

Effects on the long-wavelength geoid anomaly
of lateral viscosity variations caused by stiff
subducting slabs, weak plate margins and
lower mantle rheology

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1 **Abstract**

2 Instantaneous flow numerical calculations in a three-dimensional spherical shell are
3 employed to investigate the effects of lateral viscosity variations (LVVs) in the
4 lithosphere and mantle on the long-wavelength geoid anomaly. The density anomaly
5 model employed is a combination of seismic tomography and subducting slab models
6 based on seismicity. The global strain-rate model is used to represent weak (low-
7 viscosity) plate margins in the lithosphere. LVVs in the mantle are represented on
8 the basis of the relation between seismic velocity and temperature (i.e., temperature-
9 dependent rheology). When highly viscous slabs in the upper mantle are considered,
10 the observed positive geoid anomaly over subduction zones can be accounted for
11 only when the viscosity contrast between the reference upper mantle and the lower
12 mantle is approximately 10^3 or lower, and weak plate margins are imposed on the
13 lithosphere. LVVs in the lower mantle exert a large influence on the geoid pattern.
14 The calculated geoid anomalies over subduction zones exhibit generally positive
15 patterns with quite high amplitudes compared with observations, even when the
16 low activation enthalpy of perovskite in the lower mantle is employed. Inferred
17 weak slabs in the lower mantle may be explained in terms of recent mineral physics
18 results, highlighting the possibility of grain-size reduction due to the postspinel
19 phase transition.

20 *Key words:* mantle convection, numerical calculation, subducting slab, plate
21 margin, viscosity, geoid anomaly

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22 1 Introduction

23 The geoid anomaly observed on the Earth's surface (Figure 1a) reflects density
24 anomalies and rheological structure in the present-day mantle. The longest-
25 wavelength geoid with spherical harmonic degrees of 2 and 3 reveals that
26 positive geoid amplitude peaks exist on the Africa-Atlantic regions, beneath
27 which there are no known subducting plates, and the westernmost part of the
28 Pacific plate, where the Australian and Pacific plates are subducting (Fig-
29 ure 1b). Consequently, it is likely that the locations of the peak positive
30 anomaly are not related to either (1) contemporary plate-tectonic mechanisms
31 and associated mantle downwellings (i.e., subduction zones) or (2) mantle up-
32 wellings inferred from hotspot distributions at the surface (Figure 1b) and
33 low seismic velocity regions in the lower mantle (Figure 1d). In contrast, when
34 the longest-wavelength components are subtracted from the observed geoid
35 anomaly, broad positive geoid highs appear over entire subduction zones,
36 especially the circum-Pacific trench belt (Figure 1c). This implies that the
37 shorter-wavelength geoid anomaly may be strongly affected by plate tectonic
38 processes and the locations of subducting plates.

39 Using an *a priori* numerical model of density anomalies and viscosity struc-
40 ture in the Earth's mantle as input to fluid dynamical models of mantle flow
41 (i.e., the instantaneous flow model), we can calculate geoid anomalies and
42 compare them with observations (Hager, 1984). However, analytical meth-
43 ods using propagator matrices are restricted to radially symmetric viscosity
44 structures, because of mathematical complexities arising from mode coupling
45 associated with laterally variable viscosity (e.g., Richards and Hager, 1989;
46 Hager and Clayton, 1989).

47 On the other hand, plate tectonic processes induce distinct lateral viscosity
48 variations (LVVs) in the mantle and lithosphere. Seismic tomography models
49 illustrate that almost all subducting slabs reach the 660 km phase boundary,
50 and that some of them penetrate into the lower mantle (Dziewonski, 1984;
51 Tanimoto and Anderson, 1990; Fukao et al., 1992; van der Hilst et al., 1997).
52 This indicates that the existence of LVVs may be due to “stiff” (high-viscosity)
53 subducting plates. At the same time, plate margins, including “diffuse plate
54 boundaries” (Gordon, 2000), induce LVVs in the lithosphere. The effective
55 viscosity of diffuse oceanic/continental boundaries is at least one order of
56 magnitude smaller than that of the stable plate interior (Gordon, 2000). Such
57 a “weak” (low-viscosity) plate margin may have the potential to affect the
58 degree of mechanical coupling between the lithosphere and subducting slabs
59 sinking into the mantle. These two factors of LVVs need to be considered in
60 numerical models.

61 Using a numerical modeling technique, we can address models incorporat-
62 ing LVVs and plate configuration in three-dimensional (3-D) spherical shell
63 geometry. Plate rheology variations, arising due to stiff plate interiors and
64 weak plate boundaries, significantly affect the long-wavelength geoid anoma-
65 lies (Zhong and Davies, 1999; Yoshida et al., 2001). Zhong and Davies (1999)
66 have shown that coupling between stiff subducting plates and weak slabs can
67 explain the observed geoid anomaly better than stiff slabs alone. In these cal-
68 culations, a subduction history model (Ricard et al., 1993; Lithgow-Bertelloni
69 and Richards, 1998) is used to construct the density anomaly model. However,
70 such subduction history models may lead to discrepancies with the actual
71 slab distributions and morphologies observed in seismic tomography models.
72 In particular, subducting slab geometries in the upper mantle inferred from

73 subduction history modeling are somewhat broader horizontally than the geo-
74 physically observed horizontal scales of slabs.

75 Moresi and Gurnis (1996) has undertaken regional instantaneous flow model-
76 ing of geoid anomalies in a 3-D Cartesian geometry, and suggested that the
77 geoid is very sensitive to lateral strength variations of subducted slabs. They
78 concluded that, a low slab viscosity in the lower mantle comparable to that of
79 the surround mantle is required to account for the observed geoid high over
80 the subduction zone. Our previous work (Yoshida, 2004) has shown, on the
81 basis of a 2-D Cartesian mantle convection model with self-consistent subduct-
82 ing plates, that the long-wavelength geoid anomaly is significantly affected by
83 LVVs in the mantle: that is, by stiff subducting slabs and weak plate mar-
84 gins. However, the effects of such LVVs in 3-D spherical shell geometries are
85 not yet clear. Therefore it is important to examine which mechanism is more
86 important in determining long-wavelength geoid anomaly patterns.

87 In this paper, we have examined the possible effects of LVVs on the long-
88 wavelength (spherical harmonic degree $\ell \leq 12$) geoid stemming from stiff
89 subducting slabs, weak plate margins and lower mantle rheology, using the
90 instantaneous flow model in a 3-D spherical shell domain. The density anomaly
91 model used in this study has been obtained from two advanced geodynamic
92 models; a high-resolution tomographic model and a subducting slab model
93 based on seismicity. The global strain-rate model is used to constrain the
94 LVV in the lithosphere, [while the LVV in the lower mantle is inferred using a
95 plausible relation between seismic velocity and temperature (i.e., temperature-
96 dependent viscosity).

97 **2 Model Description**

98 *2.1 Numerical Methods*

99 Instantaneous mantle flow in a 3-D spherical shell of 2871 km thickness is com-
100 puted numerically under the Boussinesq approximation. The non-dimensionalized
101 equations governing the instantaneous mantle flow with spatially variable vis-
102 cosity are the conservation equations of mass and momentum;

$$\nabla \cdot \mathbf{v} = 0, \quad (1)$$

$$-\nabla p + \nabla \cdot \left\{ \eta \left(\nabla \mathbf{v} + \nabla \mathbf{v}^{tr} \right) \right\} + Ra_i \zeta^3 \delta \rho \mathbf{e}_r = 0, \quad (2)$$

103 where ∇ is the differential operator in spherical polar coordinates (r, θ, ϕ) ,
104 \mathbf{v} the velocity vector, p the dynamic pressure, η the viscosity, $\delta \rho$ the density
105 anomaly, \mathbf{e}_r the unit vector in the r -direction, and the superscript tr indicates
106 the tensor transpose. The “instantaneous Rayleigh number” Ra_i (Yoshida,
107 2008a) is given by,

$$Ra_i \equiv \frac{\rho_0 g b^3}{\kappa_0 \eta_0}, \quad (3)$$

108 where ρ_0 is the reference density, g the gravitational acceleration, $b = r_e - r_c$
109 the thickness of the mantle layer, κ_0 the reference thermal diffusivity, η_0 the
110 reference viscosity, r_e the Earth’s radius, and r_c the core radius. The constant
111 ζ is defined by $\zeta \equiv r_e/b$, and the physical values used in this study are listed
112 in Table 1. Impermeable and shear stress-free conditions are adopted at both
113 the top (0 km-depth) and bottom (2871 km-depth) surface boundaries.

114 The calculations are performed using the “ConvGS” mantle convection code

115 (e.g., Yoshida, 2008a,b), which has been benchmarked extensively (see Ap-
 116 pendix A for details) and can handle orders of magnitude variations in viscos-
 117 ity. For this study, we compute the instantaneous flow field without solving
 118 the heat transport equation with time evolution. The SIMPLER algorithm
 119 is used to solve for the velocity and pressure fields from Equations 1 and 2.
 120 The calculation points of the velocity and pressure fields are arranged on a
 121 staggered grid, and a multi-color relaxation method is used to solve for the
 122 flow field. The size of the computational grid is $80(r) \times 128(\theta) \times 256(\phi) \times 2$
 123 (two component grids; see Appendix A). The grid intervals in the radial di-
 124 rection is approximately 20 km (40 km) above (below) the 319 km depth. The
 125 resolution of this grid is even finer than that of the two input density models
 126 (i.e., the seismic tomography and subducting slab models, see Section 2.2),
 127 whose vertical resolutions are approximately 50 km (subducting slab model)
 128 and 150 km (seismic tomography model) and whose horizontal resolutions are
 129 both about 1300 km.

