

1 **Stratigraphic variation of transport properties and overpressure**  
2 **development in the Western Foothills, Taiwan**

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23

24 **Abstract**

25

26 Overpressure, fluid pressure higher than hydrostatic pressure, has developed  
27 below the middle Miocene formations in the north-central Western Foothills  
28 of Taiwan. To study the mechanism by which overpressure is generated and  
29 maintained in the Taiwan oil fields, we estimated the fluid pressure history  
30 and overpressure distribution by using a one-dimensional basin model  
31 incorporating laboratory-approximated hydraulic parameters. Transport  
32 properties of outcropping sedimentary rocks were measured at effective  
33 pressures of 5 to 200 MPa. All parameters showed apparent stratigraphic  
34 variation, decreasing with increasing burial depth. Permeability showed the  
35 strongest sensitivity to depth, decreasing by 6 orders of magnitude to  $10^{-20}$   
36  $\text{m}^2$  at the bottom of the basin. A critical sealing layer was not identified in

37 the geologic column. The basin model incorporates overburden loading due  
38 to sediment accumulation, aquathermal expansion of water, the dehydration  
39 reaction of expandable clay to nonexpandable clay, and oil generation.  
40 Predicted overpressure was generated dramatically from 3 Ma, when the  
41 accumulation rate increased rapidly as a result of tectonic collisions in the  
42 area. If we assume a fluid influx from the bottom of the basin, the predicted  
43 overpressure is consistent with the observed overpressure, implying that  
44 continuous inflow from depth, possibly along the décollement or normal  
45 faults, may be the main cause of overpressure generation in this area.  
46 Stratigraphic variation of transport properties, which decrease with depth,  
47 also influences overpressure trends in the Western Foothills, where  
48 overpressure is generated only in deeper horizons. The clay mineral  
49 distribution estimated by a kinetic smectite–illite dehydration model is  
50 consistent with the observed mineralogical data.

51

## 52 **1. Introduction**

53

54 Overpressure, which is fluid pressure higher than hydrostatic pressure, is

55 observed in numerous oil fields and thick sedimentary basin sequences at  
56 depth [Hunt, 1990; Law and Spencer, 1998]. Some basins (e.g., the Gulf  
57 Coast, Uinta Basin, Sacramento Basin, and Scotian Shelf in North America)  
58 [Bethke, 1986; Bredehoeft et al., 1994; McPherson and Garven, 1999] show  
59 over 20 MPa of elevated excess pressure, so that pressure in a part of the  
60 overpressured sections approaches the lithostatic level. Overpressure affects  
61 sediment consolidation [Hart et al., 1995] as well as groundwater circulation  
62 patterns [Harrison and Summa, 1991] and oil and gas generation and  
63 migration mechanisms [McPherson and Bredehoeft, 2001]. It also  
64 influences thrust fault strength and slip behavior, and the updip limit of the  
65 seismogenic zone [e.g., Moore and Saffer, 2001]. Prediction of the fluid  
66 pressure distribution at depth has useful engineering applications for  
67 prediction of oil and gas penetration. Numerous possible mechanisms of  
68 overpressure generation and maintenance in thick sedimentary basins have  
69 been described theoretically in recent decades [Bethke and Corbet, 1988;  
70 Luo and Vasseur, 1992; Osborn and Swarbrick, 1997; Wangen, 2001].  
71 Mechanical compaction from overburden loading is one of the main  
72 mechanisms of overpressure generation. Thermal expansion of water,

73 dehydration of clay minerals, hydrocarbon generation from source rocks,  
74 and inflow of water from depth are other probable mechanisms. The primary  
75 mechanism for the generation and maintenance of overpressure may differ  
76 depending on the local geological setting and conditions. Hydrocarbon  
77 generation is the most likely cause of overpressure in the Uinta Basin  
78 [McPherson and Garven, 1999], while sediment compaction is the main  
79 driving force in the Pleistocene Gulf Coast Basin [Hart et al., 1995]. The  
80 dominant hydraulic properties affecting the generation of excess fluid  
81 pressure in thick sedimentary basins are permeability and porosity and the  
82 poroelastic properties specific storage and loading efficiency (or Skempton's  
83 coefficient) [e.g., Wang, 2000]. Permeability and porosity show stratigraphic  
84 variation in sedimentary basins according to the lithology and the degree of  
85 mechanical and time- and temperature-dependent consolidation [Dutton and  
86 Diggs, 1992; Ingebritsen and Manning, 1999], causing these parameters to  
87 decrease with burial depth in sedimentary basins. Specific storage and  
88 loading efficiency are not as well documented as permeability and porosity,  
89 even though they are also important parameters controlling hydraulic  
90 transport in porous media. Bethke and Corbet [1988] suggested that the

91 nonlinear manner with which permeability and specific storage change with  
92 depth affects the behavior of overpressure generation in sedimentary basins.

93       Despite the importance of understanding the origin and generation of  
94 overpressure, few studies have incorporated detailed transport property data  
95 into numerical models [e.g., Bredehoeft et al., 1994]. It is also important to  
96 analyze quantitatively the relative importance of the various mechanisms  
97 that generate pore pressure. For our study, we selected the Western Foothills,  
98 Taiwan, as the case study area. In the north-central Western Foothills, where  
99 several of the major oil fields of Taiwan are found, high fluid pressures  
100 exceeding the hydrostatic gradient by 10 MPa (fluid:solid pressure ratio,  $\lambda$ ,  
101 is about 0.7) are observed at depth in several wells [Suppe and Wittke, 1977;  
102 Namson, 1982; Davis et al., 1983]. Suppe and Wittke [1977] suggested on  
103 the basis of the observed overpressure data that the fluid pressure  
104 distribution in the Western Foothills is controlled by stratigraphy rather than  
105 by burial depth. However, hydraulic data for the sedimentary basin sequence  
106 at this site have never been published, making it difficult to demonstrate the  
107 cause of the overpressure at depth in the Western Foothills. The stratigraphy  
108 and tectonic structure of the Western Foothills have been described in detail

109 [Namson, 1982], and geochemical analyses of petroleum generation have  
110 revealed the kinetic parameters of the source rocks of this oil field [Chiu and  
111 Chou, 1991; Chiu et al., 1996], providing useful data for application to  
112 modeling fluid pressures in the sedimentary basin sequence. Although Oung  
113 [2000] previously carried out a basin analysis in a Tertiary sedimentary  
114 basin offshore of Taiwan, he focused mostly on hydrocarbon generation,  
115 which is controlled by time- and temperature-dependent reactions.

116 In this study, we measured the hydraulic properties of sedimentary rocks  
117 from the Western Foothills under high confining pressure in laboratory tests,  
118 using samples collected from representative stratigraphic horizons. Then we  
119 used the data in a numerical model to estimate the fluid pressure distribution.  
120 Finally, we compared the predictions of our numerical model with observed  
121 borehole data. As we lacked information on fluid sources and the amount of  
122 fluid influx, we roughly evaluated how an influx of fluid would influence  
123 overpressure generation. In addition, we used a kinetic reaction model to  
124 predict the dehydration reaction of smectite to illite, because this  
125 geochemical process may contribute to overpressure generation in a thick  
126 sedimentary basin sequence.

127

128 **2. Geological setting and overpressure data**

129

130 Taiwan is on the boundary between the Philippine Sea and Eurasian  
131 tectonic plates (Fig. 1a). The Philippine Sea plate is subducting beneath the  
132 Eurasian plate along the Manila Trench, and the two plates are converging at  
133 an estimated rate of about 8 cm/year in a northwest–southeast direction [Yu  
134 et al., 1999]. Taiwan can be divided into several regions of distinct geology  
135 and physiographic character, which trend mainly north–northeast: from west  
136 to east, the Coastal Plain, the Western Foothills, the Hsueshan Range, the  
137 Central Range, and the Coastal Range. A structural front, the Shuichangliu  
138 fault, separates the nonmetamorphosed clastic Neogene sediments of the  
139 Western Foothills from the submetamorphic argillaceous Neogene and  
140 Paleogene rocks of the Central Range (Fig. 1b).

141 A thick sedimentary basin sequence has accumulated in the Western  
142 Foothills region as a result of the oblique collision between the Luzon Arc  
143 and the Chinese continental margin [Teng, 1990]. The major structure of the  
144 Western Foothills is a system of NNE-SSW-trending folds and west-vergent



145 thrust faults. The sedimentary sequence comprises mainly littoral to shallow  
146 marine Oligocene to Neogene rocks. The oil fields of Taiwan are in the  
147 north-central Western Foothills. The oil and gas fields are beneath the  
148 N-S-trending anticlines (Fig. 1b, 1c). The Chuhuangkeng oil field, the  
149 largest and oldest oil field in Taiwan, is about 14 km southeast of Miaoli city.  
150 In this area, geophysical and geochemical studies performed during oil and  
151 gas exploration provide abundant pore pressure data. The Chinese  
152 Petroleum Corporation has performed both in situ measurements during  
153 shut-in borehole tests and indirect sonic log measurements of fluid pressure  
154 in many of the oil fields in Taiwan [Suppe and Wittke, 1977; Namson, 1982;  
155 Davis et al., 1983]. Suppe and Wittke [1977] summarize the relationship  
156 among pore pressure, stratigraphy, and depth in the Western Foothills. (The  
157 stratigraphy of the north-central Western Foothills is summarized in Table 1,  
158 after Teng [1990] and Lee [2000].) Their data show that fluid pressure is  
159 very close to the hydrostatic gradient in shallower horizons, but  
160 overpressure has developed in the Early Miocene sedimentary rocks at 2 to  
161 4 km depth. The overpressure increases linearly with depth at fluid pressure  
162 gradients corresponding to  $\lambda = 0.7$ . The transition zone to overpressure is

163 located between the Talu Shale and the Piling Shale, and the transition zone  
164 is mostly within the Chuhuangkeng Formation (Table 1). They concluded  
165 that overpressure is stratigraphically controlled and that an effective  
166 permeability seal might be present in the vicinity of the Chuhuangkeng  
167 Formation.

168 All formations of the sedimentary sequence, from the Late Oligocene  
169 Wuchihshan Formation to the Early Pleistocene Toukoshan Formation, were  
170 deposited in succession and they include no unconformities (Table 1). The  
171 stratigraphy can be divided into two major tectonostratigraphic units. The  
172 older sequence consists of preorogenic sediments from the stable Chinese  
173 continental margin, and the younger consists of orogenic sediments that  
174 reflect the collision and deformation of the Chinese continental shelf and the  
175 development of mountainous topography in this region. This stratigraphic  
176 transition occurred at the beginning of the Pliocene (about 5 Ma), when the  
177 Luzon arc and the Chinese continent began to collide and the northern tip of  
178 the arc began to encroach on the continental shelf as an accretionary wedge  
179 that grew above sea level. The tectonic changes resulting from the collision  
180 affected the sedimentation rate in the Western Foothills region as well. As

181 the arc–continent collision progressed, the mountains of the Central Range  
182 uplifted more rapidly, shedding voluminous sediments into foreland basins  
183 (in the area of the Western Foothills). The sedimentation rate in the Western  
184 Foothills region, based on the accumulated sediment thickness versus age  
185 curve (Fig. 2; Lee [2000]), changed from 20 m/My before 3 Ma to a  
186 maximum of about 1500 m/My from 3 to 0.8 Ma. The surface folding  
187 probably occurred at about 0.5 Ma, after or during deposition of the  
188 Toukoshan Formation [Mouthereau and Lacombe, 2006]. The present  
189 surface in the study area is eroding or in a “steady state” condition, in which  
190 sediment accumulation and erosion rates are in balance. The current erosion  
191 rate in this area is very low [Dadson et al., 2003], though both erosion and  
192 loading may continue in several parts of the Western Foothills.

193 The Tertiary sediments in the north-central Western Foothills have been  
194 tectonically deformed, but active thrusting and folding has been confined to  
195 the shallow sedimentary rocks above the pre-Tertiary basement. The  
196 Chuhuangkeng anticline developed in the area is interpreted as a detachment  
197 fold (Fig. 1c). These thrust and anticline suggest the existence of a weak  
198 décollement at the base of the Upper Oligocene Wuchihshan Formation (Fig.

