

1 Title

2 **Improvement in the remaining activity of freeze-dried xanthine oxidase with the**  
3 **addition of a disaccharide-polymer mixture**

4

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31 **Abstract**

32 In order to improve the remaining activity of a practically important freeze-dried enzyme,  
33 xanthine oxidase (XOD), the effects of disaccharide (sucrose and trehalose), polymer (bovine  
34 serum albumin: BSA and dextran) and a mixture of them on the loss of XOD activity during  
35 freeze-drying and subsequent storage were investigated. All samples were amorphous solid  
36 and their glass transition temperatures ( $T_g$ ) were evaluated by using differential scanning  
37 calorimetry. Although dextran showed no stabilizing effect on the freeze-dried XOD, the  
38 others protected XOD from the activity loss during freeze-drying to a certain extent. It was  
39 found that the mixture of disaccharide (sucrose or trehalose) and BSA improved the XOD  
40 activity synergistically. The XOD activity of the samples decreased gradually during storage  
41 at a temperature range of between 25 and 60 °C. Samples stored at temperatures below  $T_g$   
42 showed a lower loss of XOD activity than those stored at just the  $T_g$ .

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44 **Key words:** Xanthine oxidase, Freeze-drying, Sucrose, Bovine serum albumin, Glass  
45 transition

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55 **Introduction**

56 Evaluation of fish freshness is a very important quality control measure for raw fish  
57 (*e.g.*, sashimi) and marine products (*e.g.*, surimi). Fish freshness can be evaluated  
58 chemically from the amount of nucleotides and nucleosides produced by  
59 adenosine-5'-triphosphate (ATP) degradative pathway and expressed as a K-value index  
60 (Saito, Arai & Matsuyoshi, 1959; Hanna, 1992; Kaminashi, Nakaniwa, Kunimoto &  
61 Miki, 2000).

62 
$$\text{K - value (\%)} = \frac{[\text{HxR}] + [\text{Hx}]}{[\text{ATP}] + [\text{ADP}] + [\text{AMP}] + [\text{IMP}] + [\text{HxR}] + [\text{Hx}]} \times 100$$

63 where ADP, AMP, IMP, HxR, and Hx are adenosine-5'-diphosphate,  
64 adenosine-5'-monophosphate, inosine-5'-monophosphate, inosine, and hypoxanthine,  
65 respectively. The contents of these nucleotides and nucleosides have been  
66 conventionally measured using high-performance liquid chromatography and column  
67 chromatography (Kaminashi *et al.*, 2000; Valle, Malle & Bouquelet, 1998). However,  
68 these methods require complicated and time-consuming procedures. Alternatively, the  
69 K-value has been practically simplified to K\*-value by excluding ATP and ADP due to  
70 their very low contents, and the K\*-value can be readily measured using freshness  
71 testing paper (FTP). The FTP, which contains several freeze-dried enzymes including  
72 xanthine oxidase (XOD), enables us to evaluate the relative content of nucleotides and  
73 nucleosides in fish muscle. The freeze-dried enzymes, however, are unstable and lose  
74 their activities during freeze-drying and subsequent storage. Therefore, it is necessary to  
75 maintain the activity of the enzymes.

76 Freeze-drying process generates variety of stresses such as low temperature stress,  
77 formation of ice crystals and dehydration stress, which can destabilize proteins by  
78 several degrees (Prestrelski, Pikal & Arakawa, 1995; Wang, 2000; Kawai & Suzuki,