130 The geoid anomaly calculation itself is described in a series of papers by Hager
 131 (e.g., Hager and Richards, 1989) and our previous paper (Yoshida et al., 2001).
 132 We obtain a spherical harmonic expansion (degree ℓ and order m) of the geoid
 133 anomaly $\delta N^{\ell m}$, caused by density anomalies within the mantle interior and
 134 topographic deformation at the top and bottom surfaces:

$$\begin{aligned}
 \delta N^{\ell m} = \sum_{\ell=2}^{\ell_{\max}} \sum_{m=0}^{\ell} \left\{ \frac{4\pi G}{g(2\ell+1)} \left[\int_{r_c}^{r_e} \delta\rho^{\ell m}(r) r \left(\frac{r}{r_e}\right)^{\ell+1} dr \right. \right. \\
 \left. \left. + \Delta\rho_{\text{top}} \delta h_{\text{top}}^{\ell m} r_e + \Delta\rho_{\text{bot}} \delta h_{\text{bot}}^{\ell m} r_c \left(\frac{r_c}{r_e}\right)^{\ell+1} \right] \right\}, \quad (4)
 \end{aligned}$$

135 where G is the gravitational constant, and $\Delta\rho_{\text{top}}$ and $\Delta\rho_{\text{bot}}$ are the density

136 contrasts at the top and bottom surfaces, respectively. Dynamic topography
 137 at the top and bottom surfaces is estimated as $\delta h_{\text{top}}^{\ell m} = -\sigma_{\text{top}}^{rr}/(\Delta\rho_{\text{top}}g)$ and
 138 $\delta h_{\text{bot}}^{\ell m} = \sigma_{\text{bot}}^{rr}/(\Delta\rho_{\text{bot}}g)$, respectively, where σ^{rr} is the normal stress acting on
 139 each boundary. Note that this equation is dimensional. In this study, $\ell_{\text{max}} =$
 140 12. From the definition of the geopotential field, the forbidden terms (i.e., C_1^0 ,
 141 C_1^1 , S_1^1 , C_2^1 and S_2^1 , where C_ℓ^m and S_ℓ^m are sine and cosine terms of $\delta N^{\ell m}$,
 142 respectively), are subtracted from the solution.

143 In order to obtain the instantaneous flow field (velocity and pressure fields)
 144 of the mantle governed by Equations (1) and (2), we require models of both
 145 density anomalies ($\delta\rho(r, \theta, \phi)$) and viscosity ($\eta(r, \theta, \phi)$) throughout the mantle.
 146 In the following subsections (2.2 and 2.3), we will describe the two models used
 147 in our calculations.

148 2.2 *Input density anomaly model*

149 Instantaneous flow in the entire mantle is assumed to be driven by internal
 150 buoyancy sources. Shown in Figure 2a is the density anomaly model used in
 151 this study. In order to construct more realistic global density models compared
 152 with those employed in our previous work (Yoshida et al., 2001), and following
 153 our previous work of Yoshida (2004, 2008a), we have used a coupled model in-
 154 corporating a global slab configuration model and a global tomography model

155 To model density anomalies in the lower mantle beneath the 660 km tran-
 156 sition zone, we use the ‘‘SMEAN’’ tomography model (Becker and Boschi,
 157 2002), which is a weighted average of three separate S-wave velocity models;
 158 ‘‘ngrand’’ (an updated version of ‘‘grand’’ (Grand et al., 1997)), ‘‘s20rts’’ (Rit-

159 sema and van Heijst, 2000) and “sb4118” (Masters et al., 1999). The SMEAN
160 model is expanded by spherical harmonics to $\ell = 31$ at each of 20 depths with
161 uniform intervals throughout the mantle (see Becker and Boschi (2002) for
162 details). We estimate density anomalies in the lower mantle from the devia-
163 tion of the SMEAN model from PREM (Dziewonski and Anderson, 1981).
164 A scaling factor used to convert velocity anomalies to density anomalies,
165 $R_{\rho/S} \equiv \delta(\log \rho)/\delta(\log v_S)$, is expressed by the depth profile shown in Figure 2b
166 based on result from mineral physics that take into account both anharmonic
167 and anelastic effects (Karato, 1993).

168 Because even recent high-resolution global tomography models do not contain
169 well-resolved subducting slabs, and near-surface tomography includes isostati-
170 cally compensated compositional differences, i.e., continental tectosphere (e.g.,
171 Jordan, 1975), and low-velocity regions around under the mid-ocean ridges,
172 we do not impose upper mantle density anomalies above the 660 km bound-
173 ary from the SMEAN model. Instead, here we adopt a modified “regionalized
174 upper mantle (RUM)” seismic model (Gudmundsson and Sambridge, 1998),
175 which is based on seismicity in the upper mantle. We use the slab model ex-
176 panded by spherical harmonics to $\ell = 31$. In the 410–660 km transition zone
177 the distribution of slabs at 410 km are radially extended to the 660 km-depth
178 because of the possible existence of aseismic slabs. For simplicity, we assume
179 that the density anomaly of the slab is a spatially constant value, $+32 \text{ kg/m}^3$,
180 based on previous numerical models (e.g., Hager and Richards, 1989; Billen
181 and Gurnis, 2003). As we focus here on the effects of high-density, high-
182 viscosity subducting slabs on the geoid anomaly and try to directly compare
183 computational results with the observed longest-wavelength-removed geoid
184 anomaly (Figure 1c), we do not impose low-density anomaly regions in the

185 upper mantle. Rather, in the upper mantle $\delta\rho(r, \theta, \phi)$ is zero except where
186 there are subducting slabs (see “209 km” and “418 km” in Figure 2a).

187 2.3 Input viscosity model

188 We make viscosity models exhibiting both vertical and lateral variations.
189 The radial viscosity variation is layered so as to define the lithosphere (0–
190 100 km depth), asthenosphere (100–200 km), reference upper mantle (200–
191 410 km), transition zone (410–660 km), lower mantle (660–2600 km), and bot-
192 tom boundary layer (2600–2871 km) (Figure 3a). (Hereafter, we refer to the
193 reference upper mantle layer as “the upper mantle” for simplicity.) The viscos-
194 ity of the reference upper mantle is fixed at 10^{21} Pa·s (Haskell, 1935) (although
195 dynamic topography and the geoid anomaly do not depend on the absolute
196 viscosity of each layer itself). The viscosity contrast between the lower mantle
197 and the upper mantle ($\Delta\eta_{\text{lwm}} \equiv \eta_{\text{lwm}}/\eta_{\text{upm}}$) is treated as a free parameter in
198 this study (see Section 3), where η_{lwm} and η_{upm} are the lower mantle and up-
199 per mantle viscosities, respectively. The viscosity contrast of the lithosphere
200 relative to the upper mantle ($\Delta\eta_{\text{lit}}$) is taken to be 10^4 , which is in the range of
201 the reported effective viscosity of the lithosphere (Gordon, 2000). The viscos-
202 ity contrast of the asthenosphere relative to the upper mantle ($\Delta\eta_{\text{ast}}$) is fixed
203 at 10^{-1} (e.g., Bills and May, 1987; Okuno and Nakada, 1998). The viscosity
204 contrasts of the transition zone and the bottom boundary layer relative to the
205 upper mantle are determined by the lower mantle viscosity, and taken to be
206 the square root of $\Delta\eta_{\text{lwm}}$.

207 We consider LVVs caused by stiff subducting slabs or weak plate margins,
208 or both. The viscosity contrast of the subducting slab relative to the upper

209 mantle ($\Delta\eta_{\text{slab}}$) is assumed to be spatially constant between depths of 100 and
 210 660 km, and is taken as a characteristic parameter in this study (see Table 2
 211 and Section 3.2 for details). Lateral viscosity variations in the lower mantle
 212 are determined by taking the temperature-dependent rheology into account,
 213 in a similar manner to that adopted for the mantle convection calculations
 214 (see Table 2 and Section 3.3 for details).

215 Figure 3b is a map of the viscosity distribution in the lithosphere. The vis-
 216 cosity of the plate margins is determined using the “Global Strain Rate Map
 217 (GSRM)” model based on geodetic and geologic observations (Kreemer et al.,
 218 2000, 2003). Diffuse plate boundaries in the lithosphere (Gordon, 2000) are
 219 also included in this model. The horizontal viscosity variation at plate margins
 220 η_{margin} is represented by

$$\eta_{\text{margin}}(\theta, \phi) = \frac{\tau_{\text{margin}}}{\dot{\epsilon}(\theta, \phi)}, \quad (5)$$

221 where $\dot{\epsilon}$ is the second invariant of the strain-rate tensor given by the GSRM
 222 model, and τ_{margin} is the second invariant of the deviatoric stress tensor,
 223 which controls the degree of viscosity variation within the plate margin. We
 224 set $\tau_{\text{margin}} = 3 \text{ MPa}$, which is comparable the stress drop of shallow earth-
 225 quakes (Kanamori and Anderson, 1975), and is supported by numerical simu-
 226 lation of subduction initiation (Toth and Gurnis, 1998). The resulting averaged
 227 viscosity of the plate margin outside diffuse plate boundary regions is almost
 228 the same as that of the upper mantle. The configuration and viscosity of the
 229 plate margins are the same at all depths (0–100 km depth) in the lithosphere.