199 1c). This active décollement lies several kilometers below the top of the  
200 overpressured sequence. Recently acquired seismic data have also revealed  
201 that normal faults are developed within the pre-Tertiary basement, and some  
202 of the Paleogene normal faults have been reactivated as strike-slip faults  
203 [Mouthereau and Lacombe, 2006].

204

### 205 **3. Experimental apparatus and measurement**

206

207 We collected samples from outcrops of all Late Oligocene to Pleistocene  
208 formations in the Tungshih area of the Western Foothills for laboratory  
209 experiments (Fig. 1b). Samples of the Pliocene Cholan and the Pleistocene  
210 Toukoshan formations were collected in the central part of the Western  
211 Foothills, as these formations do not show characteristic differences  
212 between the north-central and central Western Foothills.

213 All samples for laboratory tests of hydraulic properties were cored and  
214 polished to cylindrical shapes. Then, the samples were dried at 80 °C in an  
215 oven for a week to eliminate pore water without removing structural water  
216 adsorbed to clay mineral surfaces. The specimens were 5 to 40 mm long and

217 20 mm in diameter. All experiments were performed in an intravessel oil  
218 pressure apparatus at Kyoto University at room temperature under uniform  
219 (isostatic) confining pressure. All parameters were measured by using  
220 nitrogen gas as the pore fluid, which enabled us to measure them more  
221 easily and quickly.

222

### 223 **3-1. Permeability measurement**

224

225 Permeability was measured by the steady-state gas flow method, with  
226 nitrogen gas as the pore fluid. A differential pore pressure was applied  
227 across the sample, and the volume of gas flowing through it per unit time  
228 was measured. Because a compressible gas was used as the pore fluid, the  
229 equation for evaluating the (intrinsic) gas permeability  $k_{gas}$  is expressed as  
230 follows [Scheidegger, 1974]:

$$231 \quad \frac{Q}{A} = \frac{k_{gas}}{\mu L} \frac{(P_{up})^2 - (P_{down})^2}{2P_{down}}, \quad (1)$$

232 where  $Q$  is the volume of fluid measured per unit time,  $A$  is the  
233 cross-sectional area of the sample,  $\mu$  is the viscosity of the pore fluid,  $L$  is  
234 the sample length, and  $P_{up}$  and  $P_{down}$  are the pore pressure at the upper and

235 lower ends of the specimen, respectively. In our apparatus,  $P_{up}$  was kept  
236 constant at a value between 0.2 and 2 MPa using a gas regulator, and the gas  
237 flow rate was monitored downstream of the samples with a commercial gas  
238 flow meter. Fluid flowing out of the specimen at the downstream end was  
239 released to atmospheric pressure, and  $P_{down}$  was assumed to have a constant  
240 value of 0.1 MPa.

241 The Klinkenberg effect [Klinkenberg, 1941], which enhances gas  
242 permeability, may cause significant error between gas and water  
243 permeabilities, especially at low pore pressure and low permeability.  
244 Therefore, the measured gas permeability was transformed to water  
245 permeability by using the Klinkenberg equation. The difference between gas  
246 and water permeabilities due to the Klinkenberg effect is expressed by the  
247 following relationship:

$$248 \quad k_{gas} = k \left( 1 + \frac{b}{(P_{up} + P_{down})/2} \right), \quad (2)$$

249 where  $k$  is the (intrinsic) permeability to water and  $b$  is the Klinkenberg  
250 factor, which depends on the pore structure of the medium and temperature  
251 of a given gas. In our experiments, gas permeability was measured four or  
252 five times at differential pore pressures ranging from 0.1 to 2.0 MPa at the

253 same confining pressure, and the resulting values were plotted against the  
254 inverse of the average pore pressure (Fig. 3). Then, we determined  $k$  and  $b$   
255 on the basis of the linear relationship described by equation (2).  
256 Permeabilities of most of the sedimentary rocks tested satisfied the  
257 Klinkenberg equation, as indicated by the linearity of the results.

258

### 259 **3-2. Porosity measurement**

260

261 Porosity change in response to confining pressure changes was determined  
262 by the gas expansion method [Scheidegger, 1974]. In this method, the  
263 volume of the gas contained in pore spaces of the rock sample is directly  
264 measured, and pore volume and porosity are evaluated by using the  
265 isothermal (Boyle-Mariotte) gas equations. The pore pressure change under  
266 undrained conditions is measured at each confining pressure step, and the  
267 change in pore volume is evaluated by using the following equation:

$$268 \quad P_0 V_{p0} = P_1 V_{p1} = \dots = P_i V_{pi} = P_{i+1} V_{p(i+1)}, \quad (3)$$

269 where  $P_0$  is the initial pore pressure at the initial total pore volume  $V_{p0}$  (the  
270 pore volume of the sample and the system pore volume) and  $P_1$  is the

271 equilibrium pore pressure, corresponding to the total pore volume  $V_{p1}$  at the  
272 first confining pressure step. Assuming that the entire volume change  
273 represents the pore volume change in the specimen (i.e., that the grains and  
274 system volume are relatively incompressible in comparison with the  
275 compressibility of the pores of the specimens), we calculated pore volume  
276 and porosity.

277

### 278 **3-3. Measurement of specific storage and Skempton's coefficient**

279

280 When fluid compression is considered, and assuming that the rock grains  
281 are much less compressible than pore spaces (e.g., compressibility of mica  
282 is  $1.2 \times 10^{-11} \text{ Pa}^{-1}$ , independent of pressure [Birch, 1966]), specific storage  
283  $S_s$  can be evaluated from the drained pore compressibility  $\beta_\phi$  and pore fluid  
284 compressibility  $\beta_f$  as follows:

$$285 \quad S_s = \beta_\phi + \Phi\beta_f, \quad (4)$$

286 where  $\Phi$  is porosity.

287 The drained pore compressibility is calculated as follows:



$$\beta_{\phi} = -\frac{1}{V_p} \frac{\partial V_p}{\partial P_c} \Big|_{P=0} = -\frac{1}{1-\Phi} \frac{\partial \Phi}{\partial P_c} \Big|_{P=0},$$

289 (5)

290 where  $V_p$  is pore volume,  $P_c$  is confining pressure, and  $P$  is pore pressure.

291 Even though pore pressure increases with the effective pressure during

292 porosity measurements, the pore pressure change is extremely small

293 compared with the confining pressure change (in our test, the pore pressure

294 change was less than 0.01 MPa for a step change in confining pressure of 10

295 MPa). We assumed that the condition of the sample was "drained" when

296 pore pressure was constant. Therefore, we could calculate the drained pore

297 compressibility from the results of the porosity test by using equation (5).

298 The derivative of porosity with respect to confining pressure,  $\partial\Phi/\partial P_c$ , was

299 interpolated between two median derivative values [Wibberley, 2002]. Fluid

300 compressibility  $\beta_f$  was assumed to be constant at  $4.4 \times 10^{-10} \text{ Pa}^{-1}$  in this

301 study.

302 The undrained pore pressure buildup coefficient, or Skempton's

303 coefficient  $B$ , is defined as

$$304 \quad B = \frac{\partial P}{\partial P_c} \Big|_{m_f=0} = \frac{\beta_{\phi}}{\beta_{\phi} + \Phi\beta_f}, \quad (6)$$

305 where  $m_f$  is the fluid mass content in porous materials. Equation (6) is a  
306 simplified equation that assumes that both unjacketed bulk compressibility  
307 and unjacketed pore compressibility are negligible. Skempton's coefficient  
308 can also be expressed in terms of porosity and drained pore compressibility  
309 [Green and Wang, 1986]. In the simplest case of Terzaghi's [1925]  
310 consolidation model, in which the pore fluid is incompressible ( $\beta_f = 0$ ),  $B$   
311 becomes 1. In this study, we used equation (6) to estimate Skempton's  
312 coefficient  $B$  from the drained pore compressibility  $\beta_\phi$ , which we also used  
313 with equation (4) to estimate specific storage  $S_s$ .

314

## 315 **4. Experimental results: transport property measurements**

316

### 317 **4-1. Permeability**

318

319 Cyclic effective pressure tests were performed on all specimens. Confining  
320 pressure was first increased from 0 to 200 MPa (or up to the confining  
321 pressure at which permeability reached its technical limitation;  $k_{gas} = 10^{-19}$   
322  $\text{m}^2$ ), and then decreased to 5 MPa. The permeability of the specimen was

323 measured at various confining pressure steps. Gas flow rates achieved stable  
324 values within 10 minutes after the change of confining pressure and pore  
325 pressure, and a time dependence of permeability was not clearly observed  
326 during the experiments.

327 In the pressure cycling tests on sandstones and siltstones, initial  
328 permeability at 5 MPa ranged from  $10^{-14}$  to  $10^{-17}$  m<sup>2</sup>, and permeability  
329 decreased as effective pressure increased (Fig. 4). The pressure sensitivity of  
330 permeability varied among specimens and decreased as effective pressure  
331 increased. The permeable Shangfuchi Sandstone (sample 4B2, the sampling  
332 location is the circled number 4 in Fig. 1b) and Kuanyinshan Sandstone  
333 (samples 7A2, and 7A3, the sampling location is the number 7) showed low  
334 sensitivity to effective pressure, and permeability decreased by less than 1  
335 order of magnitude from the initial permeability even at the maximum  
336 effective pressure. In other samples, permeability was decreased by 2 to 4  
337 orders of magnitude at the maximum effective pressure. The permeability  
338 change was relatively small during unloading, and permeability did not fully  
339 recover its initial value even at the lowest effective pressure. This common  
340 behavior implies that permeability records the effective pressure history.

341 The cyclic pressure behavior of siltstones (Fig. 4b) was similar to that of  
342 sandstones, though several siltstone samples (18C2, 18C3, and Cholan Fm)  
343 showed stronger sensitivity to effective pressure than the sandstones. In  
344 general, the permeability of sandstone was 2 to 3 orders of magnitude larger  
345 than that of siltstone in the same unit (e.g., in the Cholan Formation).

346

#### 347 **4-2. Porosity**

348

349 In sandstone, initial porosity ranged between about 5% and 20%, and  
350 porosity decreased as effective pressure increased, though only by 1% to 5%  
351 at the maximum effective pressure (Fig. 5a). The porosity change became  
352 less as effective pressure increased. As effective pressure decreased,  
353 porosity increased but did not recover its initial value, similar to the  
354 behavior of permeability. The pore volume reduction with the step increase  
355 of confining pressure ceased within 30 minutes, and further compaction was  
356 not observed under same confining pressure, suggesting that the  
357 time-dependence of porosity changes during the tests was negligible. In less  
358 porous rocks (porosity less than 5%), mostly Early Miocene and Late

359 Oligocene sedimentary rocks, porosity changes were extremely small,  
360 whereas in more porous rocks, porosity showed larger pressure sensitivity.  
361 Porosity changes did not differ significantly between sandstones and  
362 siltstones.

363

#### 364 **4-3. Specific storage**

365

366 First, drained compressibility values, necessary for the estimation of  
367 specific storage, were estimated from the porosity data (Fig. 5a). Pore  
368 compressibility showed the same pressure sensitivity as porosity, and  
369 compressibility during loading was generally larger than that during  
370 unloading in the same specimen. Initially, specific storage ranged from  $2 \times$   
371  $10^{-9}$  to  $5 \times 10^{-10} \text{ Pa}^{-1}$ ; it decreased rapidly by 1 order of magnitude with  
372 increasing effective pressure, and then approached a stable value (Fig. 5b).  
373 The pressure sensitivity of specific storage also decreased as effective  
374 pressure increased. Even though porosity differed greatly among specimens,  
375 the specific storage reduction curves were quite similar. Specific storage of  
376 most samples decreased to nearly  $10^{-10} \text{ Pa}^{-1}$  at high confining pressure.

377 Specific storage of some less porous samples decreased to less than  $10^{-10}$   
378  $\text{Pa}^{-1}$  at high confining pressure.

379

#### 380 **4-4. Skempton's coefficient**

381

382 Skempton's coefficient was also evaluated from the drained pore  
383 compressibility values estimated from the porosity measurements, using  
384 only the loading-path porosity data. Initial values of Skempton's coefficient  
385 were close to 1 in all samples, and the values decreased to 0.5–0.7 at  
386 maximum effective pressure (Fig. 5c). In both sandstone and siltstone  
387 samples, Skempton's coefficient decreased linearly with increasing effective  
388 pressure, but the slope of the line differed among samples. In sandstones,  
389 Skempton's coefficient was more sensitive to effective pressure changes  
390 than in siltstones.

