

**Generation and driving forces of plate-like motion and
asymmetric subduction in dynamical models of an integrated
mantle-lithosphere system**

Tomoeiki Nakakuki*

Chiho Hamada

Michio Tagawa

Department of Earth and Planetary Systems Science

Hiroshima University

1-3-1 Kagamiyama, Higashi-Hiroshima, 739-8526, JAPAN

accepted for publication in *Physics of Earth and Planetary Interiors*,
Dec. 4, 2007.

*Corresponding author

Tel: +81-82-424-6579

Fax: +81-82-424-6579

E-mail: nakakuki@hiroshima-u.ac.jp

1 **Abstract**

2
3 The dynamical effects of an asymmetric subduction structure on the generation
4 of plate-like motion were investigated using two-dimensional numerical
5 models of the integrated lithosphere-mantle system. To dynamically generate
6 the plate boundary, we introduce a history-dependent rheology in which the
7 yield strength is determined by past fractures. Only the buoyancy due to the
8 internal density contrast consistently drives convective flow, including the
9 motion of the viscous lithosphere, without imposed boundary conditions. We
10 first investigate the effects of plate yield strength, friction at the plate boundary,
11 and plate age on the emergence of plate-like motion with asymmetric
12 subduction. Plate-like motion is generated when maximum plate strength is as
13 high as that estimated by experimental rheology studies. The reason for this is
14 that asymmetric subduction requires a plate-bending force much less than that
15 for symmetric subduction because the plate gently bends when one-sided
16 subduction occurs. In contrast, the strength of the plate boundary has to be
17 very small for emergence of subduction, as several previous studies on the
18 numerical convection and subduction modeling have pointed out. Development
19 of the subducted slab is also controlled by the age of the plate. In the early
20 stages of subduction, older plates increase their velocities faster because of
21 their larger negative buoyancy. After the slab develops, the plate stiffness, that
22 is, both the yield strength and the plate thickness, control plate velocity so that
23 an older plate subducts more sluggishly. We next explore effects of viscosity
24 layering in the underlying mantle, focusing on the mechanism in which the
25 asthenosphere promotes plate motion. The low viscosity under the lithosphere
26 enhances a mantle drag force that drives the plate, not only concentrating the
27 convective flow beneath the plate but also enlarging its aspect ratio. We also
28 examine longevity of the plate-like motion using the convection models with
29 asymmetric subduction. The asymmetrically structured subduction process
30 continues stably at high yield strength, at which episodic resurfacing or the

31 stagnant-lid mode occurs in the previous convection simulation studies. The
32 asymmetric subduction structure therefore has key roles in generating
33 plate-like motion as well as reducing the strength at the plate boundary.

34

35 **Keywords**

36 plate-like motion, mantle convection, asymmetric subduction, yield strength

1 **1. Introduction**

2
3 Understanding mechanisms that generate plate tectonics on the Earth is a
4 fundamental issue of geodynamics. The construction of a dynamic
5 mantle-convection model that includes plate tectonics is essential for directly
6 solving this problem. Difficulty in developing numerical models arises from the
7 complex rheology that causes lithosphere deformation in narrow regions such as
8 faults. In the last decade, progress in both computer hardware and software has
9 enabled us to incorporate more complex rheologies into high-resolution
10 numerical models (reviewed by Tackley, 2000a; Bercovici, 2003).

11 The rheology with yielding as the continuum limit of fracture was
12 introduced by Cserepes (1982) to produce plate-like behavior of the viscous lid.
13 Weakening due to the yield stress induces focused deformation in the viscous lid
14 and its plate-like movement. Plate-like motion is also produced in 3-D
15 convection systems (Trompert and Hansen, 1998). The instantaneous yielding
16 rheology, however, results in unstable behavior of the surface plate. Moresi and
17 Solomatov (1998) and Tackley (2000b) systematically investigate the range of
18 yield stress that generates stable plate motion using 2-D and 3-D models. They
19 show that the yield strength should be much smaller than that estimated from
20 experimental study (e.g., Kohlstedt et al., 1995) or from lithosphere deformation
21 (Cloetingh and Wortel, 1986; Gerbault, 2000) to produce stable, plate-like
22 motion. Tackley (2000c) and Richards et al. (2001) show that low
23 asthenospheric viscosity improves plate motion stability, although the yield
24 stress range is still far from experimental and observational study results.
25 Tackley (2000c) introduces the damage rheology proposed by Bercovici (1996,
26 1998) into his 3-D models. He finds that the damage rheology does not improve
27 the parameter range for generating plate-like motion. Ogawa (2003) surveys the
28 broad range of damage rheology parameters using 2-D models. He concludes
29 that the strength contrast between “intact” and “damaged” materials is important
30 for generating satisfactorily rigid plate-like motion. Auth et al. (2003) have

1 performed high-resolution mantle convection simulations with the damage
2 rheology to analyze the detailed damage rheology physics. They point out that
3 advection of damage in the plate interior broadens deformation and depresses the
4 stability of plate-like motion. Yoshida and Ogawa (2004) report the effects of
5 uprising plumes on the emergence of new plate boundaries.

6 Convergent plate boundaries, reproduced by mantle convection models
7 containing uniform rheology functions both with and without history
8 dependence are still not realistic because the subduction structure produced is not
9 one-sided, but two-sided. Davies (1989) imposes a dipped low-viscosity zone
10 on the convection model with a temperature-dependent viscosity to simulate
11 concentrated deformation at the plate boundary. In his model, plate-like motion is
12 produced with asymmetric subduction of the viscous layer. Lenardic and Kaula
13 (1994) show that the stagnant lithosphere becomes mobile when a low-viscosity
14 layer is introduced at the top of the viscous lid, although they use convection
15 models with a symmetric descending flow. Gurnis and his colleagues
16 incorporate a weak fault acting as the plate boundary into mantle convection
17 models (Zhong and Gurnis, 1995a, 1995b, 1996; Zhong et al., 1998; Gurnis et
18 al., 2000). In their model, a one-sided subduction structure is also generated, and
19 they point out that fault weakness is necessary to generate subduction. Honda et
20 al. (2000) propose introducing a moving layer with yielding as an expression of
21 history-dependent rheology to simulate a lubricating layer at the plate boundary,
22 and they show the possibility of an asymmetric structure's emergence. The
23 moving layer with yield stress is also employed in the models developed by
24 Lenardic et al. (2000) and Moresi et al. (2002). These results imply that an
25 advection effect of localized weakness caused by the heterogeneous rheology
26 function is important to continuously generate asymmetric subduction with
27 decoupled plate boundaries.

28 We believe that an Earth-like asymmetric subduction style has a key role
29 in solving the discrepancy between the lithospheric strength of numerical models
30 and rheology experiments. The reason why we expect this is that flexure of the

1 plate at trenches is not intense, but moderate in the case of one-sided subduction.
2 Conrad and Hager (1999) and Schellart (2004) use kinematic numerical
3 modelings and analog experiments to show that plate bending at a trench is an
4 important resistance against plate motion. On the contrary, two-sided vertical
5 subduction needs sudden buckling at the convergent zone to generate excessive
6 lithospheric fracture. We therefore reexamine rheological parameter effects on
7 determining lithospheric strength using dynamical models that reproduce
8 one-sided subduction. For this purpose, we have developed numerical models of
9 the lithosphere-mantle system in which asymmetric subduction occurs,
10 introducing history-dependent yielding without imposed velocity boundary
11 conditions. In our model, we observe the evolution of lithosphere deformation
12 from initiation of subduction at the passive continental margin, with or without a
13 preexisting weak zone, to the succeeding development of the subducted slab and
14 plate motion.

15 First, we systematically investigate the effects of three variable
16 parameters that determine plate strength—yield strength of the brittle-ductile
17 transition layer in a lithosphere, the friction coefficient of the lithospheric brittle
18 layer, and the friction coefficient of a fault zone at the plate boundary—on the
19 emergence of subduction. We also consider effects of a preexisting weak zone
20 on subduction generation for various plate strengths. Second, lithosphere age in
21 the initial conditions is varied to examine lithosphere thickness effects. Third,
22 forces acting on the plate are quantitatively analyzed to understand the dynamical
23 mechanisms driving plate-like motion. The values of the driving forces obtained
24 will be compared with a torque balance analysis (Forsyth and Uyeda, 1975).
25 Fourth, the effects of viscosity layering are examined, especially focusing on the
26 low-viscosity asthenosphere beneath the plate. Here, we vary temperature and
27 pressure dependence of the viscosity to change the viscosity layering in the
28 underlying mantle. We investigate the interaction of the plate with the underlying
29 mantle, analyzing viscous coupling at the bottom of the lithosphere. Fifth, our
30 models are integrated over a long time period to examine plate subduction

1 longevity and the plate motion evolution. We focus on the time-dependent
2 behavior of the subducted slab and on the plate motion driven by the slab's
3 negative buoyancy.

4

5

6

2. Model settings and basic equations

2.1 Model configuration

Figure 1 shows a schematic view of the model configuration. Here, we use a 2-D model with Cartesian geometry to minimize numerical difficulties, to handle a complicated rheology, and to perform high-resolution calculations. Physical parameters are described in Table I, and the model settings are found in Table II. In most cases, computations are performed to simulate the situation until the slab reaches 300 to 400 km, except in the long-term models. The time integration is less than 50 Myr, which is short enough to neglect internal heating. For the long time-integration model (Runs 100L and 102L, Table II), we also set the internal heating at zero because of the reason described in Section 3.5. In most cases, we set the height h of the model to be 1320 km (twice the upper mantle thickness), or 1500 km in the long time-integration. We use these values, which are less than or about half the mantle thickness, to maximize the model resolution. In the resolution-testing models, the height h is set at 660 km. The horizontal length l is changed depending on the age of the oceanic plate in the initial conditions (Table I), that is, a wider box is employed for models with older oceanic plates.

We consider subduction of the oceanic lithosphere beginning at a passive continental margin with or without a preexisting weak zone (Fig. 1). The models presented here therefore consist of two regions — “oceanic” and “continental.” The “oceanic” region has an oceanic lithosphere and underlying mantle. The oceanic lithosphere is a surface layer with the same composition as that of the underlying mantle and a high viscosity due to its low temperature. The “continental” region consists of a continental lithosphere and underlying mantle. The continental lithosphere includes an intrinsically buoyant layer simulating a continental crust 35 km thick and a low-temperature mantle lithosphere beneath the crust. Because we consider a simulated margin at an immobile continent, the continental lithosphere is fixed at the right-side boundary (This effect will be

1 discussed briefly in section 4). The underlying mantle is identical to that of the
2 oceanic region. In two cases (Runs 50-12 and 13, Table II), the buoyant crust is
3 excluded. Namely, an overriding oceanic plate is also considered.

4 In our models, no external forces are imposed so that the driving forces
5 of the system are generated internally by density anomalies. Subduction,
6 however, is difficult to get started, and so we made the following assumptions.

7 (1) A plume that provides a positive mantle drag force exists. (2) The initial
8 temperature that produces a ridge-push force is set in the oceanic lithosphere. (3)
9 The basalt-eclogite transition is neglected. (3) The power-law dislocation creep is
10 parameterized as a low-viscosity channel. (4) The buoyancy of the basaltic layer
11 in the subducting lithosphere is neglected. (5) A weak zone is introduced into
12 most of the calculated models to produce a plate boundary in the initial
13 conditions. These will be discussed in Section 4.

14 The temperature of the oceanic lithosphere in the initial conditions (Fig.
15 1a) is determined according to the half-space cooling model with 20, 50 and 100
16 Ma. The temperature of the continental lithosphere in the initial conditions is set
17 to be horizontally uniform and the same as that of the oldest oceanic lithosphere.
18 In the underlying mantle, the initial temperature T_i is set to be adiabatic and
19 laterally homogeneous, namely,

$$21 \quad T_i(z) = (T_M + T_0) \exp\left(\frac{\alpha g z}{C_p}\right) - T_0, \quad (1)$$

22
23 where T_M is the mantle potential temperature (Celsius degree), T_0 is the absolute
24 temperature of 0 °C, α is a thermal expansion coefficient, g is gravitational
25 acceleration, and C_p is specific heat. We impose a hot thermal anomaly with
26 dimensions of 50×400 km at the bottom-left corner to generate an upwelling
27 plume that creates a mantle drag force on the bottom of the plate (Fig. 1) for the
28 entire time. In this region, the potential temperature is set to be 200 K higher than

1 that of the surrounding mantle. This is a typical value of hot plume thermal
2 anomalies (McKenzie and Bickle, 1988). In the long time-integration, the lower
3 third of the model (1000 to 1500 km depth) has a fixed temperature provided by
4 Eq. (1) because of a reason discussed in Section 3.5. In this case, the plume
5 source with dimensions of 50×500 km is settled in the heat bath layer.

6 Mechanical boundary conditions for all the boundaries are set to be
7 tangential-stress-free to satisfy the condition of having no external forces except
8 buoyancy. The top boundary is set at a constant temperature T_0 , and the bottom
9 boundary is set at zero heat flux. Impermeable and insulated conditions are
10 applied to both side boundaries.

11 12 **2.2 Rheology model**

13 We use a viscous fluid model to approximate the mantle flow. The
14 rheology is expressed as a viscosity depending on temperature, pressure (depth),
15 stress and yielding history. The viscosity at zero stress (η_0) is given by an
16 Arrhenius-type equation as

$$18 \quad \eta_0 = \min \left[A \exp \left[\frac{E^* + pV^*}{R(T + T_0)} \right], \eta_L \right], \quad (2)$$

19
20 where A is a pre-exponential factor, E^* is activation energy, p is hydrostatic
21 pressure, V^* is activation volume, R is a gas constant and T is temperature. The
22 symbol “ $\min[a,b]$ ” indicates a function to choose the smaller value of a and b .
23 Here, we introduce the viscosity limit η_L to keep the numerical calculation
24 accuracy. The estimated effective viscosity of the plate is on the order of 10^{24} to
25 10^{27} Pa from the lithosphere deformation study by Gordon (2000). We will
26 briefly discuss the effects of this viscosity truncation on subduction generation in
27 section 4. The values of E^* and V^* are based on those for olivine obtained by
28 Karato and Wu (1993). We use three combinations of E^* and V^* for the short

1 time-integration models and one combination for the long time-integration
2 models, as shown in Table II. Figure 2 shows the viscosity distribution with
3 depth at initial temperature conditions for short time-integration models. A is
4 fixed so that η_0 is equal to the reference viscosity $\eta_{ref} = 5 \times 10^{20}$ Pa (an average
5 of the observed viscosity values in the upper mantle, Milne et al., 1999; Okuno
6 and Nakada, 2001) at a depth of 410 km. The type I viscosity model (VM-I) has
7 constant values of E^* and V^* obtained for the diffusion creep of wet olivine. The
8 type II viscosity model (VM-II) has the same E^* as that of the Type I rheology,
9 and V^* decreases with depth and is smaller than that of Type I by 50 % at the
10 bottom of the model so that the viscosity becomes nearly constant beneath the
11 lithosphere. The type III viscosity model (VM-III) has two viscosity layers
12 divided at the depth of 410 km as shown in Fig. 2. In the upper layer, the values
13 of E^* and V^* are determined based on the dislocation creep of wet olivine. The
14 effect of the cubic power-law stress dependence is parameterized by reducing E^*
15 and V^* to half their values (Christensen, 1984). This introduces a weak
16 asthenosphere beneath the lithosphere because the larger value of V^* introduces a
17 stronger pressure dependence than in VM-I. In the lower layer, the values of E^*
18 and V^* are set to be the same as those of VM-I. The pre-exponential factors for
19 the upper and lower layers are adjusted so that the viscosity values of both the
20 layers become identical to each other at the boundary (410 km depth). In the case
21 of the long time-integration model, we use a Type IIIa rheology (VM-IIIa) in
22 which V^* decreases slightly with depth in the lower layer.

23 We introduce yield stress into the model to generate plate-like behavior in
24 the surface viscous layer. The effective viscosity η is determined by von Mises'
25 criterion as

$$26 \quad \eta = \sigma_Y / 2\dot{\epsilon}_{II} \quad \text{at} \quad \sigma_{II} = \sigma_Y \quad (3)$$

$$27 \quad \eta = \eta_0 \quad \text{at} \quad \sigma_{II} < \sigma_Y, \quad (4)$$

28
29 where σ_Y is the yield stress as a function of the location, σ_{II} and $\dot{\epsilon}_{II}$ are
30

1 second invariants of strain rate and viscous stress tensors defined by

$$\dot{\epsilon}_{II} = \sqrt{\frac{1}{2} \sum_{i,j=1}^2 \dot{\epsilon}_{ij}^2} \quad (5)$$

2
3
4 and

$$\sigma_{II} = \sqrt{\frac{1}{2} \sum_{i,j=1}^2 \sigma_{ij}^2} \quad (6)$$

5
6
7 Here, $\dot{\epsilon}_{ij}$ is the strain rate tensor defined by

$$\dot{\epsilon}_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right), \quad (7)$$

8
9
10 and the deviatoric stress tensor σ_{ij} is linked to $\dot{\epsilon}_{ij}$ with constitutive relations
11 as

$$\sigma_{ij} = 2\eta\dot{\epsilon}_{ij}. \quad (8)$$

12
13
14 In most of the mantle except in a fault zone mentioned in the next paragraph, σ_Y
15 simply depends on depth as

$$\sigma_Y = \min[Y_0 + c_Y \rho_0 g z, Y_{max}] \quad (9)$$

16
17
18 where Y_0 is a cohesive strength, c_Y is a friction coefficient of the brittle layer
19 (Byerlee, 1978; Scholz, 1990) and Y_{max} is the maximum yield stress associated
20 with the brittle-ductile transition (BDT) of the lithosphere (Kohlstedt et al., 1995).
21
22
23
24
25
26

1 Thus, the model lithosphere consists of three layers as shown in Fig. 3. We
 2 assume that Y_{max} is constant at depth, and treat it as a varying parameter in the
 3 surface layers (Fig. 3). The value of Y_{max} changes from 200 MPa, which is the
 4 stress level estimated from lithosphere deformation (Cloetingh and Wortel, 1986;
 5 Gerbault, 2000), to 600 MPa, which is about 1.5 times higher than that estimated
 6 from the experimental study (Kohlstedt et al., 1995). We also treat c_Y as a
 7 parameter that is assumed to vary from 0.2 to 0.3. This value of c_Y is smaller than
 8 the estimated value of 0.6 from an experimental rock fracture study (Byerlee,
 9 1976) because weakening effects, such as hydration of the lithosphere, are
 10 considered. We do not include yielding in the continental surface layer to
 11 simulate stiff craton (Jordan, 1981), except in models without the continental
 12 crust (the overriding plate is “oceanic” and has no buoyancy) or the long
 13 time-integration models.

