

1 Local structure of Y and Ho in calcite and its relevance to Y fractionation from Ho in
2 partitioning between calcite and aqueous solution

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1 **Abstract**

2 Among rare earth elements (REEs), the behavior of Y and Ho in most igneous
3 activities is very close due to the similarity in their ionic radii, while Y fractionates from
4 Ho in marine systems. In this study, in order to elucidate Y-Ho fractionation observed
5 in marine systems in terms of structural chemistry, we examined Ho L_{III}-edge and Y
6 K-edge EXAFS study for two partitioning systems, namely, 1) calcite-aqueous solution
7 (Y-Ho fractionation system) and 2) strong acid cation exchange resin-aqueous solution
8 (non Y-Ho fractionation system). The results of the EXAFS analysis did not show
9 significant differences in interatomic distances to the most neighboring O atoms
10 between Ho and Y for all the samples. However, it was found that the first shell Ho-O
11 and Y-O distances in the Y-Ho doped calcite were shorter than those in the aqua ion.
12 In contrast, the first shell Ho-O and Y-O distances in the Y-Ho doped resin were similar
13 to those in the aqua ion. Previous studies have suggested that lanthanide (Ln) is more
14 covalent due to 4f orbital participation in bonding relative to Y. Spectroscopic studies
15 on various Ln³⁺ complexes show that Racah parameters for 4f electron repulsion in Ln³⁺
16 ions decrease with an increase in covalency of bonding of Ln³⁺ ions with anionic
17 ligands. Therefore, our EXAFS results suggest that Y-Ho fractionation in partitioning
18 is possibly attributed to the difference of change in covalency associated with the ligand
19 exchange between Y and Ho, which we have observed as differences in partition
20 coefficients between calcite and aqueous solution.

21

22 *Keywords:* Y-Ho fractionation; EXAFS; local structure; covalency

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1 **1. Introduction**

2 Rare earth elements (REEs) have received considerable attention because of their
3 contribution to geochemical studies in various fields. In particular, the abundances of
4 Y and Ho, one of lanthanides (Lns), are often compared as geochemical twins because
5 the behavior of these trivalent elements in the natural environment is very similar due to
6 their almost identical ionic radii (Shannon, 1976). Average upper continental materials
7 such as NASC (North American shale composite) and PAAS (Post-Archean Australian
8 average shale) have an Y/Ho weight ratio of 28, which is equal to the value of bulk
9 earth composition derived from chondritic meteorite (Gromet et al., 1984; Taylor and
10 McLennan, 1988; Anders and Grevesse, 1989). Mid-ocean-ridge and ocean-island
11 basalts as well as spinel peridotite xenoliths also show the chondritic Y/Ho ratio
12 (Jochum et al., 1986; Jochum et al., 1989), although Y/Ho ratios of highly evolved
13 granitic rocks deviate from the chondritic value (Bau, 1996; Irber, 1999). This
14 indicates that significant Y-Ho fractionation does not occur in most magmatic conditions
15 except in highly evolved magmatic systems. On the other hand, Y fractionation from
16 Ho in marine samples has been pointed out by many researchers. Present-day seawater,
17 marine carbonates and phosphorites have Y/Ho ratios of 40-80 which are much higher
18 than the chondritic value (Kawabe et al., 1991; Bau et al., 1995; Bau et al., 1996; Bau et
19 al., 1997; Nozaki et al., 1997; Webb and Kamber, 2000; Kamber and Webb, 2001;
20 Tanaka et al., 2003). In contrast, the Y/Ho ratios of deep-sea ferromanganese nodules
21 and crusts are 17-25 (Bau et al., 1996; Ohta et al., 1999). Yttrium fractionation from
22 Ho has been observed not only in natural samples but also in laboratory partitioning
23 experiments. Partition coefficients between Fe oxyhydroxide and aqueous solution
24 show that Ho is selectively coprecipitated with Fe oxyhydroxide relative to Y (Bau,

1 1999; Ohta and Kawabe, 2000). Ohta and Kawabe. (2001) reported the fractionation
2 between Y and Ho in the adsorption onto δ -MnO₂ in aqueous solution. Similarly,
3 Y-Ho fractionation was observed in the partitioning between calcite and aqueous
4 solution (Tanaka et al., 2004; Tanaka and Kawabe, 2006).