230 **3 Results**

231 *3.1 Laterally uniform viscosity model*

232 The scenarios investigated in this study are summarized in Table 2. We first
233 calculated the geoid anomaly using the laterally uniform viscosity model, ne-
234 glecting stiff subducting slabs, weak plate margins and the lower mantle rheol-
235 ogy (Series 1). We then varied the viscosity contrast between the upper mantle
236 and the lower mantle ($\Delta\eta_{\text{lwm}}$) from 10 to 10^4 . Shown in Figure 4 is the calcu-
237 lated geoid anomaly with the maximum degree of up to 12. This result shows
238 that the geoid anomaly over the subduction zones becomes gradually positive
239 with increasing $\Delta\eta_{\text{lwm}}$. This trend is consistent with that observed in earlier
240 pioneering work (e.g. Hager and Richards, 1989) using analytical methods, in
241 spite of the differences between the density anomaly models used in the cal-
242 culations. We have confirmed that the observed geoid highs over subduction
243 zones arise only when $\Delta\eta_{\text{lwm}}$ is approximately 10^3 (Figure 4c). When $\Delta\eta_{\text{lwm}}$
244 is 10^4 , the maximum amplitude of the geoid highs is much larger (>200 m;
245 Figure 4d).

246 *3.2 Effects of stiff subducting slabs and weak plate margins*

247 In Series 2, we imposed stiff (high-viscosity) subducting slabs in the upper
248 mantle alone on the laterally uniform viscosity model. The viscosity contrast
249 between the subducting slabs and the upper mantle ($\Delta\eta_{\text{slab}}$) is here taken to
250 be spatially constant and the same as that of the lithosphere, i.e., $\Delta\eta_{\text{slab}} = 10^4$.
251 As in Series 1, we next varied $\Delta\eta_{\text{lwm}}$ from 10 to 10^4 . As shown in Figure 5a,

252 the geoid anomaly shows strongly negative “eyes” over the Java trench and
253 the South America trench, when $\Delta\eta_{\text{lwm}}$ is 10^3 or lower. This is because sur-
254 face deformations in those regions are strongly depressed due to mechanically
255 strong coupling between the lithosphere and the stiff subducting slabs. In both
256 these regions, the subducting slabs penetrate into the middle of mantle (e.g.
257 Fukao et al., 2001). As deduced from the results of Series 1, when $\Delta\eta_{\text{lwm}} = 10^4$
258 the geoid anomaly still remains quite large (> 200 m) over subduction zones.

259 We considered further the effects of weak (low-viscosity) plate margins in the
260 lithosphere. Previous studies have shown that low-viscosity plate boundaries
261 of constant width and viscosity weaken the mechanical coupling between the
262 slab and the surface (Zhong and Davies, 1999; Yoshida et al., 2001). In Series 3,
263 based on the GSRM model (Figure 3b), we imposed weak plate margins with
264 horizontal viscosity variations in the lithosphere on the models of Series 2.
265 As described in Section 2.3, the viscosity of the plate margins is determined
266 by Equation 5. Figure 5b shows the results for Series 3. When $\Delta\eta_{\text{lwm}}$ is 10^3 ,
267 the positive anomaly with a maximum amplitude of approximately 100 m is
268 reproduced over the Java and South America trenches (“A” and “B” in the
269 right-hand map of Figure 5b). On the other hand, the amplitude of the posi-
270 tive geoid pattern around the Japan trench is reduced. As a result, the geoid
271 pattern is well fit to the observation after subtracting degrees 2 and 3 (Fig-
272 ure 1c).

273 We have also examined the effects of the stiffness of the subducting slabs on
274 the geoid by varying $\Delta\eta_{\text{slab}}$. The weak plate margins are not incorporated in
275 this case (Series 4). Compared with the results for Series 2 shown in Figure 5a,
276 Figure 5c illustrates that the geoid anomaly over the Java and South America
277 trenches are made positive by lowering $\Delta\eta_{\text{slab}}$ (“C” and “D” in the right-hand

278 map of Figure 5c). This is because the low-viscosity of the slab may somewhat
279 weaken the mechanical coupling between it and the surface.

280 Figure 5d shows the results for Series 5, in which weak plate margins are im-
281 posed the Series 4 models shown in Figure 5c. While the geoid anomaly above
282 subduction zones remains negative when $\Delta\eta_{\text{lwm}}$ is 10^2 or lower, the positive
283 geoid anomaly is reproduced over the Java trench when $\Delta\eta_{\text{lwm}} = 10^3$ (“D” in
284 the right-hand map of Figure 5d), and the resulting geoid anomaly again fits
285 the observations after subtracting the longest-wavelength components.

286 Irrespective of the strength of the upper mantle slab, when $\Delta\eta_{\text{lwm}} = 10^3$ the
287 maximum amplitude of the positive anomaly is indeed greater than 100 m
288 (Figures 5b and 5d), or somewhat larger than observed geoid peaks of ~ 40 m
289 (Figure 1c). Slightly lower $\Delta\eta_{\text{lwm}}$ values of 10^3 may reduce the calculated geoid
290 peaks.

291 3.3 *Effects of LVVs in the lower mantle*

292 Finally, we consider the effects of LVVs in the lower mantle (660–2871 km),
293 assuming that the viscosity of the lower mantle materials depends only on
294 temperature via the non-dimensional Arrhenius expression

$$\eta(T) \equiv \eta_{\text{ref,lwm}} \exp \left[\frac{H_a}{T + T_{\text{ref}}} - \frac{H_a}{2T_{\text{ref}}} \right], \quad (6)$$

295 where $\eta_{\text{ref,lwm}}$ is the reference viscosity at reference temperature T_{ref} , which is
296 fixed at 0.5. We take the non-dimensional activation parameter H_a to be $\ln 10^{10}$
297 (~ 23.0) based on a typical activation enthalpy value for MgSiO_3 perovskite
298 of 400–500 kJ/mol, as suggested by recent mineralogical results (Yamazaki

299 and Karato, 2001). This value is substantially lower than typical values for
 300 olivine (Karato and Wu, 1993). The temperature T is determined from the
 301 seismic velocity anomaly:

$$\delta(\log v_S) = \frac{\partial(\log v_S)}{\partial T} \delta T \equiv A_{v_S T} \delta T, \quad (7)$$

302 where $A_{v_S T}$ is the temperature derivative of S-wave velocities in the mantle,
 303 and given by the depth profile shown in Figure 2c based on mineral physics
 304 results (e.g. Karato, 1993). Following Gurnis et al. (2000), we treat the non-
 305 dimensional form of the temperature as follows;

$$T \equiv T_{\text{ref}} + \frac{1}{A_{v_S T} \Delta T} \delta(\log v_S), \quad (8)$$

306 where ΔT is the temperature difference across the mantle, 2500 K. As in Se-
 307 ries 1–5, the viscosity contrast of the lower mantle relative to the upper mantle
 308 is defined by $\Delta\eta_{\text{lwm}} \equiv \eta_{\text{ref,lwm}}/\eta_{\text{upm}}$, and is varied from 10 to 10^4 . In order to
 309 stabilize the numerical calculations, we constrain the viscosity $\eta(T)$ in Equa-
 310 tion (6) to between $\Delta\eta_{\text{ast}} (= 10^{-1})$ and $\Delta\eta_{\text{slab}} (\leq 10^4)$. Note that the viscosity
 311 distribution in the bottom boundary layer (2600–2871 km depth) is replaced
 312 by that determined by Equation (6) in this scenario.

313 Shown in Figure 6 are the results for Series 6. We observe that, in compari-
 314 son with Series 3 (Figure 5b) which does not have LVVs in the lower mantle,
 315 the Series 6 geoid anomaly over subduction zones exhibits generally positive
 316 patterns with quite high amplitudes of up to ~ 150 – 200 m with respect to
 317 observations, when $\Delta\eta_{\text{lwm}} = 10^3$. This is because the negative buoyancy of
 318 the subducting slab is supported by highly viscous, cold materials in the deep
 319 mantle. The bottom part of a subducting slab is subject to a resistance force at

320 depth and is sufficiently stiff to transmit the stress back to the top boundary.
321 This weakens the slab pull force on the surface lithosphere so that the topo-
322 graphic depression at the subduction zone is reduced. When H_a is increased to
323 $\ln 10^{50}$ (~ 115.1) using the olivine activation values, the maximum amplitude
324 of the calculated geoid is much higher ($\sim 250\text{--}300$ m) than that observed.

325 4 Discussion

326 The advantage of using an instantaneous flow model is that we can constrain
327 the rheological (viscosity) structure of the present-day (or nearly present-day)
328 mantle, by assuming the density anomaly models *a priori*. In this study, by
329 implementing a numerical calculation technique, we can address models incor-
330 porating lateral variations in viscosity. The input density anomaly model is
331 determined from the depth profile of $R_{\rho/s}$, which is obtained from independent
332 studies, i.e., mineral physics. The value of $R_{\rho/s}$ at each depth depends on the
333 degree of chemical heterogeneity in the mantle. While most of the velocity
334 anomalies in the mantle can be ascribed to temperature anomalies, the lower-
335 most mantle is difficult to explain in terms of temperature effects alone (e.g.,
336 Karato, 2003). However our previous experiments without LVVs showed that
337 whether there are low density regions in the lower mantle or not hardly affects
338 the surface signatures of either the geoid anomaly or topography (Yoshida,
339 2004). This conclusion is unchanged by the incorporation of LVVs.