79 2007). To diminish the destabilization of freeze-dried proteins, many types of stabilizers  
80 have been used (Carpenter, Pikal, Chang & Randolph, 1997; Wang 2000; Arakawa,  
81 Prestrelsky, Kenney & Carpenter, 2001). As for the stabilizing mechanisms at work on  
82 freeze-dried proteins during the freezing process, the initial step of freeze-drying, are  
83 referred to as “preferential exclusion” and “freeze-concentrated glass transition”. The  
84 former involves preferential interaction of protein with water rather than stabilizers,  
85 which are preferentially excluded from the protein’s hydration shell; unfolding of the  
86 protein is prevented and its native conformation is stabilized (Arakawa *et al.*, 2001;  
87 Wang, 2000). The latter, “freeze-concentrated glass transition”, involves the frozen  
88 protein being embedded in a high-viscous amorphous (*i.e.*, glassy) matrix formed by a  
89 freeze-concentrated stabilizer and, consequently, decreasing the rate of protein  
90 degradation due to the restrictive molecular mobility (Franks, 1993; Suzuki, Imamura,  
91 Yamamoto, Satoh & Okazaki, 1997; Wang, 2000; Anchordoquy, Izutsu, Randolph &  
92 Carpenter, 2001; Imamura, Iwai, Ogawa, Sakiyama & Nakanishi, 2001). In the  
93 dehydration step of freeze-drying and subsequent storage, the stabilizing mechanisms of  
94 stabilizers on the dried protein are described by “water substitution” and “glass  
95 transition”. The water substitution hypothesis involves the native-like structure of  
96 protein being maintained by the formation of hydrogen bonds between dried protein and  
97 stabilizers in place of the removal of water molecules (Schebor, Burin, Buera, Aguilera  
98 & Chirife, 1997; Suzuki *et al.*, 1997; Kreilgaard, Frokjaer, Flink, Randolph & Carpenter,  
99 1998; Wang, 2000; Arakawa *et al.*, 2001; Imamura *et al.*, 2001). The interrelated  
100 stabilization mechanism, “glass transition”, is intrinsically similar to the  
101 “freeze-concentrated glass transition” mechanism, involving embedding of the protein  
102 molecules in a glassy matrix. Therefore, the physical and chemical degradations of

103 protein will be prevented due to the slowing down of the conformational change. The  
104 glass transition temperature ( $T_g$ ) of stabilizers is one of the most significant parameters,  
105 because the glassy matrix changes to a liquid-like rubber state at temperatures above the  
106  $T_g$ .

107 Disaccharide and/or polymer are known to be effective stabilizers (Prestrelski,  
108 Arakawa & Carpenter, 1993; Chang, Beauvais, Dong & Carpenter, 1996; Kreilgaard, *et*  
109 *al.*, 1998; Sampedro, Guerra, Pardo & Uribe, 1998; Allison, Chang, Randolph &  
110 Carpenter, 1999; Wang, 2000; Anchordoquy *et al.*, 2001). For example, the recovery of  
111 glucose-6-phosphate dehydrogenase activity increased from 40 to approximately 90%  
112 by adding 5.5% sugar mixture (glucose : sucrose = 1 : 10, w/w) as reported by Sun &  
113 Davidson (1998). Dextran (Mw: 40 kDa) at 10% level significantly protected  
114 freeze-dried elastase, and the activity remained near 82% during storage for 2 weeks at  
115 40 °C with a relative humidity of 79% (Chang, Randall & Lee, 1993). Some types of  
116 enzymes lose their activity during freeze-drying and subsequent storage even in the  
117 presence of disaccharide or polymer. One of the possible reasons for this is that  
118 disaccharide and polymer have different strengths and weaknesses in the stabilization of  
119 freeze-dried enzymes, and that disaccharide is inferior in preferential exclusion and  
120 glass transition but superior in water substitution than polymer (Prestrelski *et al.*, 1995;  
121 Allison, Manning, Randolph, Middleton, Davis & Carpenter, 2000). Therefore, a  
122 mixture of disaccharides and polymers is sometimes useful for the improvement in the  
123 stability of freeze-dried enzymes (Carpenter, Prestrelski & Arakawa, 1993).

124 Up until now there have been few studies on the stabilization of freeze-dried enzymes  
125 used for FTP. Therefore, this study employed XOD as a typical enzyme used for FTP,  
126 and aimed to elucidate the effects of disaccharide, polymer and their mixtures on the

127 stability of XOD during freeze-drying and storage in the dried amorphous solid.