14 To introduce a “fault zone” for simulating the plate boundary, we
 15 introduce a moving segment whose yield stress is determined by its past yielding
 16 history. This simulates the segment whose mechanical strength is controlled by
 17 pore pressure due to water content and fault gouges. Here, we call this a
 18 “fault-zone segment.” The yield stress hysteresis in the fault zone segment is
 19 shown in Fig. 4. The hysteresis of the yield stress is determined according to the
 20 following rule: The yield stress in fresh material in the fault zone segment is
 21 assumed to be the same as that of the normal lithosphere. Once yielding occurs
 22 in the fault zone segment, the yield stress σ_Y is reduced to a smaller value σ_F (Fig.
 23 4), which is defined by

$$24 \quad \sigma_F = c_F \rho_0 g z, \quad (10)$$

25
 26 where c_F is a friction coefficient of the “fractured” material. In order to indicate
 27 the positions of the fault segment and the fractured material, we introduce a “fault
 28 segment function” $\Gamma_F(x, z)$ and a “fractured segment function” $\Gamma_R(x, z)$. To settle
 29 the location of the fault-zone segment at the surface of the plate, we formally
 30

1 introduce the function Γ_F . If the material becomes colder than a threshold
 2 temperature, T_h , we set a variable Γ_F at 1. The value of T_h is treated as a parameter
 3 (Table 2) to test its effects. Γ_F is advected independently of temperature by flow
 4 according to a mass transport equation,

$$6 \quad \frac{\partial \Gamma_F}{\partial t} + \mathbf{u} \cdot \nabla \Gamma_F = 0 \quad (11)$$

7
 8 When the stress reaches σ_Y in the segment with $\Gamma_F = 1$ (the fault-zone segment),
 9 Γ_R is set to be 1 (the fractured fault segment). The yield stress in the segment
 10 with $\Gamma_R = 1$ is set to be σ_F . Γ_R is also transported by the flow according to Eq. (9).
 11 We assume that recovery of the damage occurs at a depth of 330 km, and then Γ_F
 12 and Γ_R are reset to zero. This depth must be deeper than about 150 km, which is
 13 the depth of the deformed overriding plate edge. We also set a weak zone at the
 14 top-left corner to simulate weakening by melting at mid-oceanic ridges. The yield
 15 strength there is set to be σ_F .

17 2.3 Basic equations

18 We use an extended Boussinesq fluid to include the adiabatic
 19 temperature influence on the viscosity layering in the model. The models
 20 presented here are governed by an equation of motion that includes an equation
 21 of continuity and a constitutive relation, an equation of state, an equation of
 22 energy, and an equation of mass transport. We use dimensional forms of the
 23 equations here because physical parameters are given by dimensional values due
 24 to the model's complexity. The equation of motion is expressed as

$$26 \quad \left(\frac{\partial^2}{\partial x^2} - \frac{\partial^2}{\partial z^2} \right) \left[\eta \left(\frac{\partial^2 \Psi}{\partial x^2} - \frac{\partial^2 \Psi}{\partial z^2} \right) \right] + 4 \frac{\partial^2}{\partial x \partial z} \left(\eta \frac{\partial^2 \Psi}{\partial x \partial z} \right) = \frac{\partial \rho}{\partial x}, \quad (12)$$

27
 28 where Ψ is a stream function defined by

1

2

$$\mathbf{u} = (u, w) = \left(\frac{\partial \Psi}{\partial z}, -\frac{\partial \Psi}{\partial x} \right) \quad (13)$$

3

4

where (u, w) are x- and z-components of a velocity vector \mathbf{u} . The vertical axis is positive downward. An equation of state provides the density as

5

6

7

$$\rho = \rho_0(1 - \alpha T) - \Delta\rho_c C \quad (14)$$

8

9

10

where ρ_0 is the mantle density at $T = 0$ °C, ρ_c is the density difference between the mantle and the continental crust, and C is a composition function to express the position of the continental crust ($C = 1$). C is governed by an equation of mass transport:

11

12

13

14

$$\frac{\partial C}{\partial t} + \mathbf{u} \cdot \nabla C = 0. \quad (15)$$

15

16

The temperature is controlled by an energy equation including adiabatic heating and viscous dissipation:

17

18

$$\rho C_p \left[\frac{\partial T}{\partial t} + \mathbf{u} \cdot \nabla T + \mathbf{u} \cdot (\nabla T)_s \right] = k \nabla^2 T + \sum_{i,j=1}^2 \sigma_{ij} \frac{\partial u_i}{\partial x_j} \quad (16)$$

19

20

21

with

22

23

$$\mathbf{u} \cdot (\nabla T)_s = w \frac{\alpha g (T + T_0)}{C_p}, \quad (17)$$

24

25

where k is thermal conductivity.

26

We employ a finite difference method based on a control volume scheme

1 to solve the equations (Nakakuki et al., 1994). We adopt uniform square control
2 volumes of 5 km on each side for all the computations, except in one resolution
3 test case. The number of control volumes is changed according to the size of the
4 model, while the mesh size is fixed. The resolution test shows that the difference
5 between the plate velocities in the 5-km resolution model and the 2.5-km
6 resolution model is -2.8% after 2000 time steps and +2.5% after 4000 time steps.
7 The overall features of both the models are very similar. We therefore believe
8 that the 5-km grid has a sufficient resolution for the calculations presented in this
9 paper. A modified Cholesky decomposition method is applied to solve the
10 equation of motion (Eq. (12)). This makes the numerical solution stabilized
11 against sharp viscosity variations greater than 10^6 . To avoid numerical diffusion
12 and phase errors of the mass transport equation (Eqs. (11) and (15)), a Cubic
13 Interpolated Pseudo-particle method (CIP method) is adopted (Takewaki et al.,
14 1985). The CIP method is a highly valid method for solving problems with
15 sharp interfaces in computational fluid dynamics. Iwase and Honda (1992)
16 confirmed its validity for mantle convection calculations. A first-order Euler
17 method is applied to integrate the equation of energy (Eq. (16)) through time.
18 The size of time steps is changed so that the maximum value of the Courant
19 number $c_{i,j}$

20

$$21 \quad c_{i,j} = \frac{u_{i,j}\delta t}{\delta x} + \frac{w_{i,j}\delta t}{\delta z} \quad (14)$$

22

23 becomes equal to 0.1, where $u_{i,j}$ and $w_{i,j}$ are velocity components on the mesh, δt
24 is a time-step interval, and δx and δz are mesh sizes for the x and z directions,
25 respectively (but $\delta x = \delta z$ because we use a uniform square grid).

26

3. Results

We systematically investigated the effects of plate yield strength, friction at the plate boundary, plate age and viscosity layering in the underlying mantle on the generation of plate-like motion. Our models have many physical parameters because a complex rheology is required to reproduce the plate-like behavior of the surface layer. We have performed 50 runs in total, including 2 runs for the resolution test as shown in Table II. All simulations were started from conditions simulating a passive continental margin without a subducted slab (Fig. 1a). Details of model settings and variable parameters will be explained in the following sections.

3.1 Strength of the plate interior and the plate boundary

We first investigate influences of plate interior strength (c_Y , and Y_{max}), friction of the plate boundary, (c_F), and the style of the initial weak zone. We examine the Series 50 models here, in which VM-I's temperature- and pressure-dependent viscosity (Fig. 2) is used and the initial plate age is set to be 50 Ma. Three types of initial weak zone are considered as illustrated in Fig. 5. We place a segment with a history-dependent rheology at the ocean-continent plate boundary in all the cases. The initial strength of the fault segment is changed according to the initial conditions. Type-1 initial conditions (IC1, Fig. 5(a)) have a segment whose "fractured" yield strength is defined by Eq. (8). In IC1, the "fractured" segment penetrates to the bottom of the thermal boundary layer (depth of 150 km). In Type-2 initial conditions (IC2, Fig. 5(b)), the fractured fault segment reaches to the bottom of the continental crust (depth of 35 km). In Type-3 initial conditions (IC3), the segment does not have "fractured" strength so that the plate boundary has the same strength as that of ambient plate materials in the initial conditions.

Figure 6 shows the evolution of the viscosity field and the surface velocity for Run 50-01. This case has c_Y of 0.2, and Y_{max} of 200 MPa with IC1.

1 The oceanic (left) plate begins to subduct into the underlying mantle when the
2 ascending plume starts to interact with the oceanic plate (26 Myr). The forces
3 driving the plate will be discussed in Section 3.3. Asymmetric structure is first
4 produced due to the asymmetric (i.e., dipped) configuration of the initial weak
5 zone. The buoyancy of the continental crust is not always essential for initiating
6 subduction (Run 50-12 and 13). Compression and bending of the subducting
7 plate continuously generates damaged segments at the surface of the plate. This
8 damaged region is advected into the shear zone by the lithosphere's motion. By
9 this mechanism, the history-dependent rheology continuously produces the fault
10 zone segment with weak strength at the plate boundary. The asymmetric
11 structure is therefore maintained during slab growth. Figure 7 shows a snapshot
12 of Run 50-01 in 46 Myr. The surface velocity (Fig. 7, top) and the stream lines
13 show that the strain in the subducting lithosphere is concentrated at the plate
14 boundary region and the internal segments of the high-viscosity layer very
15 slightly deform so that the surface layer behaves as a rigid plate. Most of the
16 lithosphere, except about 20 km near the ridge and 500 km near the trench, has a
17 strain rate (s^{-1}) on the order of 10^{-18} . Both plate-like behavior of the lithospheric
18 layer and one-sided subduction are produced.

19 We summarize the effects of initial conditions of c_Y and Y_{max} in the
20 diagram shown in Fig. 8. In these cases, c_F is fixed at 0.01. In any case with IC1,
21 oceanic plate subduction can be initiated even when $Y_{max} = 400$ MPa with c_Y of
22 0.3. This value is comparable to the fracture strength estimated by Kohlstedt et al.
23 (1995). The subduction structure is very similar when the viscosity-layering
24 model is identical. When the partial weak zone (IC2) is assumed in the initial
25 conditions, subduction of the plate is generated only in the case when $Y_{max} = 100$
26 MPa, and when $Y_{max} = 200$ and $c_Y = 0.1$ MPa. Introduction of stronger
27 convective flow to the underlying mantle (Run 52-21) or the asthenosphere (Run
28 52-22) enables generation of subduction at Y_{max} of 200 MPa and c_Y of 0.2
29 (shown by the diamond in Fig. 8). Either increasing or decreasing the plate age
30 does not affect subduction generation with IC2. The details are described in

1 Sections 3.2 and 3.4. In all the cases with IC3, subduction is not initiated.

2 We also examine the friction coefficient of the plate boundary (c_F). Here
3 c_Y and Y_{max} are fixed at 0.2 and 200 MPa, respectively. Subduction is generated
4 only when $c_F = 0.01$. Analysis of the driving/resisting forces of the plate shows
5 that friction at the plate boundary accounts for a considerable amount of
6 resistance against the plate motion (see Section 3.3). This value of c_F is much
7 smaller value compared with Byerlee's law (Byerlee, 1978) for rock fracture
8 (0.6). When c_F is larger than 0.01, the resistance from the overriding plate is too
9 large for the driving force to generate subduction.

10 We test the threshold temperature of history-dependent rheology (T_h),
11 that is, the effects of the fault segment thickness (run 50-08, 100-07 and
12 100L-03). The thickness systematically affects the plate velocity and the growth
13 of the subducted slab. The style of the velocity variation is very similar, but the
14 time elapsed to reach the same velocity is about 10 to 30 % longer. We can
15 therefore only discuss relative effects of the rheological parameters on the
16 evolution time and not absolute values.

17 18 **3.2 Age of the oceanic plate and evolution of the plate motion**

19 We next investigate effects of the oceanic plate age (A_p). The age of the
20 plate in the initial conditions is set to be 20 Ma (Series 20), 50 Ma (Series 50), or
21 100 Ma (Series 100). The styles of the subducted slab are very similar in the
22 three different models because the dip angle of the slab is strongly constrained
23 by the initial weak zone. Figure 9 shows the evolution of the oceanic plate
24 velocity for six models with A_p of 20, 50 and 100 Ma, Y_{max} of 200 and 600 MPa,
25 and c_Y of 0.2 and 0.3.

26 In the case of 20 Ma (the red lines, Fig. 9), plate motion does not grow
27 for 30 million years following inception but rapidly develops thereafter, because
28 negative buoyancy does not grow large enough for the plate to founder into the
29 mantle. The differences are very small in the early stages of evolution ($t < 30$
30 Myr). The evolution of plate motion is not affected by changing both c_Y and Y_{max} .

1 The reasons for this are that the brittle-ductile transition (BDT) layer does not
2 exist (Fig. 3) because of the plate thickness, and that only the brittle layer of the
3 plate causes a difference in plate strength. When the slab penetrates to a depth of
4 more than about 200 km, the velocity dependence on Y_{max} appears, because Y_{max}
5 has substantial strength effects on the subducted slab.

6 In the case of $A_p = 50$ Ma (the blue lines, Fig. 9), subduction grows
7 faster than in the model with $A_p = 20$ Ma because the lithosphere has a more
8 negative buoyancy. The internal plate strength (c_Y and Y_{max}) causes a larger
9 difference in the evolution of plate velocity than in the case with $A_p = 20$ Ma. The
10 friction coefficient c_Y is a more important parameter for determining the evolution
11 of plate motion than Y_{max} because BDT layers (Fig. 3) occupy a significant
12 portion of the surface plate only when $c_Y = 0.3$.

13 In the case of $A_p = 100$ Ma (the green lines, Fig. 9), the plate strength
14 causes the largest difference of the plate motion evolution among the calculated
15 models. In this case, Y_{max} differences affect the evolution of plate motion more
16 than in the other cases. Before about 25 Myr, subduction develops faster in the
17 cases with younger plates because older plates are heavier than younger plates,
18 so older plates have larger driving forces. In the later stages, the plate with $A_p =$
19 100 Ma subducts more sluggishly than cases with younger plates because the
20 thick plates have bending stiffness.

21 We also performed four calculations with IC2 (Run 20-21 at c_Y of 0.02
22 and Y_{max} of 200 MPa, Run 20-22 at c_Y of 0.02 and Y_{max} of 100 MPa, Run 100-21
23 at c_Y of 0.02 and Y_{max} of 200 MPa, and Run 100-22 at c_Y of 0.02 and Y_{max} of 100
24 MPa). The plate age does not affect the emergence of subduction because both
25 the ridge-push force and fracture strength for generating the plate boundary
26 increase proportionally with plate age.

28 **3.3 Stress field and driving forces of the plate**

29 We analyze stress fields and forces acting on the subducting plate to
30 understand the driving force of subduction. Figure 10 shows the stress fields of

1 Run 50-01 in which plate-like behavior is produced. We define the “lithosphere”
2 as a segment with temperature less than 1050 K or viscosity larger than 3×10^{21}
3 Pa s, where the velocity is nearly constant. The boundary of the lithosphere is
4 indicated with green lines in Fig. 10. We observe that high stress regions are
5 settled in the lithosphere. This means that the plate propagates stress, or in other
6 words, the plate acts as a stress guide. The normal stress is horizontal
7 compression in most of the plate except in regions near a ridge or trench. The
8 compressional stress is generally observed when plate-like behavior is generated
9 in the surface. In this case, the tensional stress is produced in limited regions.
10 Plate spreading near the ridge causes the tension. The plate bends downwards in
11 the “outer rise” region near the trench so that tensional stress is induced in the
12 upper side of the plate. The horizontal strain rate averaged in the outer rise region
13 becomes negative, so that the plate is also compressed in this area. A wider
14 region with tensional stress is generated in the case of VM-III (see section 3.5).

15 The evolution of forces acting on the plate for Run 50-02 with plate
16 velocity and slab depth is shown in Fig. 11. We name the forces in Fig. 11b
17 according to Forsyth and Uyeda (1975). We describe the forces acting on the
18 plate by classifying them as driving forces (the solid lines, Fig. 11b) and
19 resisting forces (the dashed lines, Fig. 11b). The driving force is generated by
20 three sources, including pressure differences due to the shape of the plate
21 (ridge-push force, RP), viscous drag due to convective flow in the underlying
22 mantle (*driving* mantle-drag force, DF+), and negative buoyancy of the slab
23 (slab-pull force, SP). RP is calculated from the pressure difference between the
24 ridge and subduction zone (Turcotte and Schubert, 1982), assuming that the
25 compensation depth is 150 km. We neglect topographic uplift caused by the
26 plume-ridge interaction because of the asthenosphere’s low viscosity. The
27 resisting force in the early stages of subduction consists of viscous stress from
28 the underlying mantle (*resisting* mantle-drag force DF-), friction at the plate
29 boundary (continental resistance, CR), and bending of the plate pushed by the
30 overriding plate (plate bending, PB). Because viscous resistance against the

1 subducted slab (slab resistance, SR) is not significant for subduction initiation, it
2 is not shown in Fig. 11b. The mantle-drag force (DF), which is the total viscous
3 stress between the plate and the underlying mantle (Forsyth and Uyeda, 1975),
4 acts as either an integrated driving or resisting force. DF is therefore separated
5 into two parts, namely, DF+ and DF-, which are the integrals of shear stress
6 dragging the plate in the moving and opposite directions. Plate bending (PB) is
7 the same as a slab suction force (Forsyth and Uyeda, 1975).

8 In the initial stage of subduction, RP and DF+ account for the plate
9 driving force. When a combination of RP and DF+ overcomes the resisting
10 forces (CR and PB), the plate begins to move in the direction of the trench and to
11 thrust under the overriding plate. RP increases with time because the plate
12 becomes thicker with cooling. DF+ has 1/2 of RP's magnitude, but it has an
13 important role in initiating subduction by dragging the plate in the early stages of
14 subduction generation. Lack of DF+ force in a no-plume model (Run 50-11,
15 Table II) initiates no subduction. When the upwelling plume interacts with the
16 plate (near 30 Myr), DF+ increases and reaches an extremum (Fig. 11b). At the
17 same time, the plate velocity sharply increases, and a small plate velocity peak is
18 observed (Fig. 11a). CR and PB contribute a substantial portion of the force
19 resisting plate motion. In the early stages, the magnitude of CR is slightly larger
20 than that of PB. Although both CR and PB increase after 20 Myr, the increase of
21 PB is more pronounced. The accelerating plate velocity induces an increase in
22 plate yielding at the trench so that PB grows. The fault length is extended by
23 plate thickening due to cooling with time and by deformation of the overriding
24 plate entrained by the subducting plate. This causes CR to increase, as CR is
25 proportional to the square of the fault length. The increase in CR and PB causes
26 a momentary decrease in the plate velocity when the plate velocity is more than 2
27 cm yr^{-1} . Although c_F of 0.01 induces a significant resistive force in the magnitude
28 of CR, subduction can be generated. Increasing c_F to 0.02 is enough to prevent
29 the plate from subducting, because CR is proportional to c_F . SP increases as the
30 subducted slab is developed, and finally dominates after the slab penetrates to a

1 depth of 120 km (Figs. 11a, b). After this time, the plate velocity grows quickly.
2 DF- exceeds DF+ so that the mantle drag becomes resistive in total.