5 The oxidation state of Y and Ho is trivalent in natural environments. The electron
6 configuration of Ho(III) is [Xe](4f)¹⁰, but Y(III), whose electron configuration is [Kr],
7 does not have a 4f electron. Such difference in electron configuration may cause Y-Ho
8 fractionation in certain systems, which cannot be explained simply by their ionic sizes
9 due to their almost identical ionic radii (Shannon, 1976). Partition coefficients are
10 related to thermodynamic equilibrium constants for partitioning reactions. In other
11 words, a change in Gibbs free energy, ΔG , of the chemical species responsible for
12 partitioning reactions is reflected in partition coefficients. This means that the
13 difference of partition coefficients between Y and Ho (i.e., Y-Ho fractionation) is
14 equivalent to that of the change in ΔG between Y and Ho. Hence, a change in the
15 coordination structure of Y and Ho species must be observed as values of partition
16 coefficients through thermodynamic parameters. X-ray absorption fine structure
17 (XAFS) spectroscopy is useful for the direct observation of the local coordination
18 structure and speciation of trace elements in both liquid and solid states. XAFS
19 consists of two energy regions, namely, X-ray absorption near-edge structure (XANES)
20 and extended X-ray absorption fine structure (EXAFS). In particular, EXAFS
21 provides information on the local structure around a target atom, including the
22 coordination number and interatomic distance. The aim of this study is to elucidate the
23 Y-Ho fractionation in terms of the structural chemistry using EXAFS spectroscopy. In
24 the present study, we employed EXAFS spectroscopy mainly to obtain the average

1 distances of the most neighboring atoms from Y and Ho. REE concentrations in
2 natural samples are generally too low to obtain good EXAFS spectra. Therefore, we
3 prepared a series of samples with relatively high REE concentrations related to Y-Ho
4 fractionation. We selected two systems of REE partitioning between, 1) REE
5 incorporated in calcite and REE solution and 2) REE adsorbed on a strong acid cation
6 exchange resin and REE solution, in order to achieve our scientific goal. Yttrium-Ho
7 fractionation occurs in the partitioning between calcite and aqueous solution (Tanaka et
8 al., 2004; Tanaka and Kawabe, 2006), whereas Y does not fractionate from Ho between
9 strong acid cation exchange resin and aqueous solution as shown below.

10

11 **2. Material and Methods**

12 *2.1. Reference and synthetic materials*

13 *2.1.1. Reference materials*

14 The EXAFS spectra of sesquioxide (Y_2O_3 and Ho_2O_3) and carbonate hydrate
15 ($Y_2(CO_3)_3 \cdot nH_2O$ and $Ho_2(CO_3)_3 \cdot nH_2O$) were measured as solid reference materials
16 together with the synthetic materials. The purity of all the reagents was more than
17 99.9%, which were diluted with boron nitride (BN) to the proper concentrations for
18 EXAFS measurement in transmission mode. The concentrations of Y or Ho in the BN
19 matrix were decided by the criterion that difference of absorbance between pre-edge and
20 post-edge was 2.55, calculated based on Victoreen's equation and McMaster's table of
21 mass absorption coefficients (McMaster, 1969).