128

## 129 **Materials and methods**

### 130 *2.1. Preparation of freeze-dried XOD samples*

131 Reagent grade trehalose (anhydrous) was provided by Hayashibara Co. Ltd., Japan.

132 Bovine serum albumin (BSA) fraction V and dextran (MW: 10.4 kDa) were obtained

133 from Sigma-Aldrich Co., USA. Analytical grade sucrose, xanthine (sodium salt), and

134 XOD from buttermilk and other reagents were purchased from Wako Pure Chem. Ind.,

135 Ltd., Japan.

136 XOD was dialyzed against 20 mM potassium phosphate buffer (pH 7.6) at 4 °C for 48

137 h in order to remove stabilizing agents. The XOD activity of the dialyzed solution was

138 evaluated as an initial activity, details of which are given later. The following samples

139 were prepared: 200 mM sucrose, 200 mM trehalose, 1% and 5% BSA, 1% and 5%

140 dextran, 200 mM sucrose + 1% BSA, 200 mM sucrose + 5% BSA, 200 mM trehalose +

141 1% BSA, 200 mM trehalose + 5% BSA, 200 mM sucrose + 1% dextran, 200 mM

142 sucrose + 5% dextran, 200 mM trehalose + 1% dextran, 200 mM trehalose + 5%

143 dextran. As the control, a non-additive sample was also prepared. Aliquots of 1 ml of

144 each solution were placed into 2 ml-polypropylene tubes and frozen instantaneously

145 with liquid nitrogen for at least one min. The frozen solids were transferred to a

146 precooled freeze-drier. Freeze-drying was performed with a gradual increase of the

147 temperature by 5 °C from -40 to 5 °C followed by the gradual increase of 10 °C from 5

148 to 25 °C. At each step, the temperature was held for 3 h. The chamber pressure was

149 maintained at  $3.0 \times 10^{-2}$  Torr throughout the drying process. After freeze-drying, the

150 residual water in all samples was further removed over  $P_2O_5$  in a vacuum desiccator for

151 7 days at room temperature. The XOD activity of a part of the freeze-dried samples was  
152 assayed in order to evaluate the activity loss during freeze-drying. In addition, the  
153 moisture content and thermal properties were investigated, details of which are given  
154 later. The other samples were hermetically sealed in a dry nitrogen-purged glove box  
155 and stored at 25 °C for a period of up to 110 days and at 40, 50, and 60 °C for up to 53  
156 days, and then the XOD activity was assayed in order to determine the activity loss  
157 during storage.

158

## 159 *2.2. Moisture content analysis*

160 A Metrohm Karl Fisher coulometer (737 KF, Herisau, Switzerland) was used to  
161 measure the moisture content of the freeze-dried samples. The samples were prepared in  
162 a dry nitrogen-purged glove box.

163

## 164 *2.3. Differential scanning calorimetry*

165 The thermal properties of the freeze-dried samples were examined by a differential  
166 scanning calorimetry (DSC-50: Shimadzu, Co., Japan). Indium and distilled water were  
167 used to calibrate the temperature and heat capacity for the DSC measurements. Alumina  
168 powder was used as a reference material. The sample (approximately 15 mg) was  
169 weighed on an aluminum DSC pan in a dry nitrogen-purged glove box and sealed  
170 hermetically. All measurements were performed from 0 to 180 °C at a scan rate 5 °C/min.  
171 The values of the glass transition temperature ( $T_g$ ) and crystallization temperature ( $T_c$ )  
172 were determined from the onset temperatures of endothermic shift and exothermic peak,  
173 respectively.

174

175 *2.4. Assay of XOD activity*

176 XOD activity was assayed by the enzymatic conversion of substrate xanthine to uric  
177 acid. The freeze-dried samples were rehydrated with distilled water to render a previous  
178 concentration, and the solution (10  $\mu$ l) was added into 300  $\mu$ l of 0.12 mM xanthine  
179 (sodium salt) in a 20 mM sodium phosphate buffer (pH 7.6). The time course for  
180 absorbance of 292 nm of the mixture was measured at 25 °C by using a UV-VIS  
181 spectrophotometer (V-630BIO: Jasco, Tokyo, Japan), and XOD activity was evaluated  
182 from the initial reaction rate. The remaining XOD activity was expressed as a  
183 percentage of the activity prior to freeze-drying.

