrates into the lower mantle with the “cold-plume” avalanche. In Case 7,
363 the slab maintains its shape during the avalanche because the tip of the slab does not cross
364 the 660-km phase boundary (Fig. 4 (c), (d)). We call this type of the slab penetration the
365 “shape-memory” avalanche (indicated as A(SM) in Table II). In this stage, the moving
366 plate velocity reaches 10 cm yr⁻¹. The viscosity increase in the lower mantle due to the
367 pressure dependence does not decelerate the plate motion because the low viscosity
368 prevents the lower mantle slab from behaving as a stress guide. Trench retreat no longer
369 occurs, but trench advance is begun. At the same time, the overriding plate still moves in
370 the opposite direction against the trench migration because the wedge mantle flow induced
371 by the slab descent drags the overriding plate. Snapshots of the whole area of the model
372 are shown in Fig. 6. The effect of the wedge mantle flow induced by the developed slab is
373 strong enough to generate compressional stress even when the trench moves backward
374 during the slab stagnation (Fig. 7). Especially during slab penetration, the back-arc
375 lithosphere becomes strongly compressional (Fig. 6).

376 In Cases 8 and 9, the slab beneath the 410-km phase transition has a viscosity of 10²²
377 Pa·s with a γ_{660} of -3 MPa K⁻¹. In both cases, “phase-buoyancy” stagnation is generated.
378 The final state for Case 8 with the density contrast of 100 % olivine composition is
379 shown in Fig. 3 (f). Slab softening induces the slab instability in the middle of the
380 stagnant slab. A similar style of this instability is found in the results for the cases with a
381 soft slab in Christensen (1996).

382 We examine the effect of grain-size reduction expressed by Eqs. (1), (3), (4) and (5)
383 at the phase transition to compare the cases with viscosity truncation. We assume the
384 threshold temperature at which the grain size is reduced almost in the whole portion of the
385 lower mantle slab (Ito and Sato, 1991; Karato et al, 1995; Kubo et al., 2000). In Case 10
386 ($\gamma_{660} = -2$ MPa K⁻¹), the evolution is similar to the case without viscosity reduction (Case
387 2, Fig 3 (a)). The buoyancy of the phase transition is less effective than that in Case 5
388 because of the higher viscosity of the lower mantle slab. The slab lies horizontally at a
389 1000-km depth because of the pressure-dependent viscosity. Because of the viscosity
390 reduction in the lower mantle, the plate motion is not decelerated as in Case 2. The faster
391 subduction supplies a larger amount of the cold lithosphere into the lower mantle so that
392 the lower mantle slab becomes thicker than that of Case 2. In Case 11 ($\gamma_{660} = -3$ MPa K⁻¹),
393 the slab temporarily stagnates at the 660-km phase transition. The slab finally penetrates
394 into the lower mantle as Case 5 does. The structure of the stagnant slab is between those

395 of Cases 5 and 6. A model without viscosity truncation in the upper mantle and with the
 396 viscosity truncation in the lower mantle provides a good approximation for the model
 397 with grain-size reduction.

398

399 **3.2 Force balance and instability of the stagnant slab**

400 To explain the obtained slab structure, here we consider forces working on the
 401 stagnant slab and the stability of the slab above the 660-km phase transition. For
 402 simplicity, we examine the stability of the stagnant slab as a 1-D Rayleigh-Taylor
 403 instability problem. Because a stationary stagnant slab is considered, we neglect the forces
 404 generated by slab motion such as viscous resistance from the ambient mantle. The forces
 405 acting on the stagnant slab are shown in Fig. 9. The forces consist of the following: (1)
 406 descending slab load, which is a force with which the descending slab pushes down the
 407 stagnant slab (f_s); (2) stagnant slab buoyancy, or the negative buoyancy of the stagnant
 408 slab (f_n); (3) rollback slab hanging, a force with which the trench retreat pulls up the
 409 stagnant slab (f_t); and (4) phase boundary buoyancy, which is the positive buoyancy of
 410 the 660-km phase transition (f_p). Only the vertical components of the forces working on
 411 the stationary stagnant slab are considered in the 1-D model.

412 The force f_s is generated by deformation of the inclined part of a slab because of the
 413 descending motion. The z-component f_{sz} is written as

414

$$415 \quad f_{sz} = 2\delta\eta_s \frac{u_s}{h_u} \sin^2 \theta, \quad (12)$$

416

417 where is η_s the effective viscosity of the slab including the yielding, and u_s is the velocity
 418 of the subducting plate motion. The stagnant slab buoyancy force f_n is written as

419

$$420 \quad f_n = \rho_0 \alpha \Delta T_s g \delta l_s, \quad (13)$$

421

422 where δ is the thickness of the slab, ΔT_s is the mean slab temperature difference from
 423 that of the ambient mantle and l_s is the length of the stagnant slab. The force f_t is generated
 424 by the slab deformation because the trench retreat extends a distance from the trench to the

425 point where the downward moving slab connects to the stagnant slab. The z-component f_{tz}
 426 is written as
 427

$$428 \quad f_{tz} = 2\delta\eta_s \frac{u_t}{h_u} \cos\theta \sin^2\theta \quad (14)$$

429
 430 The forces f_p is expressed as
 431

$$432 \quad f_p = -\frac{\gamma_{660}\Delta\rho_{660}\Delta T_s l_s}{\rho_0} \quad (15)$$

433
 434
 435 Among these forces, f_s and f_n promote slab penetration into the lower mantle. On the
 436 contrary, f_p and f_t prevent slab penetration. Because of the high viscosity of the slab, f_s and
 437 f_t are larger than the others. If the trench retreat does not occur, the slab can easily
 438 penetrate because f_s is much larger than f_p . Only f_t can resist f_s so that the trench retreat is
 439 essential for generating the stagnant slab. Because of this, the stress at the slab tip does
 440 not reach the yield stress in the stagnation stage in our numerical models (Fig 4 (a)).
 441 Trench retreat is necessary to generate the stagnant slab, as several previous studies have
 442 reported (Christensen, 1996; Čížková et al., 2002; Tagawa et al., 2007a; Torii and
 443 Yoshioka, 2007; Fukao et al., 2009).

444 To explain the viscosity effects on the avalanche of the stagnant slab, we consider the
 445 growth time of the Rayleigh-Taylor instability of the stagnant slab. The growth time is
 446 written as the quotient of the effective viscosity and the characteristic stress scale,
 447

$$448 \quad \tau_{RT} \sim \frac{\eta_e}{\sigma_e}, \quad (16)$$

449
 450 where σ_e is the vertical stress of the stagnant slab, which is calculated from the quotient of
 451 the summation of forces and the stagnant slab length, written as

452

$$\sigma_e = \frac{f_n - f_p + f_{sz} - f_{tz}}{l_s} \quad (17)$$

453

454

455 It is appropriate to consider that the effective viscosity η_e is set to be that of the lower
456 mantle for the “shape-memory” avalanche, and to the slab viscosity for the “cold-plume”
457 avalanche. The growth time τ_{RT} is therefore proportional to the viscosity of the stagnant
458 slab for the “cold-plume” avalanche. The “cold-plume” avalanche can also be referred to
459 as the “Rayleigh-Taylor” avalanche. The higher the transition zone slab viscosity is, the
460 longer the growth time is. Because the viscosity has yield stress dependence, it is difficult
461 to calculate the slab viscosity. Additionally, the magnitudes of these forces are expected to
462 be orders of magnitude different because of the viscosity contrast between the slab and
463 the surrounding mantle. It is therefore difficult to precisely estimate σ_e . We can however
464 roughly determine that σ_e is of the same range spanned by the stress caused by the phase
465 boundary buoyancy and that due to the yield stress (~ 100 MPa). When we use $\Delta\rho_{660} / \rho$
466 of 0.06, γ of -3 MPa K^{-1} and ΔT_s of 500 K, we find that σ_e is about 10 MPa. In the case
467 with high viscosity, τ_{RT} becomes more than 10^9 years so that the slab continues to stagnate
468 above the 660-km phase transition. On the other hand, when the slab viscosity is 10^{22}
469 Pa·s with σ_e of 10 MPa, τ_{RT} is estimated to be about 3×10^7 years. The slab can penetrate
470 into the lower mantle with an observationally reasonable geological time scale before the
471 slab is thermally assimilated into the ambient mantle.