22 Yttrium and Ho solutions as liquid reference materials were prepared through the
23 dissolution of chloride hexahydrate ($YCl_3 \cdot 6H_2O$ and $HoCl_3 \cdot 6H_2O$) with Milli-Q water.
24 The EXAFS measurements of Y^{3+} and Ho^{3+} aqua ions in aqueous solution, $Y^{3+}_{(aq)}$ and

1 $\text{Ho}^{3+}_{(\text{aq})}$, were made using these solutions. The Y and Ho concentrations were adjusted
2 to 0.13 and 0.055 mol/kg, respectively. According to the speciation calculation, about
3 90% of Y and Ho in each solution was aqua ion, whereas most of the remaining species
4 was $\text{REECl}^{2+}_{(\text{aq})}$. Despite the existence of $\text{REECl}^{2+}_{(\text{aq})}$, our EXAFS data for the Y and
5 Ho solutions were compatible with those for aqua ions previously reported as discussed
6 below.

7

8 *2.1.2. Synthetic materials*

9 Calcite sample doped with Y and Ho was prepared using a simple co-precipitation
10 method at room temperature. In calcite precipitation, Y and Ho were simultaneously
11 co-precipitated. First, 0.20 M NaHCO_3 and Y-Ho spike solution (Y: 1.1 mmol/L, Ho:
12 0.6 mmol/L) were added to the CaCl_2 -NaCl solution (Ca: 200 mmol/L, Na: 50 mmol/L),
13 which was stirred with a magnetic stirrer chip. The initial Y and Ho concentrations in
14 the solution were 22 $\mu\text{mol/L}$ and 12 $\mu\text{mol/L}$, respectively. Then 0.20 M NaOH
15 solution was further put into the solution to make calcite precipitates. When fine
16 crystal started to form (pH \sim 8), stirring was stopped, and the prepared solution was
17 filtered with a 0.45 μm filter immediately. The disadvantage of this approach is that
18 the solution composition does not remain constant during the precipitation because the
19 initial Ca and REE concentrations decrease due to calcite precipitation. This, however,
20 is not a serious problem in the current study, as far as the mode of incorporation remains
21 the same even if the solution composition changes. The solution was undersaturated
22 with respect to $\text{Y}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$ and $\text{Ho}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$. The finely crystalline product
23 after drying at 40°C was identified as calcite with XRD. X-ray diffraction did not
24 show any reflections other than those of pure calcite.

1 Yttrium and Ho were simultaneously adsorbed onto a strong acid cation exchange
2 resin (Bio-Rad AG50W-X8, 200-400 mesh) in the solution at pH = 1.5 under room
3 temperature condition. The cation exchange resin is composed of a styrene
4 divinylbenzene copolymer as matrix and sulfonic acid functional groups ($-\text{SO}_3^-$)
5 attached to the copolymer. The proton exchange capacity of the cation resin is 2.1
6 meq/g. First, Y-Ho spike solution (Y: 1.1 mmol/L, Ho: 0.6 mmol/L) was added to
7 Milli-Q water, in which the cation exchange resin was agitated with a magnetic stirrer
8 chip. The initial Y and Ho concentrations in the solution were 220 $\mu\text{mol/L}$ and 120
9 $\mu\text{mol/L}$, respectively. The pH of the Milli-Q water with the resin went below 1.5 due
10 to the addition of the spike solution. A small amount of 0.2 M NaOH solution was
11 then added to adjust the pH of the solution to 1.5. After filtration with a 0.45 μm filter,
12 the resin was packed into a film bag without drying to prevent changes of the absorbed
13 species.

14 Yttrium and Ho concentrations in the synthetic calcite, the Y-Ho doped resin and the
15 corresponding solution samples were determined by ICP-MS (VG PQ-3). Yttrium
16 and Ho were extracted from the Y-Ho doped resin using 6 M HCl before ICP-MS
17 measurement. The analytical precision for Y and Ho was estimated to be better than
18 5%. The Y and Ho concentrations of the calcite sample were 3,200 mg/kg and 3,400
19 mg/kg, respectively, while those of the resin sample were 1,360 mg/kg and 1,380 mg/kg,
20 respectively.