391

#### 392 **4-5. Stratigraphic variation of transport properties**

393

394 By plotting the hydraulic properties of the rocks from the Taiwan oil field,

395 determined in the laboratory, against effective pressures equivalent to the  
396 previous maximum burial depth of the specimens to approximate in situ  
397 values, we estimated the stratigraphic variation of the transport properties of  
398 the rocks (Fig. 6) under hydrostatic pore pressure. The stratigraphic plot  
399 showed that permeability decreased as the depth of the stratigraphic horizon  
400 increased (Fig. 6a). In the uppermost horizon, permeability was  $10^{-14} \text{ m}^2$ , but  
401 it was less than  $10^{-20} \text{ m}^2$  in Early Miocene rocks. Although a sealing layer  
402 could not be clearly identified in the column, permeability values in the  
403 Chinshui shale were more than 1 order of magnitude smaller than those in  
404 adjoining units. A large permeability gap, a difference of nearly 2 orders of  
405 magnitude, was also recognized between the Kuanyinshan Formation and  
406 the Talu Shale. Permeability in the Chuhuangkeng Formation was small, but  
407 it was not a sealing layer because no marked difference in permeability was  
408 recognized between the Chuhuangkeng Formation and its adjacent units, the  
409 Peiliao Sandstone and the Piling Shale. A log-linear plot using the minimum  
410 permeability values of each stratigraphic unit showed a linear trend, and  
411 permeability and effective pressure were related as follows:

$$412 \quad k = k_0 \exp(-\gamma Pe), \quad (7)$$

413 where  $k_0$  is the permeability at effective pressure  $Pe = 0$  MPa and  $\gamma$  is the  
414 pressure sensitivity constant of permeability. This equation has the same  
415 form as that of David et al. [1994]. A large value of the sensitivity constant  $\gamma$   
416 indicates that the loss of permeability becomes larger as effective pressure is  
417 increased. For  $k_0 = 10^{-14}$  m<sup>2</sup>, suitable values of the constant  $\gamma$  are from 0.12  
418 to 0.18 MPa<sup>-1</sup>.

419 At 50 to 80 MPa of effective pressure, corresponding stratigraphically to  
420 the Kueichulin to Peiliao formations, porosity data show a wide scatter (Fig.  
421 6b). However, porosity decreased with depth when the lowest measured  
422 porosity values of each stratigraphic unit were selected. Porosity in the  
423 uppermost horizons was about 20%, and it decreased to 3% in the lowest  
424 horizon. The empirical relationship between porosity and effective pressure  
425 is described by Athy's law [1930]:

$$426 \quad \Phi = \Phi_0 \exp\left(-\frac{\alpha}{\rho_e g} Pe\right), \quad (8)$$

427 where  $\Phi_0$  is the initial porosity at 0 MPa of effective pressure and  $\alpha$  is the  
428 compaction constant.  $\rho_e$  is the effective density, which is the difference  
429 between the bulk density of the sedimentary rocks  $\rho_s$  and water density  $\rho_w$ ,  
430 and  $g$  is gravitational acceleration. If the initial porosity is assumed to be



431 60%, a value often used for unconsolidated soils, then the experimental data  
432 can be fitted for values of  $\alpha$  from  $4 \times 10^{-4}$  to  $8 \times 10^{-4}$  MPa<sup>-1</sup> (Fig. 6b).

433 In the shallowest horizon, specific storage was around  $10^{-9}$  Pa<sup>-1</sup>, and it  
434 decreased linearly with depth in a log-linear plot (Fig. 6c). Specific storage  
435 decreased to less than  $10^{-10}$  Pa<sup>-1</sup> in the deepest horizon; thus, its pressure  
436 sensitivity was quite small compared with that of permeability. By  
437 combining the differential form of Athy's law in equation (8) with equation  
438 (4), the following relationship between specific storage and porosity is  
439 obtained [Bethke and Corbet, 1988]:

$$440 \quad Ss = \left( \frac{\alpha}{(1-\Phi)\rho_e g} + \beta_f \right) \Phi. \quad (9)$$

441 Therefore, specific storage is also a function of effective pressure. We  
442 compared the experimentally obtained values of specific storage with those  
443 predicted by equation (9) for the values of  $\alpha$  that fitted the porosity data and  
444 found that the measured specific storage values were about 1 order of  
445 magnitude less than those predicted by equation (9).

446 Skempton's coefficient  $B$  decreased linearly from 1 to 0.7 as effective  
447 pressure increased from 0 to 100 MPa (Fig. 6d). This relationship can be  
448 stated as

449  $B = 1 - \zeta \times Pe,$  (10)

450 where  $\zeta$  is the constant of effective pressure sensitivity relative to  $B$ . Our  
451 data were well-fitted by this equation for  $\zeta$  in the range of 0.002 to 0.004  
452 MPa<sup>-1</sup>. By combining equation (6) and Athy's law (equation 8), Skempton's  
453 coefficient can also be expressed as a function of effective pressure.  
454 According to this approximation curve,  $B$  remains near 1 as effective  
455 pressure increases even when the compaction constant  $\alpha$  is changed, a result  
456 that is much different from our experimental result.

457

## 458 **5. Numerical modeling of overpressure generation**

459

### 460 **5-1. Sedimentation model and its relevant hydraulic parameters**

461

462 To evaluate the overpressure generation history of the oil field, we applied  
463 a one-dimensional sedimentation model modified from Bethke and Corbet  
464 [1988], Luo and Vasseur [1992], Furbish [1997], and Wangen [2001], and  
465 based on the work of Gibson [1958]. The tectonic deformation history of the  
466 Western Foothills from Late Oligocene to the present is complicated,

467 making it difficult to construct a multi-dimensional model. As our focus was  
 468 the influence of stratigraphic variation of transport properties on  
 469 overpressure generation, we employed a simplified one-dimensional model.  
 470 In our model, sediments accumulate on an impermeable (basement flux  $q =$   
 471  $0$  m/s or permeability  $k = 0$  m<sup>2</sup>) or permeable basement ( $q > 0$  m/s) at  
 472 vertical coordinate  $z = 0$  m, and the sediment surface ( $z = l(t)$ ) rises as  
 473 sediment accumulates (Fig. 7). The model equation can be written as  
 474 follows (see Appendix A):

$$475 \quad \frac{\Delta P}{dt} = \frac{1}{S_s} \frac{\partial}{\partial z} \left( \frac{k}{\mu} \frac{\partial}{\partial z} P \right) + B \frac{\Delta P_c}{dt} + \frac{1}{S_s} \left( \Phi \alpha_f \frac{\Delta T}{dt} + Q_{deh} + Q_{oil} \right). \quad (11)$$

476 The initial and boundary conditions, which assume no influx of fluid from  
 477 the basement, are

$$478 \quad \begin{aligned} l &= 0 & t &= 0 \\ P(l, t) &= 0 & t &> 0 \\ \left. \frac{\partial P}{\partial z} \right|_{z=0} &= 0 & t &> 0 \end{aligned}$$

479  $\alpha_f$  is the thermal expansibility of the fluid, and the thermal expansibility of  
 480 the grain matrix is assumed to be 0.  $T$  is temperature,  $Q_{deh}$  represents the  
 481 pore pressure generation term for dehydration of clay minerals, and  $Q_{oil}$  is  
 482 the pore pressure generation term for hydrocarbon generation. Equation (11)  
 483 is based on Darcy's law, the mass conservation law for both the fluid and the

484 grain matrix, and Terzaghi's effective pressure law ( $P_e = P_c - \tau P$ ), where the  
485 Biot-Williams coefficient  $\tau$  is assumed to be 1. Equation (11) takes into  
486 account overburden loading with disequilibrium compaction, aquathermal  
487 pressuring, dehydration of clays, and hydrocarbon generation.  $\Delta P_c/dt$  is  
488 equivalent to the burial rate  $\omega$ .  $\Delta T/dt$  is related to both the geothermal  
489 gradient and the burial rate. The transport properties permeability, specific  
490 storage, and Skempton's coefficient in equation (11) vary with depth, as we  
491 showed in the laboratory tests. All transport property values used in the  
492 model were based on the laboratory results. Equations (7), (8), and (10),  
493 which describe the effective pressure sensitivities of permeability, porosity,  
494 and Skempton's coefficient, were also used for the numerical simulation. We  
495 used a curve fitted to the specific storage values (shown in Figure 6c) for the  
496 simulation. The other parameter values used in the numerical analysis are  
497 listed in Table 2. The transport properties permeability, specific storage, and  
498 Skempton's coefficients depend on both depth (or vertical loading) and pore  
499 fluid pressure. Therefore, all parameters are described as a function of  
500 effective pressure, which changes with confining pressure and pore pressure.  
501 The sedimentation history, one of the most difficult to determine parameters

502 required for basin analysis, was based on the sediment accumulation history  
503 reported by Lee [2000] (Fig. 2). Our numerical analysis starts from the  
504 deposition of the Shuichangliu Formation at 30 Ma, after which sediments  
505 accumulated continuously. Present erosion rates of 1 to 4 km/My in the  
506 northern Western Foothills have been reported by Dadson et al. [2003], but  
507 the temporal change in the exhumation rate is not known. Therefore, we  
508 assumed that no erosion occurred after sedimentation ceased at 0.8 Ma,  
509 which is when, according to our model, generation of excess fluid pressure  
510 ceased, though it is probable that deposition is continuing in several areas of  
511 the Western Foothills. We assumed the geothermal gradient at this site to be  
512 30 °C/km on the basis of measured data reported by Suppe and Wittke  
513 (1977).

514

#### 515 **5-2. Dehydration submodel (smectite = illite + water)**

516

517 The dehydration submodel associated with overpressure generation  
518 presented here is based on the work of Pytte and Reynolds [1988], Audet  
519 [1995], and Wangen [2001]. The dehydration model of Pytte and Reynolds

520 [1988] reasonably explains the field evidence for a smectite to illite  
521 transition. The amount of water derived from dehydration of smectite is  
522 calculated from the loss of smectite according to the following chemical  
523 reaction:



525 which indicates that  $n$  moles of water are released when 1 mole of smectite  
526 is converted to 1 mole of illite. The kinetic model of the illitization of  
527 smectite is

$$528 \quad \frac{\partial \Phi_{sm}}{\partial t} = -A_{sm} \exp\left(-\frac{E_{sm}}{RT}\right) \times (\Phi_{sm})^\alpha \times \left[74.2 \exp\left(-\frac{2490}{T}\right)\right]^\beta, \quad (13)$$

529 where  $\Phi_{sm}$  is the volume fraction of smectite (the mole fraction or  
530 concentration of smectite is often used instead of the volume fraction). The  
531 constant  $A_{sm}$  is the pre-exponential constant for the smectite transition,  $E_{sm}$  is  
532 the activation energy required for the reaction, and  $R$  is the gas constant. A  
533 fifth-order kinetic expression was used for the basin analysis: fourth-order  
534 with respect to the smectite fraction,  $\alpha = 4$ , and first-order with respect to  
535 the potassium fraction,  $\beta = 1$  [Elliott et al., 1991].  $\Phi_{sm}$  can be obtained by  
536 solving differential equation (13). In our model, the temperature that  
537 smectite experiences,  $T$ , is described as a function of depth and time, based

538 on the sedimentation history (Figure 2) and a geothermal gradient of  
539 30 °C/km .

540 The  $Q_{deh}$  term in equation (11) is calculated as follows:

$$541 \quad Q_{deh} = -n \frac{V_f}{V_{sm}} \frac{\partial \Phi_{sm}}{\partial t}, \quad (14)$$

542 where  $V_f$  and  $V_{sm}$  are the molar volumes of water and smectite, respectively.