184

185 **Results and discussion**

186 *3.1. Thermal properties of freeze-dried samples*

187 Table 1 shows the abbreviation of each sample and the results of glass transition ( $T_g$ ),  
188 crystallization temperatures ( $T_c$ ), and moisture content of the freeze-dried samples. The  
189 moisture contents of most of the samples were less than 1%. The  $T_g$  values of SUC and  
190 TRE were lower than those of anhydrous ones;  $T_g$  values of the anhydrous sucrose and  
191 trehalose are reported to be 68 °C and 113 °C, respectively (Kawai, Hagiwara, Takai &  
192 Suzuki, 2005). This is because the moisture plays the role of plasticizer (Roos, 1995;  
193 Imamura, Fukushima, Sakura, Sugita, Sakiyama & Nakanishi, 2002). Although SUC  
194 showed crystallization at a temperature above  $T_g$ , TRE maintained an amorphous state  
195 in the range of the measured temperature. This indicates that trehalose is more resistant  
196 to crystallization than sucrose. On the other hand, 1% BSA and 1% DE showed  
197 non-apparent glass transition. This is because polymer exhibits too small change in heat  
198 capacity due to glass transition in the wide temperature range. One percent DE showed



199 an exothermic peak at 145 °C. This peak is attributed to the crystallization of the buffer,  
200 because dextran, an amorphous polymer, does not crystallize easily. Glass transitions of  
201 5% BSA and 5% DE could be detected, and their  $T_g$  was much higher than those of  
202 SUC and TRE because of greater molecular interaction induced by its entanglement.  
203 The  $T_g$  values of disaccharide-polymer formulations were considerably higher than  
204 those of the individual disaccharide formulations, especially when the ratio of polymer  
205 to disaccharide is increased. In addition, SUC+1% BSA showed higher  $T_c$  than SUC,  
206 and SUC+5% BSA, SUC+1% DE, and SUC+5% DE showed no crystallization. This  
207 means that the physical stability of amorphous sucrose is improved by the addition of  
208 polymer as reported in a previous study (Imamura, Suzuki, Kirii, Tatsumichi & Okazaki,  
209 1998).

210

### 211 *3.2. XOD activity of freeze-dried formulations after the preparation*

212 The remaining activity of XOD in various formulations was observed immediately  
213 after the preparation as shown in Fig. 1. A non-additive sample and DE showed drastic  
214 decreases in XOD activity to approximately 20 to 26%. Using disaccharides and BSA  
215 individually, on the other hand, maintained XOD activity of 40 to 66%.  
216 Disaccharide-BSA mixtures improved the remaining XOD activity synergistically;  
217 SUC+BSA and TRE+BSA maintained XOD activity of approximately 90% and 83%,  
218 respectively. In contrast, disaccharide-DE mixtures did not have synergistic stabilizing  
219 effects, and maintained XOD activity of 35 to 50%. The polymer concentration had  
220 minimal effect on the remaining XOD activity.

221 As mentioned above, disaccharide and polymer have different strengths and  
222 weaknesses in the stabilization of freeze-dried enzymes. It is expected that disaccharide

223 and polymer play a role as excellent stabilizers during drying and freezing, respectively.  
224 This interpretation is strongly supported by previous studies (Nema & Avis, 1992;  
225 Imamura, Ogawa, Sakiyama & Nakanishi, 2003). Since disaccharide and polymer play  
226 different roles as stabilizers, the disaccharide-polymer mixture can compensate for each  
227 weakness. For example, polyethylene glycol (PEG) could prevent the activity losses of  
228 phosphofructokinase and lactate dehydrogenase during freezing, but not during  
229 freeze-drying. Although disaccharide (trehalose and lactose) could not also prevent their  
230 activity losses during freeze-drying, disaccharide-PEG mixtures could maintain high  
231 activities. From these results, it is suggested that PEG and disaccharide protect the  
232 enzymes during freezing and drying, respectively (Carpenter *et al.*, 1993). This also  
233 reasonably explains why the results obtained in this study show that disaccharide-BSA  
234 mixtures greatly prevented the activity loss of XOD.