21

22 2.2. EXAFS spectroscopy

23 The Ho L_{III} -edge (8.074 keV) EXAFS spectra for all samples were collected at
24 beamline 12C at Photon Factory, KEK (Tsukuba, Japan). A broad band synchrotron

1 radiation from storage ring operated at 2.5 GeV with a typical beam current of 450-300
2 mA was monochromatized with a Si (111) channel-cut double-crystal monochromator to
3 obtain the incident X-ray beam. The spectra of Ho_2O_3 , $\text{Ho}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$ and $\text{Ho}^{3+}_{(\text{aq})}$
4 as reference materials were collected by the transmission method. The fluorescence
5 yield of the Y-Ho doped calcite and resin was monitored using a 19-element
6 semiconductor Ge detector (SSD). In the fluorescence mode, each sample was placed
7 at 45° to the X-ray beam. All the measurements were carried out at room temperature
8 under ambient conditions.

9 The Y K-edge (17.037 keV) EXAFS spectra for all the samples were collected at
10 beamline BL01B1 of SPring-8 (Hyogo, Japan). The SPring-8 storage ring was
11 operated at 8.0 GeV electron energy with an electron current of 100 mA. A Si(111)
12 double-crystal monochromator was used to obtain the incident X-ray beam. The
13 spectra of the reference materials were collected in transmission mode. The calcite
14 sample doped with Y and Ho was measured in fluorescence mode using a Lytle detector,
15 whereas the Y-Ho doped resin sample was measured using SSD.

16

17 2.3. XAFS analysis

18 EXAFS data analysis was conducted using REX2000 ver.2.3 (Rigaku Co.) with
19 parameters generated by FEFF7.02 (Zavinsky et al., 1995; Ankudinov and Rehr, 1997).
20 The background absorption other than that of REE was subtracted using a linear
21 function estimated from the pre-edge region for the spectra obtained by fluorescence
22 mode. On the other hand, Victoreen function was used for the spectra obtained by
23 transmission mode. After subtraction of background absorption and normalization, the
24 smooth Ho L_{III} -edge and Y K-edge absorption of the free atom (μ_0) was removed using

1 a spline smoothing curve. The energy unit was transformed from keV to \AA^{-1} to
2 produce the EXAFS function $\chi(k)$, where k (\AA^{-1}) is the photoelectron wave vector given
3 by $\sqrt{2m(E - E_0/\hbar^2)}$ (E is the energy of the incident X-ray; E_0 is the threshold energy
4 for liberation of the photoelectron wave). In the present study, the E_0 value was
5 initially determined from the maximum value in the derivative of $\chi(k)$ in the absorption
6 region, which was finally optimized in the EXAFS simulation. The k^3 -weighted $\chi(k)$
7 values were Fourier transformed from k ($1/\text{\AA}$) space into R (\AA) space to give a radial
8 structural function (RSF). The theoretical EXAFS function was fitted to the back
9 transformed k^3 -weighted $\chi(k)$ functions using parameters including theoretical
10 backscattering amplitudes and phase shifts generated by FEFF 7.02. The initial
11 structural data by ATOMS (Ravel, 2001) were utilized to make FEFF parameters.
12 During the simulation, it was found that the contribution of the second and further shells
13 to the EXAFS function was minor in all samples, except for the sesquioxides of Ho_2O_3
14 and Y_2O_3 . The EXAFS analysis gives the coordination number (CN), the interatomic
15 distance (R) between the absorber and scatterer atoms, the Debye-Waller term (σ^2), and
16 the energy offset (ΔE_0).