472

472 **4. Discussion**

473 We revealed the dynamical mechanism for slab stagnation and avalanche by
474 examining how slab rheology controls the deformation of the subducted lithosphere
475 interacting with the phase transitions. The stagnant slab is generated in two ways: with
476 “phase-buoyancy” stagnation and “shape-memory” stagnation. In the former case, the
477 positive buoyancy of the 660-km phase transition dominates the slab structure. The
478 stagnant slab is formed above the 660-km phase transition. The slab tip is sometimes
479 floating at a few tens to 100 km above the 660-km phase boundary. In the latter case, the
480 plasticity of the slab generates a horizontally elongated shape that causes the slow descent
481 of the slab end. In this case, even the slab stagnating beneath the 660-km phase transition
482 is produced. The shape-memory effect due to the high viscosity of the slab coupled with
483 the phase boundary buoyancy has an important role in producing the stagnant slab at
484 various depths (Fukao et al., 2009). This is one of the important aspects in this study.

485 We have pointed out the dynamics of how slab backward migration generates a
486 stagnant slab based on force balance analysis for the statically stagnant slab. Because of
487 the large viscosity, the slab places the total load of its negative buoyancy on the phase
488 transition. The trench retreat is important in promoting the slab stagnation because of the
489 following two reasons: (1) Trench retreat lessens the dip of the subducted slab so that it
490 reduces the descending slab load (Eq. (12)). (2) Trench retreat induces the hanging force
491 (Eq. (14)) of the stagnant slab to balance with the descending slab load. Phase transition
492 with a very large absolute value of the Clapeyron slope (Christensen, 1984; Davies, 1995;
493 Čížková et al., 2002; Tagawa et al. 2007a), or substantial slab viscosity reduction is
494 required to produce the slab stagnation without the trench retreat,

495 The slab viscosity also controls the slab penetration into the lower mantle, and not
496 only the slab stagnation. This can be explained by the growth time of the gravitational
497 (Rayleigh-Taylor) instability proportional to the slab viscosity. This means that the slab
498 stagnates more easily at the phase boundary, that is, the low viscosity slab finally
499 develops into an avalanche. The most extreme case of this is that in constant or laterally
500 uniform viscosity convection. In this case, the growth time of the instability becomes
501 shorter than in the case with temperature-dependent viscosity. This is the reason why
502 intermittent mixing (Machetel and Weber, 1991; Honda et al, 1993; Tackley et al., 1993)
503 is often observed in constant or laterally uniform viscosity convection. When the slab has
504 a viscosity as large as 10^{25} Pa·s, the growth time becomes much longer than the thermal

505 assimilation time of the thermal diffusion. In this case, the stagnant slab cannot be
506 expected to penetrate into the lower mantle. If the effective slab viscosity is on the order
507 of 10^{22} to 10^{23} Pa·s, the growth time becomes tens of millions of years. This value is
508 consistent with the duration of stagnation estimated from the stagnant slab volume derived
509 by seismic waveform analysis (Tajima and Grand, 1998). The existence of deep focus
510 earthquakes may imply rigid slabs in the mantle transition zone. If this is the case,
511 viscosity reduction due to the 660-km phase transition (Ito and Sato, 1991; Karato et al.,
512 1995; Kubo et al., 2000) is a plausible mechanism that would enhance the avalanche of
513 stagnant slabs, as our models (Cases 5 and 6) showed. Results from seismic waveform
514 studies are consistent with this scenario, in which penetration begins at the hinge of the
515 stagnant slab (Li and Yuan, 2003; Li et al., 2008). Geoid anomalies indicate extinction of
516 lateral viscosity variations in the lower mantle (Zhong and Davies, 1999; Yoshida and
517 Nakakuki, 2008). The other candidate for a slab avalanche would be trench advance
518 induced by overriding plate motion forced by the surrounding plates or intensifying the
519 coupling at the plate boundary (Sobolev and Babeyko, 2005). In our stability analysis, we
520 neglect the effect of viscous resistance from the ambient mantle. The viscosity jump at the
521 660 km phase boundary is expected to significantly increase the viscous resistance.
522 Previous studies pointed out that the viscosity jump promotes the slab stagnation
523 (Christensen, 1996; Torii and Yoshioka, 2007; Fukao et al., 2009).

524 We have also shown the mutual interaction between trench migration and slab
525 evolution in the transition zone. Slab stagnation promotes backward trench migration as
526 previous numerical (Tagawa et al., 2007a) and analog (Kincaid and Olson, 1987)
527 simulations have shown. When the lower mantle slab does not lose its stiffness,
528 deformation of the high viscosity slab is necessary to continue subduction because the
529 pressure-dependent viscosity increases viscous resistance of the lower mantle. In this case,
530 trench retreat continues after slab penetration. In models with a low viscosity slab in the
531 lower mantle, deformation in the high viscosity part of the slab is not substantial during
532 the slab penetration stage. In this case, trench advance occurs during slab penetration. It is
533 therefore reasonable to understand trench migration as a process that supplies energy for
534 slab deformation from the potential energy release due to the vertical descent of the
535 inclined slab. This dynamic feedback mechanism would explain the relationship between
536 slab stagnation/penetration and the trench rollback/advance in the Izu-Bonin-Mariana
537 trench (van der Hilst and Seno, 1993; Miller et al., 2005).

538 We neglected several geodynamical effects to focus on the slab rheology effects on
539 slab dynamics in the transition zone. The most characteristic simplification of our
540 simulations is that we employed an initiating subduction model with 2-D Cartesian
541 geometry including phase transitions to model the slab's interaction with the mantle
542 transition zone. In order to realize trench migration controlled dynamically, the free-slip
543 boundary condition is employed. Because the 3-D density structure of the slab controls
544 plate motion, the slab is partly pushed or pulled from the connected surface plate. From
545 seismic observations, some slabs (Izu-Bonin and Tonga-Kermadec slabs) have down-dip
546 compressional stress in the shallow part, and the others have tensional or neutral stress
547 (Isacks and Molnar, 1971). The slab buoyancy load in a 2-D model with a free-slip
548 surface boundary would be underestimated for the compressional slab. Effects of the
549 ambient mantle flow, which reflects the history of the mantle convection, should not be
550 neglected when we examine the slab dynamics comparing observed tectonic features. For
551 example, Gurnis et al. (2000) pointed out the interaction of the subducting Tonga slab
552 with an ascending plume. Three-dimensional spherical geometry is also expected to
553 control slab dynamics with trench migration (Yamaoka, 1988). Stegman et al. (2006) and
554 Schellart et al. (2007) reported that the length of the trench controls the backward motion
555 of the subducted slab. Pressure from the lateral mantle flow on the slab (Billen, 2008) is
556 also neglected in our analysis of the stagnant slab. This may significantly influence both
557 the dip angle and the trench migration of the slab to affect on the slab stagnation and
558 avalanche.

559 We also reiterate that we assumed or simplified several physical properties that affect
560 slab rheology and buoyancy, such as yield stress (Čížková et al., 2002), viscosity
561 truncation for the lithosphere, function of the viscosity decrease due to grain-size
562 reduction, composition of the lithosphere (Christensen, 1997), and buoyancy due to
563 metastable olivine (Schmeling et al, 1999). A simulation with wider rheological
564 parameters incorporating the compositionally layered lithosphere should be performed
565 next to examine the effects on slab deformation.

566 **5. Conclusion**

567 We performed numerical simulation of slab interactions with the mantle transition zone
568 using dynamical models of the integrated plate-mantle system to understand the
569 mechanics for formation and avalanche of the stagnant slab and dynamical feedbacks to
570 the surface tectonics. The points of our study are summarized as follows:

571 (1) We find two types of slab interaction with the 660-km phase boundary:
572 “phase-buoyancy” stagnation and “shape-memory” stagnation. In the former case, the
573 slab stagnates above the 660-km discontinuity because of the positive buoyancy of the
574 phase transition. In the latter case, the plasticity of the slab forms a horizontal slab at or
575 beneath the phase transition. The shape-memory effect coupled with the phase boundary
576 buoyancy causes diverse structures of the stagnant slab at various depths.

577 (2) The slab viscosity also determines the fate of the subducted plate. The viscosity
578 reduction due to slow grain growth causes the slab penetration. This effect is opposite to
579 that of stagnation.

580 (3) The stability analysis of the stagnant slab explains mechanisms in which trench
581 migration generates slab stagnation and the viscosity reduction at the 660-km phase
582 transition induces the slab penetration.

583 (4) The trench retreat and advance related to slab stagnation and avalanche are reproduced.
584 Trench retreat can be understood as a process of energy supply to the slab stagnation
585 from the gravitational potential energy generated by vertical descent of the subducting
586 slab.