543 The parameter values used for the numerical model are shown in Table 2.

544

### 545 **5-3. Hydrocarbon generation submodel**

546

547 A submodel for the transformation of kerogen to oil is also applied in our  
548 model [Wangen, 2001]. In this submodel, the secondary oil to gas cracking  
549 and kerogen to gas reactions are ignored, the single-phase flow of water is  
550 assumed, and oil and gas flows are neglected. Therefore, overpressure can  
551 be caused by a difference of density between kerogen and oil. By applying  
552 first-order kinetics with an Arrhenius-type parallel reaction equation to the  
553 hydrocarbon reaction model, the fluid pressure generation factor due to oil  
554 generation  $Q_{oil}$  is calculated as follows:

555 
$$Q_{oil} = \left( \frac{\rho_k}{\rho_o} - 1 \right) \sum_{i=1}^n A_{ki} \exp\left( -\frac{E_{ki}}{RT} \right) x_i \Phi_k, \quad (15)$$

556 where  $i$  indicates the  $i^{\text{th}}$  reaction,  $x_i$  is the initial fraction of reactant of the  $i^{\text{th}}$   
557 reaction,  $\Phi_k$  is the volume fraction of the total kerogen component,  $\rho_k/\rho_o$  is  
558 the density ratio of kerogen to oil, and  $A_{ki}$  and  $E_{ki}$  are the pre-exponential  
559 constant and the activation energy of the kerogen reaction corresponding to  
560 the  $i^{\text{th}}$  reaction, respectively.

561 The kinetic properties of kerogen from the western Taiwan Basin are  
562 known [Chiu and Chou, 1991; Chiu et al., 1996]. Geochemical analysis has  
563 shown that total organic carbon (TOC) in all stratigraphic sequences is  
564 generally less than 1.0% (Table 1). Rock Eval pyrolysis and computational  
565 analysis have shown that the kerogen in the Taiwan oil field is of continental  
566 origin and has a high oxygen content. The activation energy of the dominant  
567 fraction centers around 62 kcal/mol in most rocks, suggesting that  
568 significant maturation is necessary to generate the expected amount of oil.  
569 The Arrhenius constant is between  $2.6 \times 10^{15}$  and  $2.1 \times 10^{16} \text{ s}^{-1}$  [Chiu et al.,  
570 1996]. In our submodel, the kinetic parameter values of the Talu Shale [Chiu  
571 et al., 1996] are used as representative values because the kinetic parameter  
572 values of several formations are unknown. The same temperature history



573 used for the smectite dehydration model (Fig. 2) was also used for the  
574 kinetic hydrocarbon generation reaction.

575

#### 576 **5-4. Viscosity and thermal effect**

577

578 The viscosity of water  $\mu$  depends on temperature  $T$ , as follows [Fontaine et  
579 al., 2001]:

$$580 \mu = 2.414 \times 10^{-5} \times 10^{(247.8)/(T+133)} \quad (16)$$

581 The pressure dependence of viscosity is not considered, because the  
582 pressure sensitivity of viscosity is small compared with its temperature  
583 dependence. The geothermal gradient in our study area in the Western  
584 Foothills is from 25 to 38 °C/km [Suppe and Wittke, 1977], and fluid  
585 viscosity decreases by 1 order of magnitude between the surface and 8 km  
586 depth, from 0.001 to 0.0001 Pa·s.

587

### 588 **6. Numerical simulation result**

589

#### 590 **6-1. Overpressure history and distribution**

591

592 The numerical simulation results for overpressure estimation in the  
593 north-central Western Foothills, in the case of no fluid flux at the bottom of  
594 the sedimentary basin sequence, are shown in Figure 8a. No overpressure  
595 was generated from 30 to 3 Ma at any depth, and then overpressure was  
596 rapidly generated from 3 Ma (Fig. 8a). The period of rapid overpressure  
597 generation coincides with the period of rapid sediment accumulation due to  
598 the early Late Miocene collision, which caused a large amount of orogenic  
599 sediment to be deposited in the Western Foothills region. After the  
600 sedimentation rate reaches 0 m/My, excess fluid pressure dramatically drops  
601 in all formations, and a large amount of the overpressure is dissipated. The  
602 predicted fluid pressure distributions at present (0 Ma) and at 0.8 Ma are  
603 plotted in Figure 8b. Notice that the observed overpressure data shown in  
604 Figure 8b [Suppe and Wittke, 1977] are shifted to match the stratigraphic  
605 horizons with our numerical simulation curves (the observed data were  
606 shifted downward because erosion occurred at some observation sites and  
607 the erosion rate varied among locations). The numerically modeled curves  
608 in Figure 8b show lower values at depth than the observed overpressure

609 values. The values indicated by the fluid pressure curve at the maximum  
610 fluid pressure during the sedimentation period (0.8 Ma) are also smaller  
611 than the observed values (Fig. 8b), though the trend of these curves at 0.8  
612 Ma is similar to the observed trend.

613 Figure 9 illustrates the numerically modeled overpressure distribution at  
614 0.8 Ma. We calculated the overpressure for several values of  $\alpha_f$ ,  $n$ , and  $\Phi_k$   
615 (see Table 2) to investigate the influence of sediment compaction,  
616 geothermal pressuring, clay mineral dehydration, and hydrocarbon  
617 generation on overpressure generation in this oil field. In each case,  
618 overpressure was generated below 4 to 5 km depth, and the overpressure  
619 increased with depth. The transition zone in the numerical simulation results  
620 is around the Talu Shale and Peiliao formations, which is consistent with the  
621 observed data. The difference between curve A, which includes only  
622 sediment loading as a generation factor, and curve B, which also includes  
623 the geothermal expansion of fluid, is small, suggesting that the thermal  
624 expansion of water did not significantly contribute to overpressure  
625 generation. Curves C and D include the clay mineral dehydration factor, and  
626 much more overpressure is generated compared with curves A and B.

627 Curves E and F add the hydrocarbon generation factor to curve B. These  
628 results indicate that clay mineral dehydration controls excess fluid pressure  
629 more than hydrocarbon generation. However, in each case, the generated  
630 overpressure is significantly smaller than the observed overpressure and it  
631 decreases rapidly by 0 Ma.

632 Figure 10 show simulated results when continuous fluid influx at the  
633 bottom of the sedimentary basin is incorporated; we assumed the fluid  
634 influx to be constant throughout the sedimentation history (30 to 0 Ma). The  
635 evolutionary history of the simulated overpressure when a fluid influx of  $1 \times$   
636  $10^{-12}$  m/s is assumed is shown in Figure 10a. Overpressure is dramatically  
637 generated from 3 Ma, just as when no flux is assumed, but the amount of  
638 overpressure generated is much larger than that generated when no flux is  
639 assumed. Overpressure begins to decrease from 0.8 Ma, but remains at a  
640 high level until the present. The stratigraphic distribution of the  
641 overpressure is shown in Figure 10b. With an increase in the fluid influx, the  
642 predicted overpressure also increases and produces a fluid pressure trend  
643 similar to the observed trend.

644

645 **6-2. Smectite–illite transition**

646

647 The estimated volume fraction transition of smectite in this area at various  
648 stratigraphic ages is shown in Figure 11. The initial volume fraction of  
649 smectite was assumed to be constant at 0.2 in all formations [Wangen, 2001].  
650 Most of the smectite is dehydrated at the depth of around 3 to 4 km at all  
651 ages. After the cessation of sedimentation in 0.8 Ma, the transition depth  
652 became shallower; the current transition depth is at around 3.5 km. The  
653 numerical simulation results show that at present most smectite has  
654 disappeared at 5 km depth. The results of a qualitative analysis of the clay  
655 mineral composition of all formations by X-ray diffraction indicate that  
656 smectite has disappeared from the Kuanyinshan Sandstone and below,  
657 which is consistent with the numerical simulation curve, though illite is  
658 present at all depths.

659

660 **7. Discussion**

661

662 The overpressure in the Western Foothills predicted by numerical modeling

663 that incorporates experimental data is much lower than the overpressure  
664 observed in boreholes if no fluid influx at depth is assumed. On the other  
665 hand, when a fluid influx is assumed, the model results show that a large  
666 amount of fluid pressure is produced and maintained for a long time, a result  
667 that is consistent with the observed overpressure data. Therefore, a  
668 continuous fluid influx may be the main factor accounting for the  
669 maintenance of overpressure in the Taiwan oil field. There are several lines  
670 of geological evidence that a large amount of water can be discharged from  
671 the deep crust [Rumble, 1994]. Prograde metamorphism due to subduction  
672 of the crust likely results in the release of water by a dehydration reaction  
673 [Ague et al. 1998]. In the case of the Western Foothills, part of the  
674 continental Eurasian plate subducts along with the Philippine Sea plate  
675 [Lallemant et al., 2001]. Therefore, muscovite and biotite of continental  
676 origin may be the source minerals for metamorphic dehydration [Wong et al.,  
677 1997]. Some of the marine sediments of the subducting Philippine Sea plate  
678 may also have released water in response to regional metamorphism. The  
679 décollement and the plate boundary may be the pathway for influx of deeply  
680 sourced fluid. We also propose that additional fluids may migrate vertically

681 through the lower sedimentary sequence or through the normal faults that  
682 formed along with the South China Sea in the middle Tertiary [Mouthereau  
683 and Lacombe, 2006].

684 The predicted fluid pressure increase is hydrostatic at shallow depths,  
685 and overpressure is gradually generated at the depths of the Middle Miocene  
686 formations, similar to the observed pressure trend. Furthermore, we could  
687 not identify any clear impermeable sealing layer. These results indicate the  
688 importance of stratigraphic change in transport properties, which in general  
689 decrease with depth as a result of time- and depth-dependent consolidation,  
690 as pointed out by Bethke and Corbet [1988]. If the transport properties were  
691 constant at all depths, overpressure would be generated at a shallower depth  
692 and would become constant at depth [Bredehoeft and Hanshaw, 1968]. All  
693 transport properties showed stratigraphic decreases, though the amount of  
694 decrease differed among parameters. Both permeability and specific storage  
695 are diffusive parameters that affect hydraulic conductivity ( $= k/Ss \cdot \mu$ ), but the  
696 change in permeability was much larger than that in specific storage. This  
697 suggests that permeability contributes more to the shape of the pressure  
698 distribution than specific storage. Permeability showed a decrease of about 6

699 to 7 orders of magnitude over a depth range of 8 km. This depth dependence  
700 is not much different from the decrease of about 3 orders of magnitude over  
701 a depth range of 4 km in the Denver basin reported previously [Beltz and  
702 Bredehoeft, 1988], though the permeability reduction with depth is larger in  
703 some other basins [Dutton and Diggs, 1992; Bour and Lerche, 1994]. The  
704 nonlinearity of the loading efficiency indicates that it becomes more  
705 difficult to generate overpressure by sediment loading with increasing depth.

706 Our numerical simulation shows that a large amount of overpressure was  
707 generated by 0.8 Ma as a result of the acceleration of sediment loading from  
708 3 Ma. The burial rate of 1500 m/My during the Late Pliocene is relatively  
709 high compared with that in many other basins [McPherson and Garven,  
710 1999; McPherson and Bredehoeft, 2000], but it is comparable to the rate in  
711 the Gulf Coast Basin, where anomalous high pressure has also evolved  
712 ( $>1000$  m/My) [Bethke, 1986; Harrison and Summa, 1991]. This result also  
713 suggests that rapid sedimentation and the corresponding increase in  
714 sediment thickness was required to maintain the excess fluid pressure at  
715 depth in the Western Foothills.

716



717 **7-1. Potential errors in the hydraulic parameters**

718

719 Our numerical analysis results showed that an influx of extra fluid can  
720 explain the maintenance of overpressure in the Western Foothills, but the  
721 hydraulic parameter values evaluated in the laboratory tests may incorporate  
722 certain errors. Correction of these errors might allow the overpressure  
723 distribution to be explained without the assumption of a fluid influx.  
724 Estimation of in situ transport properties by ex situ laboratory tests may  
725 have introduced errors into the data. In general, permeability evaluated by in  
726 situ measurements is higher than that determined by laboratory  
727 measurement because of enhanced flow in mesoscopic- and  
728 macroscopic-scale fractures in laboratory samples [Brace, 1980]. Moreover,  
729 the surface-quarried samples used for the laboratory tests experienced  
730 unloading and weathering, which might have produced micro- and  
731 macrocracks, causing lower pressure sensitivity of permeability and higher  
732 permeability values [Morrow and Lockner, 1994]. The stratigraphic  
733 variations in the permeability and porosity data (Fig. 6) are scattered, which  
734 can be explained by the non-uniformity of the rock samples. It is also

735 probable that the larger values were caused by microcrack enhancements of  
736 the surface-quarried samples. In addition, the harmonic mean of the  
737 individual permeability values is a more suitable metric for describing flow  
738 across bedding layers, and results in a lower value than the arithmetic mean.  
739 Therefore, the lower values we chose for the numerical analysis are likely  
740 reasonable parameter values to use for describing realistic conditions at  
741 depth.