17

18 **3. Results**

19 *3.1. Y-Ho fractionation between solid and solution*

20 The apparent partition coefficients between synthetic calcite (or resin) and aqueous
21 solution, $K_d(\text{REE})$, were calculated from the analytical results of ICP-MS. In calcite
22 precipitation, about 95% of initially added Y and Ho were removed from the aqueous
23 solution. On the other hand, 0.23% of initially added Y and Ho remained in the

1 aqueous solution after the adsorption experiment. Here, we define $K_d(\text{REE})$ simply as
2 the concentration ratio of solid to solution (i.e. $K_d(\text{REE}) = [\text{REE}]_{\text{solid}}/[\text{REE}]_{\text{solution}}$)
3 because our main interest is the relative partitioning behavior of Y to Ho. The
4 calculated $K_d(\text{Y})/K_d(\text{Ho})$ values of calcite was 0.71, which indicates that Y fractionates
5 from Ho during coprecipitation with calcite (Tanaka et al., 2004; Tanaka and Kawabe,
6 2006). In contrast, significant Y-Ho fractionation was not observed in the partitioning
7 between strong acid cation exchange resin and aqueous solution ($K_d(\text{Y})/K_d(\text{Ho}) = 0.94$).

8

9 3.2. XAFS spectra

10 Figure 1 shows the normalized XANES spectra for Ho and Y in aqueous solution,
11 sesquioxides, carbonate hydrates, Y-Ho doped calcite and resin. The Ho L_{III} -edge
12 spectra are similar to each other, although the white line peak of Ho_2O_3 is smaller and
13 broader than those of the others (Fig. 1a). The Y K-edge spectra for Y_2O_3 and Y-Ho
14 doped calcite show broad white line peaks relative to the Y solution, $\text{Y}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$
15 and Y-Ho doped resin (Fig. 1b). In fact, splitting is observed around the fine structures
16 of these white line peaks (Fig. 2). Such splitting for Y_2O_3 has been reported by
17 previous studies (Li and Chen, 1993; Wang et al., 2002). The splitting for Y-Ho doped
18 calcite may indicate that Y is incorporated into calcite crystals, but is not present as a
19 mixture of Y incorporated in calcite and $\text{Y}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$ because the Y-Ho doped calcite
20 was synthesized in the solution which was undersaturated with respect to
21 $\text{Y}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$.

22 Figures 3 and 4 show the k^3 -weighted EXAFS spectra $\chi(k)$ and RSFs. Previous
23 studies reported the contribution of multi-electron excitations to EXAFS spectra in REE
24 aqueous solution (e.g. Solera et al., 1995; D'Angelo et al., 2001). However, clear

1 evidence of the features in any of the EXAFS spectra attributable to multi-electron
2 excitations was not observed in the spectra in Figs. 3a and 4a. The low-R peaks of
3 Fourier transform (FT) magnitudes around ~ 1.8 - 1.9 Å (phase shift uncorrected) were
4 attributed to the first shell of oxygen atoms (Figs. 3b and 4b). As noted above, no
5 clear peaks at a higher R, which correspond to the second and further shells, were
6 identified except for sesquioxides. The k^3 -weighted EXAFS spectra $\chi(k)$ and the RSFs
7 obtained by Fourier transforms from k^3 -weighted $\chi(k)$ were simulated by the parameters
8 generated by FEFF 7.02. The starting model used for the FEFF calculation of Y-Ho
9 doped calcite sample was based on the calcite structure assuming that REE substitutes
10 for the Ca site (Elzinga et al., 2002). The analytical results of best-fit parameters are
11 shown in Table 1.

12

13 *3.3. Interatomic distances estimated from EXAFS analysis*

14 The hydration (coordination) number of REE and interatomic distances between REE
15 and oxygen of water molecule in aqueous solution have been well studied (Habenschuss
16 and Spedding, 1979a; Habenschuss and Spedding, 1979b; Habenschuss and Spedding,
17 1980; Yamaguchi et al., 1988; Díaz-Moreno et al., 2000). The hydration number of
18 heavy REE and Y is 8, whereas that of light REE is 9. The coordination number was
19 therefore fixed to 8 in the simulation of $\text{Ho}_{(\text{aq})}$ and $\text{Y}_{(\text{aq})}$. The fitting results gave 2.351
20 and 2.354 Å for the first shell REE-O distances of aqua Ho and Y ions, respectively
21 (Table 1). Yamaguchi et al. (1988) reported Dy-OH₂ (2.37 Å) and Er-OH₂ (2.34 Å)
22 distances in aqueous REE perchlorate solutions using EXAFS. From the interpolation
23 of these distances, Ho-OH₂ bond length was estimated to be 2.35-2.36 Å.
24 Díaz-Moreno et al. (2000) also reported the distance (2.35 Å) between Y and H₂O

1 molecule using EXAFS. Our results are therefore compatible with those of the
2 previous studies.