235 Although it is demonstrated that dextran is a good stabilizer for some frozen and  
236 freeze-dried enzymes (Nema *et al.*, 1992; Chang *et al.*, 1993; Anchordoquy *et al.*, 2001),  
237 there was no stabilizing effect on the freeze-dried XOD. In addition, a synergistic effect  
238 induced by the disaccharide-polymer mixture was not observed in the case of  
239 disaccharide-DE. From these results, it is suggested that dextran is an unsuitable  
240 polymer as stabilizer for freeze-dried XOD. Moreover, it is known that freezing  
241 polymer solutions may cause phase separation due to polymers' altered solubilities at  
242 low temperatures (Wang, 2000). It seems that dextran phase-separates from proteins,  
243 which consequently reduces its efficiency to protect proteins (Heller, Carpenter, &  
244 Randolph, 1996; Allison *et al.*, 1999; Allison *et al.*, 2000).

245 In comparison, between sucrose and trehalose, it was found that sucrose protected  
246 XOD from activity loss better than trehalose. This result was supported by a previous

247 study published by Allison *et al.* (1999). The sucrose formed hydrogen bonds with  
248 lysozyme to a larger extent than did trehalose as determined by infrared spectra. It may  
249 be stated that structural differences between sucrose and trehalose may influence the  
250 extent and intimacy of hydrogen bond formation to the XOD, consequently causing the  
251 difference in stabilizing the dried enzyme.

252

### 253 3.3. XOD activity of freeze-dried formulations during long-term storage

254 Long-term storage stability of XOD in SUC, TRE, SUC+1% BSA, TRE+1% BSA, and  
255 non-additive samples at various temperatures were further investigated. Fig. 2 shows the  
256 duration of the remaining XOD activity of the samples stored at 25, 40, 50, and 60 °C.  
257 Although non-additive samples lost nearly all of their remaining XOD activity during  
258 storage, samples containing stabilizers maintained XOD activity to a certain extent. The  
259 XOD activity decreased gradually with an increase in storage time. The rate of decrease  
260 in XOD activity was almost comparable regardless of the type of stabilizer. In addition,  
261 SUC showed a more rapid loss of XOD activity than the others during storage at 60 °C.  
262 The  $T_g$  of SUC was the lowest among the samples, and the storage temperature was due  
263 to its  $T_g$  (60.8 °C). Since SUC has greater molecular mobility than the other samples, the  
264 loss of XOD activity may have been accelerated.

265 The activity of XOD decreased gradually even at storage temperatures below  $T_g$ .  
266 Similar results were also reported in previous studies (Kawai *et al.*, 2007; Allison *et al.*,  
267 2000). These results may be explained by the degradation of enzymes during storage  
268 being impacted by the damage gained previously upon the freeze-drying process as  
269 argued by Chang *et al.* (1996). This study tried to improve the remaining activity of  
270 freeze-dried XOD with the addition of stabilizers, and thus the effects of the operational

271 condition of freeze-drying on the enzyme stability were not investigated. There may be  
272 more optimal conditions for freeze-drying. In addition, the molecular mobility of  
273 freeze-dried solids may also affect the gradual decrease of the XOD activity. Glassy  
274 materials show molecular dynamics in the time scale of hour to day at temperatures near  
275  $T_g$  (Hancock, Shamblin, & Zografi, 1995; Duodu, Zhang, & Dal Monte, 1997; Kawai *et*  
276 *al.*, 2005). The change in the molecular structure happens too slowly, but may not be  
277 negligible during long-term storage. Further study is necessary to solve this problem.

278

## 279 **Conclusions**

280 XOD, which is one of the practically important enzymes in food industry, loses almost  
281 all of its activity during freeze-drying. This study demonstrated that sucrose, trehalose  
282 and BSA protected XOD from the activity loss during freeze-drying. Furthermore, it was  
283 found that disaccharide-BSA mixture improved the XOD activity synergistically and that  
284 sucrose-BSA mixture was the most effective among the examined stabilizers. During  
285 subsequent storage, it was confirmed that the samples stored at temperatures below  $T_g$  showed  
286 a lower loss of XOD activity than those stored just at  $T_g$ . The XOD activity, however,  
287 gradually decreased with the increase in storage time even at temperatures below  $T_g$ . In order  
288 to store the freeze-dried XOD at ambient temperature for longer period of time, further  
289 improvement in the stabilization of XOD is required.