3 4 **3.4 Viscosity layering and asthenosphere**

5 We next consider effects of viscosity layering in the mantle, and we
6 especially focus on the roles of the low-viscosity asthenosphere. We employ two
7 types of viscosity structure (VM-II and VM-III, Fig. 2) other than the standard
8 model (VM-I), changing the activation energy E^* and volume V^* .

9 Figure 12 shows snapshots of Run 52-01's viscosity field. In this case,
10 the lower mantle has low viscosity so that the ascending flow of the hot plume is
11 faster than that of the VM-I case in the lower mantle. We also assume a relatively
12 weak lithosphere ($c_Y = 0.1$). A vigorous convection current driven by the
13 upwelling plume induces a strong drag along the base of the lithosphere. In
14 addition, the convection current is concentrated in the left half of the model
15 because the underlying mantle has a nearly constant viscosity. The drag force
16 pushes a part of the plate only near the ridge. These cause broad deformation of
17 the lithosphere so that it does not behave like a rigid plate. If c_Y is assumed at 0.2,
18 plate-like motion is generated (Run 52-02).

19 In the case of VM-III (Run 52-03 to Run 52-05), when the viscosity has
20 a stronger pressure dependence in the upper layer of the mantle (Fig. 2), a
21 distinctive low-viscosity layer is formed beneath the lithosphere (Fig. 12). The
22 plate starts to move with greater velocity (4 cm yr^{-1}) than those of VM-I cases
23 even before the upwelling plume directly contacts the bottom of the plate. The
24 convective flow induced by the upwelling plume broadens horizontally because
25 of the viscosity stratification (Fig. 12, bottom). This generates a drag force acting
26 uniformly on the base of the lithosphere, so that the drag on the plate works
27 more effectively in spite of the asthenosphere's low viscosity. In this stage, a
28 tensional stress is observed around the 1000 km region near the trench.
29 Moreover, the smaller E^* in VM-III causes a thinner mechanical plate than that
30 in VM-I. This causes a smaller bending resistance of the subducting plate. The

1 plate velocity therefore grows faster than that of Run 50-01 does. The plate
2 velocity of Run 52-03 reaches 12 cm yr^{-1} at its peak because the resistance from
3 the ambient mantle is at a minimum. The velocity variation is larger than that of
4 the VM-I rheology. The velocity of the plate is strongly changed by the viscosity
5 layering structure.

6 Figure 13 shows the horizontal velocities for Run 50-01 (VM-I) and
7 Run 52-03 (VM-III) at the centre of the model. The lines with solid symbols
8 show the velocity when the driving mantle drag force is more dominant than the
9 resisting force and the lines with open symbols show velocities in the opposite
10 condition. In the former condition, the velocity beneath the plate becomes faster
11 than that of the surface (plate) motion in Run 52-03. The asthenosphere
12 promotes horizontal flow beneath the lithosphere. As Fig. 12 shows, the
13 viscosity layering also causes the large aspect ratio of the underlying convective
14 flow. The model's asthenosphere therefore enhances the driving force of the
15 plate. Figure 14 shows the mantle-drag forces (both DF+ and DF-) of Run
16 50-01 (VM-I) and 52-03 (VM-III). The magnitude of DF+ in Run 52-03 is as
17 large as that in Run 50-01 in spite of the 10-times-lower viscosity when DF+
18 becomes larger than DF-. The plate velocity increase (up to 12 cm yr^{-1}) in Run
19 52-03 induces the enlargement of DF- around 6 Myr. Because of the low
20 viscosity in the asthenosphere, DF- in Run 52-03 is smaller than in Run 50-01
21 although the former's plate velocity is 3 times larger than that of the latter.

22 We also examine effects of viscosity layering on subduction generation
23 when the initial weak zone is absent (Runs 52-21 and 52-22). The values of Y_{max}
24 and c_y are taken to be the same as those of Run 50-11, in which subduction
25 cannot occur. In Run 52-11, strong dragging by the mantle flow causes
26 subduction generation. In Run 52-12, subduction can be generated by the same
27 mechanisms that encourage fast growth of the plate velocity in Run 52-03.

28

29 **3.5 Longevity of the subduction and evolution of the slab**

30 In the last analysis, we examine subduction longevity and evolution of

1 the subducted slab. For this purpose, we execute the model as long as CPU time
2 permitted. Because each run takes about 500 hours of CPU time, we perform 4
3 runs with different asthenosphere settings (Runs 100L and 102L), “fault zone
4 segment” thicknesses (Run 102L-02), and lithospheric internal strengths (Run
5 102L-03). Because we are focusing on the plate and the upper mantle slab
6 dynamics, the temperature in the lower 500 km of the model is fixed to the
7 mantle adiabatic temperature to avoid having the slab stagnant above the bottom
8 of the model layer. The other important advantage is that the underlying mantle
9 temperature is constant in time. We can therefore remove the effects of
10 temperature-dependent viscosity variations to focus on stability and longevity of
11 the subducting slab. We use the VM-Ia (Run 100L-01) and VM-IIIa (Runs
12 102L-01 to 03) viscosity layering (Section 2.2) in which V^* in the lower layer is
13 slightly reduced with depth (Table I) so that the velocity of the plate becomes
14 about 5 to 10 cm yr⁻¹. In addition, the rheology of the overriding plate may affect
15 its stability. We therefore introduce yielding into the continental crust layer, and
16 set the E^* and V^* (Table I) in this layer to match those of granite (Kohlstedt et al.,
17 1995). This, however, does not affect longevity of the plate motion.

18 Figure 15 shows snapshots of the viscosity field for Run 102L-02. The
19 subduction and plate-like motion of the surface layer continue to the end of the
20 calculations. In the cases with a low-viscosity asthenosphere (Runs 102L), the
21 deeply subducted slab swings because the high-viscosity deep mantle resists the
22 descending slab. When the subducted slab is convex (65 Myr in Fig. 15), the
23 plate moves slightly faster than when it is nearly vertical or concave (53 Myr).
24 Small-scale instabilities beneath the lithosphere occur with fluctuations of the
25 uprising flow (43 to 128 Myr). These quickly disappear after the uprising plume
26 becomes stationary. Afterwards, new instabilities are generated beneath the
27 lithosphere older than 60 Ma (148 Myr). The small-scale instabilities are not
28 observed in the case with a more viscous asthenosphere (100L-01).

29 The velocities of the subducting plate for Run 100L-01 through 102L-03
30 are shown in Fig. 16. In the case of Run 100L-01 without the low-viscosity

1 layer, the velocity converges to 4 - 5 cm yr⁻¹. In Runs 102L-01 to 03 with the
2 low-viscosity asthenosphere, the velocities peak at 8 - 9 cm yr⁻¹ when the slab is
3 sinking at a depth around 300 km. After that, the plate velocity finally converges
4 to 4 - 5 cm yr⁻¹ in Runs 102L-01 and 02 and 3.5 - 4.5 cm yr⁻¹ in Run 102L-03.
5 The low-viscosity asthenosphere, therefore, does not significantly influence the
6 plate motion after the subducted slab develops. The plate motion under the larger
7 yield stress condition (600 MPa, Run 102L-03) is slightly less than that under
8 the smaller yield stress condition (300 MPa, Run 102L-01). The thickness of the
9 “fault zone segment” does not affect the terminal plate motion velocity (Run
10 102L-02). Because resistance to the subducted slab is the largest of the resistive
11 forces, the mantle viscosity predominantly controls the descending velocity of
12 the developed slab. Fluctuation of the plate velocity after it reaches terminal
13 velocity is caused by slab deformation in the depth-dependent viscosity layering.
14 The slab is vertically compressed because the tip of the slab receives greater
15 viscous resistance than the shallower part does.
16

1 **4. Discussion**

2
3 We construct integrated numerical models of the plate-mantle system to
4 investigate effects of asymmetric subduction structures on the generation of
5 surface plate-like motion under various plate strengths and tectonic settings. In
6 our models, introducing a history-dependent yield strength is important for
7 generating an asymmetric subduction structure. Our proposed history- dependent
8 yield strength is similar to the limit of the damage rheology model (Auth et al.,
9 2003), proposed first by Bercovici (1996), with extremely strong temperature
10 dependence, fast damage growth, and slow damage healing. The sharp
11 temperature dependence in the generation of fractures is able to produce
12 asymmetry because the position of the weak zone is limited to the plate surface.
13 In cases with gentler temperature dependence, symmetric subduction over a
14 broader plate boundary area would be produced. The advection of past fractures
15 preserves the damage and its asymmetric distribution, promoting consecutive
16 weak zones at the surface of the subducting plate. On the contrary, the damage
17 advection term is smaller than the source and healing terms in models with a
18 damage rheology (Ogawa, 2003; Auth et al., 2003). This may induce symmetric
19 subduction.

20 We first show that the emergence of plate-like motion with subduction is
21 insensitive to the brittle-ductile transition (BDT) strength of the plate interior, but
22 sensitive to friction on the plate boundary. If the plate boundary strength is small
23 enough, subduction occurs even at the highest BDT strength value (600 MPa).
24 Subduction generation does not strongly depend on the plate strength because
25 Earth-like asymmetric subduction needs a small degree of weakening for the
26 lithosphere to bend and allow the plate to drop into the mantle at the trench.
27 Yielding in the whole plate must occur to generate symmetric subduction. In this
28 case, the plate bending force (PB) has to be on the order of 10^{13} N m⁻¹ with Y_{max}
29 = 200 MPa. The PB for asymmetric subduction (Fig. 11b) is much smaller than
30 that for symmetric subduction, although it is a significant resistive force. The

1 subducted slab can be formed when the friction coefficient (c_F) of the fault zone
2 at the plate boundary is small (≤ 0.01). This value may be extraordinarily small,
3 so that excessive reduction of the plate boundary strength due to hydration at the
4 plate boundary (e.g., Jambon and Zimmermann, 1990) is therefore necessary to
5 generate subduction. Sensitivity to the plate boundary strength was predicted by
6 McKenzie (1977), and it is consistent with the conclusions of previous studies
7 (Toth and Gurnis, 1998; Conrad and Hager, 1999) focused on initiation of
8 asymmetric subduction. In our models, resistance at the plate boundary (CR)
9 accounts for the largest portion of resistive force in the early stages of
10 subduction. The friction coefficient c_F corresponds to CR, which is the integral
11 of friction along the fault. When the plate thickness reaches the level attained at
12 100 Ma, the c_F value of 0.005 coincides with the mean CR/RP ratio obtained by
13 torque-balance analysis (Forsyth and Uyeda, 1975). The friction coefficient
14 expected from observational studies should be even smaller than that employed
15 here. If that is true, the plate bending force (PB) is the largest of the resistive
16 forces.

17 When the initial conditions do not include the weak zone (IC3, Fig. 6), a
18 subducted plate cannot be generated under any conditions using the yield
19 strength in our models. To generate fault zones in the intact lithosphere, the
20 magnitude of the force must be on the order of 10^{13} N m⁻¹, which is the integral
21 of the plate's internal strength. Among the forces considered here, only the slab
22 pull (SP) can provide that level of force. Introduction of a partial initial weak
23 zone (IC2, Fig. 6) can initiate subduction when the yield strength is as small as
24 200 MPa (Fig. 8). With a larger maximum plate strength, an initial weak zone as
25 deep as the bottom of the lithosphere (IC1) is required to initiate subduction.
26 Mechanisms at least partially responsible for fracturing the plate, such as
27 sediment loading (Cloetingh et al., 1982; Branlund et al., 2001; Regenauer-Lieb
28 et al., 2001), plume intrusion (Turcotte et al., 1977; Kemp and Stevenson, 1996),
29 or transformation of past fracture zones (Gurnis et al., 2000), would be
30 important for generating new subduction when we introduce neither a weak zone

1 nor smaller plate strength. If subduction is initiated by these mechanisms, a drag
2 force (DF+) exerted by the underlying convective flow is also important to bend
3 the plate until the slab-pull force is enlarged. Hall et al. (2003) have succeeded in
4 spontaneously producing a developed subduction with backward slab motion
5 under a thin overriding lithosphere using 2-D dynamic models.

6 In our model, the upwelling plume beneath the ridge is introduced to
7 generate a positive mantle drag force (DF+). The combination of DF+ and RP is
8 necessary to initiate subduction. This does not mean that the uprising plume must
9 couple with the ridge. If the approximate direction of mantle flow is the same as
10 sea floor spreading, the asthenosphere can be expected to act as DF+ pushes the
11 lithosphere. In cases with a low-viscosity asthenosphere (Runs 52 and 102L),
12 subduction is initiated without direct interaction between the uprising plume and
13 the overlying plate.

14 In the early stages of subduction, the old plate slab (100 Ma) develops
15 faster than the young plate (20 Ma) because the older plate has more negative
16 buoyancy. In the case of young plates, the lack of negative buoyancy causes
17 subduction to start after the upwelling plume pushes the plate directly. The plate
18 motion slows in the later stages of the older-plate case when the slab thrusts
19 under the bottom of the overriding plate. In this situation, resistance by PB and
20 CR controls the plate motion, and PB is more dominant than CR. The difference
21 in strength and thickness of the BDT layer significantly affects growth of the
22 subducted slab. This is similar to the situation in which the plate bending force
23 dominates and governs plate motion, as pointed out by Conrad and Hager (1999)
24 and Schellart (2004). Later, with a more mature slab, the plate motion is
25 constrained by slab resistance (SR) in our viscosity model. A mantle-drag force
26 (DF) also provides resistance in this stage, as is consistent with observed
27 plate-driving forces (Forsyth and Uyeda, 1975).

28 The physical mechanism in which the asthenosphere promotes plate
29 motion is quantitatively revealed by analysis of mantle-drag forces (Fig. 14). The
30 mantle-drag force provides an important portion of the driving force in the early

1 stages of subduction. The viscosity layering reinforces the effect of mantle drag,
2 because this generates a horizontal large-scale flow concentrated in the
3 low-viscosity layer. The asthenosphere therefore promotes generation of plate
4 motion. Because the power-law rheology is parameterized as a low-viscosity
5 layer, the effect of a non-Newtonian rheology on reducing viscosity might be
6 overestimated during early evolution when plate motion is not active. In this case,
7 the early evolution of subduction would be slower than that in our calculation.
8 The low-viscosity layer can, however, be produced by convection in the
9 underlying mantle. In this case, our parameterization using the low viscosity
10 layer would be reasonable. When the slab is developed, the mantle drag acts as a
11 resistive force, as in the case with weaker viscosity layering. In this situation, the
12 underlying low-viscosity mantle does not impede the plate motion so strongly as
13 a higher-viscosity mantle. These mechanisms would cause the stabilization of
14 plate-like motion as pointed out by Tackley (2000c) and Richards et al. (2001).
15 Peak plate velocity reaches a larger value here than in the case without the
16 asthenosphere layer because of the smaller resistance against the plate and the
17 subducted slab. This means that the viscosity of the asthenosphere is also
18 important for controlling plate motion as well as plate strength before SR
19 becomes dominant.

20 Our models with long time-integrations show that the subduction
21 continues stably over a long period (> 100 Myr) at the high yield strength (≥ 200
22 MPa). In this level of the yield strength, previous simulations with uniform
23 yielding rheologies show that episodic or stagnant-lid convection occurred
24 (Moresi and Solomatov, 1998; Trompert and Hansen, 1998; Tackley, 2000b).
25 The symmetric subduction process seems to be unstable. On the contrary, the
26 asymmetric subduction is stable because of the gentle lithospheric bending. In
27 the early stages of subduction, the velocity of plate motion depends on plate
28 strength and the asthenosphere's viscosity. As the slab reaches the
29 high-viscosity layer, the plate motion slows and converges to a very similar
30 speed. This means that the viscosity of the surrounding mantle is the most

1 important factor controlling the sinking velocity of the mature slab in our models.
2 If the deep mantle has lower viscosity, there is a possibility that the plate motion
3 is controlled, on the contrary, by plate strength. A more systematic study may be
4 needed to reveal quantitatively which mechanism dominates in determining plate
5 motion. We neglect the effect of mantle thickness in the long time-integration
6 models because of computer capacity. We assume the lowermost mantle has
7 fixed temperature (Section 3.5) because we focus on the effects of one-sided
8 subduction structures on time-dependent plate motion behavior, avoiding
9 stagnation of the slab at the bottom of the model, which is thinner than the
10 Earth's mantle. We anticipate that stagnation at the bottom does not affect this
11 situation because the slab migrates (Engebretson et al., 1992) when the trench is
12 not fixed. We still need to examine the interaction of the slab with the lowermost
13 mantle in the future.

14 We neglect the negative buoyancy of the 410-km phase transition and the
15 basalt-eclogite phase transition in the subducted basaltic crust (e.g. Irifune, 1993).
16 The former increases the slab-pull force by $\sim 1 \times 10^{13}$ N m⁻¹ (Turcotte and
17 Schubert, 1982) and the latter increases it by $\sim 5 \times 10^{12}$ N m⁻¹. The speed of the
18 plate motion when a slab penetrates the transition zone is expected to increase
19 about 100%. The phase transition at the 660 km depth affects the fate of the
20 subducted plate (Christensen and Yuen, 1984). Seismic tomography images
21 show that the slab structure either stagnates in the transition zone or penetrates
22 the lower mantle (e.g., reviewed by Fukao et al., 2001). Our models presented
23 here are useful for investigating the interaction of the subducting plate with phase
24 transitions. The phase boundaries also strongly change the time-dependent
25 convection behavior (Machetel and Weber, 1991; Honda et al., 1993; Tackley et
26 al., 1993) and influence the plate velocity (Christensen and Yuen, 1984;
27 Schmeling et al., 1999). The interaction between the basaltic layer and the 660
28 km transition zone may also affect the plate motion (van Keken and Karato,
29 1996).