742 Intact core samples might yield more realistic measurement values, and  
743 the differences in the hydraulic properties between surface and core samples  
744 should be determined in a future study. We estimated permeability from a  
745 gas flow experiment, and converted the obtained gas permeability values to  
746 water permeability using the Klinkenberg equation (equation 2). In general,  
747 in our specimens, the Klinkenberg effect caused a difference of less than 1  
748 order of magnitude between gas and water permeability, but Faulkner and  
749 Rutter [2000] suggest that water permeability is typically 1 or more order of  
750 magnitude less than gas permeability because of the reduction of effective  
751 pore diameter caused by the adhesion of water molecules to the crystal  
752 surface, rather than because of the Klinkenberg effect. These probable errors

753 may have caused overestimation of the permeability values used in the basin  
754 model. However the numerical results in which permeability was  
755 underestimated (curves E and F in Fig. 10a) do not agree with the observed  
756 data, indicating that any permeability errors introduced by the use of  
757 laboratory test results of surface-derived samples were not critical in the  
758 excess pressure estimation.

759 Another possible source of error is our application of isotropic  
760 parameters measured by isotropic compaction tests to the numerical  
761 solutions. Ideally, uniaxial permeability and drained compressibility  
762 parameters should be used in a one-dimensional compaction flow model  
763 [Gibson, 1958], which assumes that sedimentation is constrained laterally.  
764 However, in the case of the Western Foothills, lateral compression by  
765 tectonic loading is effective, and the appropriate hydraulic parameter values  
766 might lie between the isotropic and uniaxial values. Though differences in  
767 permeability between isotropic and uniaxial conditions are poorly  
768 documented, the differences in poroelastic parameter values between  
769 isotropic and uniaxial conditions have been theoretically investigated [Wang,  
770 2000]. For example, loading efficiency (Skempton's coefficient,  $B$ ) under

771 uniaxial strain is smaller than that under isotropic conditions. Similarly,  
772 isotropic specific storage values ( $S_s$ ; our study) are larger than uniaxial  
773 specific storage values. These findings suggest that the use of uniaxial  
774 parameters would result in less overpressure generation being predicted.  
775 Therefore, the influence of anisotropic stress on hydraulic properties was  
776 not critical in the model.

777

## 778 **7-2. Other possible sources of error**

779

780 We simplified the hydrocarbon generation model, though the simplification  
781 is not critical because of the low TOC of the formations [Luo and Vasseur,  
782 1996]. Development of impermeable thrust fault layers is another potential  
783 mechanism of overpressure maintenance. However, no large thrust fault has  
784 been found near the overpressure transition horizons in the study area [Fig.  
785 2, Namson, 1982]. Another limitation is that we assumed only vertical  
786 one-dimensional flow. Two- or three-dimensional models with lateral flow  
787 are required for a more realistic analysis. Moreover, several of the well sites  
788 have been tectonically uplifted and eroded, causing dissipation of

789 overpressure [Neuzil and Pollock, 1983]. Therefore, erosion might have  
790 caused a drastic reduction of overpressure. Nevertheless, large excess pore  
791 pressure is maintained at present, which implies the importance of a fluid  
792 influx at depth.

793 We assumed that the sedimentation rate became 0 at about 0.8 Ma,  
794 perhaps in association with the switch from sedimentation to folding and  
795 thrusting. If the initiation of folding and thrusting was sufficiently  
796 widespread in this area, sedimentation would have stopped and the locus of  
797 deposition would have moved westward or southward. However, during  
798 natural tectonic processes, temporal and spatial variations in deposition and  
799 exhumation rates are complicated.

800 The change in the deformation pattern at about 0.8 Ma might have been  
801 associated with a transition from a vertically orientated  $\sigma_1$  (sediment  
802 accumulation phase) to a horizontally oriented  $\sigma_1$  (thrusting phase), which  
803 might have affected the pore pressure distribution. Tectonic deformation  
804 may lead to further compaction of sedimentary rock, decreasing its  
805 permeability [Zhu and Wong, 1997]. However, if the rock is loaded beyond  
806 the critical stress, a significant permeability increase by dilation or

807 microcrack enhancement is predicted to occur. In either case, permeability  
808 and porosity changes by tectonic deformation should be considered in future  
809 basin analyses.

810

## 811 **8. Conclusion**

812

813 We evaluated the detailed vertical stratigraphic variation of hydraulic  
814 properties in the oil fields of north-central Taiwan to estimate the  
815 overpressure generation process. All hydraulic transport properties showed  
816 strong stratigraphic dependence. Permeability decreased sharply with an  
817 increase in burial depth, and the permeability of the basement formation  
818 became 7 orders of magnitude smaller than that of the youngest sediments  
819 in the Western Foothills. Specific storage and Skempton's coefficient also  
820 showed stratigraphic dependence, though they exhibited a smaller  
821 sensitivity than permeability. Our experimental data also suggested that  
822 specific storage and Skempton's coefficient when estimated by using Athy's  
823 law, which is empirically derived, were overestimated compared with  
824 laboratory data. A one-dimensional compaction flow analysis incorporating

825 the laboratory-evaluated parameter values showed that continuous fluid  
826 influx at depth may be an important cause of the observed overpressure  
827 maintenance under the current stable or erosional conditions, and vertical  
828 changes in permeability may also restrict the vertical fluid pressure  
829 distribution trend. Predicted overpressure generation increases dramatically  
830 from 3 Ma, when sediment accumulation was accelerated by the severe  
831 tectonic collision between the Luzon arc and the Asian continent. A more  
832 advanced two- or three-dimensional analysis considering multiple flow  
833 systems is necessary to confirm the mechanism of overpressure generation  
834 in the north-central Western Foothills.

835

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837

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845 discussions.

846

#### 847 **Appendix A: Pressure generation equation (11)**

848

849 The numerical expression of pressure generation in a thick sedimentary  
850 basin (equation 11) is a simplification of Wangen's model [2001] that  
851 includes the overpressure generation factors of sediment loading, thermal  
852 expansion, clay dehydration, and hydrocarbon generation. The conservation  
853 law of fluid phase for porous media is described as follows:

$$854 \quad \frac{\partial}{\partial t}(\Phi \rho_f) + \frac{\partial}{\partial z}(\Phi \rho_f V_f) = q_f, \quad (\text{A1})$$

855 where  $\Phi$  is the bulk volume fraction of the pore fluid or porosity,  $\rho_f$  is the  
856 density of the fluid,  $V_f$  is the velocity of the fluid, and  $q_f$  is the specific  
857 discharge of the fluid, which gives the rate of production or consumption of  
858 the fluid in units of mass per bulk volume and time. The conservation law of  
859 the solid phase (matrix) is similarly described:

$$860 \quad \frac{\partial}{\partial t}(\Phi_s \rho_s) + \frac{\partial}{\partial z}(\Phi_s \rho_s V_s) = q_s, \quad (\text{A2})$$



861 where  $\Phi_s$  is the bulk volume fraction of the grain matrix,  $\rho_s$  is the density of  
 862 the matrix,  $V_s$  is the velocity of the matrix, and  $q_s$  is the specific discharge of  
 863 the matrix.  $q_s$  is the rate at which the minerals are formed or decomposed in  
 864 units of mass per bulk volume and time. The relationship between porosity  
 865 and the matrix volume fraction is,

$$866 \quad \Phi + \Phi_s = 1. \quad (\text{A3})$$

867 Equation (A1) can be transformed to,

$$868 \quad \frac{\partial}{\partial t}(\Phi \rho_f) + \frac{\partial}{\partial z}[\rho_f(\Phi V_f - \Phi V_s)] + \frac{\partial}{\partial z}(\rho_f \Phi V_s) = q_f. \quad (\text{A4})$$

869 When both the operator  $\Delta/dt$  of the material derivative,

$$870 \quad \frac{\Delta}{dt} = \frac{\partial}{\partial t} + V_s \frac{\partial}{\partial z}, \quad (\text{A5})$$

871 and equation (A3) are applied, equations (A4) and (A2) respectively  
 872 become,

$$873 \quad \frac{\Phi}{\rho_f} \frac{\Delta \rho_f}{dt} + \frac{\Delta \Phi}{dt} + \frac{1}{\rho_f} \frac{\partial}{\partial z}[\rho_f \Phi (V_f - V_s)] + \Phi \frac{\partial V_s}{\partial z} = \frac{q_f}{\rho_f} \quad (\text{A6})$$

$$874 \quad -\frac{\Delta \Phi}{dt} + \frac{(1-\Phi)}{\rho_s} \frac{\Delta \rho_s}{dt} + (1-\Phi) \frac{\partial V_s}{\partial z} = \frac{q_s}{\rho_s}. \quad (\text{A7})$$

875 The combination of equations (A6) and (A7) gives the following equation:

$$876 \quad \frac{\Phi}{\rho_f} \frac{\Delta \rho_f}{dt} + \frac{1}{1-\Phi} \frac{\Delta \Phi}{dt} - \frac{\Phi}{\rho_s} \frac{\Delta \rho_s}{dt} + \frac{1}{\rho_f} \frac{\partial}{\partial z}[\rho_f \Phi (V_f - V_s)] = \frac{q_f}{\rho_f} - \frac{\Phi}{1-\Phi} \frac{q_s}{\rho_s}. \quad (\text{A8})$$

877 Darcy's law, which is related to fluid and solid velocities, can be written as,

$$878 \quad \Phi (V_f - V_s) = -\frac{k}{\mu} \frac{\partial}{\partial z} P, \quad (\text{A9})$$

879 where  $k$  is (intrinsic) permeability,  $\mu$  is the fluid viscosity, and  $P$  is the pore  
880 pressure. Equation (A9) assumes only one-dimensional flow in a vertical  
881 direction.

882 Drained pore compressibility,  $\beta_\phi$ , can be described as follows:

$$883 \quad \beta_\phi = -\frac{1}{1-\phi} \frac{\partial \phi}{\partial P_e}, \quad (\text{A10})$$

884 where  $P_e$  is the effective pressure, which is described in terms of pore  
885 pressure,  $P$ , and confining pressure,  $P_c$ , as

$$886 \quad P_e = P_c - P \quad (\text{A11})$$

887 Fluid compressibility,  $\beta_f$ , and the thermal expansion coefficient of water,  $\alpha_f$ ,  
888 can be respectively expressed as

$$889 \quad \beta_f = -\frac{1}{\rho_f} \frac{\partial \rho_f}{\partial P} \quad (\text{A12})$$

$$890 \quad \alpha_f = \frac{1}{\rho_f} \frac{\partial \rho_f}{\partial T} \quad (\text{A13})$$

891 By combining equations (A12) and (A13), the following equation is  
892 obtained:

$$893 \quad \frac{1}{\rho_f} \frac{\partial \rho_f}{\partial t} = \beta_f \frac{\partial P}{\partial t} - \alpha_f \frac{\partial T}{\partial t} \quad (\text{A14})$$

894 When the porosity change is related to the mechanisms of mechanical  
895 compaction, clay mineral dehydration, and oil generation, the time  
896 dependency of the porosity change can be described as follows:

$$897 \quad \frac{\Delta\Phi}{dt} = \frac{\Delta\Phi_{mech}}{dt} + \frac{\Delta\Phi_{deh}}{dt} + \frac{\Delta\Phi_{oil}}{dt} = -\beta_\phi(1-\Phi)\left(\frac{\Delta Pc}{dt} - \frac{\Delta P}{dt}\right) + \frac{\Delta\Phi_{deh}}{dt} + \frac{\Delta\Phi_{oil}}{dt}. \quad (A15)$$

898

The source term of the matrix can be also described as

$$899 \quad \frac{q_s}{\rho_s} = \frac{q_{deh}}{\rho_{deh}} + \frac{q_{oil}}{\rho_{oil}}. \quad (A16)$$

900

Substituting equations (A9) to (A16) into (A8), we obtain

$$901 \quad (\Phi\beta_f + \beta_\phi)\frac{\Delta P}{dt} = \frac{\partial}{\partial z}\left(\frac{k}{\mu}\frac{\partial}{\partial z}P\right) + \Phi\alpha_f\frac{\Delta T}{dt} + \beta_\phi\frac{\Delta Pc}{dt} - \frac{1}{1-\phi}\left(\frac{\Delta\Phi_f}{dt} + \frac{\Delta\Phi_{oil}}{dt}\right) + \frac{q_f}{\rho_f} - \frac{\Phi}{1-\Phi}\left(\frac{q_{deh}}{\rho_{deh}} + \frac{q_{oil}}{\rho_{oil}}\right)$$

902 (A17).