290

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294

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## Caption and table

Table 1 Sample abbreviations,  $T_g$ ,  $T_c$  and the moisture content of XOD in various formulations

Formulation	Abbreviation	$T_g$ (°C) <sup>a</sup>	$T_c$ (°C) <sup>a</sup>	% Moisture content <sup>b</sup>
200 mM sucrose	SUC	60.8 ± 3.0	132.4 ± 1.6	0.96 ± 0.13
200 mM sucrose +1% BSA	SUC+1% BSA	65.1 ± 1.2	141.6 ± 0.7	0.85 ± 0.42
200 mM sucrose +5% BSA	SUC+5% BSA	76.0 ± 1.8	nd	0.43 ± 0.12
200 mM sucrose +1% dextran	SUC+1% DE	71.4 ± 1.1	nd	0.61 ± 0.31
200 mM sucrose +5% dextran	SUC+5% DE	91.2 ± 3.6	nd	0.52 ± 0.33
200 mM trehalose	TRE	87.7 ± 3.6	nd	0.66 ± 0.50
200 mM trehalose +1% BSA	TRE+1% BSA	94.7 ± 6.5	nd	0.50 ± 0.09
200 mM trehalose +5% BSA	TRE+5% BSA	97.7 ± 6.5	nd	0.41 ± 0.37
200 mM trehalose +1% dextran	TRE+1% DE	94.9 ± 4.7	nd	0.55 ± 0.39
200 mM trehalose +5% dextran	TRE+5% DE	113.1 ± 8.3	nd	0.46 ± 0.48
1% BSA	1% BSA	nd	nd	0.52 ± 0.31
5% BSA	5% BSA	158.1 ± 4.6	nd	0.45 ± 0.41
1% dextran	1% DE	nd	145.1 ± 3.5	0.63 ± 0.29
5% dextran	5% DE	134.0 ± 0.4	nd	0.59 ± 0.18

<sup>a</sup>The values are mean ± SD (n=2)

<sup>b</sup>The values are mean ± SD (n=3)

nd = not determined

Table 1

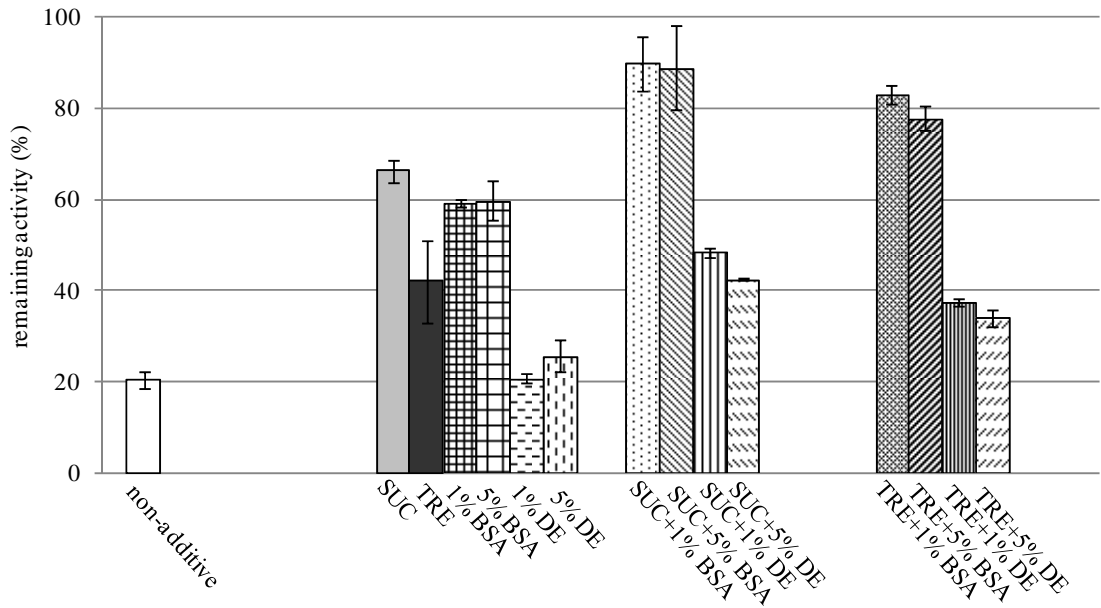
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**Caption and figure 1**

**Fig. 1 Comparison of the remaining activity of XOD (%) in various freeze-dried formulations observed immediately after their preparation. The values are mean**

**± SD**  
**(**



**Fig. 1**

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**Caption and figure 2**

Fig. 2 Remaining activity of XOD with various stabilizers during storage at (a) 25°C, (b) 40°C, (c) 50°C, (d) 60°C. The values are mean  $\pm$  SD (n=3).