30 The viscosity jump at the 660 km phase transition controls the structure

1 of subduction (Gurnis and Hager, 1988; Enns et al., 2005) and influences plate
2 motion. Modeling the present plate motion using 3-D instantaneous calculations
3 (Yoshida et al., 2001) also indicates a weak coupling of the plate motion and the
4 lower mantle. Reduction of slab strength due to the grain-size reduction
5 associated with the phase transition is predicted by high-pressure mineralogy
6 (Rubie, 1984; Riedel and Karato, 1997) and is consistent with observations of
7 the geoid (Moresi and Gurnis, 1996). The effects of mantle transition zone slab
8 strength on plate motion (Conrad et al., 2004) are subjects to be studied in the
9 future.

10 We focus on the effects of plate yield strength so that the plate rheology is
11 treated as a pure viscous fluid with yielding. Elasticity in a Maxwell fluid takes
12 part of the plate deformation so that introduction of elasticity can be expected to
13 promote, not to impede, plate motion. It is important to quantitate viscoelastic
14 effects (e.g., Moresi et al., 2002). We also assume the viscosity truncation at η_L
15 of 10^{25} Pa s. We have studied the influence of setting η_L in the range estimated
16 from observed plate deformations (Gordon, 2000). The viscosity truncation at
17 10^{24} , 3×10^{24} , and 10^{26} Pa s changes the peak velocity of the plate by +12.4%,
18 +7.5% and -2.5% (Tagawa et al., 2007). The viscosity truncation does not
19 significantly affect plate motion because yielding controls plate motion.

20 We use a fault segment thicker than 15 to 25 km in the model because of
21 computing resolution limitations. In this study, we have introduced a threshold
22 temperature for a history dependent rheology (T_h) that controls thickness of
23 damaged material. We believe that the wetness of an oceanic crust lubricates a the
24 plate boundary although that it is much thinner than the fault segment in our
25 models. We have therefore examined whether or not a history-dependent layer
26 with the same thickness as the oceanic crust (6 km) is enough to work as the
27 plate boundary (Tagawa et al., 2007). Our results show that a thin wet oceanic
28 crust works well as the plate boundary when the strength is as low as that
29 employed in this paper with the same internal lithospheric strength.

30 The other main simplification is that our models have one subduction

1 zone consisting of two plates in a 2-D rectangular box so that we can attain the
2 highest resolution possible given the present computer capacity. Moreover, the
3 overriding plate is fixed at the boundary of the model. We have examined the
4 effects of a movable overriding plate (Tagawa et al., 2007) previously, and these
5 results show that one-sided subduction is produced in a freely mobile overriding
6 plate with or without crustal buoyancy. In this case, trench migration is observed.
7 Two-dimensional models, however, can simulate very limited features of
8 plate-boundary reorganization. Three-dimensional models are required for
9 investigating the reorganization of the plate boundary. The computation of a
10 high-resolution 3-D model with large viscosity variations is still very difficult
11 and requires too much CPU time. The treatment of the plate boundary at the
12 subduction zone proposed in this study would be practical in 3-D models.
13
14

1 **5. Conclusions**

2 We have shown that one-sided structure of subduction is key for
3 generating plate-like motion using 2-D integrated plate-mantle models. We
4 summarize the dynamical effects of asymmetric structure on the generation of
5 plate-like motion as follows:

6 (1) The generation of plate-like motion with asymmetric subduction is
7 reproduced with a wide range of strengths of the plate interior. This occurs
8 because asymmetric subduction requires a plate-bending force much less
9 than that required for symmetric subduction to yield gentle plate bending.

10 (2) Friction at the plate boundary must be strongly lower than the internal plate
11 strength to generate plate-like motion contrary to (1), as previous studies on
12 the numerical convection (Tackley, 2000c; Ogawa, 2003) and subduction
13 (Toth and Gurnis, 1998; Conrad and Hager 1999) modeling have pointed
14 out.

15 (3) The age of the plate affects evolution of plate motion in the early stages of
16 subduction mainly because of its relationship with negative buoyancy. Plate
17 strength effects are more distinguished because the thickness of the
18 brittle-ductile transition layer, which controls plate bending, increases with
19 plate age.

20 (4) The asthenosphere promotes plate-like motion because it enhances the
21 effects of the mantle drag force. This occurs because viscosity stratification
22 concentrates the convective flow and enlarges its horizontal scale beneath the
23 plate. Viscosity layering strongly varies the plate velocity in the early stages
24 of subduction because the asthenosphere provides viscous resistance to the
25 subducted slab.

26 (5) The plate continues asymmetric subduction stably for a long time after the
27 slab is mature even if the plate has a yield strength as high as that in
28 situations with unstable symmetric downwelling.

29
30

1 **Acknowledgements**

2 The authors thank S. Honda for his stimulating discussions. They are grateful to
3 H. Fujimoto for his comments. They also thank two anonymous reviewers for
4 their thorough and constructive reviews. The computation time on NEC SX
5 Computer and Hitachi SR11000 System was provided at the Institute for
6 Non-linear Science and Mathematics (INSAM), and the Information Media
7 Center (IMC), Hiroshima University. Most of the figures are plotted using the
8 Generic Mapping Tools (GMT) developed by Wessel and Smith. This study is
9 partly supported by the Grant-in-Aid for Scientific Research (No. 16340130)
10 from the Japan Society for the Promotion of Science.

11

1 **References**

2 Auth, C., Bercovici, D., Christensen, U. R., 2003. Two-dimensional convection
3 with a self-lubricating simple-damage rheology. *Geophys. J. Int.* 154,
4 783-800.

5 Bercovici, D., 1996. Plate generation in a simple model of lithosphere–mantle
6 with self-lubrication. *Earth Planet. Sci. Lett.* 144, 41-51.

7 Bercovici, D., 1998. Generation of plate tectonics from lithosphere–mantle
8 flow and void-volatile self-lubrication. *Earth Planet. Sci. Lett.* 154,
9 139-151.

10 Bercovici, D., 2003. The generation of plate tectonics from mantle convection.
11 *Earth planet. Sci. Lett.* 154, 139-151.

12 Billen, M., Gurnis, M, 2001. A low viscosity wedge in subduction zones. *Earth*
13 *Planet. Sci. Lett.* 193, 227-236.

14 Branlund, J. M., Regenauer-Lieb, K., Yuen, D. A., 2001. Weak zone formation
15 for initiating subduction from thermo-chemical feedback of low-
16 temperature plasticity. *Earth Planet. Sci. Lett.* 190,237-250.

17 Byerlee, J., 1978. Friction of rocks. *Pure Appl. Geophys.* 116, 615-626.

18 Cloetingh, S., Wortel, R., Vlaar, N. J., 1982. Evolution of passive continental
19 margins and initiation of subduction zones. *Nature* 297, 139-142.

20 Cloetingh, S., Wortel, R., 1986. Stress in the Indo-Australian plate.
21 *Tectonophys.* 132, 49-67.

22 Christensen, U. R., 1984. Convection with pressure- and
23 temperature-dependent non-Newtonian rheology. *Geophys. J. R Astron.*
24 *Soc.* 77, 343-384.

25 Christensen, U. R., Yuen, D. A., 1984. The interaction of a subducting
26 lithospheric slab with a chemical or phase boundary. *J. Geophys. Res.* 89,
27 4389-4402.

28 Conrad, C. P., Hager, B. H., 1999. Effects of plate bending and fault strength at
29 subduction zone on plate dynamics. *J. Geophys. Res.* 104, 17551-17571.

30 Conrad, C. P., Lithgow-Bertelloni, C., 2004. The temporal evolution of plate

1 driving forces: Importance of “slab suction” versus “slab pull” during
2 Cenozoic. *J. Geophys. Res.* 109, doi10.029/2004JB002991.

3 Cserepes, L., 1982. Numerical studies of non-Newtonian mantle convection.
4 *Phys. Earth Planet. Int.* 30, 49-61.

5 Davies, G. F., 1989. Mantle convection model with a dynamic plate:
6 topography, heat flow, and gravity anomalies. *Geophys. J.* 98, 461-464.

7 Engebretson, D. C., Kelley, K. P., Cashman H. J., Richards, M. A., 1992. 180
8 million years of subduction. *GSA today* 2, 93-100.

9 Enns, A., Becker, T. W., Schmeling, H., 2005. The dynamics of subduction
10 and trench migration for viscosity stratification, *Geophys. J. Int.*, 160,
11 761-775

12 Forsyth, D., Uyeda, S., 1975. On the relative importance of the driving forces
13 of plate motion. *Geophys. J. R. Astron. Soc.* 142, 163-200.

14 Fukao, Y. Widiandro, S, Obayashi, M., 2001. Stagnant slabs in the upper and
15 lower mantle transition region. *Rev. Geophys.* 39, 291-323.

16 Gerbault, M. 2000. At what level is the central Indian Ocean lithosphere
17 buckling. *Earth Planet. Sci. Lett.* 178, 165-181.

18 Gordon, R. G., 2000, Diffuse oceanic plate boundaries: Strain rate, vertically
19 averaged rheology and constraints with narrow plate boundaries and stable
20 plate interiors, in *The history and Dynamics of Grobal Plate Tectonics.*
21 *Geophys. Monograph Series Vol. 121*, pp143-159, ed. Richards, M. A.
22 Gordon, R. G., van der Hirst, R., Am. Geophys. Union, Washington, DC.

23 Gurnis, M. , Zhong, S., Toth, J., 2000. On the competing roles of fault
24 reactivation and brittle failure in generating plate tectonics from mantle
25 convection, in *The history and Dynamics of Grobal Plate Tectonics.*
26 *Geophys. Monograph Series Vol. 121*, pp73-94, ed. Richards, M. A.
27 Gordon, R. G., van der Hirst, R., Am. Geophys. Union, Washington, DC.

28 Hall, C. E., Gurnis, M., Sdrolias, M., Lavier, L. L., and Müller, R. D., 2003.
29 Catastrophic initiation of subduction following forced convergence across
30 fracture zones, *Earth Planet. Sci. Lett.* 212, 1530.

- 1 Honda, S., Yuen, D. A., Balachander, S. Reuteler, D., 1993. Three-dimensional
2 instabilities of mantle convection with multiple phase transitions. *Science*
3 259, 1308-1311.
- 4 Honda, S., Nakakuki, T., Tatsumi, Y., and Eguchi, T., 2000. A simple model of
5 mantle convection including past history of yielding. *Geophys. Res. Lett.*
6 27, 1559-1562.
- 7 Iwase, Y. & Honda, S., 1993. Deformation of the crust and upper mantle
8 beneath the back-arc caused by subduction. *J. Phys. Earth* 41, 347-363.
- 9 Jambon, A., Zimmermann, J. L., 1990, Water in oceanic basalts: Evidence for
10 dehydration of recycled crust, *Earth Planet. Sci. Lett.*, 90, 297-314.
- 11 Jordan, T. H., 1981. Continents as a chemical boundary layer. *Philos. Trans. R.*
12 *Soc. London Ser. A* 301, 359-373, 1981.
- 13 Karato, S., Wu, P., 1993. Rheology of the upper mantle: a synthesis. *Science*
14 260, 771-778.
- 15 Kohlstedt, D. L. Evans, B., Mackwell, S. J., 1995. Strength of the lithosphere:
16 Constraints provided by the experimental deformation of the rocks. *J.*
17 *Geophys. Res.*, 100, 17587-17602.
- 18 Kemp, D. V., Stevenson, D. J., 1996. A tensile flexural model for the initiation
19 of subduction. *Geophys. J. Int.* 125, 73-94.
- 20 Lenardic, A., Kaula, W. M., 1994. Self-lubricated mantle convection: Two-
21 dimensional models. *Geophys. Res. Lett.* 21, 1707-1710.
- 22 Lenardic, A., Moresi, L., Mühlhaus, H., 2000. The role of mobile belts for the
23 longevity of deep cratonic lithosphere: The crumple zone model. *Geophys.*
24 *Res. Lett.* 27, 1235-1238.
- 25 Machel, P. and Weber, P., 1991. Intermittent layered convection in a model
26 mantle with an endothermic phase change at 670 km. *Nature* 350, 55-57.
- 27 McKenzie, D. P., 1977. The initiation of trenches: A finite amplitude instability,
28 in *Island arc, deep sea trenches and back-arc basins*. Maurice Ewing Series
29 Vol. 1, pp. 57-61, ed. Talwani, M., Pitman, W. C., Am. Geophys. Union,
30 Washington, D. C.

- 1 McKenzie, D. Bickle, M. J., 1988. The volumes and composition of melt
2 generated by extension of the lithosphere, *J. Petrol.* 29, 625-679.
- 3 Milne, G. A, Mitrovica J. X., Davis J. L., 1999. Near-field hydro-isostasy: the
4 implementation of a revised sea-level equations. *Geophys. J. Int.* 139,
5 464-482.
- 6 Moresi, L., Gurnis, M., 1996. Constrains on the lateral strength of slab from
7 three-dimensional dynamic flow models. *Earth Planet. Sci. Lett.* 138,
8 15-28.
- 9 Moresi, L. & Solomatov, 1998. V. Mantle convection with brittle lithosphere:
10 thoughts on the global styles of the Earth and Venus. *Geophys. J. Int.* 133,
11 669-682.
- 12 Moresi, L., Dufour, F., Mühlhaus, H.-B., 2002. Mantle convection with
13 viscoelastic/brittle lithosphere: numerical methodology and plate tectonic
14 modeling. *Pure Appl. Geophys.* 159, 2335-2356.
- 15 Nakakuki, T., Sato, H., Fujimoto, H., 1994. Interaction of the upwelling plume
16 with the phase and chemical boundary at 670 km discontinuity: Effects of
17 temperature-dependent viscosity. *Earth Planet Sci. Lett.* 121, 369-384.
- 18 Okuno, J. Nakada, M. 2001. Effects of water load on geophysical signals due
19 to glacial rebound and implication for mantle viscosity. *Earth Planet Space*
20 53, 1121-1135.
- 21 Ogawa, M., 2003. Plate-like regime of a numerically modeled thermal
22 convection in a fluid with temperature-, pressure- and stress-history-
23 dependent viscosity. *J. Geophys. Res.* 108, doi: 101029/2001JB000069.
- 24 Peacock, S. M., 1996. Thermal and petrologic structure of subduction zones, in
25 *Subduction Top to Bottom. Geophys. Monograph Series*, vol. 96, pp.
26 119-133, ed. Bebout, G. E., Scholl, D. W., Kirby, S. H., Platt, J. P., Am.
27 Geophys. Union, Washington, D. C.
- 28 Regenauer-Lieb, K., Yuen, D. A., Branlund, J., 2001. The initiation of
29 subduction: Criticality by addition of water?, *Science*, 294, 578-580.
- 30 Richards, M., Yang, W.-S., Baumgardner, J. R., Bunge, H.-P., 2001. Role of a

- 1 low-viscosity zone in stabilizing plate tectonics: Implication for
2 comparative terrestrial planetology. *Geochem. Geophys. Geosyst.* 2,
3 2000GC000115.
- 4 Riedel, M. R., Karato, S., 1997. Grain-size evolution in subducted oceanic
5 lithosphere associated with the olivine-spinel transformation and its effects
6 on rheology. *Earth Planet. Sci. Lett.* 148, 27-43.
- 7 Rubie, D. C., 1984. The olivine-spinel transformation and the rheology of
8 subducting lithosphere. *Nature* 308, 505-508.
- 9 Schellart, W. P., 2004. Quantifying the net slab pull force as a driving
10 mechanism for plate tectonics. *Geophys. Res. Lett.* 31, doi:
11 101029/2004GL019528.
- 12 Schmeling, H., Monz, R., Rubie, D. C., 1999. The influence of olivine
13 metastability on the dynamics of subduction. *Earth Planet. Sci. Lett.* 165,
14 55-66.
- 15 Scholz, C. H., 1990. *The mechanics of earthquakes and faulting.* 439pp.,
16 Cambridge University Press, Cambridge.
- 17 Tackley, P. J., 2000a. Mantle convection and plate tectonics: Towards an
18 integrated physical and chemical theory. *Science* 288, 2002-2007.
- 19 Tackley, P. J., 2000b. Self-consistent generation of tectonic plate in time-
20 dependent, three-dimensional mantle convection simulations 1.
21 Pseudoplastic yielding. *Geochem. Geophys. Geosyst.*, 1, 2000GC000,036.
- 22 Tackley, P. J., 2000c. Self-consistent generation of tectonic plate in time-
23 dependent, three-dimensional mantle convection simulations 2. Strain
24 weakening and asthenosphere. *Geochem. Geophys. Geosyst.* 1,
25 2000GC000,043.
- 26 Tackley, P. J., Stevenson, D. J., Glatzmaier, G. A., Schubert, 1993. Effects of
27 an endothermic phase transition at 670 km depth on spherical mantle
28 convection. *Nature* 361, 699-704.
- 29 Tagawa, M., T. Nakakuki, M. Kameyama, F. Tajima, 2007. The role of
30 history-dependent rheology in plate boundary lubricator for generating one-

1 sided subduction, *Pure Appl. Geophys.*, 164, 879-907.

2 Takewaki, H., Nishiguchi, A., Yabe, T., 1985. Cubic interpolated pseudo-
3 particle method (CIP) for solving hyperbolic-type equations. *J. Comp. Phys.*
4 61, 261-268.

5 Toth, J., Gurnis M., 1998. Dynamics of subduction initiation at preexisting
6 fault zones. *J. Geophys. Res.* 103, 18053-18607.

7 Trompert, R. Hansen, U., 1998. Mantle convection simulations with rheologies
8 that generate plate-like behavior. *Nature* 395, 686-689.

9 Turcotte, D. L., Haxby, W. E., Ockendon, J. R., 1977. Lithospheric instabilities,
10 in Island arc, deep sea trenches and back-arc basins. *Maurice Ewing Series*
11 Vol. 1, pp. 63-69, ed. Talwani, M., Pitman, W. C., Am. Geophys. Union,
12 Washington, D. C.

13 Turcotte, D. L., Schubert, G., 1982. *Geodynamics*. 450pp., John Wiley & Sons,
14 Inc., New York.

15 Yoshida, M., Honda, S., Kido, M. Iwase, Y., 2001. Numerical simulation for
16 the prediction of the plate motions: Effects of lateral viscosity variations in
17 the lithosphere. *Earth Planet Space* 53, 709-721.

18 Yoshida, M. Ogawa, M., 2004. The role of hot uprising plume in the initiation
19 of plate-like regime of three-dimensional mantle convection. *Geophys. Res.*
20 *Lett.* 31, L05607, doi:10.1029/2003GL017376.

21 Zhong, S., Gurnis, M., 1995a. Mantle convection with plates and mobile,
22 faulted plate margins. *Science* 267, 838-843.

23 Zhong, S., Gurnis, M., 1995b. Towards a realistic simulation of plate margins
24 in mantle convection. *Geophys. Res. Lett.* 22, 981-984.