340 One of the key findings of this study is that the calculated geoid anomaly
341 is sensitive to the existence of weak plate margins in the lithosphere. When
342 weak plate margins are imposed, the geoid anomaly over subduction zones
343 tends to be good fit to observations, irrespective of the strength of the up-

344 per mantle slabs (Series 3 and 5 in Figures 5b and 5d). Because weak plate
345 margins relax the mechanical coupling between the slab and the surface, the
346 negative anomaly over the Java and the South America trenches is reduced.
347 As a result, when $\Delta\eta_{\text{lwm}}$ is approximately 10^3 , the amplitude of the geoid high
348 is comparable to observations over the subduction zones. This feature has not
349 been highlighted in previous studies.

350 In order to accurately represent the observed positive geoid anomaly over sub-
351 duction zones, we must take the viscosity contrast between the upper mantle
352 and the lower mantle ($\Delta\eta_{\text{lwm}}$) to be approximately 10^3 (or lower), if lower
353 mantle LVVs are neglected. This optimum $\Delta\eta_{\text{lwm}}$ value is one or two orders
354 of magnitude larger than the corresponding value determined by the classical
355 analysis of the geoid anomaly over subduction zones, $\Delta\eta_{\text{lwm}} = 30$, which
356 incorporated a density anomaly model based on seismicity (Hager, 1984).
357 That value has been reinforced by the results of numerical modeling of mantle
358 convection (Gurnis and Hager, 1988) and post-glacial rebound analysis (e.g.
359 Peltier, 1998; Lambeck and Johnston, 1998).

360 However more recent research favors models with larger $\Delta\eta_{\text{lwm}}$ values. Hager
361 and Richards (1989) showed that the optimum $\Delta\eta_{\text{lwm}}$ value is 300 when a
362 seismic tomography model is used for the density anomaly model. Likewise,
363 numerical results based on subduction history modeling by Zhong and Davies
364 (1999) yielded an optimum value for $\Delta\eta_{\text{lwm}}$ of 600 assuming $\Delta\eta_{\text{lit}} = 300$, that
365 the slab viscosity is the same as the surrounding mantle, and that weak plate
366 margins are present. That model is comparable with the Series 1 scenario in
367 our study and the results are close to our preferred $\Delta\eta_{\text{lwm}}$ value. Furthermore,
368 recent results from the joint inversion of mantle convection and glacial isostatic
369 adjustment data have implied an increase in mid-lower mantle viscosity by a

370 factor of around 1000 with respect to the upper mantle viscosity (Mitrovica
371 and Forte, 2004). Forte and Mitrovica (2001) have suggested based on the
372 joint inversion of seismic tomography data and various geodynamic data, that
373 the high-viscosity layer near 2000 km depth strongly suppresses convective
374 mixing in the deep mantle. Clearly, the viscosity contrast between the upper
375 (or shallow) and the lower (or deep) mantle remains a controversial topic.

376 Lateral viscosity variations in the lower mantle may provide a candidate mech-
377 anism for reducing our optimum $\Delta\eta_{\text{lw}}^{\text{m}}$ value. We have investigated the effects
378 of stiff slabs in the lower mantle by taking temperature-dependent viscosity
379 into account. Our results imply that stiff slabs in the lower mantle tend to
380 produce a poor fit to the observed geoid (Series 6 in Figure 6). The large
381 effects of stiff subducting slabs on the long-wavelength geoid anomaly have al-
382 ready been reported by Zhong and Davies (1999). They showed that the geoid
383 pattern changes substantially, even when the viscosity contrast between the
384 subducting slab and the ambient mantle at the same depth is only 10. Zhong
385 and Davies (1999) emphasized that a deep slab (2000 km-deep to CMB) dis-
386 connected from the surface (e.g., over the North Pacific region) generates a
387 strong positive anomaly if the slab has high-viscosity, and therefore that “iso-
388 lated” slabs in the lower-most mantle may be weaker than the surrounding
389 mantle. In contrast, using our model incorporating seismic tomography results
390 in the lower mantle, the geoid anomaly over the North Pacific region is found
391 to be relatively low (“A” in Figure 6c), which seems to be inconsistent with
392 observations (Figure 1c). The difference between the earlier study of Zhong
393 and Davies (1999) and ours arises from discrepancies in the distribution and
394 morphology of the high-density region in the lower mantle. However, with the
395 exception of this discrepancy, we can be sure that LVVs in the lower mantle

396 exert a large influence on the geoid pattern.

397 Considering now the effects of the lower mantle’s rheology, we see that the
398 geoid anomaly over subduction zones exhibits generally positive patterns of
399 quite high amplitude with respect to observations, even when the low activa-
400 tion enthalpy of perovskite is used for the lower mantle. Our results imply that
401 lower mantle slabs lose their high-viscosity characteristics at 660 km depth.
402 Some mineralogical studies have raised the possibility of weaker slabs in the
403 lower mantle, in light of grain size reduction due to mineralogical transforma-
404 tions in upper mantle rock. The viscosity of the slab in the lower mantle may
405 be reduced by grain size reduction as a result of the ringwoodite to perovskite-
406 magnesiowüstite phase transition (Ito and Sato, 1991; Kubo et al., 2000).

407 Seismic tomography models show that subducting slabs are deformed and
408 stagnated in some of the phase transition zones (Fukao et al., 1992; van der
409 Hilst et al., 1997; Fukao et al., 2001; Zhao, 2004). Such stagnant slabs may
410 introduce notable viscosity variations in the phase transition zone and may
411 thereby affect the geoid anomaly at the scale of wavelengths less than a
412 few thousand kilometers. Further work is needed to address the effects of
413 the configuration and rheology of stagnant slabs on the geoid pattern using
414 higher-resolution global tomography models more clearly showing the con-
415 figuration of subducting plates (e.g., Li et al., 2008). Also the emergence of
416 higher-resolution tomography images of the upper mantle will be help to im-
417 prove the density anomaly model in which we now assumed that $\delta\rho=0$ except
418 slab regions. The imposed upper-mantle density anomaly may explain broadly
419 positive geoid anomalies on the Africa-Atlantic regions and the westernmost
420 part of the Pacific plate, and then reproduce the “total” geoid anomaly in-
421 cluding longest-wavelength components (Figure 1a). In particular, low-density

422 anomaly regions of the upper mantle may exert a large influence on the long-
423 wavelength geoid anomaly and dynamic topography. For instance, using a
424 regional seismic tomography model with the highly-resolved mantle beneath
425 the French Polynesia region, Adam et al. (2007) have shown that observed
426 dynamic topography is well reproduced through an instantaneous flow model.

427 In spite of the uncertainties associated with modeling density and viscosity
428 fields in the mantle, we believe that our results form a starting point for
429 further studies of more sophisticated models at regional or global scales. For
430 example, the effects on the geoid anomaly of LVVs arising from compositional
431 variations of mantle materials (e.g., Becker et al., 1999; Samuel and Farnetani,
432 2005) should be addressed in the future, in conjunction with geochemical and
433 mineral physics experiments.

434 5 Conclusions

435 We have examined the possible effects of lateral viscosity variations on the
436 long-wavelength ($\ell \leq 12$) geoid anomaly by using instantaneous flow calcu-
437 lations in a 3-D spherical shell model. The density model used in this study
438 is constructed by combining a high-resolution tomography model with a sub-
439 ducting slab model based on seismicity. A global strain-rate model has been
440 used to describe LVVs in the lithosphere, and LVVs in the lower mantle have
441 been represented in terms of the relation between seismic velocity and tem-
442 perature (i.e., the temperature-dependent viscosity). Using these new geody-
443 namic models, we have drawn the following conclusions, which may provide
444 new constraints on the viscosity structure of the mantle.

- 445 (1) In the laterally uniform viscosity model, the observed positive geoid highs
446 over subduction zones arise only when the viscosity contrast between the
447 reference upper mantle and the lower mantle is approximately 10^3 or
448 lower.
- 449 (2) Considering highly viscous slabs in the upper mantle, the geoid patterns
450 under the Java and South American trenches are depressed and exhibit
451 negative anomalies. However when weak plate margins are imposed, the
452 calculated geoid anomaly over the subduction zones yields a good fit to
453 observations, irrespective of the strength of the upper mantle slabs.
- 454 (3) Lateral viscosity variations in the lower mantle exert a large influence
455 on the geoid pattern. However the geoid anomaly over subduction zones
456 shows a generally positive pattern of quite high amplitude compared with
457 observations, even when the low activation enthalpy of perovskite in the
458 lower mantle is considered. The existence of weak slabs in the lower man-
459 tle is substantiated by recent mineral physics results.

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Meaning of symbols	Value
Earth's radius, r_e	6371 km
Core radius, r_c	3500 km
Thickness of the mantle, b	2871 km
Gravitational constant, G	$6.67 \times 10^{-11} \text{ Nm}^2/\text{kg}^2$
Gravitational acceleration, g	9.82 m/s^2
Density contrast at top surface, $\Delta\rho_{\text{top}}$	2360.76 kg/m^3
Density contrast at bottom surface, $\Delta\rho_{\text{bot}}$	4337.04 kg/m^3
Reference density, ρ_0	3300 kg/m^3
Reference viscosity in the upper mantle, η_0	$10^{21} \text{ Pa}\cdot\text{s}$
Reference thermal diffusivity, κ_0	$10^{-6} \text{ m}^2/\text{s}$
Instantaneous Rayleigh number, Ra_i	7.67×10^8

Table 1

The physical values used in this study.