587

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588

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595

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782

782 **Figure captions**

783

784 **Fig. 1**

785 A schematic illustration of the model configuration. The dark areas in the uppermost area
786 show subducting and overriding plates. A segment with history-dependent yield strength
787 (areas 2 and 3) is introduced to generate the plate boundary. The star shows the location
788 of first yielding. The low viscosity zone is incorporated into the top right corner to
789 produce freedom for the overriding plate motion. The numbered areas are as follows. 1:
790 Weak zone due to melting, 2: oceanic crust layer with history-dependent yielding, 3:
791 fractured segment of 2, 4: hydrous area with weak yield stress and a smaller activation
792 volume, 5: continental crust with buoyancy, 6: a low viscosity area to generate the
793 overriding plate motion, 7: upwelling plume, 8: fixed high temperature area for the
794 upwelling plume, 9: fixed temperature layer, and 10: the area where $d\rho/dx$ of 0 is imposed
795 to avoid gravitational instability of the overriding plate.

796

797 **Fig. 2**

798 The viscosity-depth (the solid line) and temperature-depth (the dashed line) profile at $x =$
799 5000 km in the initial condition. The horizontal axes show the viscosity (bottom) and the
800 temperature (top), and the vertical axis shows the depth.

801

802 **Fig. 3**

803 Snapshots near the final state for selected models. Each panel shows the surface velocity
804 (the upper graph) and the close-up temperature field around the subduction zone (the
805 lower color map). The horizontal dashed lines show the center of the phase boundaries
806 ($\Gamma_{410}, \Gamma_{660} = 0.5$). The horizontal axes show the x-coordinates and the vertical axes show
807 the velocity (upper) or the depth (lower). The velocity is taken to be positive to the right.
808 The unit of the velocity is cm yr^{-1} . The color scale in the bottom right shows the
809 temperature in Celsius degrees. (a) *Case 2*: a pyrolite composition and γ of -3 MPa K^{-1} ,
810 (b) *Case 3*: an olivine composition and γ of -2 MPa K^{-1} , (c) *Case 5*: a pyrolite
811 composition, γ of -2 MPa K^{-1} , and viscosity reduction beneath the 660-km phase
812 transition, (d) *Case 6*: the same as Case 5 except a γ of -3 MPa K^{-1} , (d) *Case 7*: the same
813 as Case 5 except a viscosity truncation at $10^{23} \text{ Pa}\cdot\text{s}$ between the 410- and 660-km phase

814 transitions, (f) *Case 9*: an olivine composition, γ of -3 MPa K^{-1} , and viscosity truncation
815 at $10^{22} \text{ Pa}\cdot\text{s}$ beneath the 410-km phase transition, (g) *Case 10*: a pyrolite composition and
816 a γ of -2 MPa K^{-1} , a viscosity decrease in the slab due to grain-size reduction derived by
817 the Eq. (10), and (h) *Case 11*: the same as Case 5 except a γ of -3 MPa K^{-1} .

818

819 **Fig. 4**

820 The evolution of Case 6 at (a) 22.3 Myr, (b) 29.4 Myr, (c) 48.7 Myr, and (d) 60.6 Myr.
821 The top shows the surface velocity (cm yr^{-1}), the middle shows the logarithm of the
822 viscosity in color with temperature in contour lines at 1000, 1200 and 1400°C , and the
823 bottom shows the maximum stress (σ_{II} , MPa) in color and contour lines at each 50 MPa
824 intervals. The meaning of the axes is the same as in Fig. 3. Because of the trench retreat
825 and the buoyancy of the phase transition, the slab stagnates before about 45 Myr. The
826 transition zone slab lies horizontally above the 660-km phase transition
827 (“phase-buoyancy” stagnation). The slab starts to penetrate into the lower mantle at about
828 48 Myr. The arrows show the location where yielding of the slab occurs.

829

830 **Fig. 5**

831 The evolution for Case 7 at (a) 21.0 Myr, (b) 24.5 Myr, (c) 28.7 Myr, and (d) 31.2 Myr.
832 The stagnant slab is formed beneath the 660-km phase boundary at 24.5 Myr. The
833 stagnant slab finally drops into the lower mantle, preserving its shape, at 31.2 Myr. The
834 meanings of the graphs, the contours, and the color scales are the same as those of Fig. 4.

835

836 **Fig. 6**

837 A snapshot of the whole area for Case 7 at 30.8 Myr. The top shows the surface velocity.
838 The middle shows temperature contours at 200°C intervals, and the color scale shows the
839 logarithm of viscosity ($\text{Pa}\cdot\text{s}$). The bottom shows the contour lines of the stream function
840 in $10^{-4} \text{ m}^2 \text{ s}^{-1}$ intervals, and the color scale shows the horizontal stress (MPa).

841

842 **Fig. 7**

843 The stress field around the subduction zone of Case 7 at 24.5 Myr. The color scale shows
844 the stress and the contours show the temperature at 1000, 1200 and 1400°C . The arrow
845 shows the back-arc lithosphere with the compressional stress.

846

847 **Fig. 8**

848 The viscosity field around the subduction zone of Case 11 at 40.8 Myr. The color scale
849 shows the viscosity. The contours show the temperature at 900 and 1400 °C, which are
850 the threshold temperatures of the grain-size reduction for the 410-km and 660-km phase
851 transitions, respectively. The arrow indicates the location where the grain-size reduction
852 occurs.

853

854 **Fig. 9**

855 The forces acting on a stationary stagnant slab. The dark gray area shows the slab with
856 upper mantle phase, the black area shows the slab with lower mantle phase, the light gray
857 area shows the upper mantle, the darker gray below shows the lower mantle, and the
858 white dashed line shows the 660-km phase boundary. The white characters (f_s, f_n) show
859 the forces promoting the penetration and the black ones (f_p, f_i) show those encouraging the
860 stagnation. The symbols for the forces are as follows: f_s : subducting slab load, f_n : negative
861 buoyancy of the stagnant slab, f_p : buoyancy of the 660-km phase transition, and f_i : trench
862 retreat hanging force. The other symbols are d : depression of the phase boundary, h_u :
863 the thickness of the upper mantle, h_l : the thickness of the lower mantle, l_s : the length of the
864 stagnant slab, u_s : velocity of the subducting plate motion, u_i : velocity of the trench
865 migration, δ : the thickness of the slab.