25 Zhong, S., Gurnis, M., 1996. Interaction of weak faults and non-Newtonian
26 rheology produces plate tectonics in a 3D model of mantle flow. *Nature*
27 383, 245-247.

28 Zhong, S., Gurnis, M., Moresi, L. 1998. Role of faults, non-linear rheology and
29 viscosity structure in generating plates from instantaneous mantle flow
30 models. *J. Geophys. Res.* 103, 15255-15268.

Table I: Physical parameters

Symbol	Explanations	Value
A	preexponential factor (VM-I, II)	2.2866×10^{11} Pa s
	preexponential factor at 0-410 km (VM-III, IIIa)	2.1484×10^{10} Pa s
	preexponential factor at 410 km-bottom (VM-III)	2.2866×10^{11} Pa s
	preexponential factor at 410 km-bottom (VM-IIIa)	2.6093×10^{11} Pa s
	preexponential factor of granite layer (100L, 102L)	1.7719×10^8 Pa s
C_p	specific heat	1.2×10^3 J K ⁻¹ kg ⁻¹
c_F	friction coefficient of fractured material	0.01, 0.02, 0.03
c_Y	friction coefficient of intact material	0.1, 0.2, 0.3
E^*	activation energy (VM-I, II)	240 kJ mol ⁻¹
	activation energy at 0-410 km (VM-III, IIIa)	215 kJ mol ⁻¹
	activation energy at 410km-bottom (VM-III, IIIa)	240 kJ mol ⁻¹
	activation energy of granite layer (100L, 102L)	150 kJ mol ⁻¹
g	gravity acceleration	10 m s ⁻²
h	thickness of the model	1320 km
	thickness of the model (Runs 51)	660 km
	thickness of the model (Runs 100L, 102L)	1500 km
k	thermal conductivity	3.96 W m ⁻¹
l	horizontal length of the model (20, 50, 100 Ma)	3000, 4000, 6000 km
	horizontal length of the model (100L, 102L)	7500 km
R	gas constant	8.314 J mol ⁻¹ K ⁻¹
T_0	absolute temperature of 0 °C (surface temperature)	273 K
T_h	threshold temperature of the history dependence	345, 177 °C
T_M	mantle potential temperature	1280 °C
V^*	activation volume (VM-I)	0.5×10^{-5} m ³
	activation volume at 0-1320 km (VM-II)	$0.5-0.25 \times 10^{-5}$ m ³ mol ⁻¹
	activation volume at 0-410 km (VM-III, IIIa)	0.75×10^{-5} m ³ mol ⁻¹
	activation volume at 410-1320 km (VM-III)	0.5×10^{-5} m ³ mol ⁻¹
	activation volume at 410-1500 km (VM-IIIa)	$0.486-0.45 \times 10^{-5}$ m ³ mol ⁻¹
Y_0	cohesive strength (Run 51-14, the others)	50, 10 MPa
Y_{max}	maximum yield strength in BDT layer	100-600 MPa

α	thermal expansivity	$3.0 \times 10^{-5} \text{ K}^{-1}$
ρ_c	continental crust-mantle density contrast	600 kg m^{-3}
η_{ref}	reference viscosity	$5 \times 10^{20} \text{ Pa s}$
η_L	maximum lithosphere viscosity	$1 \times 10^{25} \text{ Pa s}$
ρ_0	density	3300 kg m^{-3}

Table II: Runs and Varying Parametes

Run	T, p-dep. viscosity	Friction c_Y	Yield stress Y (MPa)	Friction c_F	Temp. history dep. T_h (°C, non-dim.)	Initial WZ	Plate-like motion	Other*
Series 50 (yield strength): age of plate=50 Ma, $4,000 \times 1,320$ km, 19 runs								
50-01	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES	
50-02	VM-I	0.2	400	0.01	T<345 (0.2)	IC1	YES	
50-03	VM-I	0.2	600	0.01	T<345 (0.2)	IC1	YES	
50-04	VM-I	0.3	200	0.01	T<345 (0.2)	IC1	YES	
50-05	VM-I	0.3	400	0.01	T<345 (0.2)	IC1	YES	
50-06	VM-I	0.3	600	0.01	T<345 (0.2)	IC1	YES	
50-07	VM-I	0.1	200	0.01	T<345 (0.2)	IC1	YES	
50-08	VM-I	0.2	200	0.02	T<345 (0.2)	IC1	NO	
50-09	VM-I	0.2	200	0.03	T<345 (0.2)	IC1	NO	
50-10	VM-I	0.2	200	0.01	T<177 (0.1)	IC1	YES	
50-11	VM-I	0.1	200	0.01	T<345 (0.2)	IC1	NO	1
50-12	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES	2
50-13	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES	3
50-14	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES	4
50-21	VM-I	0.2	100	0.01	T<345 (0.2)	IC2	YES	
50-22	VM-I	0.2	200	0.01	T<345 (0.2)	IC2	NO	
50-23	VM-I	0.3	100	0.01	T<345 (0.2)	IC2	YES	
50-24	VM-I	0.1	200	0.01	T<345 (0.2)	IC2	YES	
50-25	VM-I	0.2	100	0.01	T<345 (0.2)	IC3	NO	

50-26	VM-I	0.1	200	0.01	T<345 (0.2)	IC3	NO	
Series 51 (resolution test): age of plate= 50 Ma, 4,000 × 660 km, 2 runs								
51-01	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES	5
51-02	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES	6
Series 52 (viscosity layering): age of plate= 50 Ma, 4,000 × 1,320 km, 7 runs								
52-01	VM-II	0.1	200	0.01	T<345 (0.2)	IC1	Deformed	
52-02	VM-II	0.2	200	0.01	T<345 (0.2)	IC1	YES	
52-03	VM-III	0.2	200	0.01	T<345 (0.2)	IC1	YES	
52-04	VM-III	0.2	400	0.01	T<345 (0.2)	IC1	YES	
52-05	VM-III	0.2	600	0.01	T<345 (0.2)	IC1	YES	
52-21	VM-II	0.2	200	0.01	T<345 (0.2)	IC2	YES	
52-22	VM-III	0.2	200	0.01	T<345 (0.2)	IC2	YES	
Series 20 (plate age): age of plate= 20 Ma, 3,000 × 1,320 km, 8 runs								
20-01	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES	
20-02	VM-I	0.2	400	0.01	T<345 (0.2)	IC1	YES	
20-03	VM-I	0.2	600	0.01	T<345 (0.2)	IC1	YES	
20-04	VM-I	0.3	200	0.01	T<345 (0.2)	IC1	YES	
20-05	VM-I	0.3	400	0.01	T<345 (0.2)	IC1	YES	
20-06	VM-I	0.3	600	0.01	T<345 (0.2)	IC1	YES	
20-21	VM-I	0.2	200	0.01	T<345 (0.2)	IC2	NO	
20-22	VM-I	0.2	100	0.01	T<345 (0.2)	IC2	YES	

Series 100 (plate age): age of plate=**100** Ma, 6,000 × 1,320 km, 9 runs

100-01	VM-I	0.2	200	0.01	T<345 (0.2)	IC1	YES
100-02	VM-I	0.2	400	0.01	T<345 (0.2)	IC1	YES
100-03	VM-I	0.2	600	0.01	T<345 (0.2)	IC1	YES
100-04	VM-I	0.3	200	0.01	T<345 (0.2)	IC1	YES
100-05	VM-I	0.3	400	0.01	T<345 (0.2)	IC1	YES
100-06	VM-I	0.3	600	0.01	T<345 (0.2)	IC1	YES
100-07	VM-I	0.2	200	0.01	T<177 (0.1)	IC1	YES
100-21	VM-I	0.2	200	0.01	T<345 (0.2)	IC2	NO
100-22	VM-I	0.2	100	0.01	T<345 (0.2)	IC2	YES

Series 100L, 102L (long-time intergration): age of plate=**100** Ma, 7,500×1,500 km, 2 runs

100L-01	VM-Ia	0.3	300	0.01	T<345 (0.2)	IC1	YES
102L-01	VM-IIIa	0.3	300	0.01	T<345 (0.2)	IC1	YES
102L-02	VM-IIIa	0.3	300	0.01	T<177 (0.1)	IC1	YES
102L-03	VM-IIIa	0.3	600	0.01	T<345 (0.2)	IC1	YES

*Other features

- 1: no upwelling plume at the let boundary
- 2: oceanic overriding plate without the yielding
- 3: oceanic overriding plate with the yield strength
- 4: cohesive strength $Y_0 = 50$ MPa
- 5: standard resolution: 800×132 control volumes
- 6: high resolution: 1600×264 control volumes

1 **Figure captions**

2

3 **Fig. 1:** A schematic illustration of the model configuration. The top shows the
4 configuration of the initial conditions, and the bottom shows the
5 configuration after slab development. The dark areas in the uppermost
6 area show subducting and overriding plates. (a) The initial conditions
7 include a preexisting weak zone in the most of the models. (b) After slab
8 development, a segment with small yield strength (the hatched area) is
9 formed on the surface of the subducting plate by the hysteresis-dependent
10 rheology. The fractured segment acts as a fault zone at the plate boundary
11 as the subducted slab grows. The star shows the location of first yielding.

12

13 **Fig. 2:** The viscosity-depth profile for the short time-integration models. VM-I: a
14 standard model with a constant activation volume, VM-II: a weakly
15 depth-dependent viscosity model whose activation volume decreases
16 linearly with depth, VM-III: a model with a low-viscosity asthenosphere.

17

18 **Fig. 3:** The rheology model of fresh (unfractured) segments of the lithosphere.
19 There are three rheological layers: a brittle layer, a brittle-ductile transition
20 layer and a viscous layer. The strain rate for calculating viscous strength
21 is assumed to be 10^{-15} s^{-1} .

22

23 **Fig. 4:** The hysteresis curve of the “fault zone segment.” The solid line shows
24 the yield strength of fresh materials. The materials in this layer remember
25 past fractures and their strength is reduced to the level shown by the
26 dotted line. We assume that strength recovers at the depth of 330 km.

27

28 **Fig. 5:** A schematic illustration of the configuration of the initial weak zone. The
29 hatched area between the solid lines consists of a fractured fault-zone
30 segment with a weak yield strength. The dark area between the dashed

1 lines consists of a fresh fault-zone segment with the same initial strength
2 as the ambient mantle but with hysteresis-dependent rheology. (a) IC1, a
3 fractured fault-zone segment across the whole lithosphere. (b) IC2, a
4 fractured fault-zone segment with weak strength reaching the bottom of
5 the continental crust (35 km). (c) IC3, a fresh fault-zone segment across
6 the whole lithosphere.

7
8 **Fig. 6:** Evolution of Run 50-01 ($A_p = 50$ Ma, VM-I, $c_y = 0.2$, $Y_{max} = 200$ MPa).

9 The surface velocity (cm yr^{-1}) is shown by the graph. The positive value
10 indicates velocity to the right. The viscosity ($\text{Pa}\cdot\text{s}$) is shown by the
11 contour lines in the logarithmic scale. The contour intervals are set at 1.

12
13 **Fig. 7:** A snapshot of Run 50-01 ($A_p = 50$ Ma, VM-I, $c_y = 0.2$, $Y_{max} = 200$ MPa)

14 in 46 Myr. From the top, the surface velocity (cm yr^{-1}), the temperature
15 (250 °C intervals), the viscosity in the logarithmic scale (1 intervals), and
16 streamlines ($5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ intervals) are shown.

17
18 **Fig. 8:** Summary of effects of the internal plate strength and the initial weak zone.

19 The symbols show the conditions in which subduction begins. Circles
20 (IC1): subduction initiates only from IC1 (see Fig. 4). Squares (IC2):
21 subduction initiates from IC2. Diamonds (IC2+): No subduction in a
22 VM-I model, and subduction in VM-II and VM-III models with IC2.
23 The vertical axis shows the friction coefficient of the plate (c_y) and the
24 horizontal axis shows the maximum yield strength of the plate (Y_{max}).

25
26 **Fig. 9:** Evolution of the velocity of the subducting plate with various initial ages

27 and strengths. The colours show the plate age in the initial condition: red:
28 20 Ma, blue: 50 Ma, green: 100 Ma. The solid and dashed lines show the
29 internal strength of the plate: solid line $c_y = 0.2$, $Y_{max} = 200$ MPa: dashed
30 line: $c_y = 0.3$, $Y_{max} = 600$ MPa. The horizontal axis shows the elapsed

1 time (Myr) and the vertical axis shows the velocity (cm yr⁻¹).

2
3 **Fig. 10:** Stress fields of Run 50-01 ($A_p = 50$ Ma, $c_y = 0.2$, $Y = 200$ MPa) in 41
4 Myr. Top: maximum differential stress ($\sigma_1 - \sigma_3$), bottom: horizontal
5 normal stress (σ_{xx}). The colour scales show the stress. The positive
6 values are in blue and the negative values are in red. The thick green line
7 indicates the bottom of the lithosphere defined by $T < 950$ °C or $\eta > 3 \times$
8 10^{22} .

9
10 **Fig. 11:** (a) Evolution of the slab depth and velocity of the subducting plate. (b)
11 Evolution of driving and resisting forces working in or onto the plate for
12 Run 50-01 ($A_p = 50$ Ma, VM-I, $c_y = 0.2$, $Y_{max} = 200$ MPa). The
13 horizontal axes show the elapsed time (Myr). The vertical axes show (a):
14 depth of the slab tip (km) and velocity of the subduction plate (cm yr⁻¹),
15 and (b): amplitude of the force per unit length perpendicular to the plate
16 motion (N m⁻¹).

17
18 **Fig. 12:** A snapshot of Run 52-01 ($A_p = 50$ Ma, VM-I, $c_y = 0.1$, $Y = 200$ MPa)
19 in 9 Myr and Run 52-03 ($A_p = 50$ Ma, VM-III, $c_y = 0.2$, $Y_{max} = 200$
20 MPa) in 13 Myr. The meaning and the scales are the same as those of Fig.
21 7.

22
23 **Fig. 13:** Horizontal flow velocity profile of Run 50-01 (VM-I) and Run 52-03
24 (VM-III) at the centre of the subducting plate ($x = 1500$ km). The
25 velocity is normalized by that of the subducting plate, which is shown in
26 the legend. The solid lines with closed and open squares show the
27 velocities of Run 50-01 in 30 Myr and 46 Myr, respectively. The dashed
28 lines with open and closed triangles show velocities of Run 52-03 in 5
29 Myr and 20 Myr, respectively.

30

1 **Fig. 14:** Evolution of driving and resisting mantle-drag forces. The meaning of
2 the axes is the same as in Fig. 13b. In Run 52-03 (VM-III, lines with
3 squares), the bottom of the plate is defined by the 950 K isotherm
4 because viscosity has a weaker temperature dependence than in Run
5 50-01 (VM-I, lines with circles).

6
7 **Fig. 15:** Evolution of the viscosity field for Run 100L-03, a long-time integration
8 model. The colour scale shows the viscosity. The lower layer with
9 imposed temperature (see section 3.5) is not shown in the snapshots.

10
11 **Fig. 16:** Evolution of the subducting plate velocity for Runs 100L-01 (VM-Ia, Y
12 = 300 MPa, $T_h < 345$ °C; the solid line with closed squares), 102L-01
13 (VM-IIIa, $Y_{max} = 300$ MPa, $T_h < 345$ °C; the dashed line with open
14 squares), 102L-02 (VM-IIIa, $Y_{max} = 300$ MPa, $T_h < 177$ °C; the dashed
15 line with open squares), and 102L-03 (VM-IIIa, $Y_{max} = 600$ MPa, $T_h <$
16 345 °C; the dashed line with open squares). The horizontal axis shows
17 the elapsed time (Myr) and the vertical axis shows the velocity (cm yr⁻¹).
18 The velocity fluctuation is generated by deformation of the subducted
19 slab caused by viscosity layering.