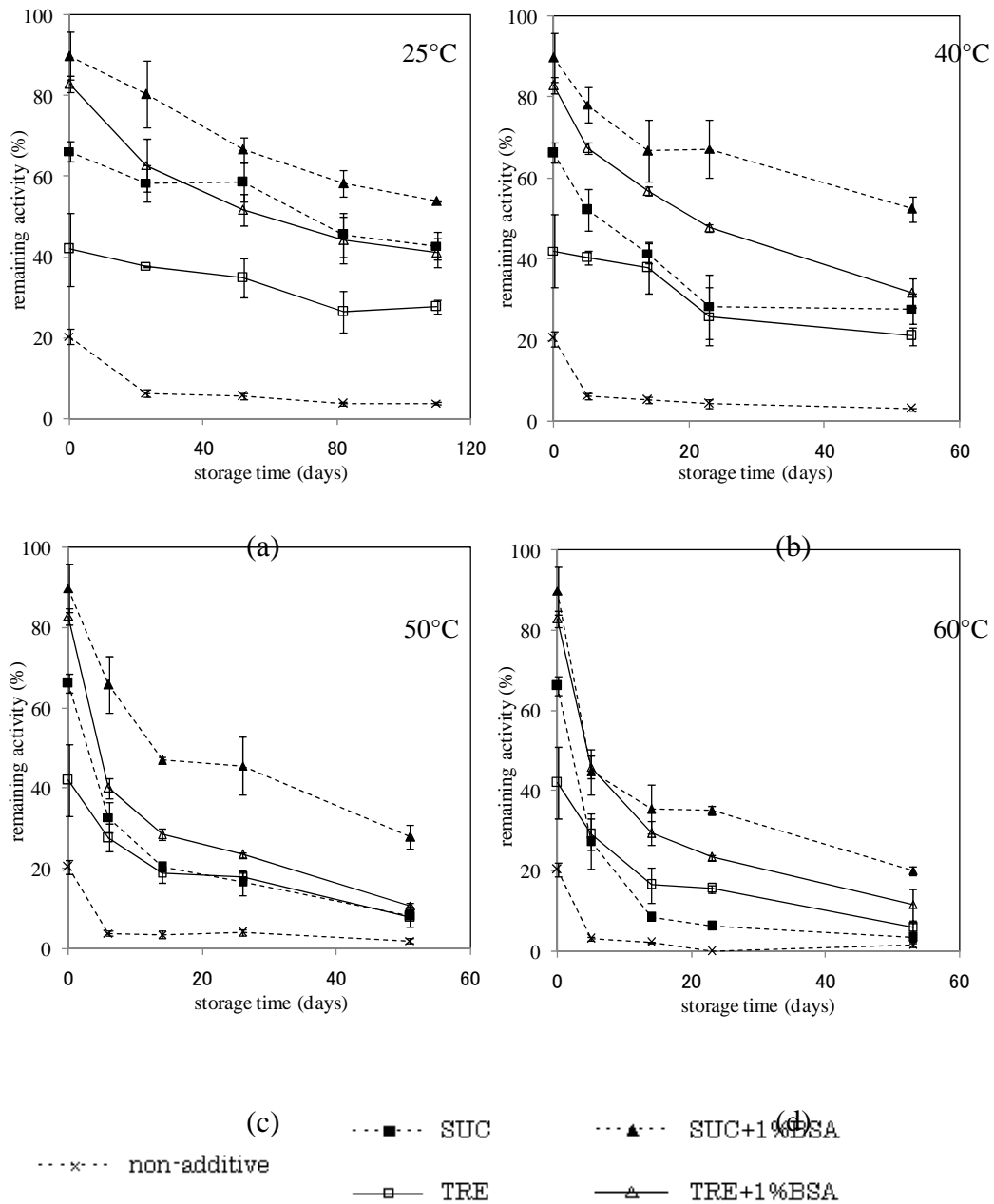


Fig. 2

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