866

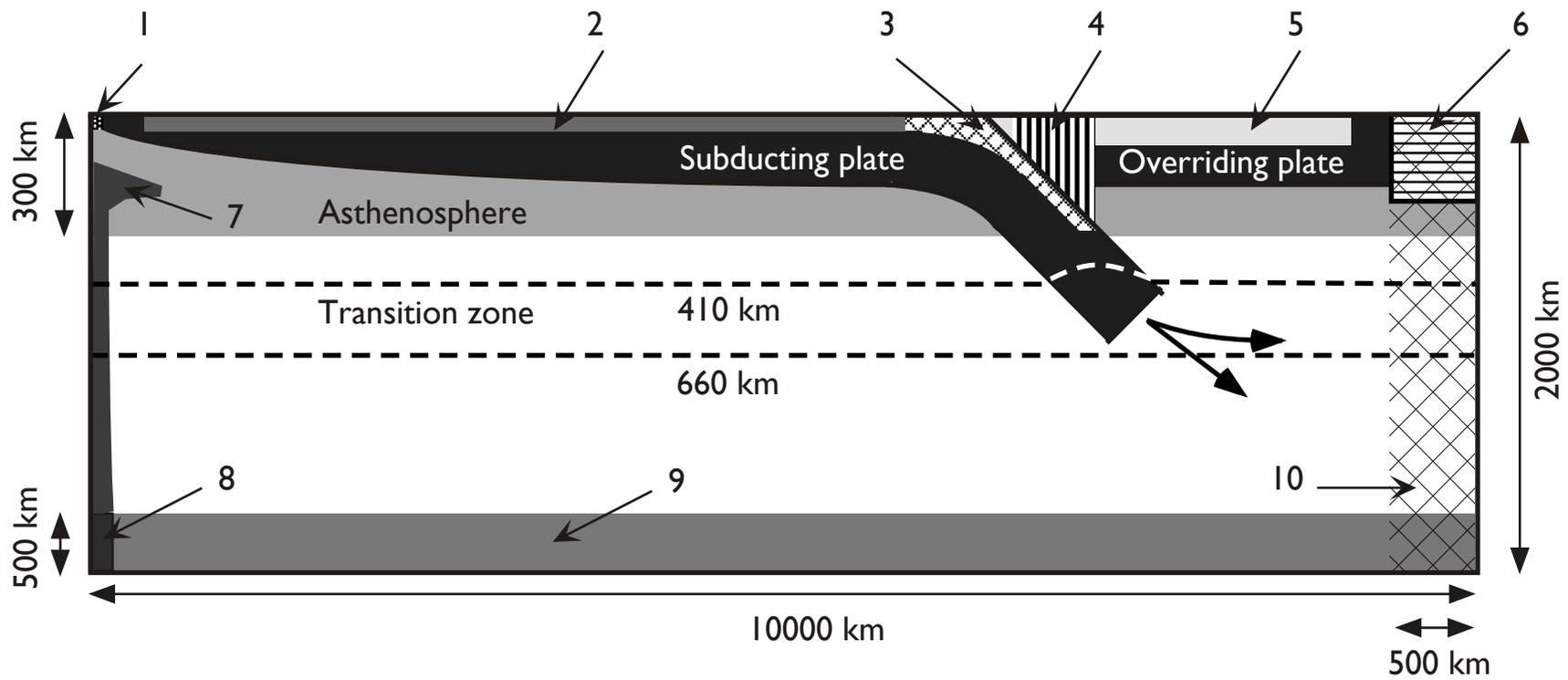
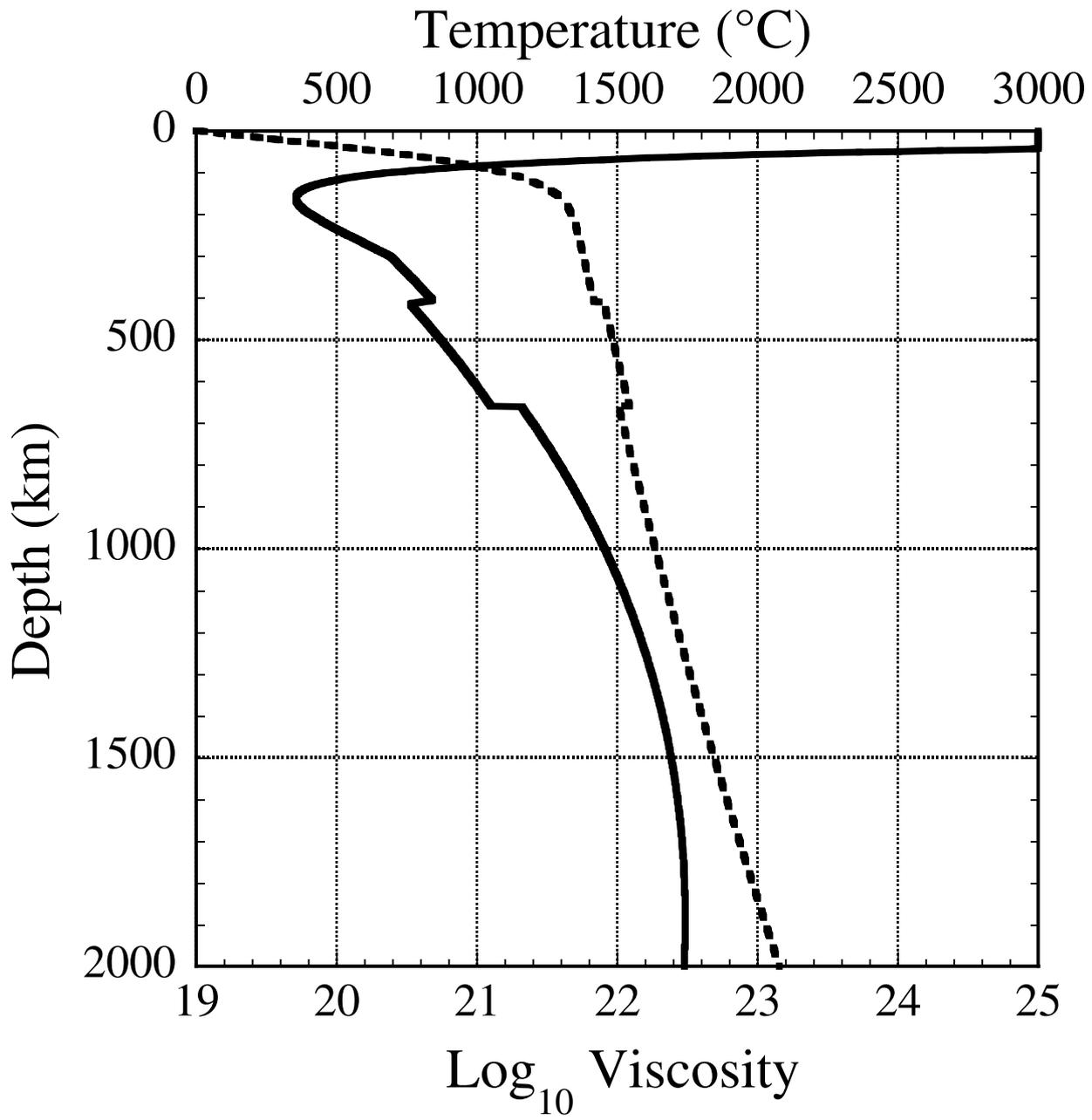


fig. 1

Fig. 2



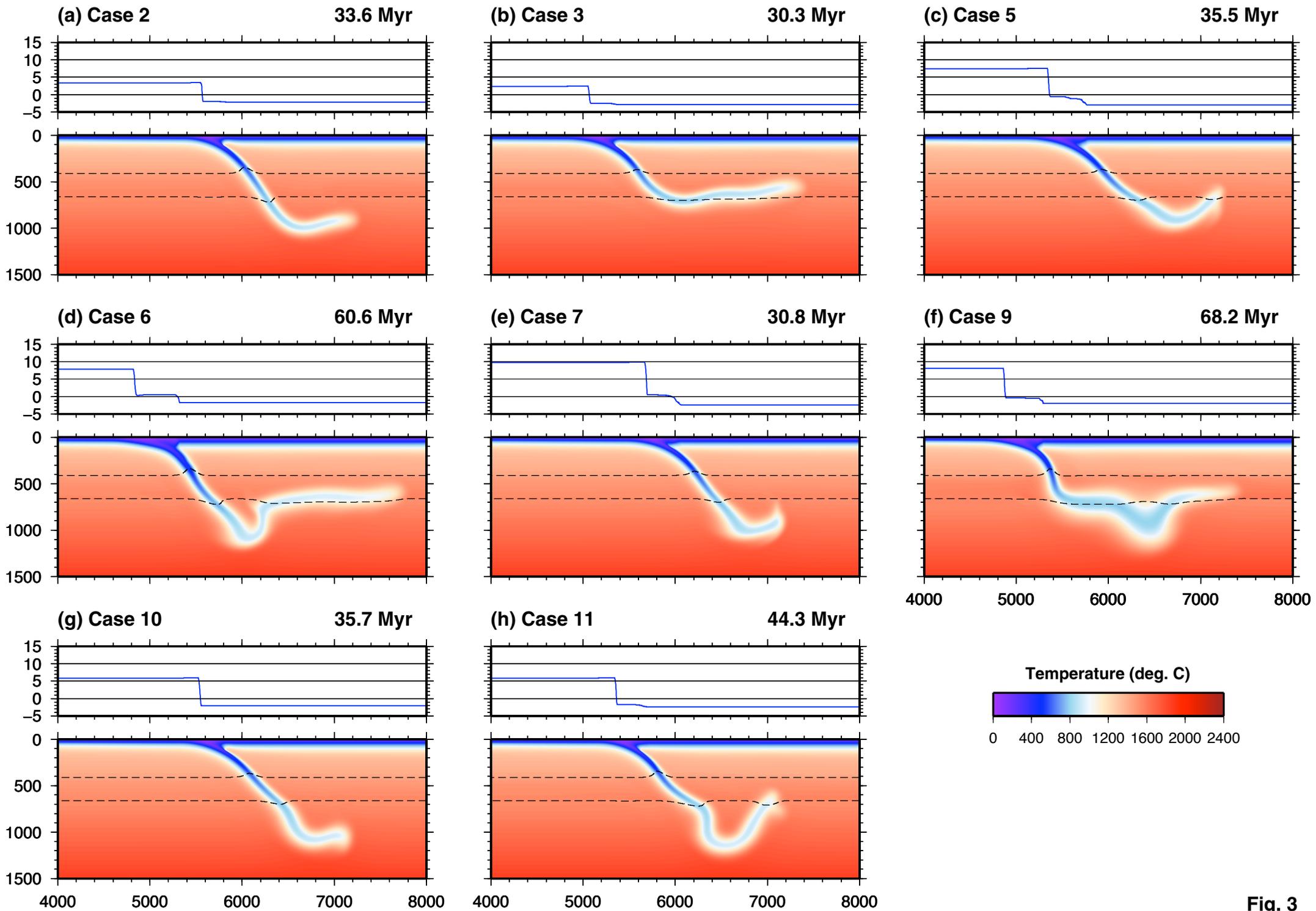
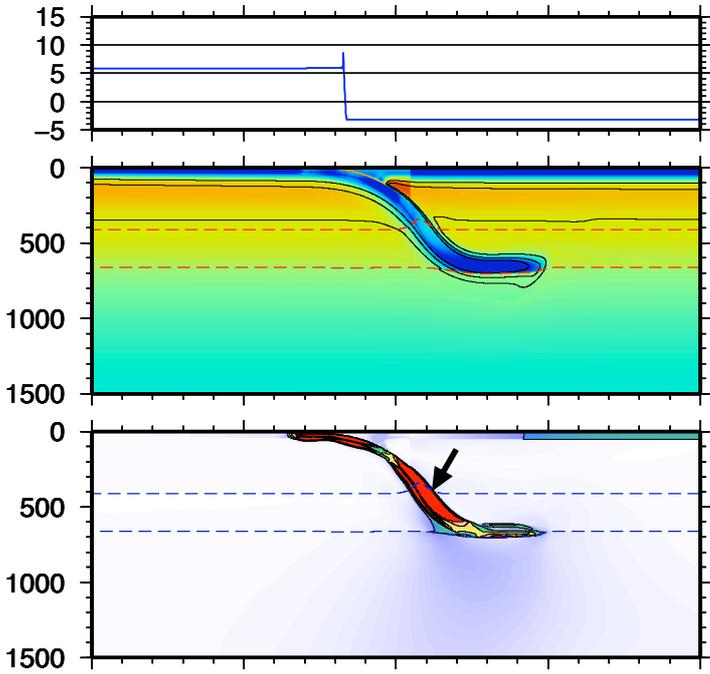