Series	$\Delta\eta_{\text{slab}}$	WPM	LM-LVV	Figure
1	1	No	No	4
2	10^4	No	No	5a
3	10^4	Yes	No	5b
4	10^2	No	No	5c
5	10^2	Yes	No	5d
6	10^4	Yes	Yes	6

Table 2

Summary of the numerical models constructed in this study. $\Delta\eta_{\text{slab}}$ is the viscosity contrast of the upper mantle slab relative to the reference upper mantle. Abbreviations WPM and LM-LVV denote weak (low-viscosity) plate margins and lateral viscosity variations in the lower mantle, respectively. The radial viscosity variation is layered to represent the lithosphere (0–100 km depth), asthenosphere (100–200 km), reference upper mantle (200–410 km), transition zone (410–660 km), lower mantle (660–2600 km), and bottom boundary layer (2600–2871 km). In all models, the viscosity contrast of the lower mantle relative to the upper mantle ($\Delta\eta_{\text{lwm}}$) is treated as a free parameter and varied from 10 to 10^4 . The viscosity contrasts of the lithosphere and the asthenosphere relative to the upper mantle are fixed at 10^4 and 10^{-1} , respectively. The viscosity contrast of the transition zone and the bottom boundary layer relative to the upper mantle are taken to be the square root of $\Delta\eta_{\text{lwm}}$ (see text and Figure 3a for details).

Fig. 1. (a–c) Observed geoid anomaly at spherical harmonic degrees of (a) 2 to 360, (b) 2 and 3, (c) 4 to 12, based on the EGM96 potential model (Lemoine et al., 1998) after correction for the hydrostatic shape (Nakiboglu, 1982). The contour intervals are 20 m. In (b), the distribution of 44 hotspots is shown by purple open circles, whose sizes represent the magnitude of the buoyancy flux of each hotspot. The buoyancy flux data are taken from several papers (Davies, 1988; Sleep, 1990; Schilling, 1991; Davies, 1992; Ribe and Christensen, 1999; Steinberger, 2000). Small hotspots of unknown buoyancy flux are not shown. (d) S-wave seismic velocity anomaly (δv_s) in the lower mantle (1507 km depth) from the SMEAN model (Becker and Boschi, 2002). In (a)–(d), plate boundaries are shown for reference.

Fig. 2. (a) Density anomaly model used in this study. The seismic slab model (Gudmundsson and Sambridge, 1998) and the seismic tomography model (Becker and Boschi, 2002) are combined. (b–c) Depth profiles of (b) $R_{\rho/S} = \delta(\log \rho)/\delta(\log v_S)$ and (c) $-A_{v_S T} = -\partial(\log v_S)/\partial T$ applied to each model. See text for details.

Fig. 3. (a) Depth profile of the vertical viscosity. The viscosity contrast between the upper and the lower mantle $\Delta\eta_{\text{lwm}}$ is varied between 10 (blue solid line) and 10^4 (blue dashed line). The viscosities of the transition zone and the bottom boundary layer are equal to the square root of $\Delta\eta_{\text{lwm}}$. (b) Distribution of the lateral viscosity variations in the lithosphere inferred from the GSRM model (Kreemer et al., 2000, 2003). See text for details.

Fig. 4. Calculated geoid anomaly for models in Series 1. The viscosity contrasts between the upper and the lower mantle $\Delta\eta_{\text{lwm}}$ are (a) 10^1 , (b) 10^2 , (c) 10^3 , and (d) 10^4 . The contour intervals are 50 m. Plate boundaries are shown for reference.

Fig. 5. Calculated geoid anomaly for models in (a) Series 2, (b) Series 3, (c) Series 4, and (d) Series 5. The viscosity contrasts between the upper mantle and lower mantle, $\Delta\eta_{\text{lwm}}$, are 10^2 (left-hand map in each row) and 10^3 (right-hand map). The contour intervals are 50 m. Plate boundaries are shown for reference. See the text for explanation of symbols “A”–“D” and further details.

Fig. 6. Calculated geoid anomaly for models in Series 6. The viscosity contrasts between the upper mantle and the lower mantle, $\Delta\eta_{\text{lwm}}$, is (a) 10^1 , (b) 10^2 , (c) 10^3 , and (d) 10^4 . The contour intervals are 50 m. Plate boundaries are shown for reference. See text for explanation of symbol “A” and further details.

661 **A Benchmark calculation for ConvGS**

662 The ConvGS (Convection in a Global Spherical-shell) used in this study is
663 a mantle convection code developed by one of authors (M.Y.) at IFREE,
664 JAMSTEC, and first used in the work of Yoshida et al. (2007). The finite
665 volume method is used for the discretization of the basic equations governing
666 mantle convection (i.e., the conservation equations of mass, momentum and
667 energy) on staggered grid, rather than the finite difference method (Yoshida
668 and Kageyama, 2004, 2006) and the collocated grid (e.g., Yoshida et al., 2001)
669 implemented in our previous code. In comparison with the finite difference
670 method, the advantage of the finite volume method is its conservation of
671 physical values and numerical stability for convection models incorporating
672 strongly variable viscosity. The computational grid used here for the Yin-
673 Yang grid, which is two component longitude-latitude grids covering a spher-
674 ical shell (Yoshida and Kageyama, 2004). M.Y. has also developed another
675 code ConvRS (Convection in a Regional Spherical-shell) to solve the man-
676 tle convection problem in a regional 3-D spherical shell geometry; that code
677 has been used in a separate study (Adam et al., 2007). ConvGS and Con-
678 vRS are applicable to mantle convection modeling with rock compressibility,
679 non-Newtonian rheology, phase change, and other geophysical processes. In
680 this study, the parallel calculation was performed using the one-dimensional
681 domain-decomposition method with MPI.

682 Because the benchmark calculation to verify the ConvGS has not been re-
683 ported in a previous paper (Yoshida, 2008a), we discuss it here. To verify the
684 validity and numerical accuracy of ConvGS, we carried out two types of the
685 benchmark calculation. First, following earlier studies (Richards et al., 2001;

686 Yoshida and Kageyama, 2004; Stemmer et al., 2006), we performed benchmark
687 calculations for a number of mantle convection codes using spectral, finite el-
688 ement, finite difference method, and finite volume methods. Confirming the
689 validity of the mantle convection calculation including the time advance is
690 equivalent to confirming the validity of the instantaneous mantle flow model,
691 as calculating the instantaneous mantle flow using Equations 1 and 2 is the
692 same numerical problem as calculating the steady-state mantle convection flow
693 field at a specific time.

694 The results of the benchmark calculations are summarized in Tables A.1
695 and A.2. We performed the calculation for models with low Rayleigh num-
696 ber ($Ra < 10^5$) and constant viscosity or weakly variable viscosity due to the
697 temperature-dependent rheology. We computed the Nusselt number and the
698 root-mean square velocity for steady-state convections with the tetrahedral
699 and cubic symmetric mantle convection regimes (e.g., Bercovici et al., 1989).
700 The viscosity is given by $\eta(T) = \exp[-E(T - 0.5)]$ where T is the non-
701 dimensional temperature and E is the non-dimensional activation energy. The
702 size of the computational domain is $64(r) \times 32(\theta) \times 96(\phi) \times 2$ (two compo-
703 nent grids). In spite of the differences in discretization methods, numerical
704 techniques, and the number of grid points between the codes, the results for
705 ConvGS agree well with each of them. In particular, when compared with an-
706 other finite volume-based code incorporating the cubed-sphere grid (Stemmer
707 et al., 2006), we observe that the differences between two codes (see “SH06”
708 and “Yo08” in Tables A.1 and A.2) are overall within 0.5%.

709 Next, for unsteady, time-dependent convection models with realistic Rayleigh
710 numbers and strongly variable viscosity, we performed calculations similar to
711 those presented by Ratcliff et al. (1996) using the finite volume method and

712 by McNamara and Zhong (2005) using the finite element method. We illus-
713 trate two results for models with Rayleigh numbers of 10^7 ; one represents a
714 purely bottom-heated mantle with viscosity contrast across the mantle due
715 to temperature-dependent rheology (γ_η) of 10^2 and the other represents a
716 bottom- and internally-heated mantle with $\gamma_\eta = 10^4$. In the latter model, the
717 non-dimensional internal heating rate scaled by the Earth's radius is taken to
718 be 30.4. The viscosity is given by $\eta(T) = \exp[2E/(T + 1) - E]$, and the size
719 of the computational domain is $100 \times 100 \times 300 \times 2$. As shown in Figure A.1,
720 two convection patterns reach a nearly steady-state, long-wavelength thermal
721 heterogeneity dominated by degree-two and degree-one (i.e., the spherical har-
722 monic degrees of 2 and 1, respectively), which are comparable to the results
723 of Ratcliff et al. (1996) and McNamara and Zhong (2005), respectively. In
724 other words, in spite of the numerically challenging test configurations dic-
725 tated by realistic Rayleigh numbers and strong variations in viscosity, we can
726 reproduce the convection patterns obtained by other numerical codes. We have
727 therefore verified the numerical accuracy of our new code. We will report on
728 models incorporating variable magnitudes of the viscosity contrast in a later
729 paper addressing the effects of temperature-dependent rheology and different
730 heating modes on mantle convection patterns (Yoshida, 2008b).