20

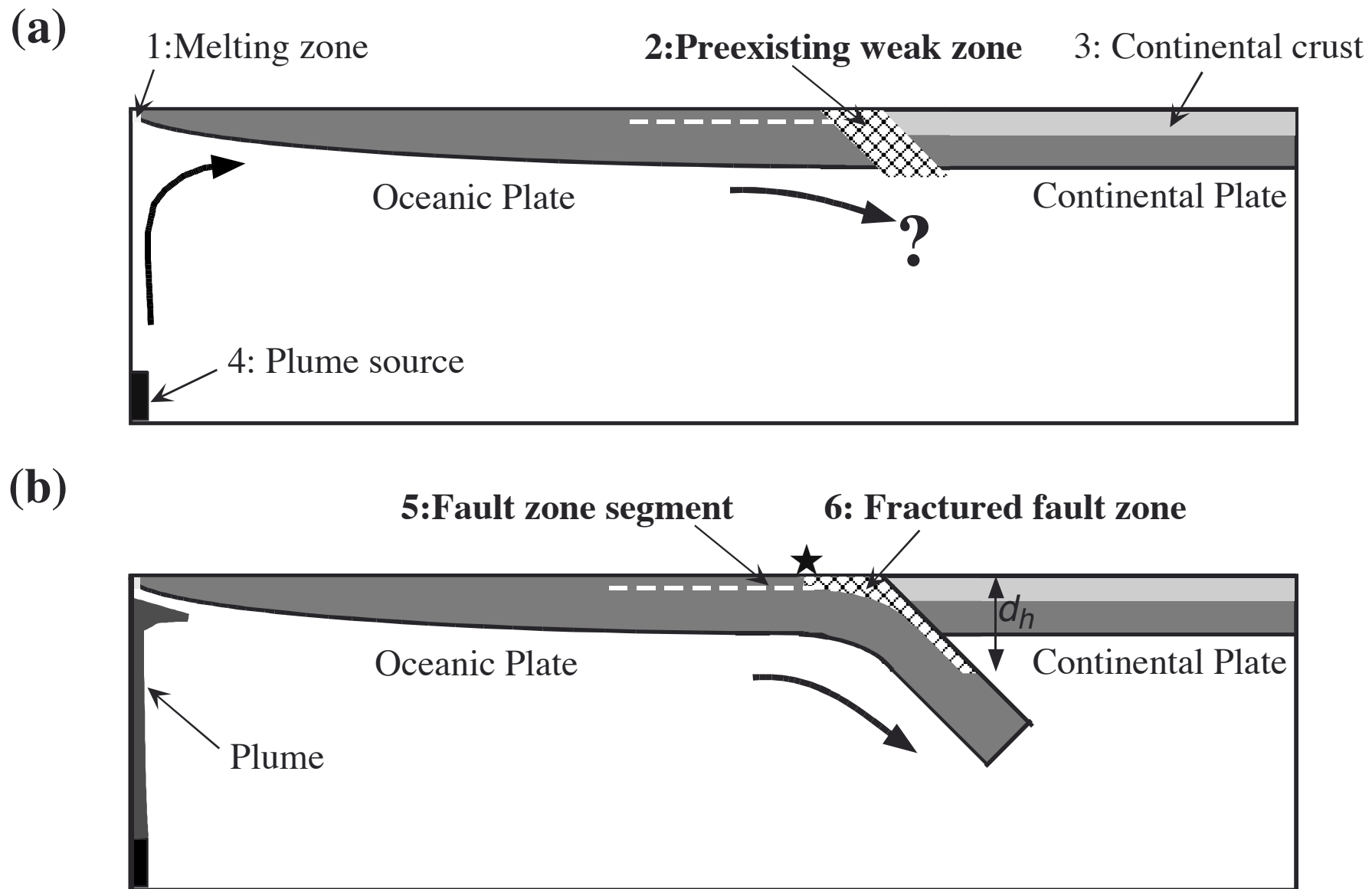


Fig. 1

Fig. 2

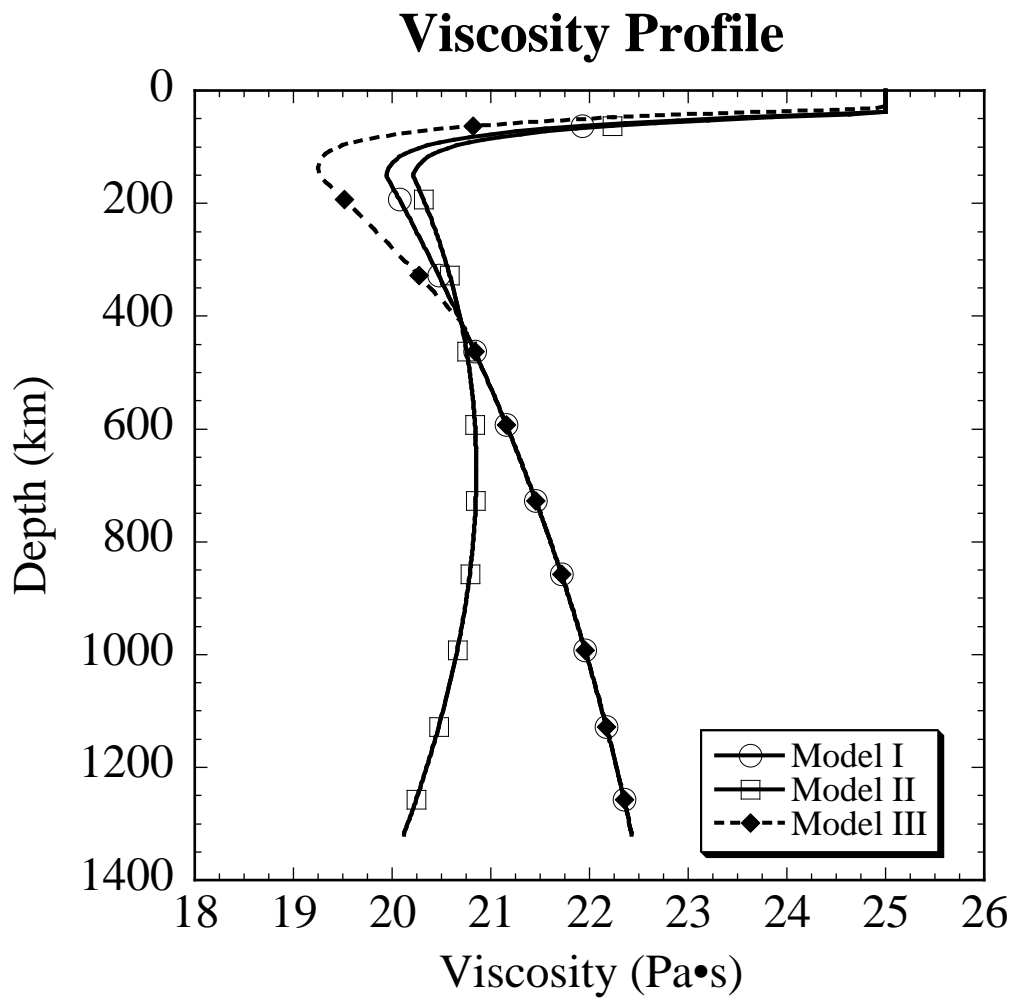


Fig. 3

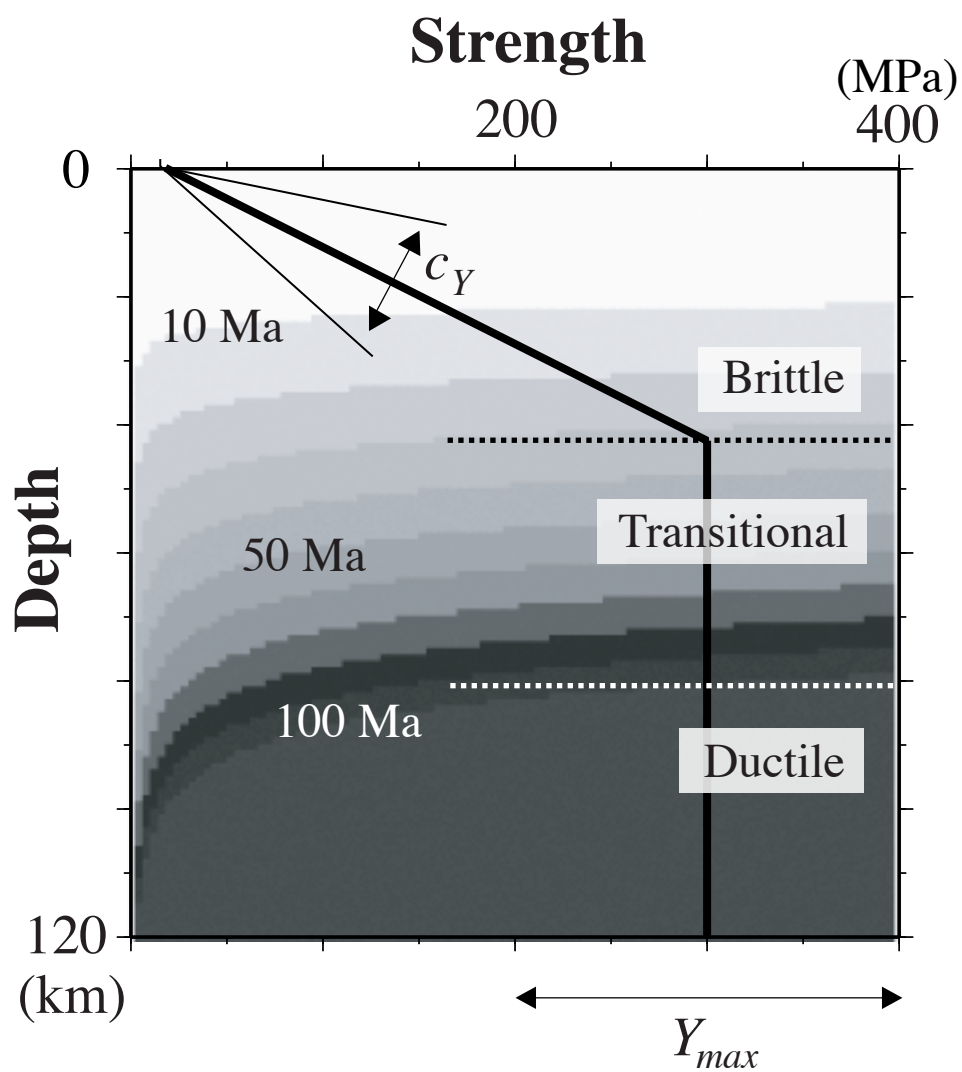


Fig. 4

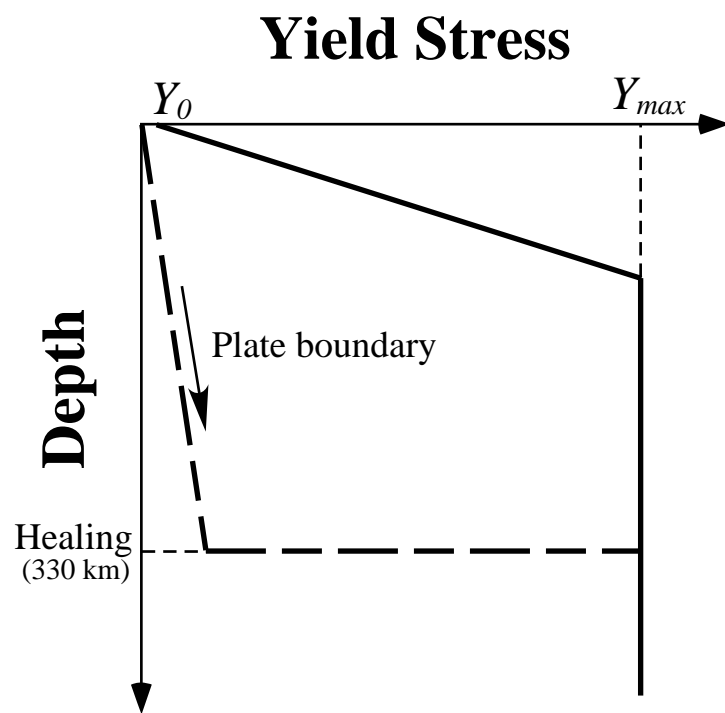
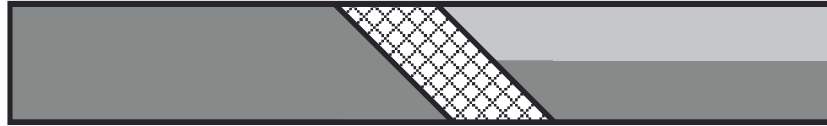
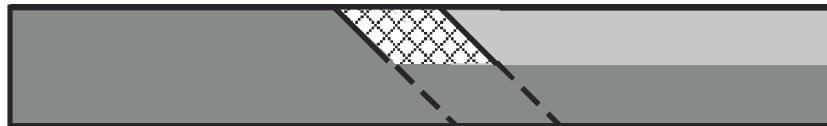


Fig. 5

(a) IC1



(b) IC2



(c) IC3



Fig. 6

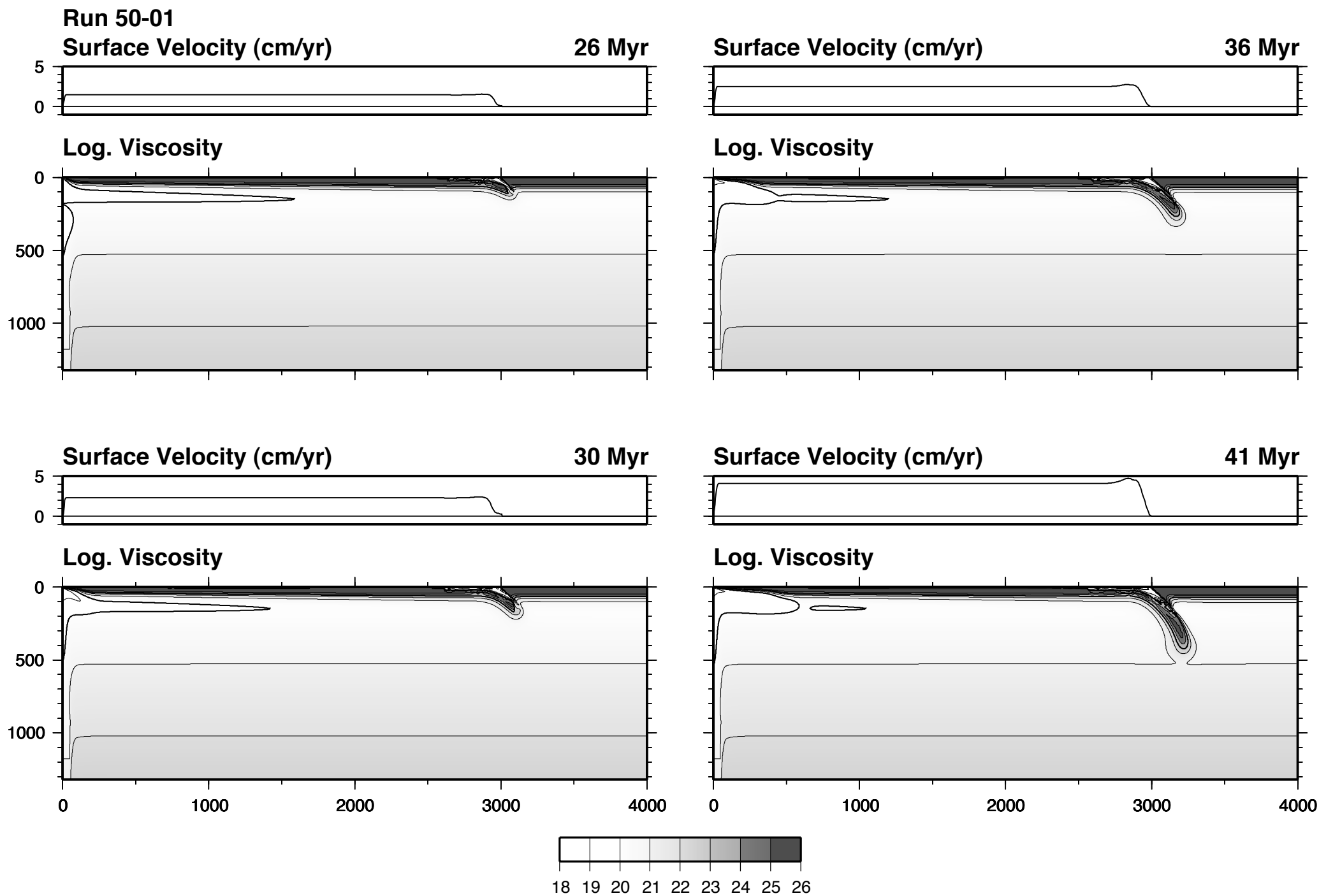


Fig. 7

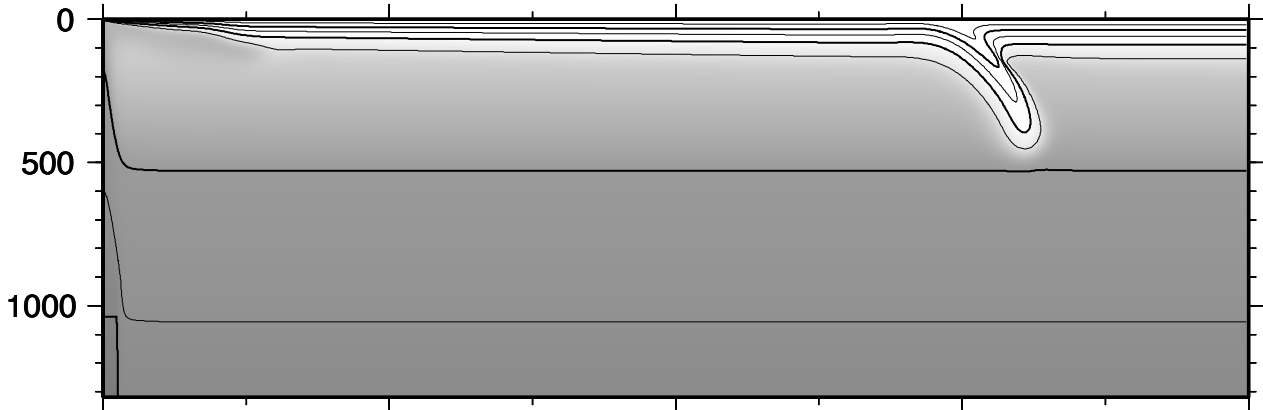
Run 50-01

Surface Velocity (cm/yr)