Fig. 3

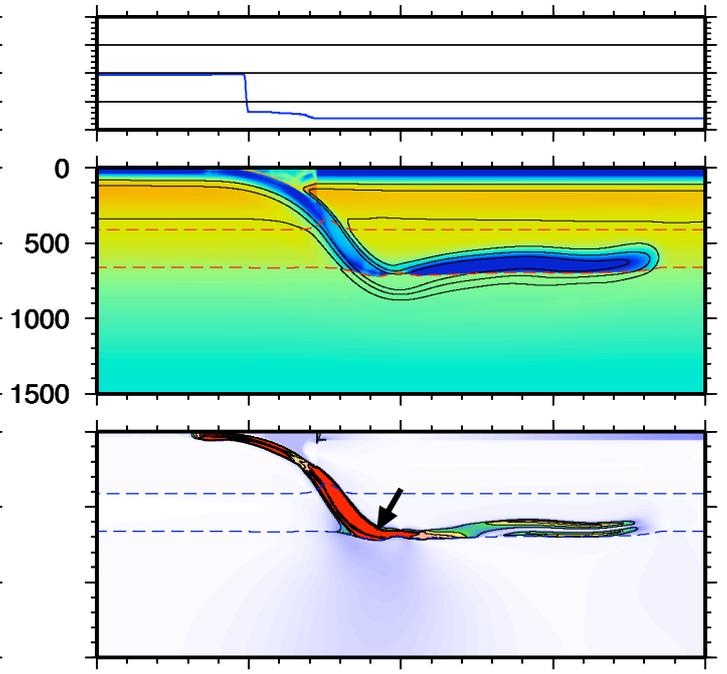
Fig.4

Case 6

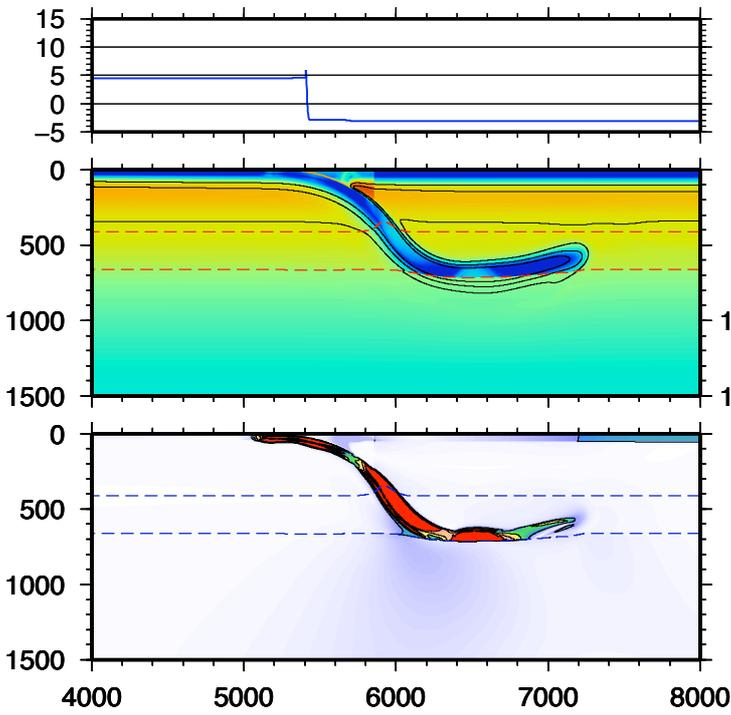
(a) 22.3 Myr



(c) 48.7 Myr



(b) 29.4 Myr



(d) 60.6 Myr

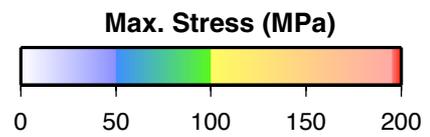
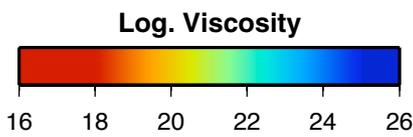
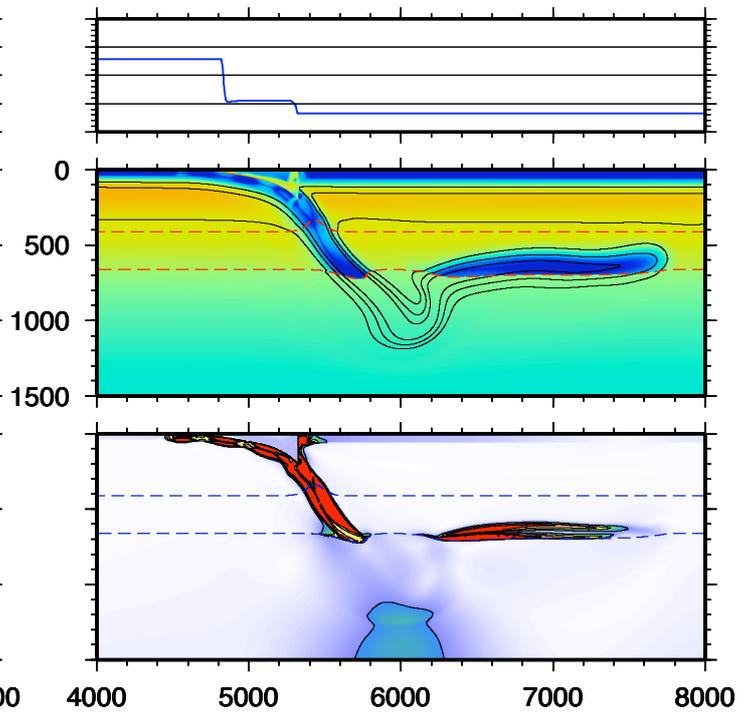
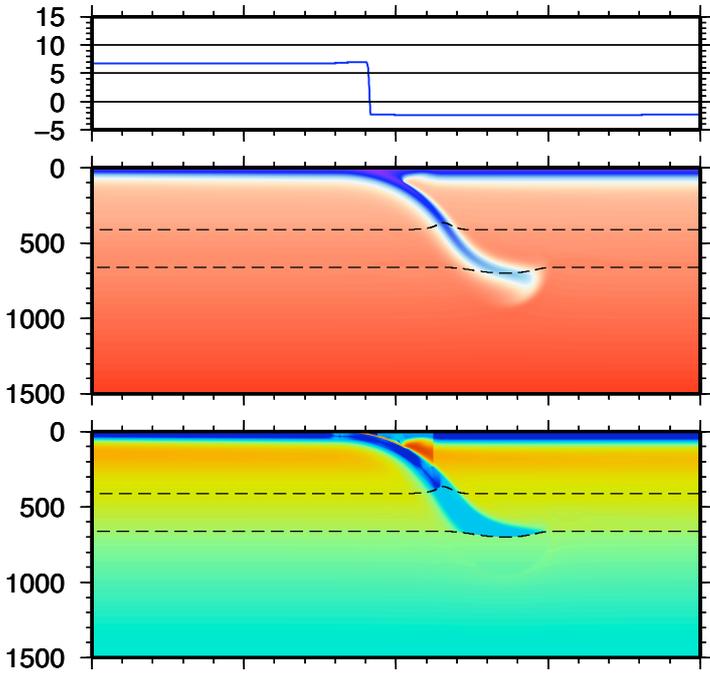