T/C	Ra	γ_η	Br89	Rt96	Zh00	Rc01	YK04	SH06	Yo08
T	2.0e3	1	2.2507	2.1740	2.218	-	2.2025	-	2.2045
T	7.0e3	1	3.4657	3.4423	3.519	3.4160	3.4430	3.4864	3.4911
T	1.4e4	1	-	4.2028	-	4.2250	4.2395	-	4.2764
T	7.0e3	20	-	3.1615	-	-	3.1330	3.1447	3.1505
C	7.0e3	1	-	3.5806	-	-	3.5554	3.5982	3.6114
C	7.0e3	20	-	3.3663	-	-	3.3280	3.3423	3.3531

Table A.1

Nusselt numbers obtained from various numerical codes. The model parameters are the Rayleigh number (Ra) and the viscosity contrast across the mantle (γ_η). Read “2.0e3” as 2.0×10^3 . The letters “T” and “C” denote the tetrahedral (“T”) and cubic (“C”) symmetric mantle convection regimes, respectively (e.g., Bercovici et al., 1989). “Br89” denotes Bercovici et al. (1989) (employing the spectral method), “Rt96” Ratcliff et al. (1996) (finite volume method), “Zh00” Zhong et al. (2000) (finite element method), “Rc01” Richards et al. (2001) (finite element method), “YK04” Yoshida and Kageyama (2004) (finite difference method), “SH06” Stemmer et al. (2006) (finite volume method), and “Yo08” the ConvGS code described by Yoshida (2008a).

T/C	Ra	γ_η	Rt96	YK04	SH06	Yo08
T	2.0e3	1	12.14	12.1246	-	12.5774
T	7.0e3	1	32.19	32.0481	32.5849	32.4639
T	1.4e4	1	50.27	50.0048	-	50.1971
T	7.0e3	20	25.69	26.1064	25.7300	25.6594
C	7.0e3	1	30.87	30.5197	31.0226	30.8933
C	7.0e3	20	25.17	25.3856	24.9819	24.9154

Table A.2

Root-mean-square velocities obtained from various numerical codes. The parameters and the meaning of “T” and “C” are the same as Figure A.1.

Fig. A.1. Three-dimensional view of the mantle convection pattern for models incorporating (a) $\gamma_\eta = 10^2$ and purely bottom-heating, and (b) $\gamma_\eta = 10^4$ and bottom- and internal-heating. Isosurfaces of the non-dimensional residual temperature δT (i.e., the deviation from the horizontally averaged temperature at each depth) for models with temperature-dependent rheology are shown. Dark and light gray indicate $\delta T = -0.1$ and $+0.1$, respectively. White spheres indicate the bottom of the mantle.

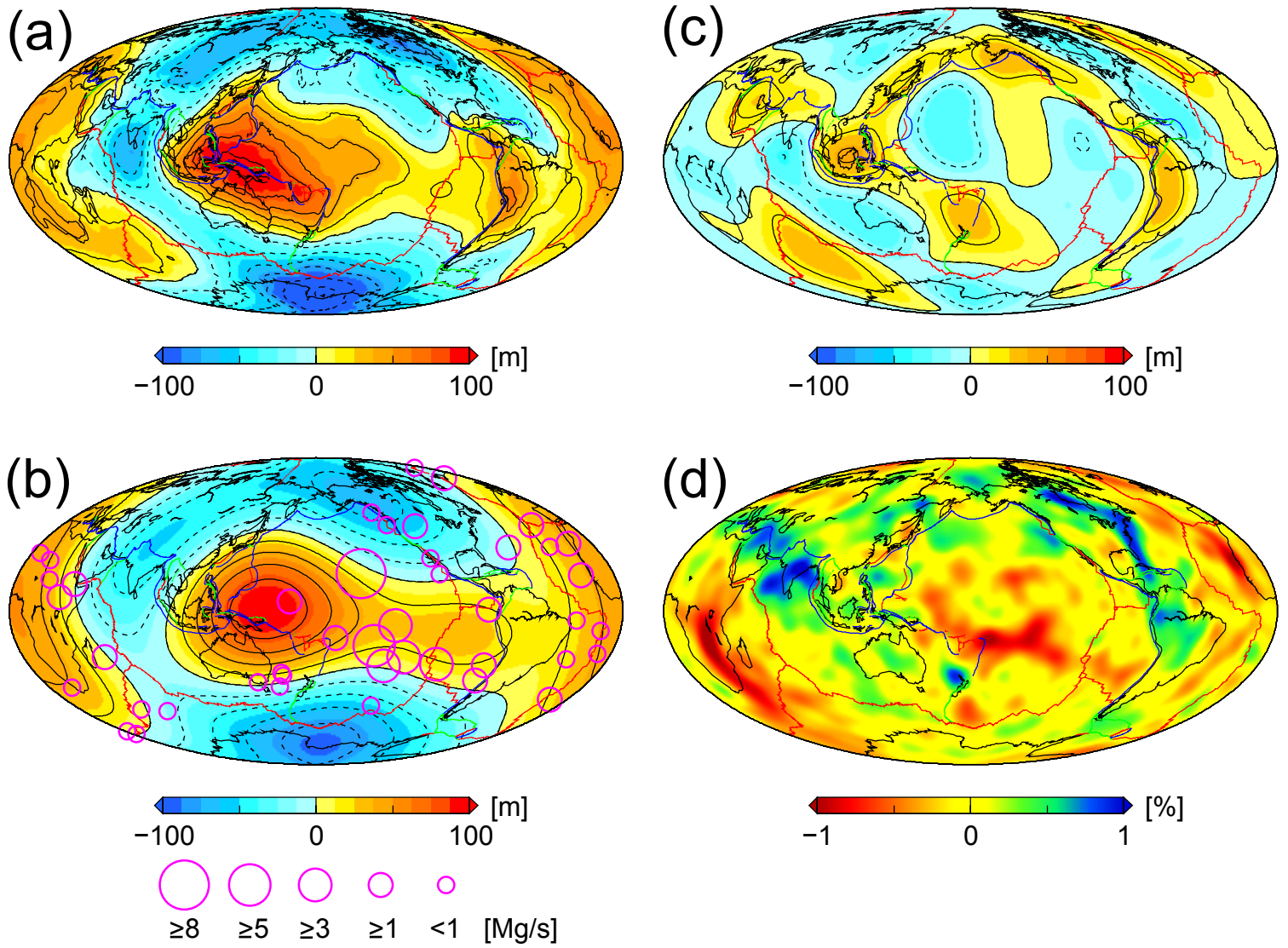


Fig.1 (Yoshida & Nakakuki)

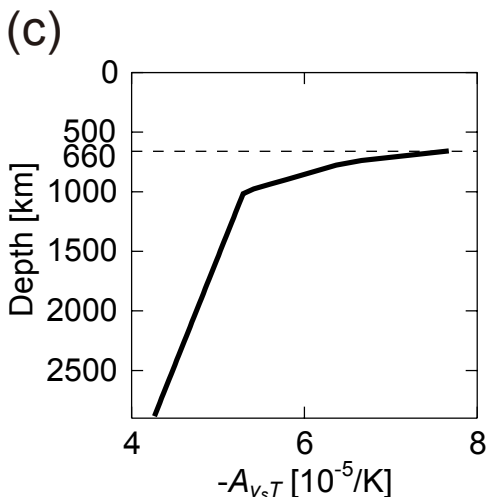
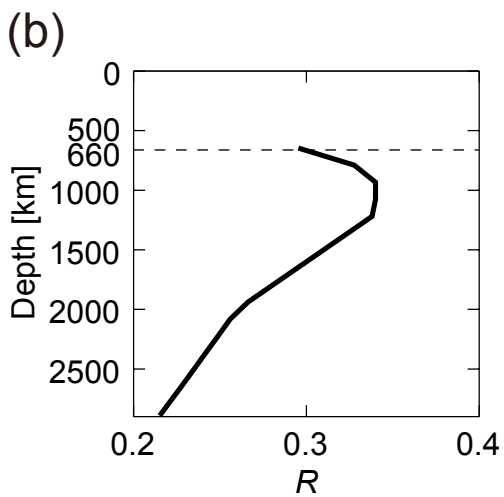
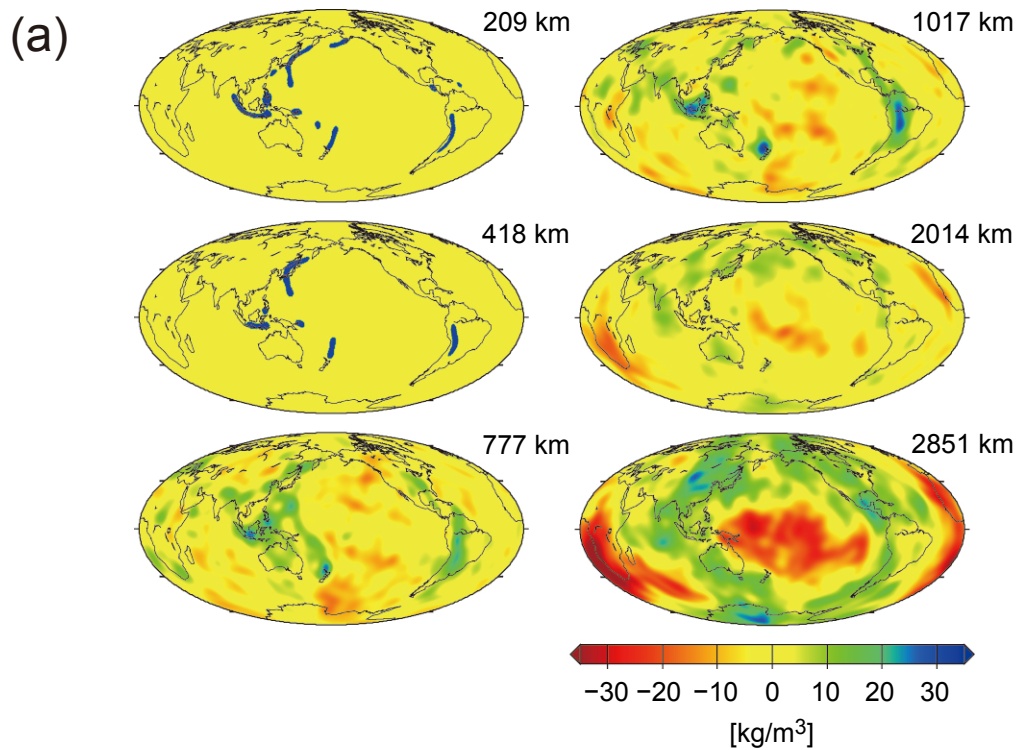