903 In equation (A17), we assumed that the change in matrix density due to

904 compression and other mechanisms is sufficiently small to be considered

905 zero. If the poroelastic parameters specific storage,  $S_s$ , and Skempton's

906 coefficient,  $B$ , are used, equation (A17) becomes:

$$907 \quad S_s\frac{\Delta P}{dt} = \frac{\partial}{\partial z}\left(\frac{k}{\mu}\frac{\partial}{\partial z}P\right) + \Phi\alpha_f\frac{\Delta T}{dt} + BS_s\frac{\Delta Pc}{dt} + Q_{deh} + Q_{oil}, \quad (A18)$$

908 where the pore pressure generation terms for clay mineral dehydration,  $Q_{deh}$ ,

909 and hydrocarbon generation,  $Q_{oil}$ , can be respectively given as

$$910 \quad Q_{deh} = \frac{q_f}{\rho_f} - \frac{1}{1-\Phi}\frac{\Delta\Phi_{deh}}{dt} - \frac{\Phi}{1-\Phi}\frac{q_{deh}}{\rho_{deh}} \quad (A19)$$

$$911 \quad Q_{oil} = \frac{q_s}{\rho_s} - \frac{1}{1-\Phi}\frac{\Delta\Phi_{oil}}{dt} - \frac{\Phi}{1-\Phi}\frac{q_{oil}}{\rho_{oil}}. \quad (A20)$$

912

913 **Appendix B: Pore pressure generation terms for clay mineral**

914 **dehydration and hydrocarbon generation**

915

916 The clay mineral dehydration reaction in our basin model is based on the  
917 assumption that smectite can be transformed to illite and water by a kinetic  
918 reaction. For the dehydration reaction, we simply assumed that 1 mol of  
919 smectite can be changed to 1 mol of illite and  $n$  mol of water. The source  
920 term for the smectite,  $q_{sm}/\rho_{sm}$ , which is the rate of volume loss of smectite  
921 per bulk volume of rock is described as

$$922 \frac{q_{sm}}{\rho_{sm}} = \frac{q_{deh}}{\rho_{deh}} = \frac{\Delta\Phi_{sm}}{dt} . \quad (B1)$$

923

The source term for the illite is described as

$$924 \frac{q_{il}}{\rho_{il}} = -\frac{V_{il}}{V_{sm}} \frac{q_{sm}}{\rho_{sm}} , \quad (B2)$$

925 where  $V_{il}/V_{sm}$  is the molar volume ratio of illite to smectite. The specific  
926 discharge of fluid due to dehydration is described as follows:

$$927 \frac{q_f}{\rho_f} = -n \frac{V_f}{V_{sm}} \frac{s_{sm}}{\rho_{sm}} , \quad (B3)$$

928 where  $V_f/V_{sm}$  is the molar volume ratio of water to smectite. The change in  
929 porosity by the dehydration reaction,  $\Delta\Phi_{deh}/dt$ , can be caused by the change  
930 in solid volume, assuming the conservation of bulk volume. Therefore, this  
931 porosity change can be described as

$$932 \frac{\Delta\Phi_{deh}}{dt} = \frac{\Delta\Phi_{sm}}{dt} - \frac{\Delta\Phi_{il}}{dt} = \left(1 - \frac{V_{il}}{V_{sm}}\right) \frac{q_{sm}}{\rho_{sm}} . \quad (B4)$$

933 From equations (B1) to (B4),  $Q_{deh}$  in equation (A19) is expressed as follows:

934 
$$Q_{deh} = \frac{q_{sm}}{\rho_{sm}} \left( -n \frac{V_f}{V_{sm}} - \frac{V_{il}}{V_{sm}} + 1 \right). \quad (\text{B5})$$

935

If no volume change between illite and smectite is assumed, that is,  $V_{il} = V_{sm}$ ,

936

then equation (14) is formed by using equation (B5).

937

Equation (15) is formed in the same way as equation (14) when the

938

relationship

939 
$$\frac{V_{oil}}{V_{kr}} = \frac{\rho_{kr}}{\rho_{oil}} \quad (\text{B6})$$

940

is used.

941

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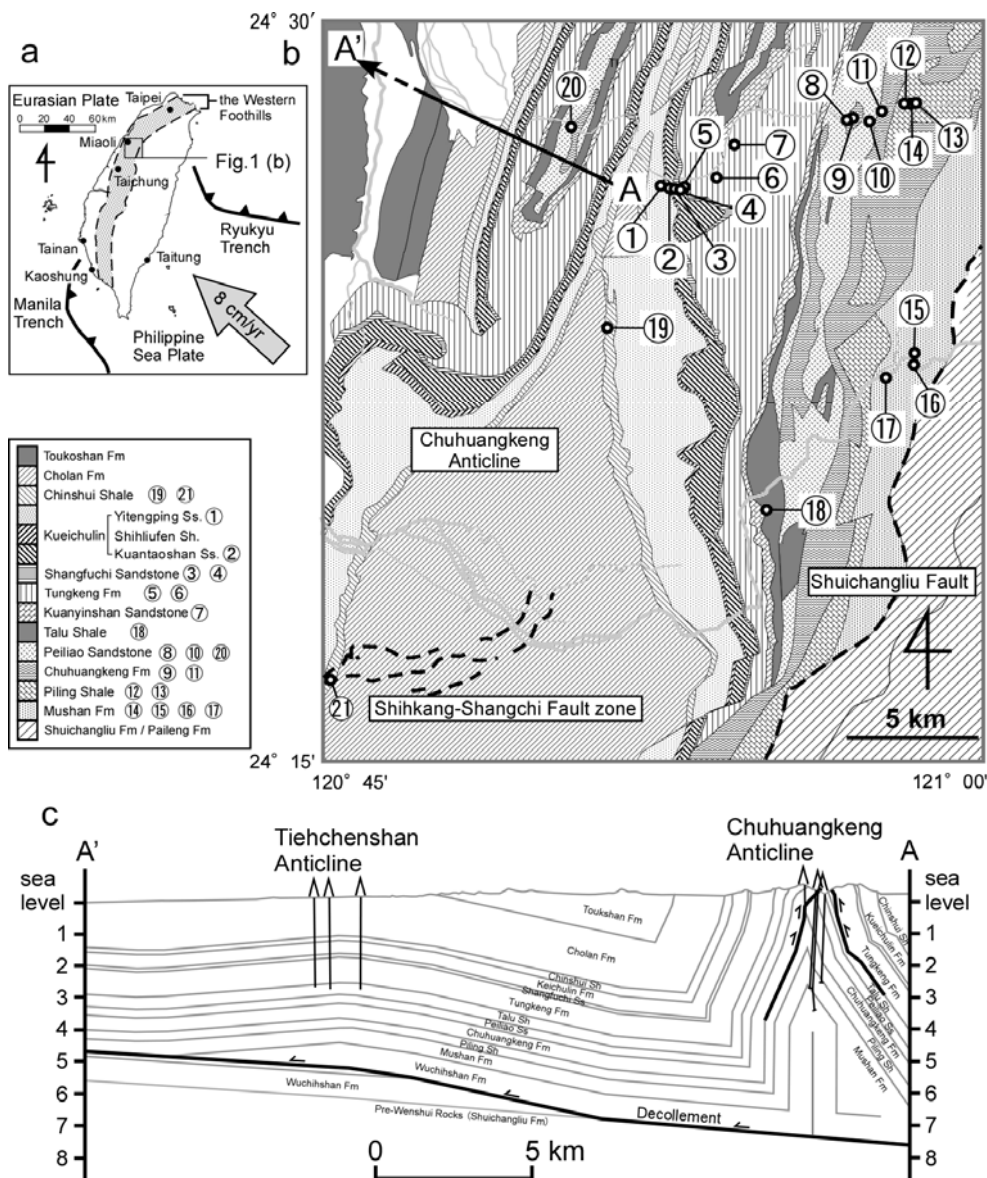
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- 1101           3027–3041.
- 1102
- 1103
- 1104   **Captions**
- 1105



1106

1107 Figure 1. (a) Geotectonic setting of Taiwan. (b) Geological map of the

1108 Tungshih study area [Lee, 2000] in the north-central Western Foothills. The

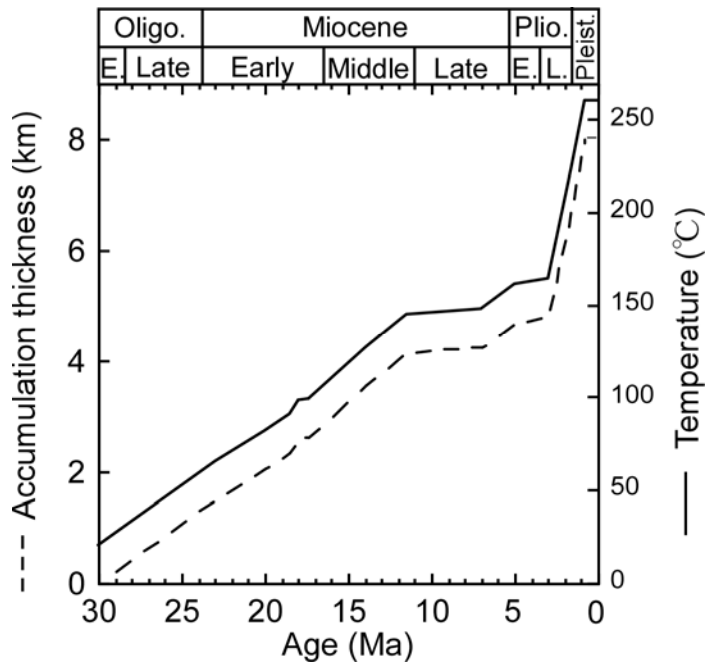
1109 sampling locations of the sedimentary rocks used for the laboratory

1110 experiments are plotted (circled numbers). (c) Vertical cross-section of

1111 representative oil well sites in the north-central Western Foothills (modified

1112 from Namson, 1982). Typically, borehole sites are on anticlines.

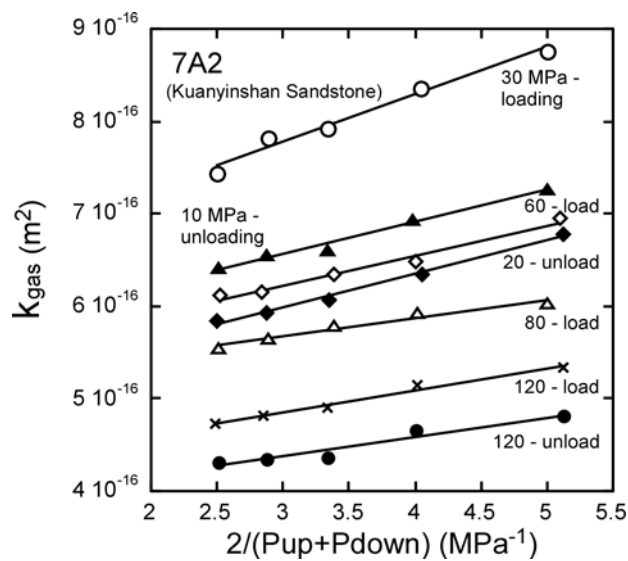
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1114

1115 Figure 2. Sediment accumulation history and temperature history at the  
1116 bottom of the sedimentary sequence in the Western Foothills, compiled from  
1117 Lee [2000]. A geothermal gradient of 30 °C/km is assumed.