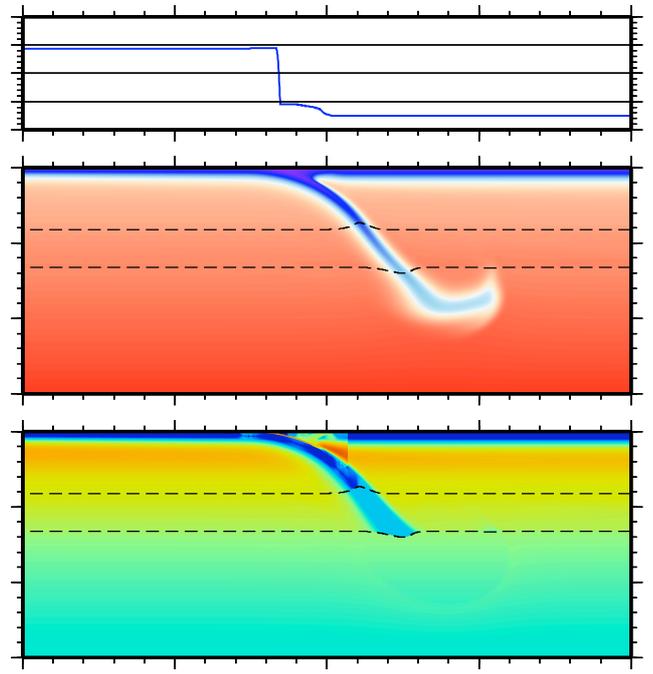
Fig.5

Case 7

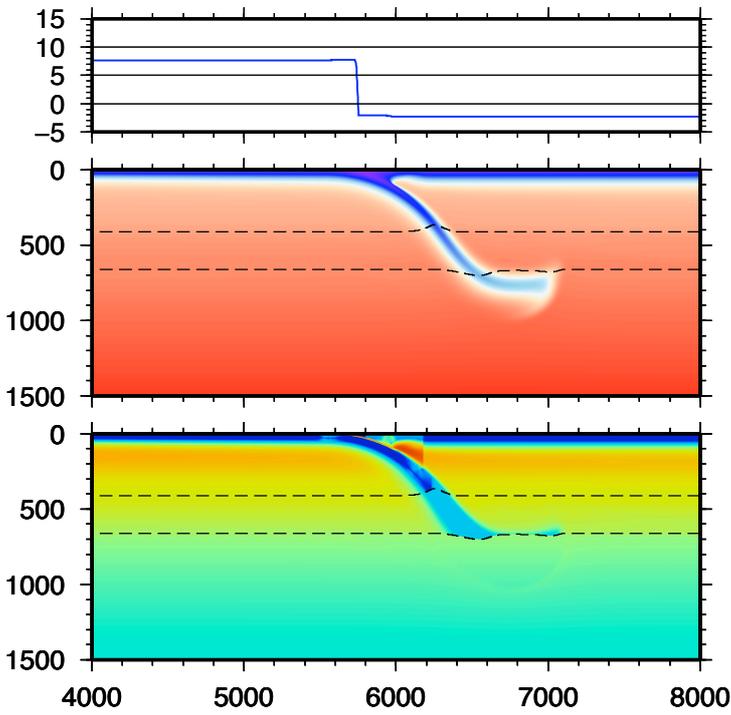
(a) 21.0 Myr



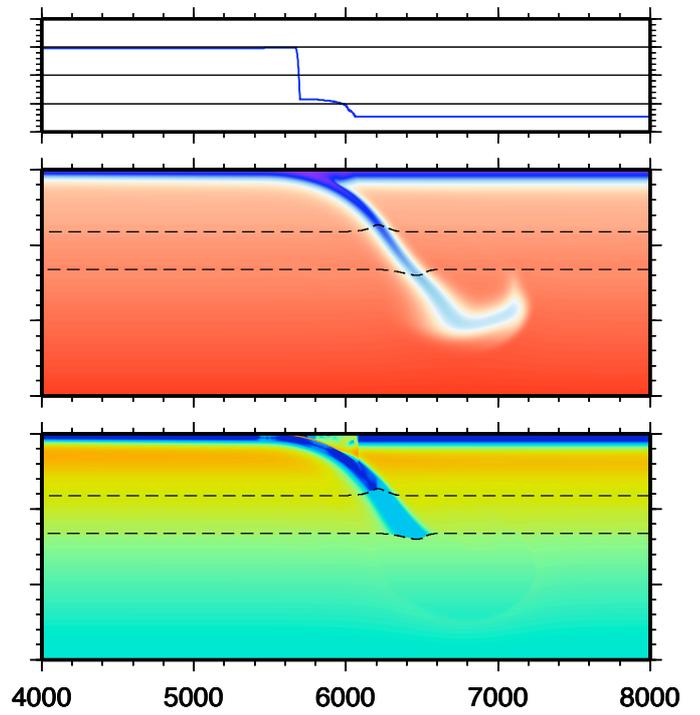
(c) 28.7 Myr



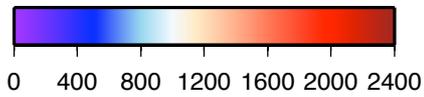
(b) 24.5 Myr



(d) 31.2 Myr



Temperature (deg. C)



Log. Viscosity

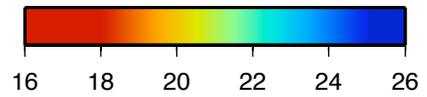
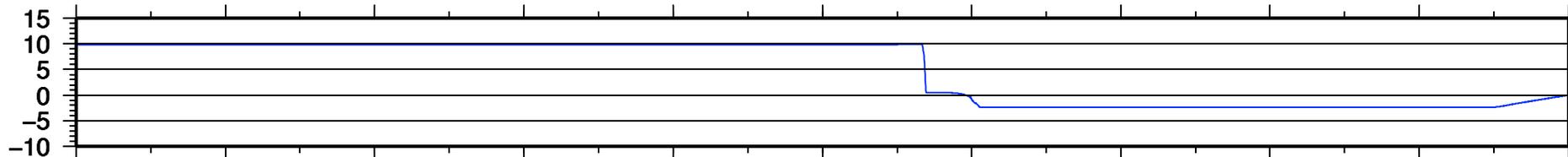


Fig.6

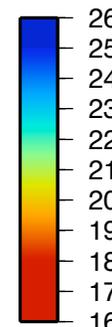
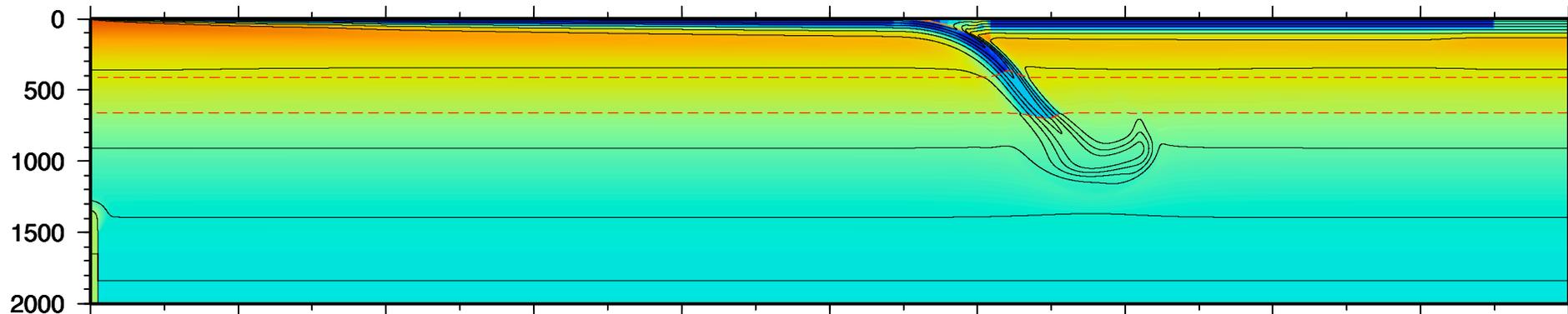
Case 7

Surface Velocity (cm/yr)

30.8 Myr



Log. Viscosity, Temperature (200 deg. C) & Phase boundaries



Horizontal stress (M Pa) & Stream Function ($10^{-4} \text{ m}^2/\text{s}$)