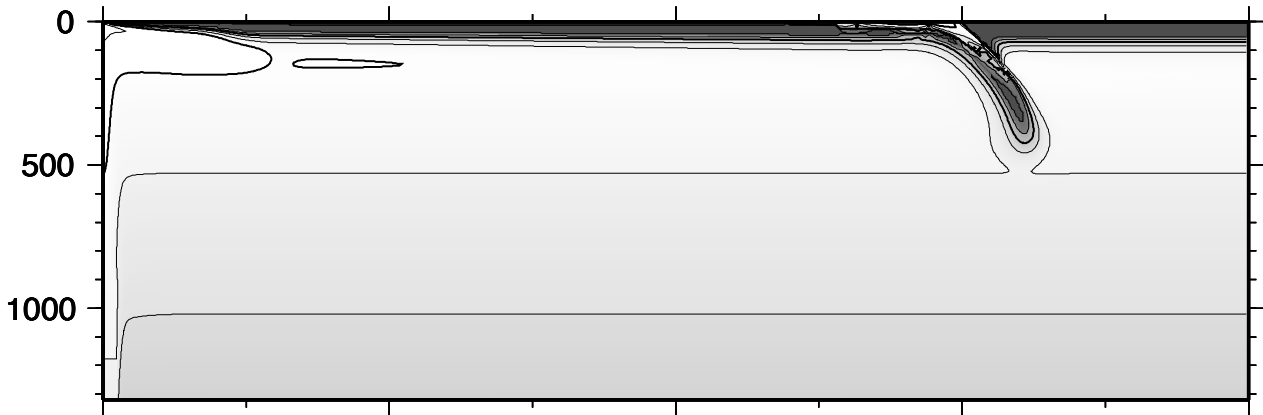
41 Myr



Temperature



Log. Viscosity



Stream Function

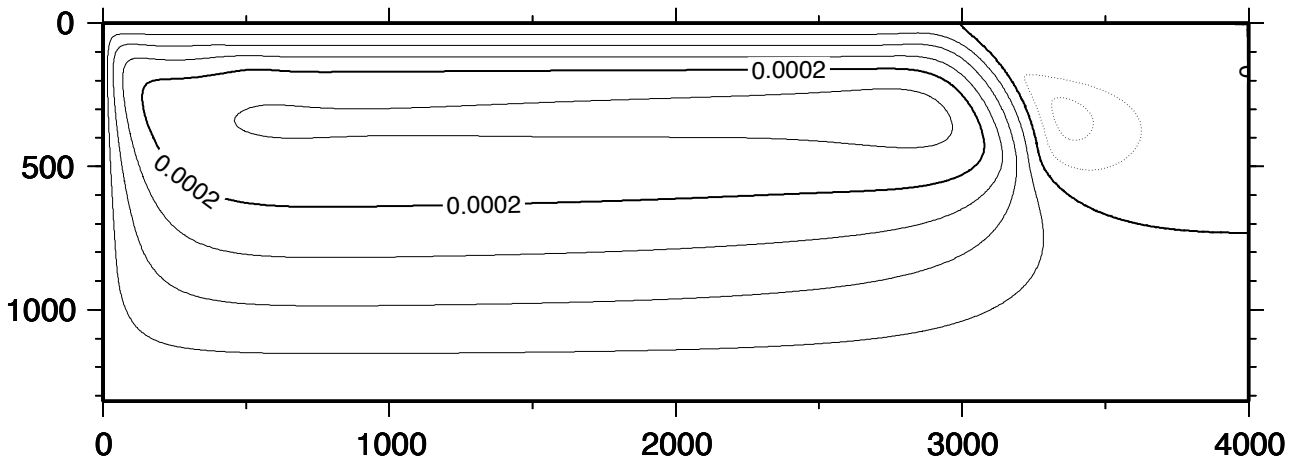


Fig. 8

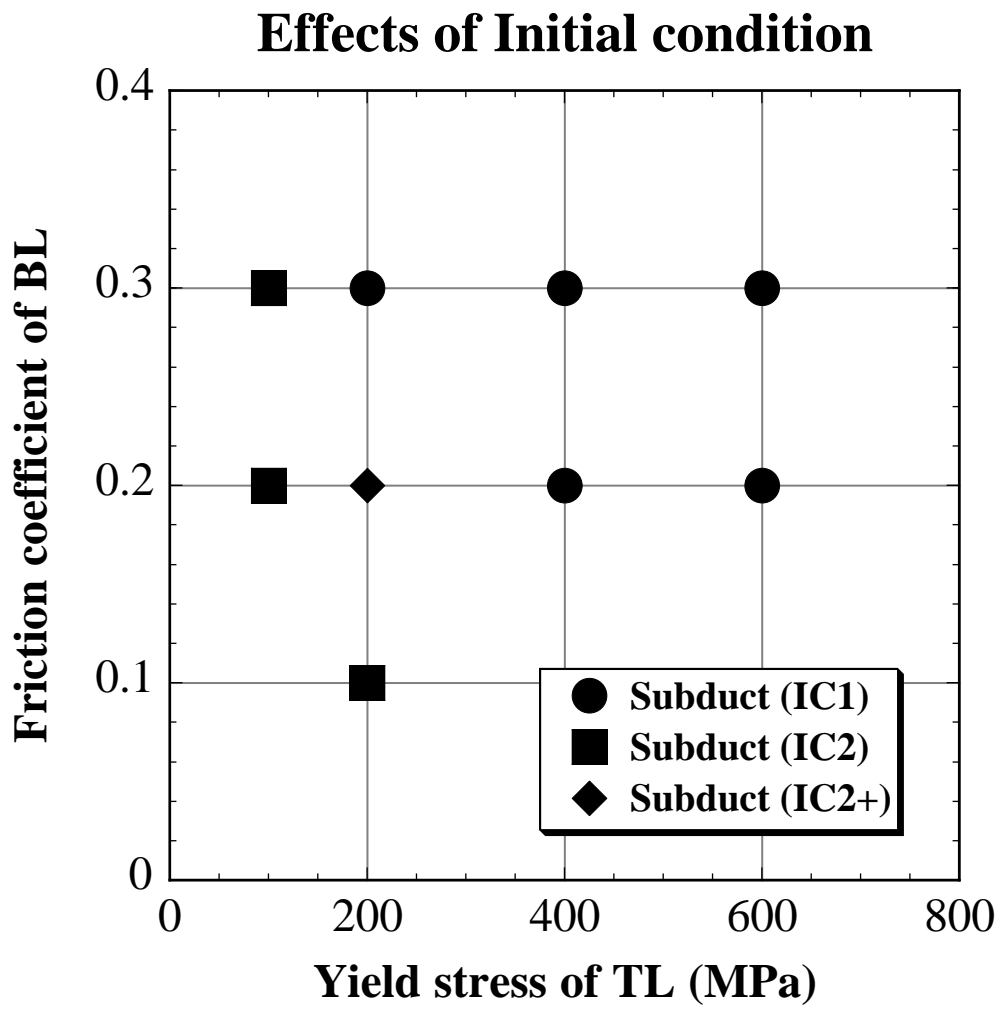


Fig. 9

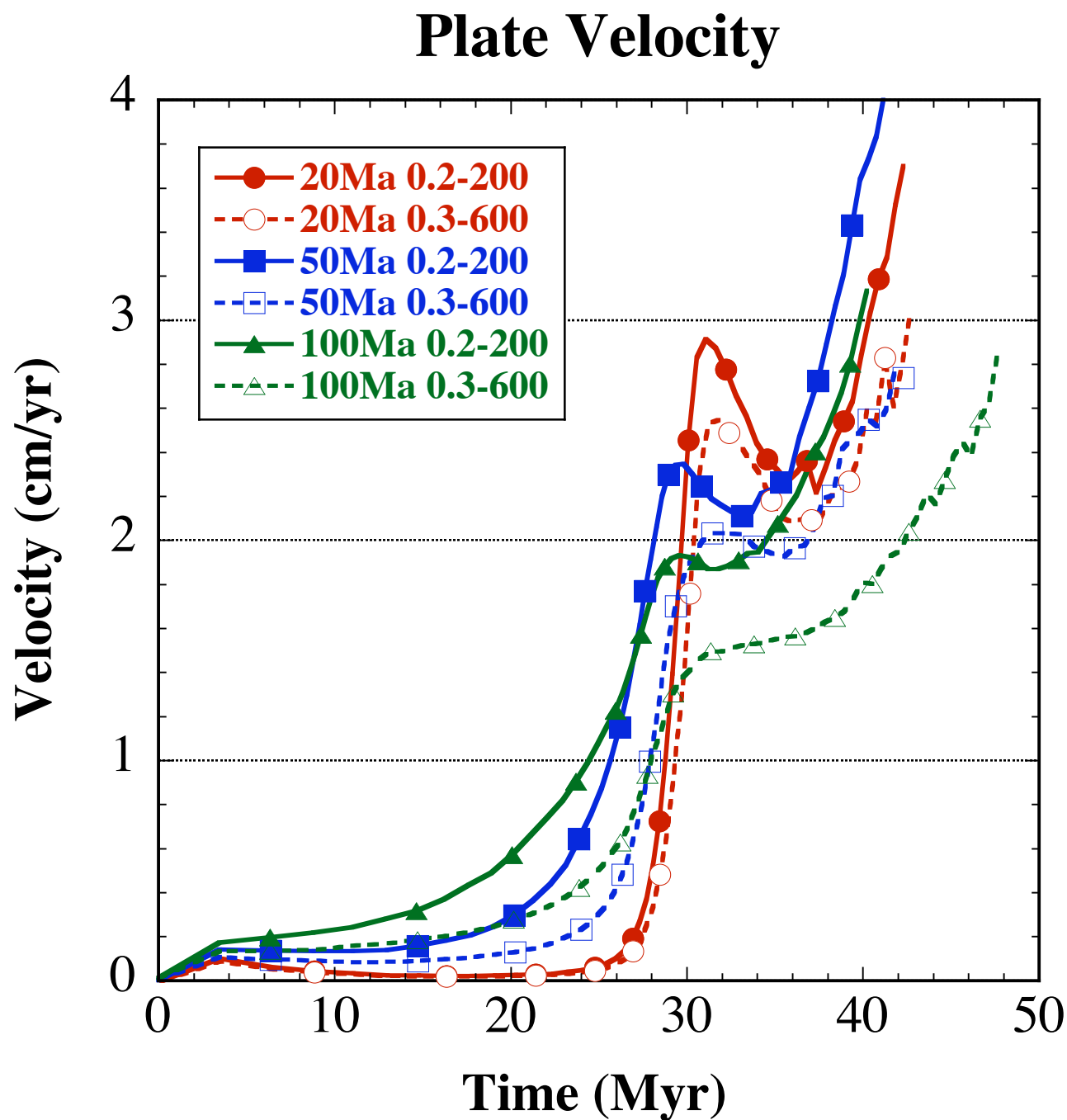
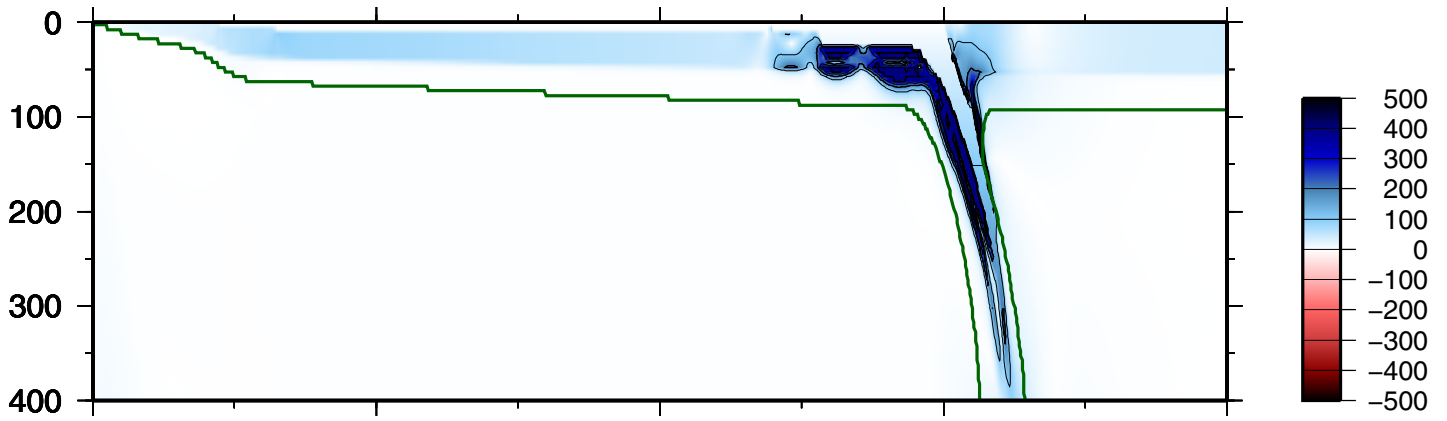


Fig. 10

Run 50-01

Differential stress ($2\sigma_{II}$)

41 Myr



Horizontal normal stress (σ_{xx})

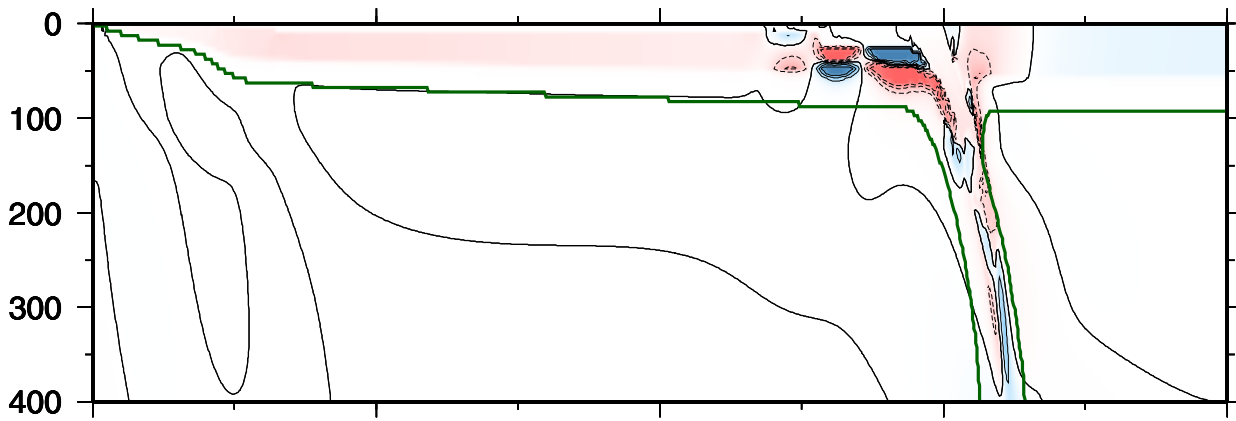


Fig 11 (a)

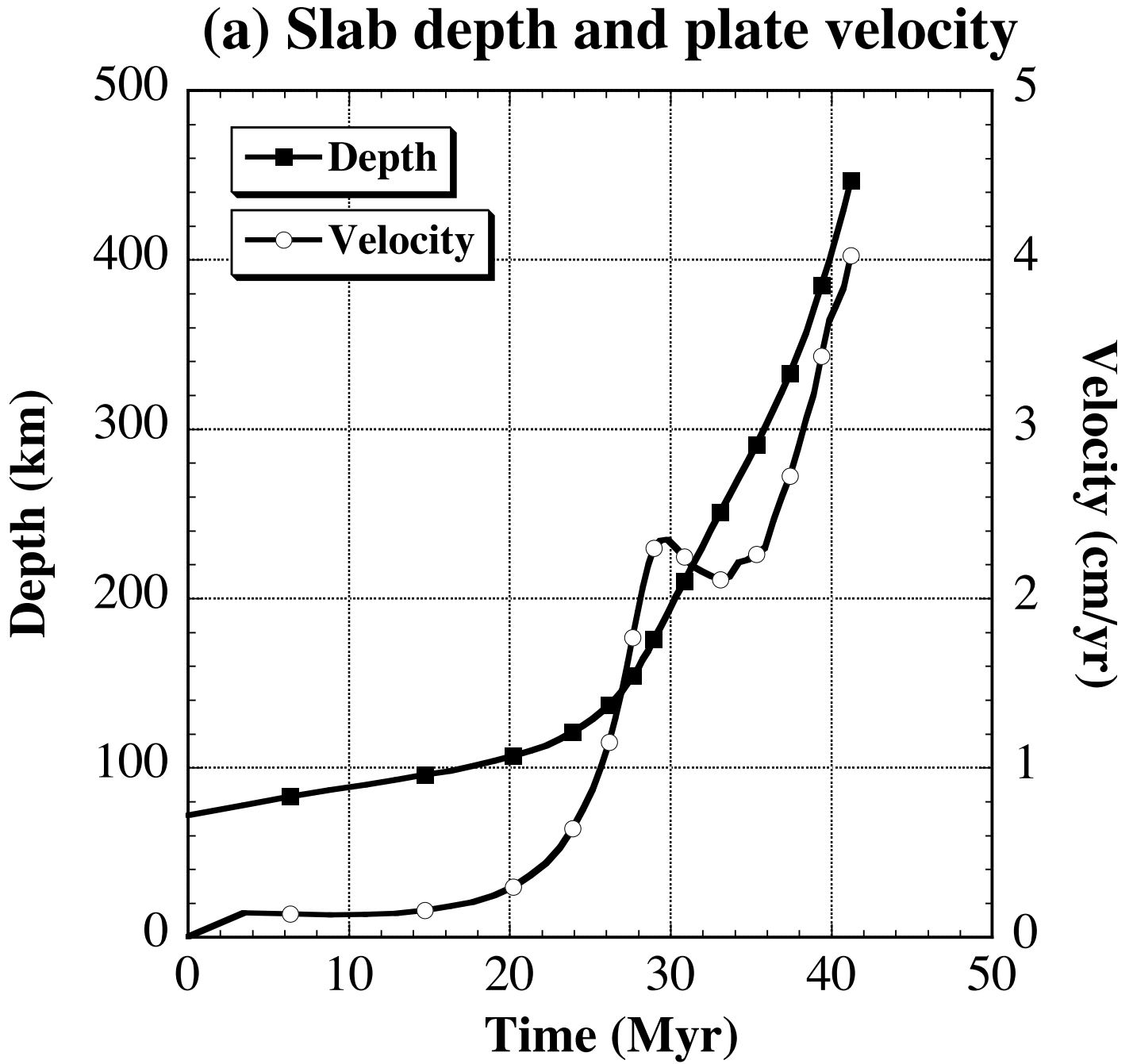


Fig. 11 (b)