1118

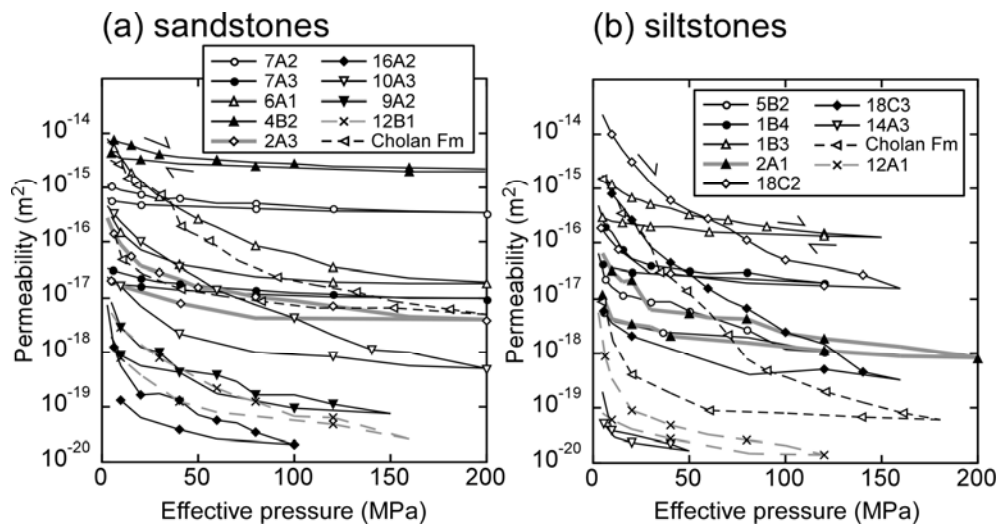


1119



1120 Figure 3. Inverse of average pore pressure plotted against permeability to  
 1121 nitrogen gas for one pressure cycling test of sample 7A2 (see Figure 1b for  
 1122 the sample location of the circled number 7). The straight fitted lines  
 1123 suggest that the experimental results are consistent with the Klinkenberg  
 1124 equation (2). Permeability to water can be estimated from the slopes.

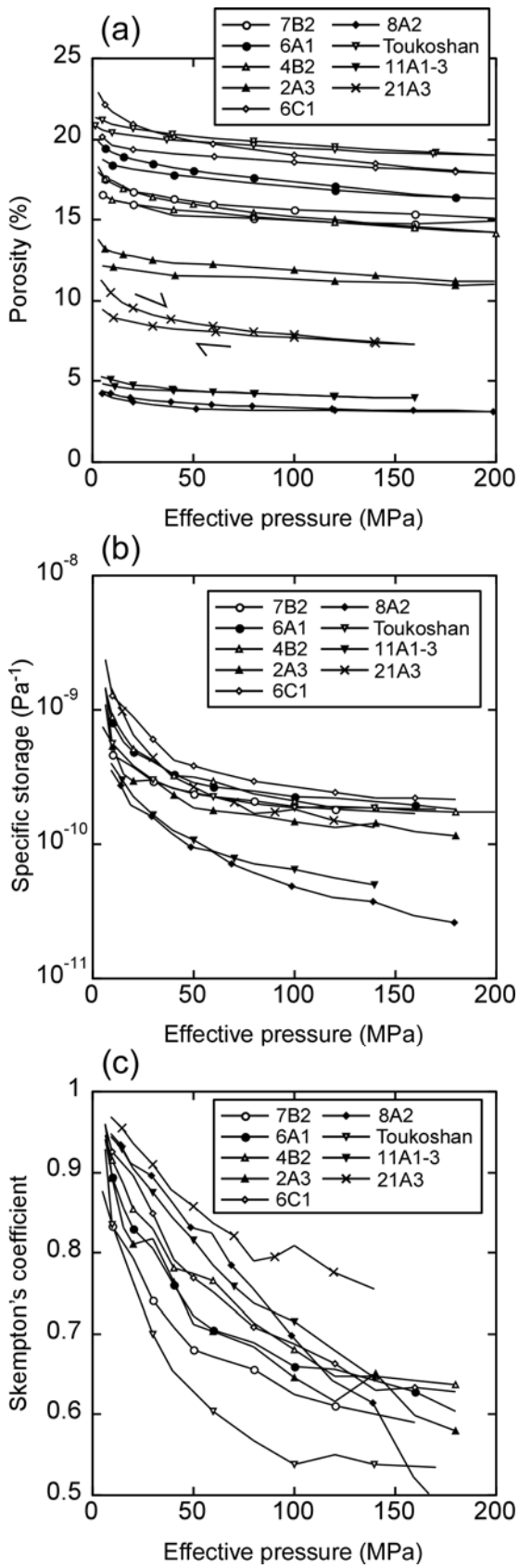
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1126

1127 Figure 4. Permeability as a function of effective pressure during one  
 1128 pressure cycling test for (a) sandstones and (b) siltstones.

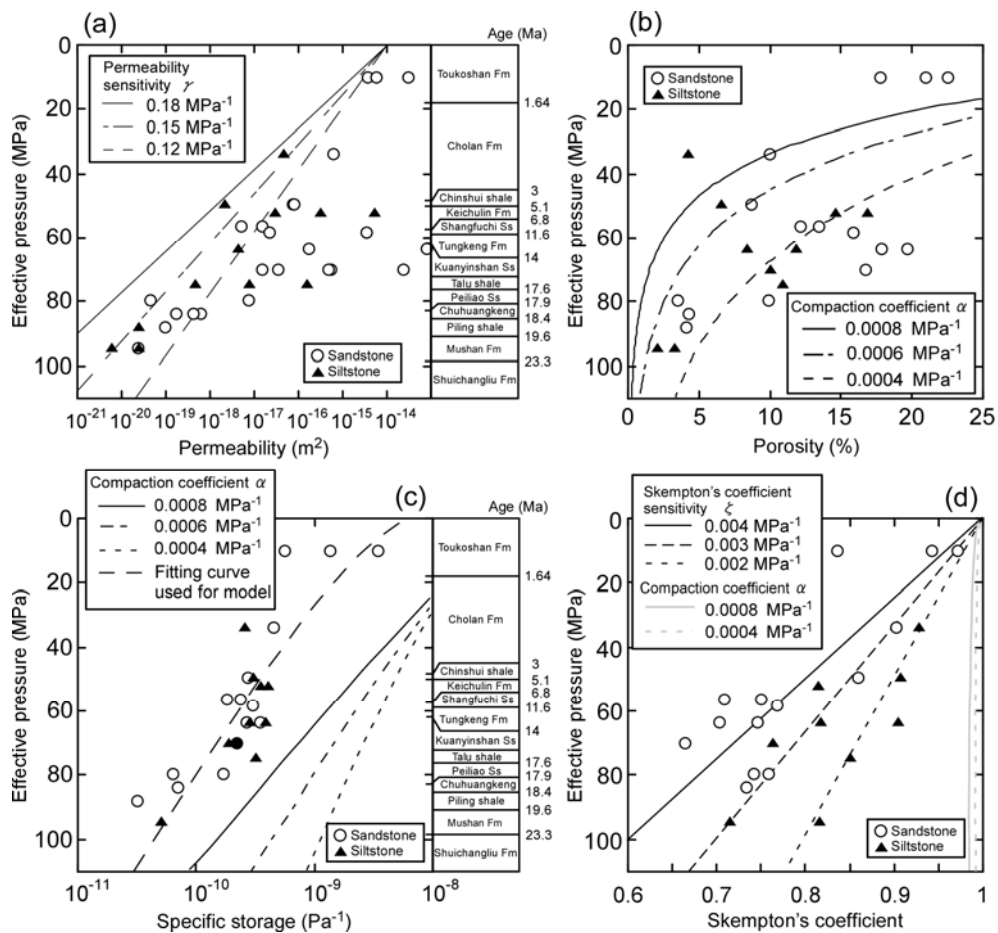
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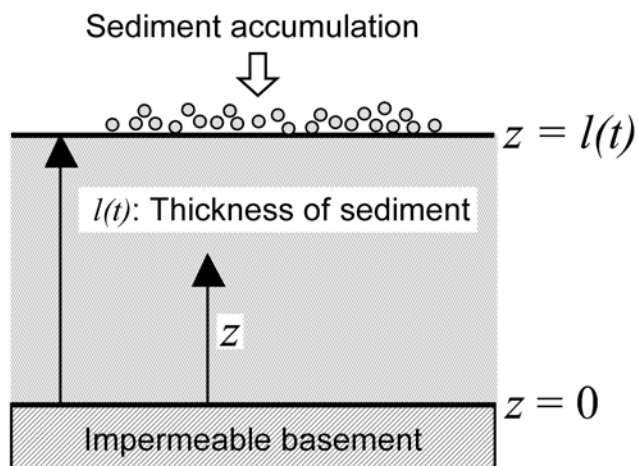
1131 Figure 5. (a) Porosity, (b) specific storage, and (c) Skempton's coefficient as

1132 a function of effective pressure in sandstones. Specific storage was  
 1133 evaluated by using equation (4) and Skempton's coefficient by using  
 1134 equation (6). Drained pore compressibility was estimated from porosity.  
 1135

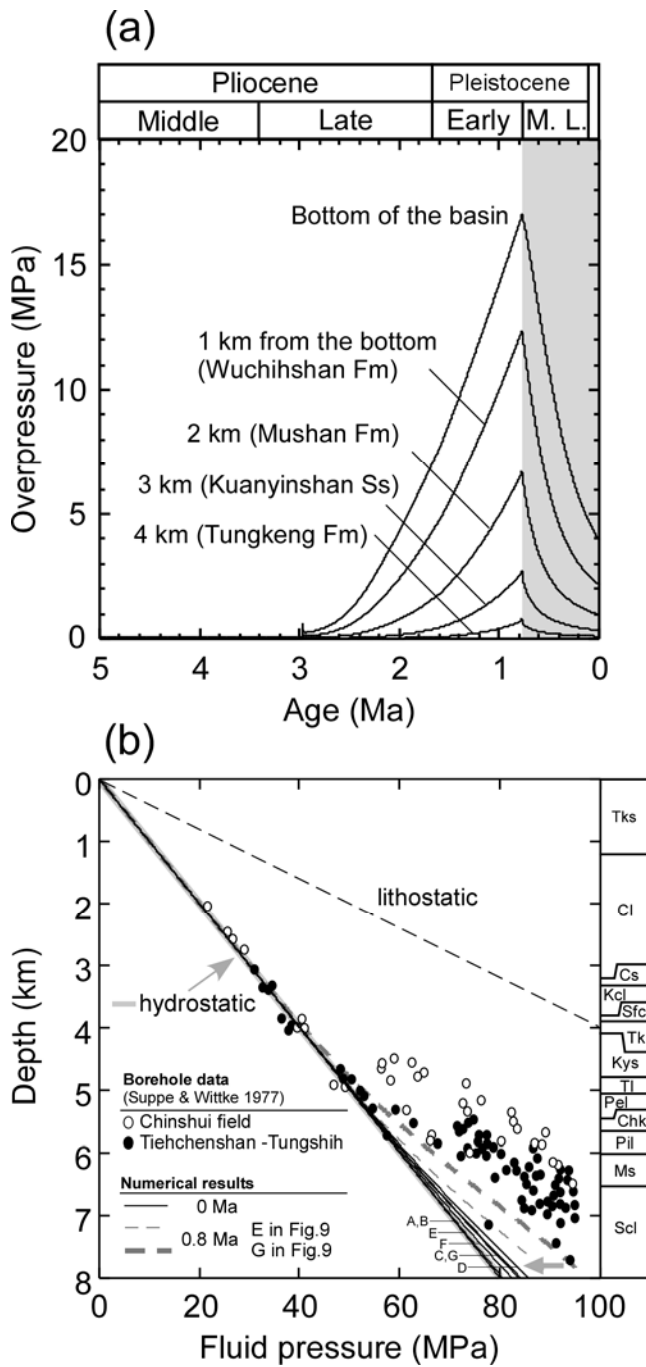


1136  
 1137 Figure 6. Stratigraphic variation in (a) permeability, (b) porosity, (c) specific  
 1138 storage, and (d) Skempton's coefficient in rocks of the Western Foothills,  
 1139 described as a function of effective pressure. Each data point is plotted at the  
 1140 point where the effective pressure is equivalent to maximum burial depth,

1141 assuming hydrostatic conditions. Approximation curves of equations (7) to  
1142 (10) for various values of  $\gamma$ ,  $\alpha$ , and  $\zeta$  are plotted on the same figures.  
1143



1144  
1145 Figure 7. One-dimensional sedimentation model for the prediction of  
1146 overpressure. Fluid flows only vertically, and sediments accumulate on the  
1147 rising surface.  
1148