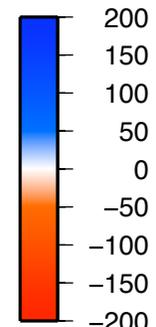
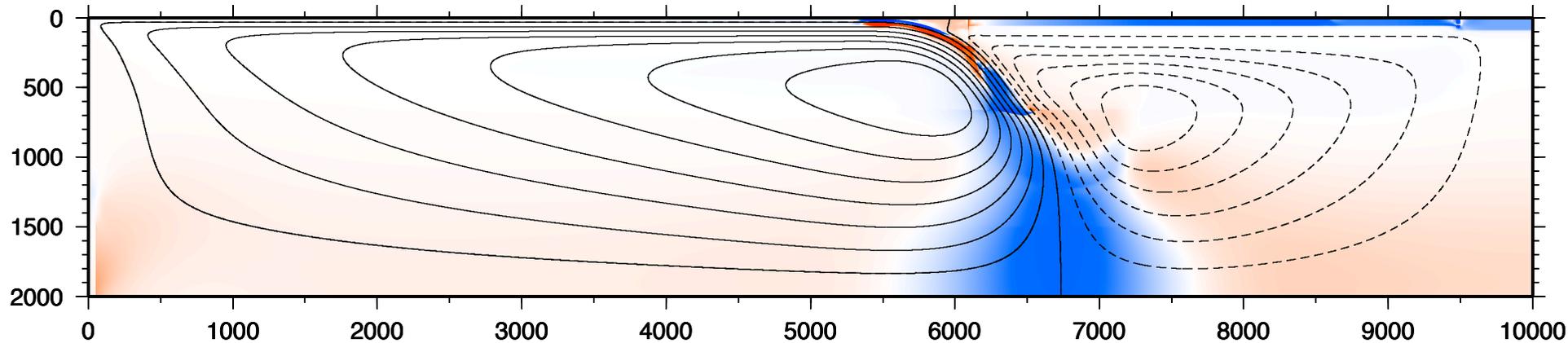


Fig.7

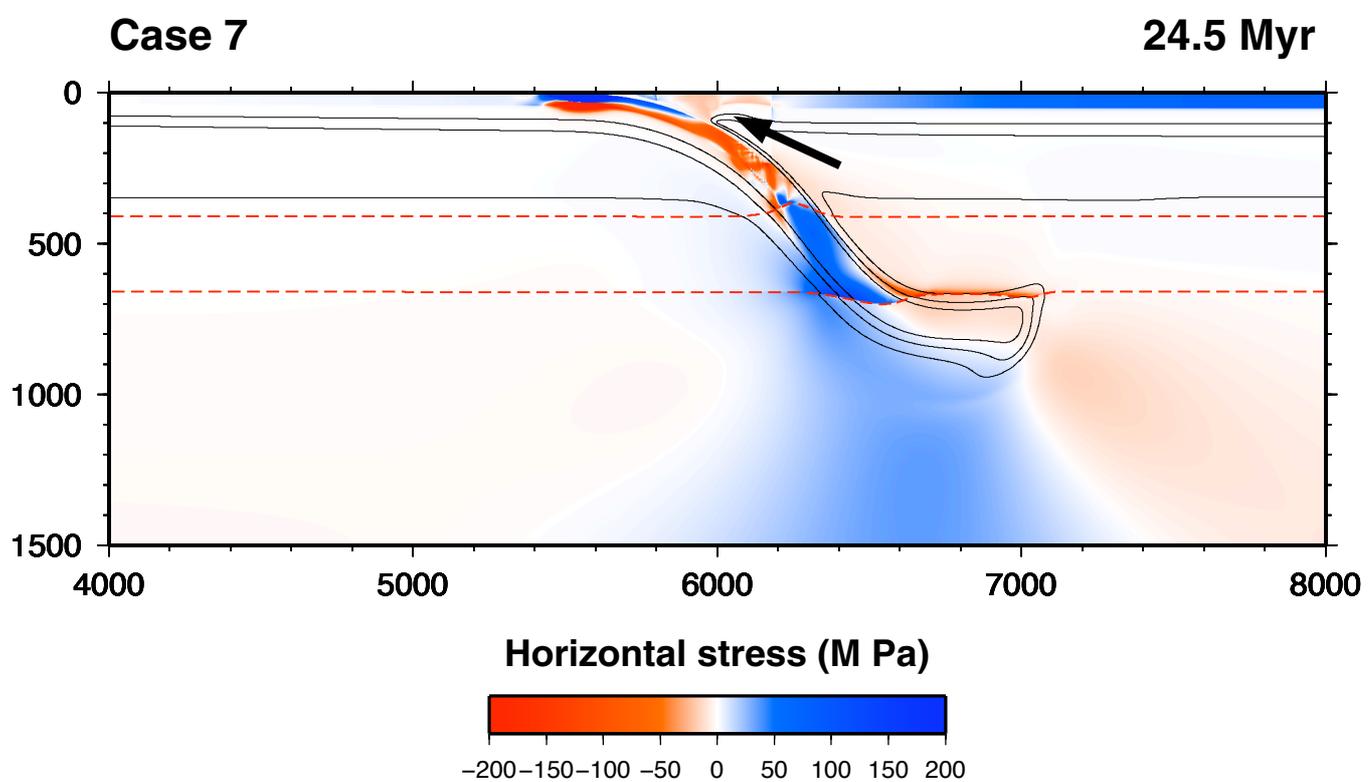
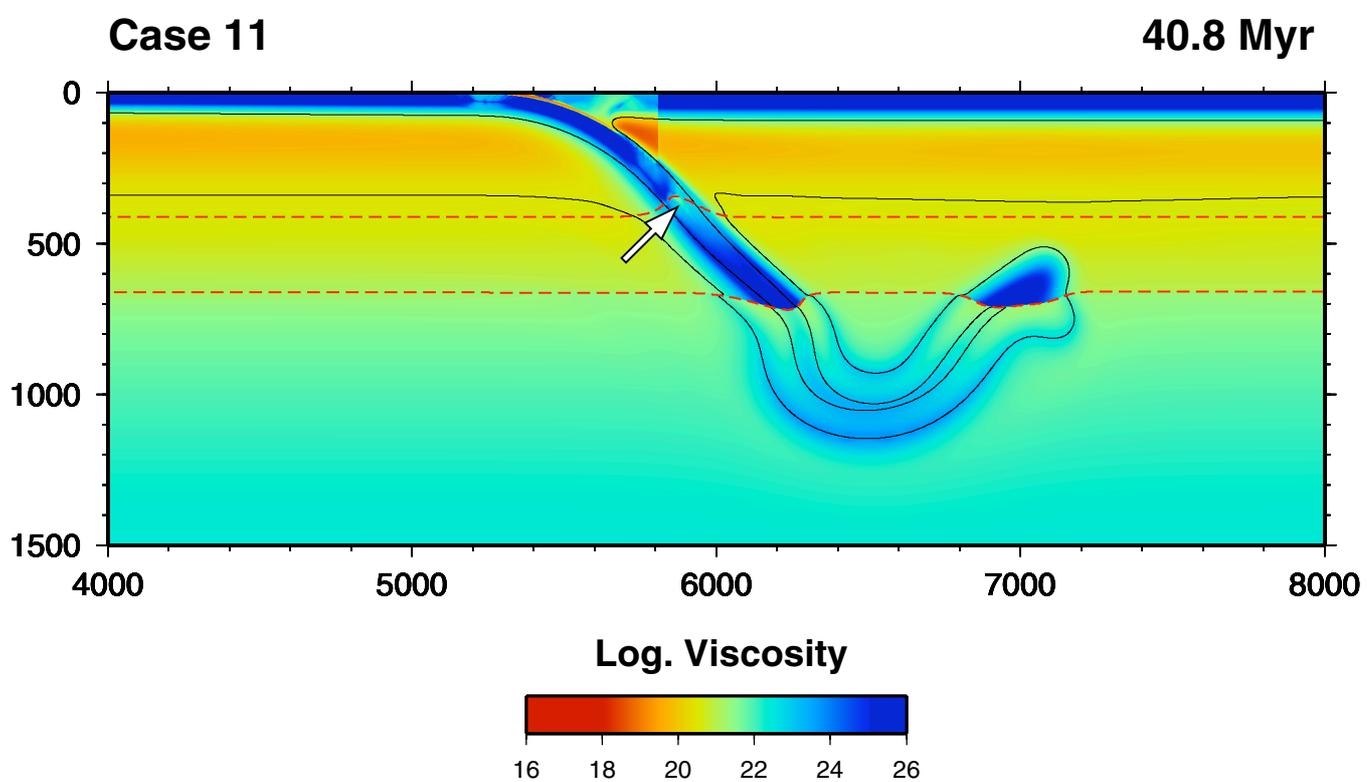