3 The Ho-O distance (2.351 Å) in $\text{Ho}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$ is identical to the Y-O distance
4 (2.360 Å) in $\text{Y}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$ within errors of simulation for bond length (Table 1).
5 The Y atom in tengerite-(Y), $\text{Y}_2(\text{CO}_3)_3 \cdot n\text{H}_2\text{O}$ ($n=2-3$), is coordinated by eight O atoms
6 of carbonate ions and one of H_2O , with Y-O distances ranging from 2.34 to 2.53 Å
7 (Miyawaki et al., 1993). Consequently, the calculated values of Ho-O and Y-O
8 distances in the Y-Ho carbonate hydrates indicated an average of nine different
9 distances.

10 The REE sesquioxides can be hexagonal (A-type), monoclinic (B-type) or cubic
11 (C-type). The structures of the sesquioxides follow the trend $A \rightarrow B \rightarrow C$ as the ionic
12 radius decreases. The C-type REE sesquioxides have the mineral bixbyite (Mn_2O_3)
13 structure with two distinct REE sites, both having sixfold coordination. The average
14 REE-O distances in the different sites of C-type sesquioxides are approximately the
15 same (Maslen et al., 1996). Moreover, the average interatomic distance between Ho
16 and O (2.283 Å) is almost equal to that between Y and O (2.282 Å) (Maslen et al., 1996).
17 On the other hand, the first-shell Ho-O and Y-O distances determined in this study were
18 2.279 and 2.270 Å, respectively (Table 1). Our estimation of interatomic distances
19 between REE and O in sesquioxides was in good agreement with those estimated by
20 Maslen et al. (1996).

21 The first shell Ho-O and Y-O distances in Y-Ho doped calcite (2.310 and 2.311 Å,
22 respectively) were 0.05 Å shorter than the Ca-O distance in ideal calcite (2.36 Å) (Table
23 1). Elzinga et al. (2002) measured the EXAFS spectra for synthetic calcite samples
24 doped with some REEs (Nd, Sm, Dy and Yb). From their EXAFS analysis, they

1 concluded that REEs were substituted for Ca in calcite structure. The interatomic
2 distance of Dy-O in REE doped calcite was estimated at 2.30 Å by Elzinga et al. (2002).
3 Taking into account ± 0.02 errors for the distances estimated by the simulation, the
4 Ho-O distance of 2.311 Å in this study was compatible with the Dy-O distance by
5 Elzinga et al. (2002). In addition, it was unlikely that Ho or Y carbonate precipitation
6 occurred simultaneously during the coprecipitation of calcite with Ho and Y because the
7 Ho-O and Y-O distances in the calcite sample were shorter than those in the
8 REE-carbonate hydrates (Table 1). The Ho-O and Y-O distances determined in the
9 present study indicated that Ho and Y were substituted for Ca in calcite as Elzinga et al.
10 (2002) concluded. The observed splitting of a white line peak in the Y spectrum of the
11 calcite sample, which was not observed in the spectrum of $Y_2(CO_3)_3 \cdot nH_2O$, may
12 indicate Y substitution for Ca in calcite (Fig. 2). Despite the fairly rapid precipitation
13 rate of this study, the result obtained here is consistent with that of Elzinga et al. (2002),
14 in which calcite was precipitated under steady state with constant pH over a few weeks.
15 Hence, it is unlikely that the precipitation rate of calcite has an effect on REE-O
16 distances in this time scale. The coordination numbers of the first shell oxygen for Ho
17 and Y in the synthetic calcite sample were estimated to be 5.8 and 6.1, respectively
18 (Table 1). These values are consistent with the fact that Ca is sixfold in the ideal
19 calcite structure, although the coordination number determined by EXAFS analysis is
20 generally accompanied by $\pm 20\%$ errors.