1149

1150 Figure 8. Numerical modeling results for (a) overpressure history and (b)

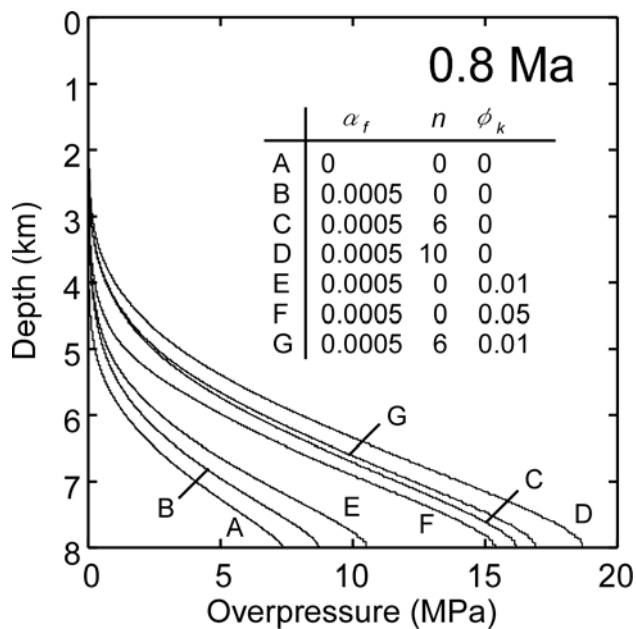
1151 fluid pressure distribution at present (0 Ma) and at 0.8 Ma in the Western

1152 Foothills in the case of no fluid influx at depth. Overpressure history is

1153 plotted for different horizons at various depths from the bottom of the basin,

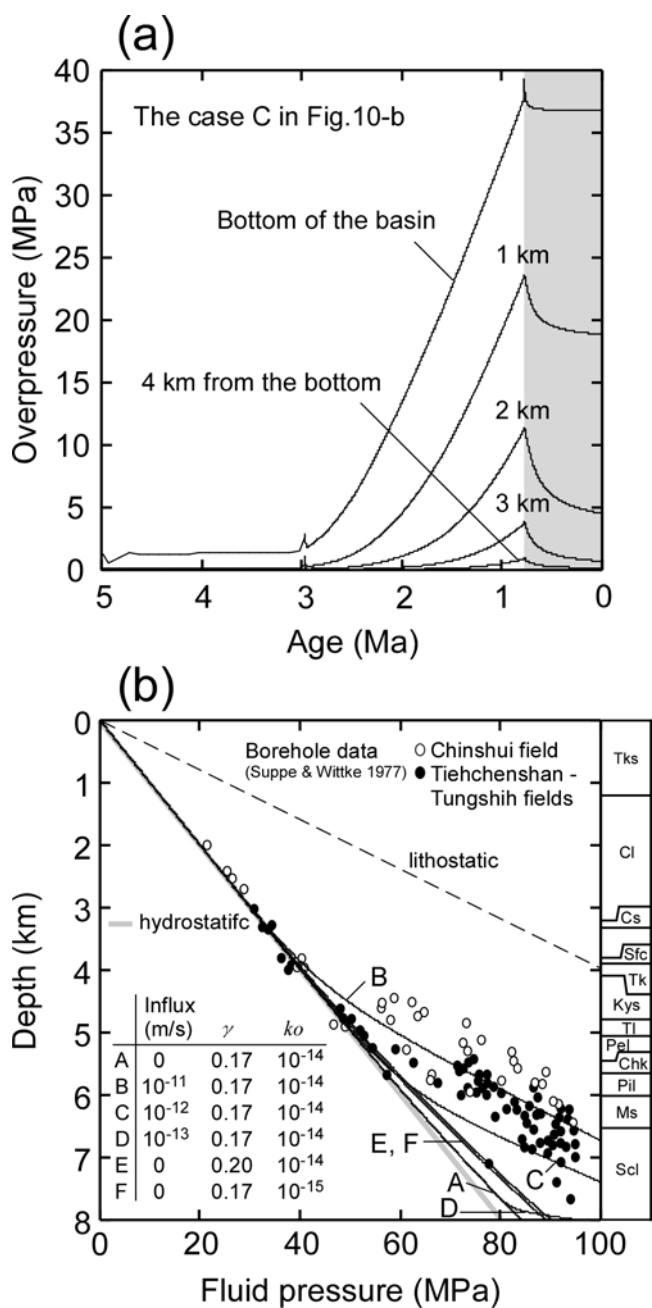
1154 using the parameter values of curve G (Fig. 9). Numerical calculations were  
 1155 performed for 30 to 0 Ma. Observed data shown in (b) are modified from  
 1156 Suppe and Wittke [1977]. The parameter values of curves A to G in (b) are  
 1157 given in Fig. 9. The solid lines are the simulated fluid pressure distributions  
 1158 at 0 Ma, and the dashed lines are at 0.8 Ma.

1159



1160

1161 Figure 9. Predicted overpressure (excess fluid pressure above hydrostatic)  
 1162 distributions under various conditions at 0.8 Ma in the Western Foothills in  
 1163 the case of no fluid influx at depth.  $\alpha_f$ ,  $n$ , and  $\phi_k$  were changed to investigate  
 1164 the influence of thermal expansion of water, clay mineral dehydration, and  
 1165 hydrocarbon generation, respectively, on the excess fluid pressure  
 1166 generation.



1168

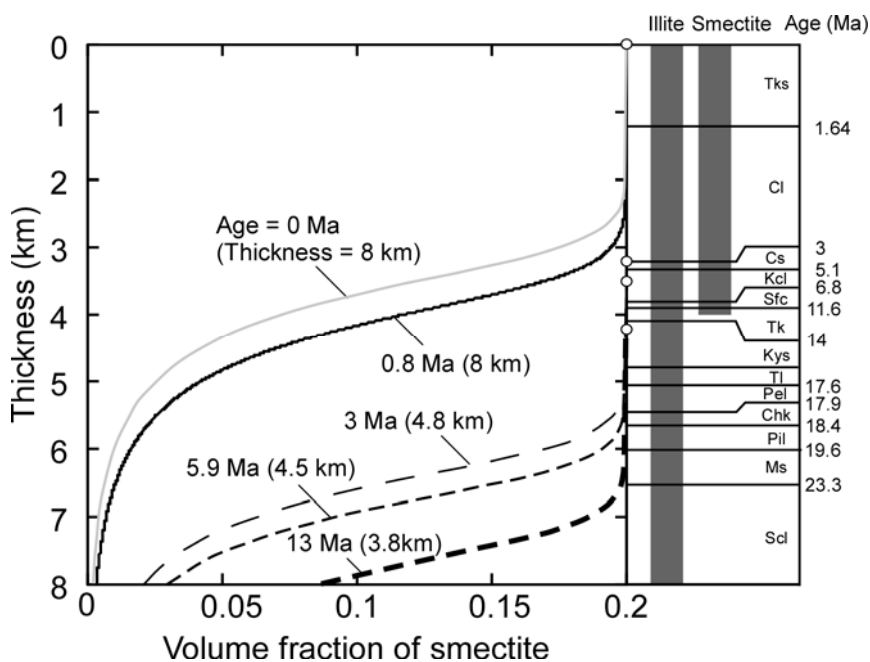
1169 Figure 10. (a) Predicted overpressure evolution history at various depths for

1170 the parameter values of curve C (Fig. 10b). (b) The overpressure distribution

1171 in the Western Foothills was predicted by assuming a continuous fluid influx

1172 from the bottom of the basin (curves B–D) and by underestimating

1173 permeability (curves A, E, and F). Curves B to D assume a constant fluid  
 1174 influx from the bottom of the basin throughout the entire geological period.  
 1175 The pressure sensitivity of permeability  $\gamma$  is changed in case E, and the  
 1176 initial permeability  $k_0$  in case F.  
 1177



1178  
 1179 Figure 11. The volume fraction of smectite  $\Phi_{sm}$  plotted against the  
 1180 accumulation thickness for various stratigraphic ages and the corresponding  
 1181 basin formations. The initial volume fraction of smectite is 0.2. The kinetic  
 1182 parameters used for the calculation are given in Table 2. The column on the  
 1183 right shows the distribution of illite and smectite as evaluated by X-ray  
 1184 diffraction analysis of oriented glycolated specimens. The curves are based



1185 on a bottom depth of 8 km in this figure.

1186

Period	Group / Formation	Thickness (m)	TOC	Overpressure	Oil production	Sediment composition	Tectonic events	
Pleistocene	Late	Terrace deposits	2 - 5				Rapid deformation	
	Early	Toukoshan (TKs)	1000 -1500				Infill of orogenic sediments	
Pliocene	Late	Cholan (Cl)	2000				High-intensity collision (3 Ma)	
	Early	Chinshui Sh. (Cs)	80 -100				Slight collision (5 Ma)	
		Kueichulin (Kcl)	Yutengping Ss.	271 - 660				
			Shiliufen Ss.	20 - 40				
Miocene	Late	Kuantaoshan Ss.	150 - 280				Initial collision of the arc - continent collision (12 Ma)	
	Middle	Shangfuchi Ss. (Sfc)	60 - 150	0.51				
		Tungkeng (Tk)	550 - 750	0.94				
		Kuanyinshan Ss. (Kys)	330 - 450	0.6				
	Early	Talu Sh. (TI)	250 - 340	0.17-1.56				
		Peiliao Ss. (Pei)	300 - 400	0.17-0.5				
		Chuhuangkeng (Chk)	220	0.47-0.96				
		Piling Sh. (Pil)	450 - 500	0.58-0.61				
Mushan (Ms)		450 - 700	0.34-1.89					
Oligocene	Shuichangliu (Scl)	900 -1200						

1187

1188 Table 1. Tectonostratigraphic, hydrological, and geochemical information

1189 for the north-central Western Foothills of Taiwan. The stratigraphic column

1190 is compiled from the Tungshih section [Suppe and Wittke, 1977; Lee, 2000].

1191 TOC was evaluated at the Tiehchenshan field, and data are modified from

1192 Chiu and Chou [1991].

1193

Symbol	Value	Units	Comment and Reference
$\alpha_f$	$5 \times 10^{-4}$	$^{\circ}\text{C}^{-1}$	Coefficient of thermal expansibility of fluid (Luo & Vasseur 1992)
$\beta_f$	$4.4 \times 10^{-10}$	$\text{Pa}^{-1}$	Compressibility of fluid (Luo & Vasseur 1992)
$\rho_s$	2500	$\text{kgm}^{-3}$	Bulk density of sediments
$\rho_w$	1000	$\text{kgm}^{-3}$	Bulk density of water
$\Phi_0$	0.6		Initial porosity
$k_0$	$10^{-14}$	$\text{m}^2$	Initial permeability
$\partial T / \partial z$	30	$^{\circ}\text{Ckm}^{-1}$	Geothermal gradient (Suppe & Wittke 1977)
$A_{sm}$	$5.2 \times 10^7$	$\text{s}^{-1}$	Pre-exponential constant of smectite transition (Pytte & Reynolds 1988)
$E_{sm}$	138	$\text{KJmol}^{-1}$	Activation energy of smectite transition (Pytte & Reynolds 1988)
$n$	2 - 10		Number of moles water in the dehydration reaction (Freed & Peacor 1989)
$V_f / V_{sm}$	0.056		Molar volume of water per molar volume of smectite (Wangen 2001)
$\Phi_{sm}$	0.2		Initial volume fraction of smectite (Wangen 2001)
$A_k$	$2 \times 10^{12}$	$\text{s}^{-1}$	Pre-exponential constant of kerogen transition (Chiu et al., 1996)
$E_k$	200-300	$\text{KJmol}^{-1}$	Activation energy of kerogen transition (Chiu et al, 1996)
$\Phi_k$	0.001-0.05		Initial volume fraction of kerogen (Chiu et al, 1996)
$\alpha$	0.0006	$\text{MPa}^{-1}$	Compaction coefficient (Experimental determination)
$\gamma$	0.17	$\text{MPa}^{-1}$	Permeability sensitivity (Experimental determination)
$\zeta$	0.003	$\text{MPa}^{-1}$	Skempton's coefficient sensitivity (Experimental determination)
$\rho_k / \rho_0$	1.25		Volume expansion factor: kerogen to oil (Wangen 2001)

1194

1195 Table 2. Physical and kinetic parameters used in the numerical model.