Fig.8



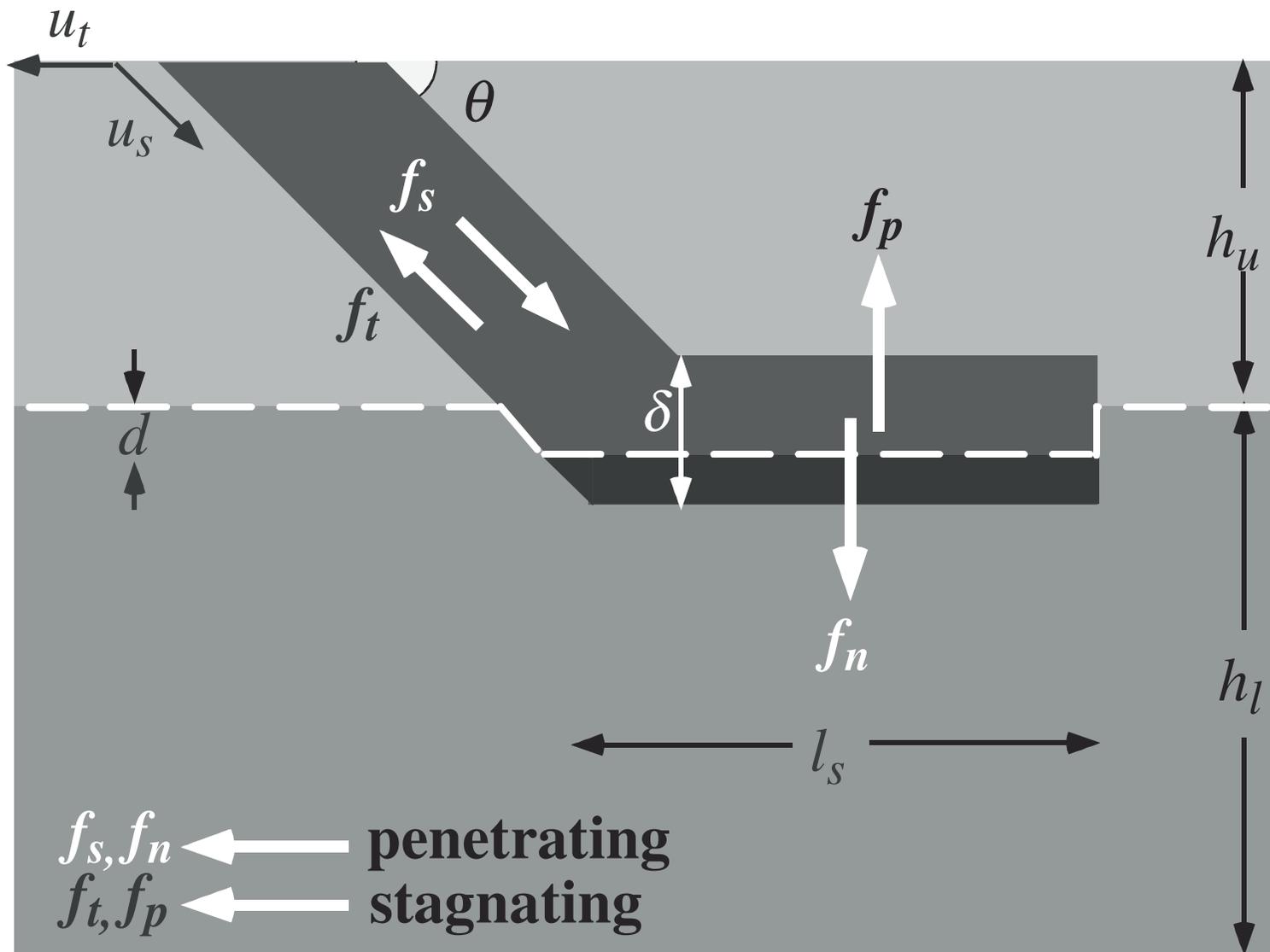


fig. 9

Table I: Physical parameters

Symbol	Explanations	Value
A	preexponential factor	
	in the 0- to 300-km deep layer	2.1484×10^{10} Pa s
	in the 300- to 2000-km deep layer	2.2866×10^{11} Pa s
C_p	specific heat	1.2×10^3 J K ⁻¹ kg ⁻¹
c_F	friction coefficient of fractured material	0.004
c_Y	friction coefficient of intact material	0.3
d_{410}	half thickness of 410-km phase transition	20 km
d_{660}	half thickness of 660-km phase transition	2 km
d_f	minimum relative grain size	10^{-6}
E^*	activation energy	
	in the 0- to 300-km deep layer	430 kJ mol ⁻¹
	in the hydrated area	372.4 kJ mol ⁻¹
	in the 300- to 2000-km deep layer	240 kJ mol ⁻¹
g	gravity acceleration	10 m s ⁻²
h	thickness of the model	2000 km
H	internal heat source	0 W kg ⁻¹
k	thermal conductivity	4.68 W m ⁻¹
l	horizontal length of the model	10000 km
n	stress exponent	
	in the 0- to 300-km deep layer	3
	in the 300- to 2000-km deep layer	1
R	gas constant	8.314 J mol ⁻¹ K ⁻¹
T_0	absolute temperature of 0 °C (surface temperature)	273 K
T_{410}	phase transition temperature at 410-km depth	1418 °C
T_{660}	phase transition temperature at 660-km depth	1545 °C
T_c	threshold temperature of the grain-size reduction	
	for the 410-km phase transition	900 °C
	for the 660-km phase transition	1400 °C
T_f	temperature of the minimum grain size	300 °C
T_M	mantle potential temperature	1280 °C

V^*	activation volume	Eq. (2)
V_0	activation volume at $z = 0$ km	
	in the 0-300 km deep layer	$1.5 \times 10^{-5} \text{ m}^3 \text{ mol}^{-1}$
	in the 300-2000 km deep layer	$0.5 \times 10^{-5} \text{ m}^3 \text{ mol}^{-1}$
V_L	activation volume at $z = 2000$ km	
	in 0-300 km deep layer	$1.5 \times 10^{-5} \text{ m}^3 \text{ mol}^{-1}$
	in 300-2000 km deep layer	$0.4 \times 10^{-5} \text{ m}^3 \text{ mol}^{-1}$
Y_0	cohesive strength	50 MPa
Y_m	maximum yield strength	
	in the hydrated lithosphere	30 MPa
	in the tip of the continental crust	50 MPa
	in the other segments	200 MPa
z_{410}	depth of 410-km phase transition at T_{410}	410 km
z_{660}	depth of 660-km phase transition at T_{660}	660 km
α	thermal expansivity	$2.5 \times 10^{-5} \text{ K}^{-1}$
$\Delta \rho_c$	continental crust-mantle density contrast	600 kg m^{-3}
$\Delta \rho_{410}$	density contrast at the 410-km phase transition	
	for olivine composition model	304.2 kg m^{-3}
	for pyrolite composition model	182.5 kg m^{-3}
$\Delta \rho_{660}$	density contrast at the 660-km phase transition	
	for olivine composition model	323.7 kg m^{-3}
	for pyrolite composition model	194.2 kg m^{-3}
η_{ref}	reference viscosity	$5 \times 10^{20} \text{ Pa s}$
η_L	truncated lithosphere viscosity	
	in the transition zone	Varying (Table II)
	in the lower mantle	Varying (Table II)
	in the other lithosphere	$1 \times 10^{25} \text{ Pa s}$
ρ_0	reference density	3900 kg m^{-3}
ρ_s	density at the surface	3300 kg m^{-3}

Table II: Cases and varying parameters

Case	Density	γ_{410} MPa/K	γ_{660} MPa/K	TZ ^{*1} slab η_L Pa·s	LM ^{*2} slab η_L Pa·s	Slab type ^{*3}
1	pyrolite	+2	-2	10^{25}	10^{25}	P
2	pyrolite	+3	-3	10^{25}	10^{25}	P
3	olivine	+2	-2	10^{25}	10^{25}	S(PB)
4	olivine	+3	-3	10^{25}	10^{25}	S(PB)
5	pyrolite	+2	-2	10^{25}	amb ^{*4}	S(SM)/A(CP)
6	pyrolite	+3	-3	10^{25}	amb	S(PB)/A(CP)
7	pyrolite	+2	-2	10^{23}	amb	S(SM)/A(SM)
8	pyrolite	+3	-3	10^{22}	10^{22}	S(PB)/A(CP)
9	olivine	+3	-3	10^{22}	10^{22}	S(PB)/A(CP)
10	pyrolite	+2	-2	GR ^{*5}	GR	P
11	pyrolite	+3	-3	GR	GR	S(SM)/A(CP)

*1: transition zone, *2: lower mantle

*3: Slab type

P: penetration without stagnation, S(PB): “phase-buoyancy” stagnation S(SM): “shape-memory” stagnation, A(CP): “cold-plume” avalanche, A(SM): “shape-memory” avalanche

*4: ambient mantle viscosity defined by Eqs. (1) and (2), *5: grain-size reduction expressed by Eqs. (3) to (5)