Fig.2 (Yoshida & Nakakuki)

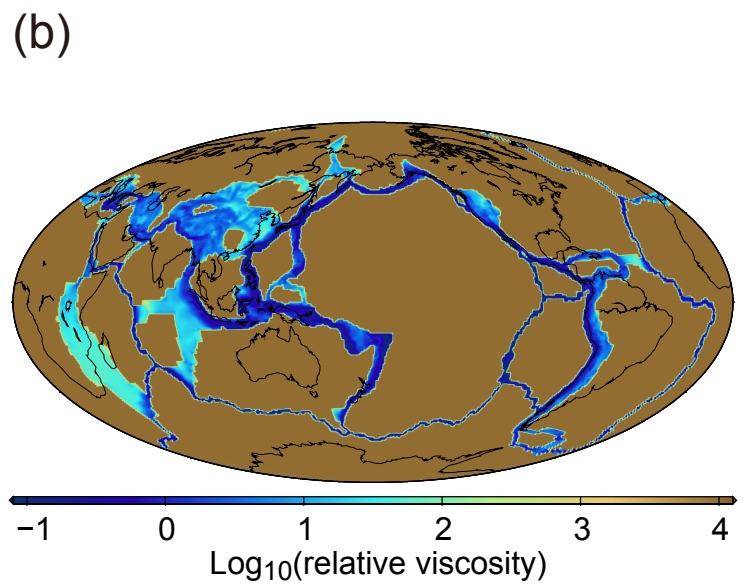
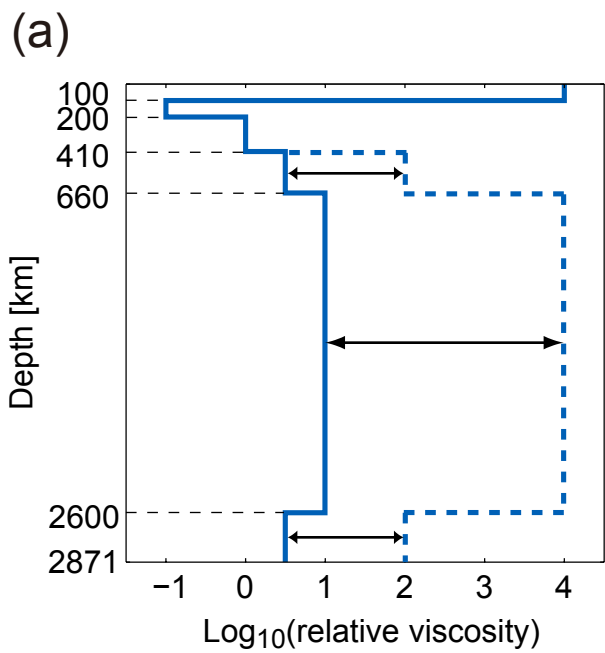


Fig.3 (Yoshida & Nakakuki)

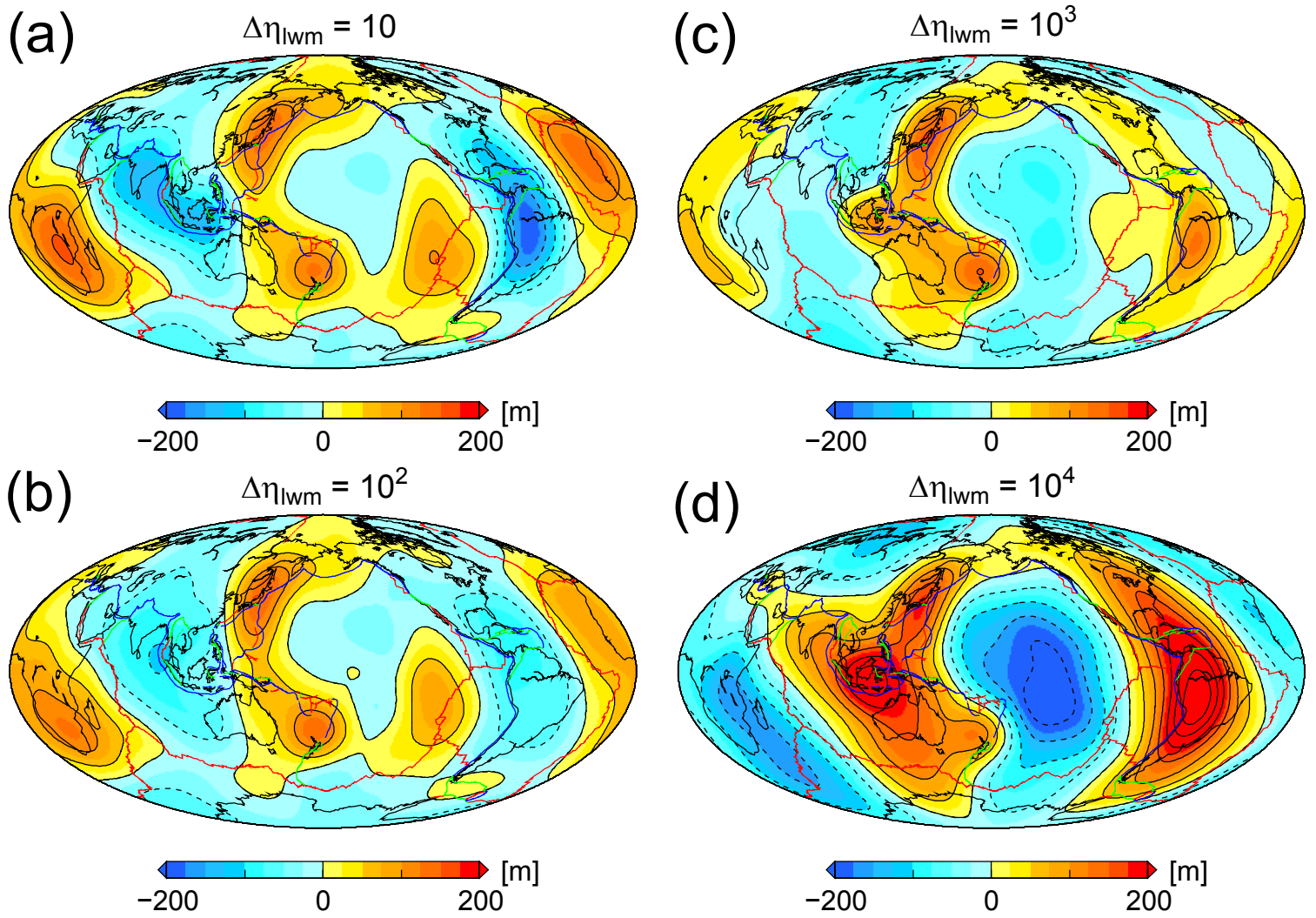


Fig.4 (Yoshida & Nakakuki)