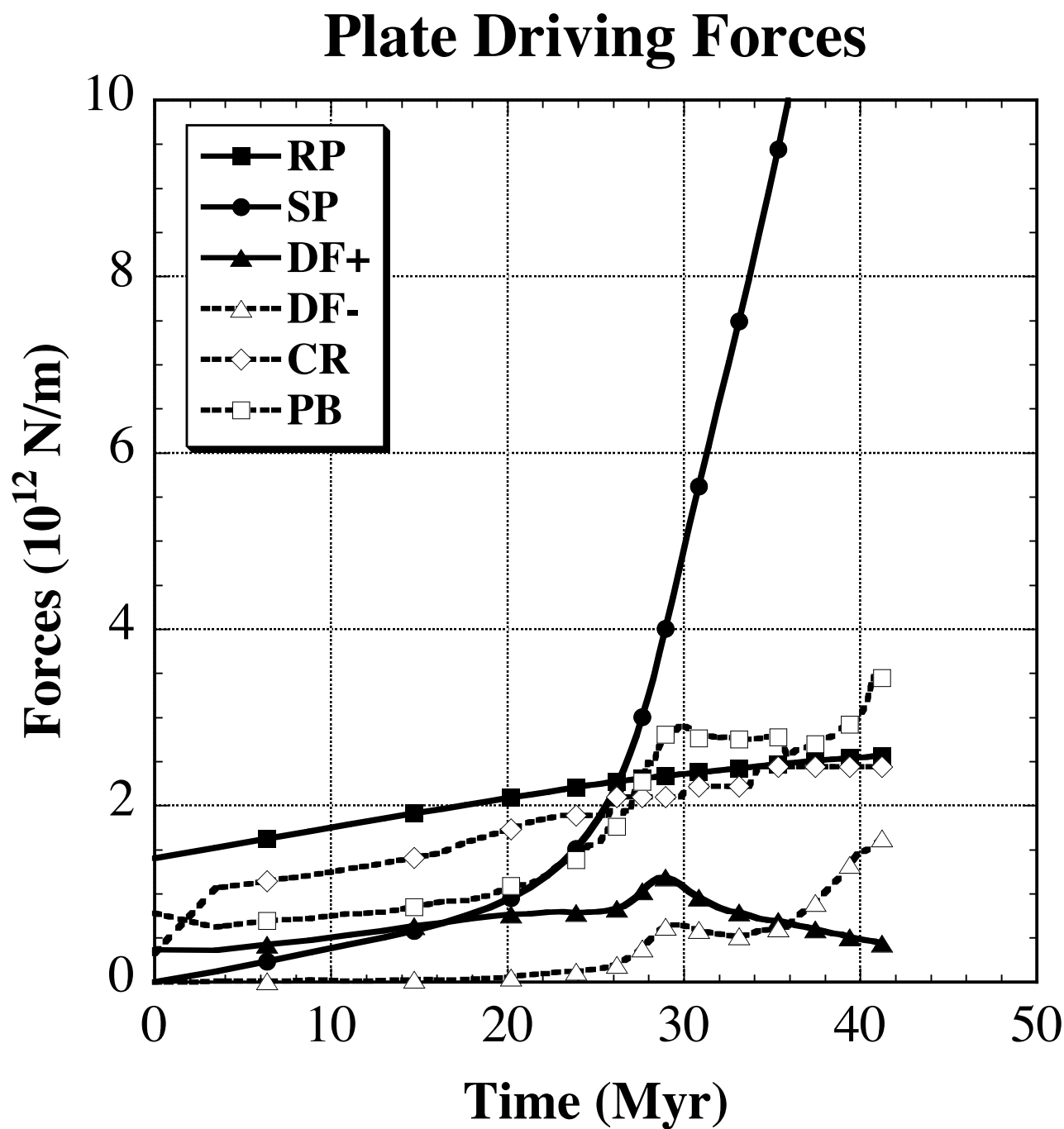


Fig. 12

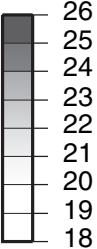
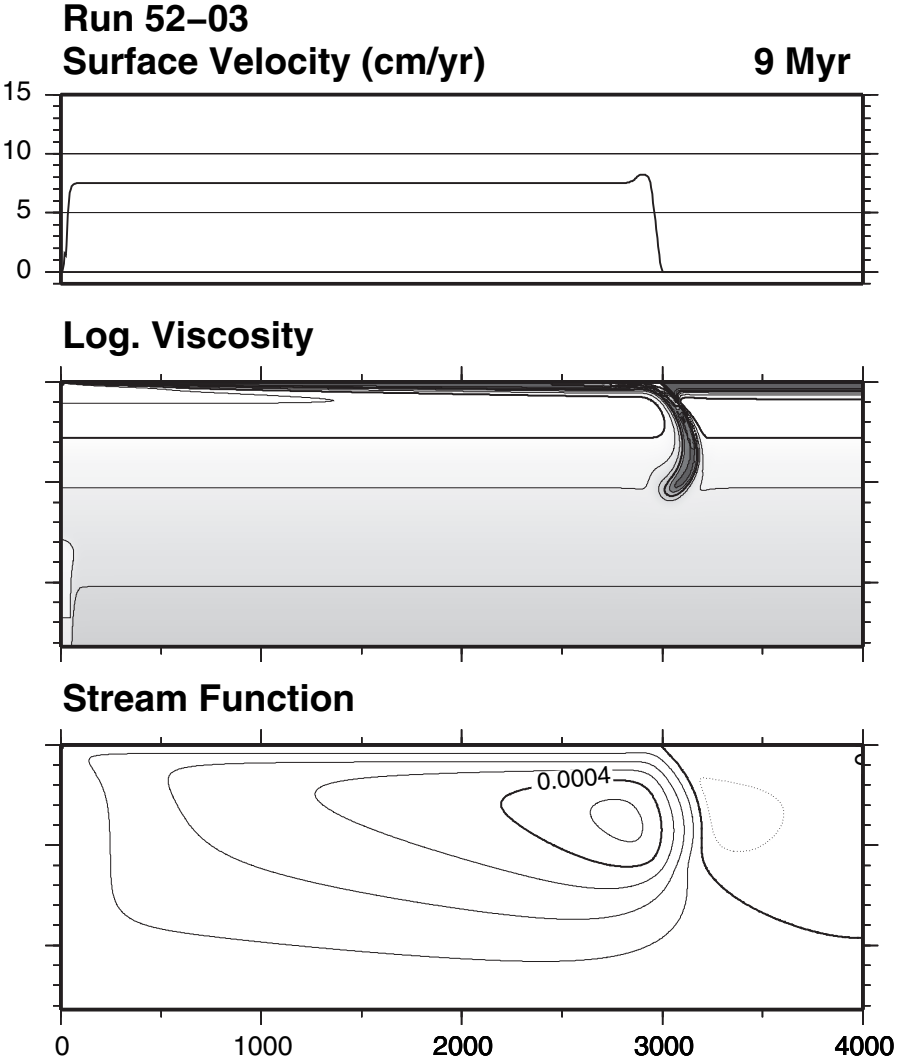
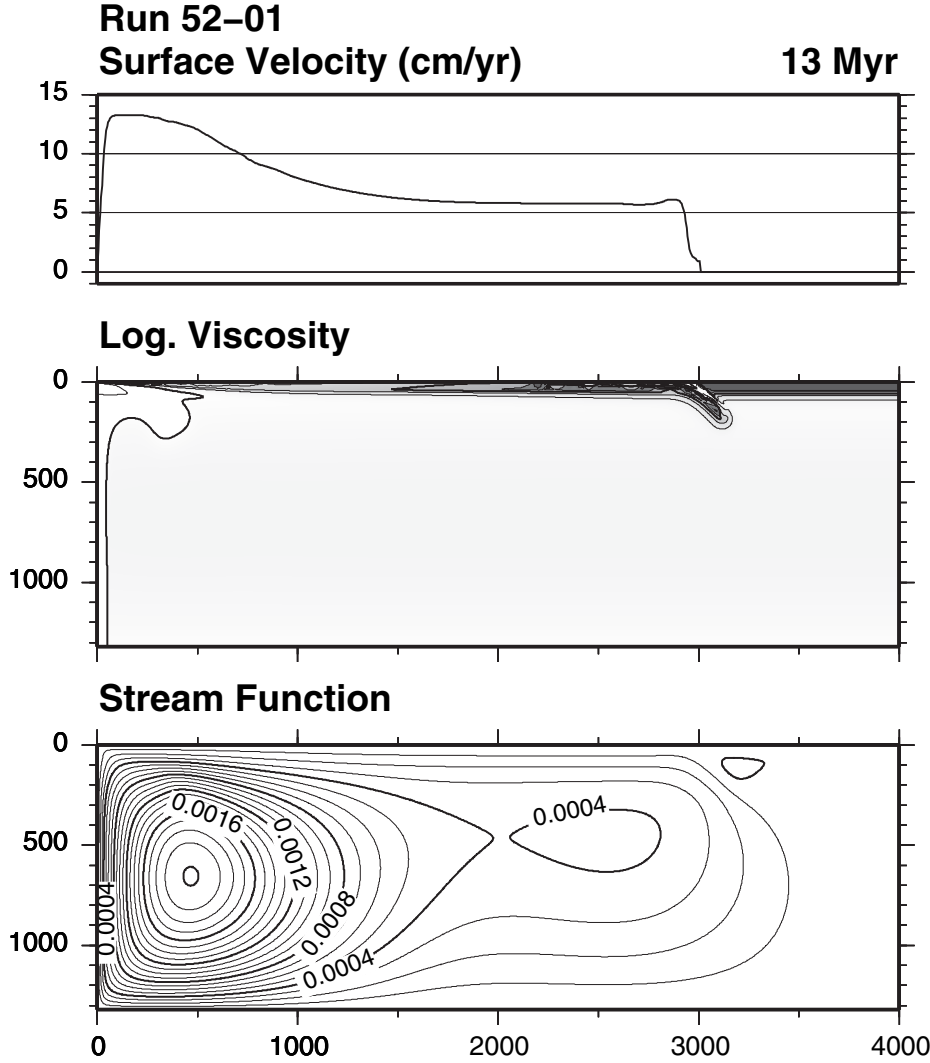


Fig. 13

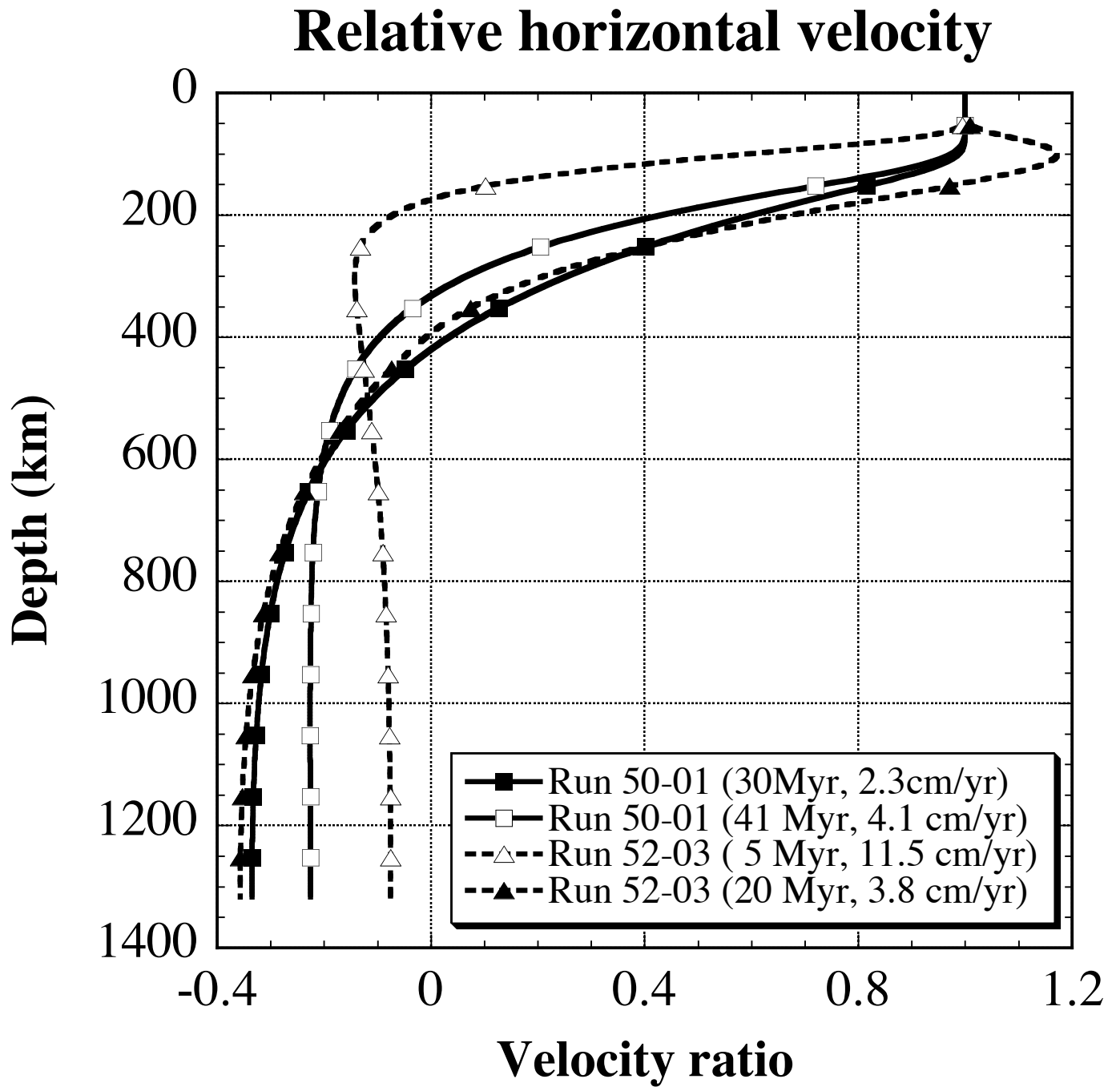


Fig. 14

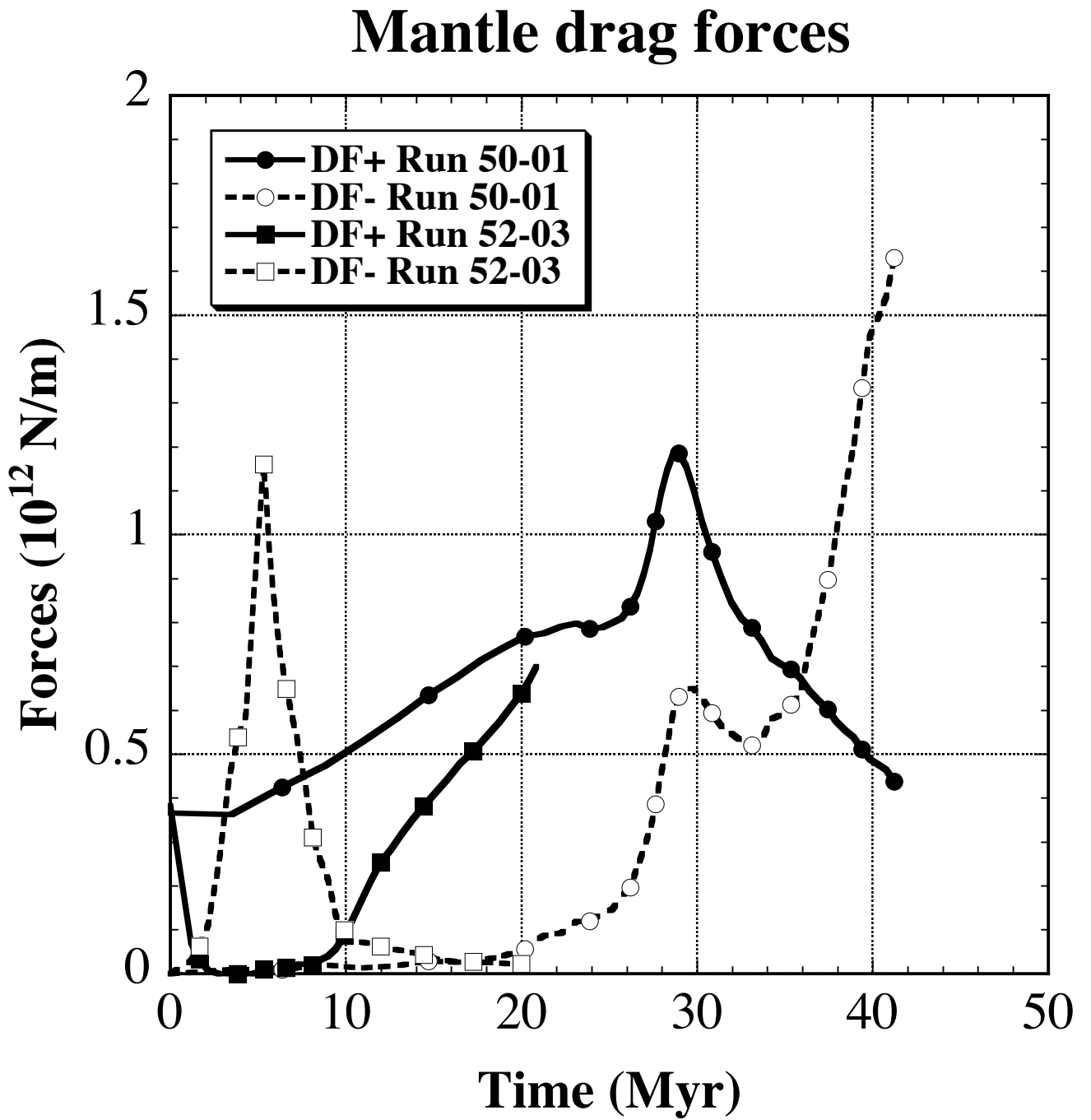


Fig. 15

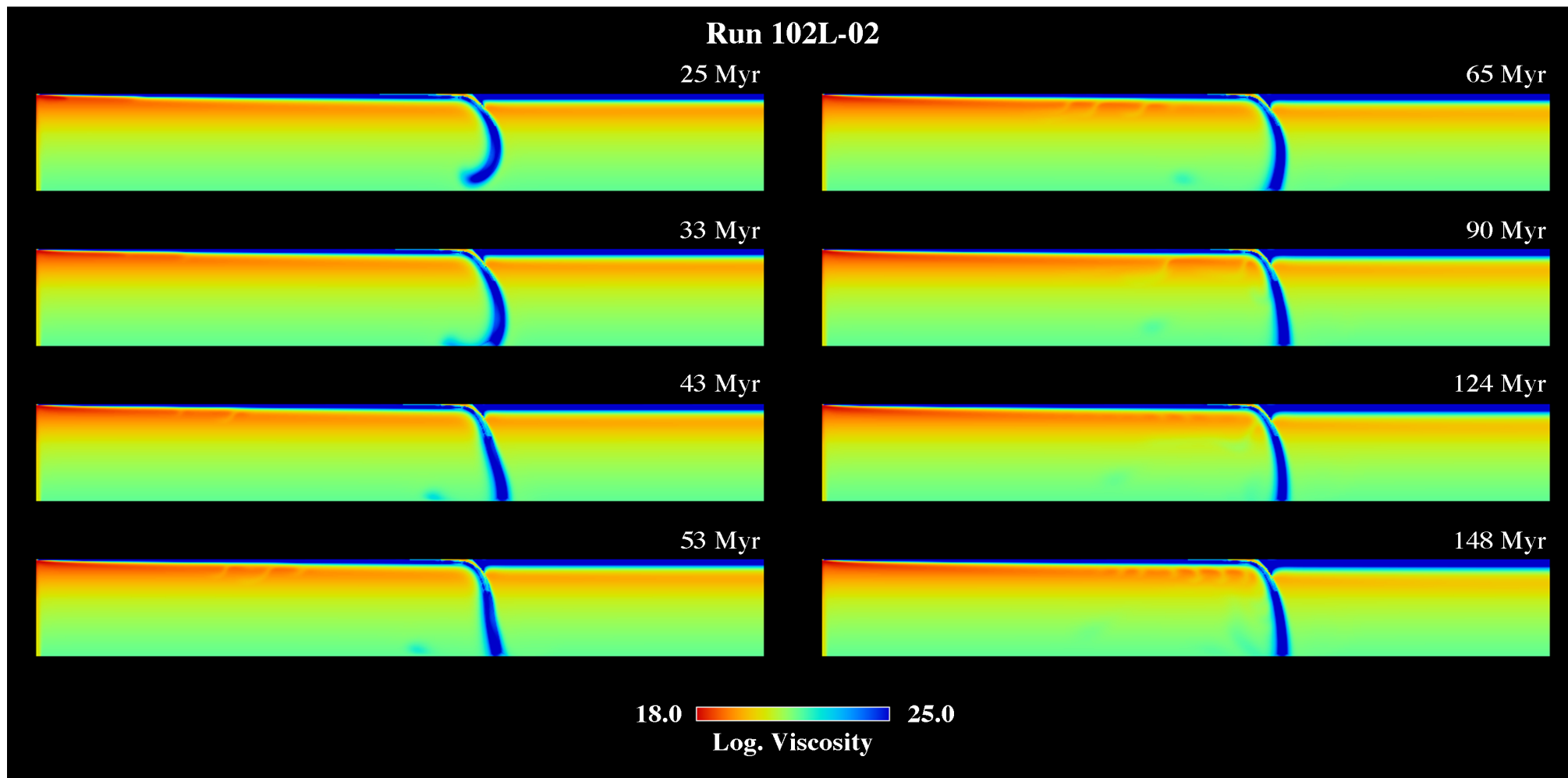


Fig. 16