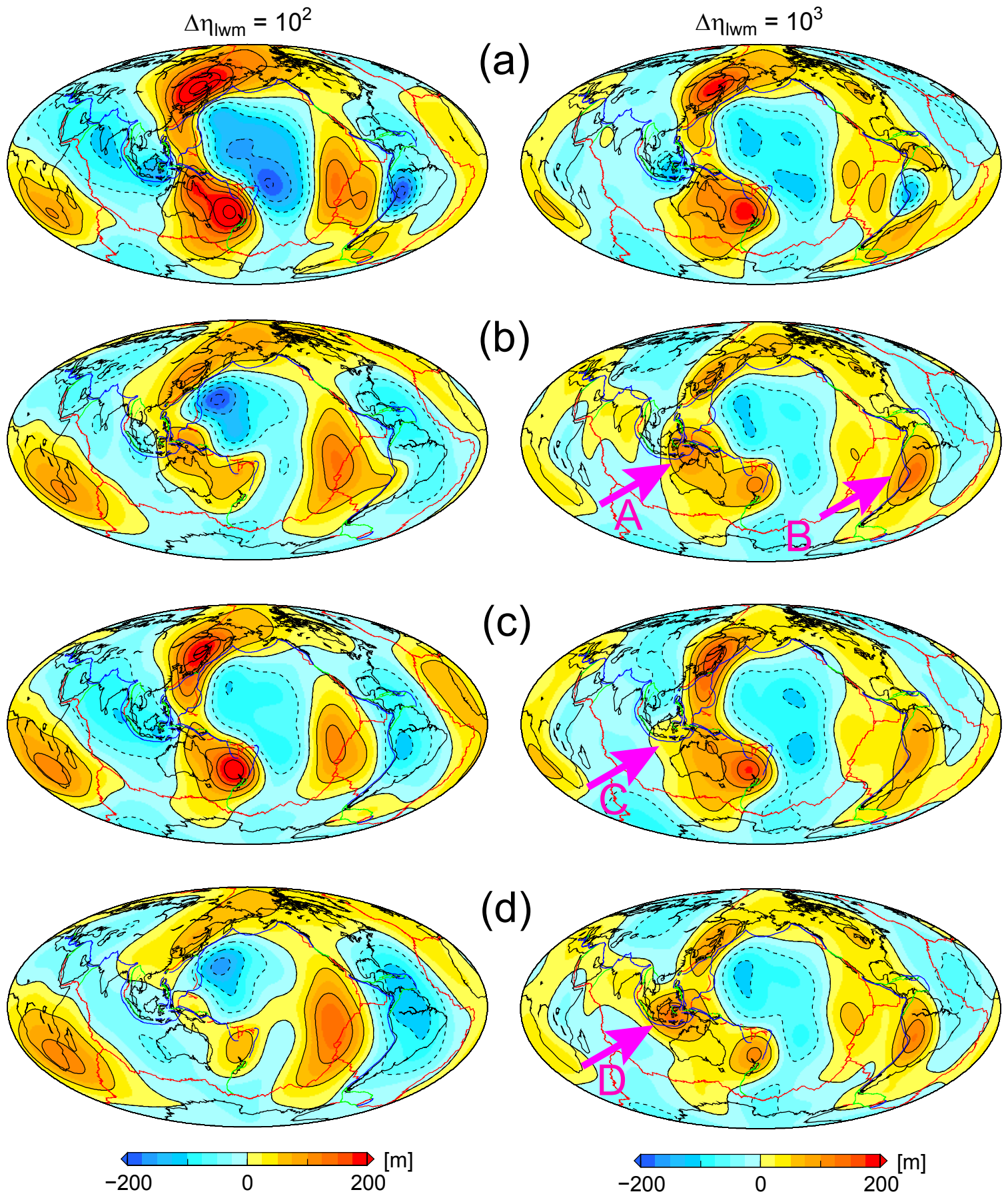


Fig.5 (Yoshida & Nakakuki)

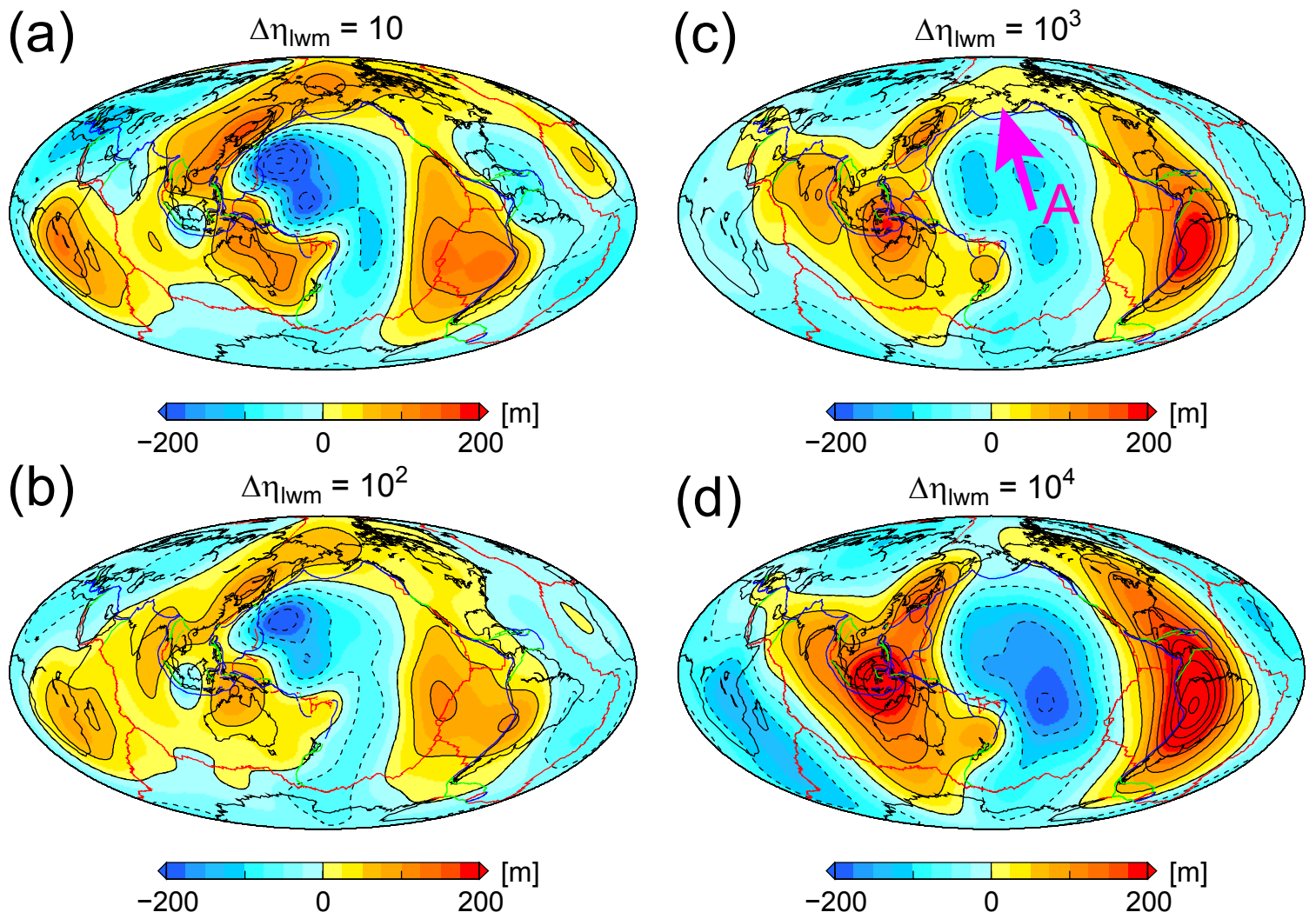


Fig.6 (Yoshida & Nakakuki)

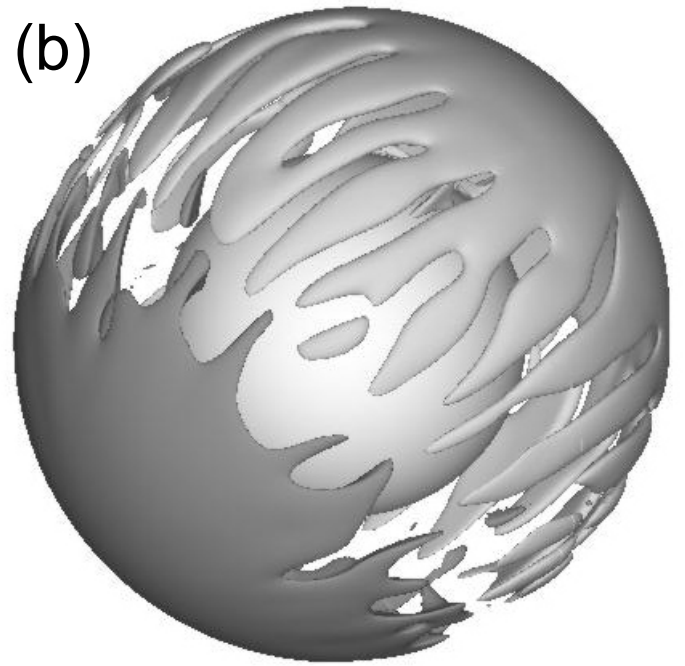
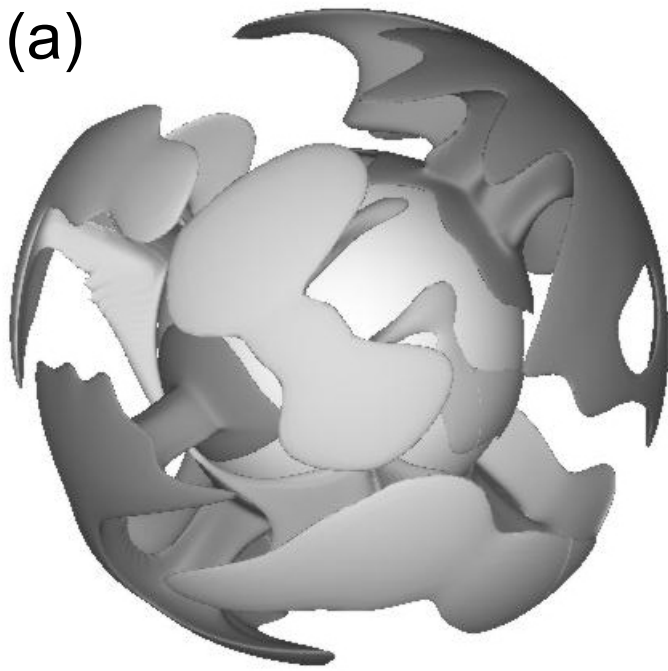


Fig.A.1 (Yoshida & Nakakuki)