21 The bond lengths of Ho-O and Y-O in the resin sample were calculated as 2.360 and
22 2.349 Å, respectively, with the coordination number of 8.2 (Table 1). The interatomic
23 distances and coordination numbers for the resin sample were similar to those for aqua
24 ions. The adsorbed cations in strong acid cation exchange resin form outer sphere

1 complexes with sulfonic acid functional groups attached to a styrene divinylbenzene
2 copolymer lattice (Takahashi et al., 1997). Therefore, the similarity of the bond
3 lengths and coordination numbers between the resin sample and aqua ion was
4 reasonable.

5

6 **4. Discussion**

7 *4.1. Y-Ho partitioning between calcite and aqueous solution*

8 The REE incorporation mechanism into the calcite structure has been discussed by
9 several researchers. For instance, Zhong and Mucci (1995) suggested that Na^+
10 compensates for excess charge of REE substitution for the Ca site, whereas such charge
11 balanced substitution was abandoned by Lakshtanov and Stipp (2004). In any case, if
12 the incorporation mechanism of Ho is different from that of Y, Y-Ho fractionation is
13 expected to occur. However, the incorporation mechanisms of Ho and Y are probably
14 the same because the first shell distances and coordination numbers of Ho-O and Y-O
15 are very similar (Table 1). Therefore, other possibilities should be examined to explain
16 Y-Ho fractionation as discussed in the following section.

17 The REE carbonate complexes of $\text{REECO}_3^+(\text{aq})$ and $\text{REE}(\text{CO}_3)_2^-(\text{aq})$ are dominant in an
18 aqueous solution at a high concentration of dissolved carbonate ion (e.g., seawater) due
19 to their large complexation constants (Liu and Byrne, 1998; Ohta and Kawabe, 2000).
20 According to speciation calculation, the dominant REE species in our experimental
21 solution used for calcite precipitation were carbonate complexes because we
22 synthesized the calcite sample using aqueous solution with relatively high pH and total
23 carbonate concentration. In particular, $\text{REE}(\text{CO}_3)_2^-(\text{aq})$ occupies 80 – 90% of the total
24 REE species for heavy REEs and Y. This means that the $K_d(\text{REE})$ determined in this

1 study corresponds to the partition coefficient between REE species in calcite and
2 $\text{REE}(\text{CO}_3)_2^-$ (aq) (or REECO_3^+ (aq)). The REE-O distances in Y-Ho doped calcite and
3 carbonate complexes should be compared in order to discuss the relationship between
4 Y-Ho fractionation and interatomic distances. Unfortunately, it is difficult to obtain
5 EXAFS spectra for the dissolved complexes of REE carbonate, because REE
6 precipitation occurs in a solution with high REE and carbonate concentrations. It is,
7 however, possible to discuss the Y-Ho fractionation between Y-Ho in calcite and free
8 Y-Ho in aqueous solution, when $K_d(\text{REE})$ is corrected to the partition coefficient
9 between REE species in calcite and aqua ions using REE carbonate complexation
10 constants. Our $K_d(\text{Y})/K_d(\text{Ho})$ ratio between calcite and aqueous solution was corrected
11 from 0.71 to 0.47 according to Tanaka et al. (2004) and Tanaka and Kawabe (2006).
12 The decrease in $K_d(\text{Y})/K_d(\text{Ho})$ due to correction indicates that Y-Ho fractionation also
13 occurs during carbonate complexation, which is observed as carbonate complexation
14 constants (Liu and Byrne, 1998; Ohta and Kawabe, 2000).

15

16 *4.2. Relationship between interatomic distance and Y-Ho fractionation*

17 Errors in the fitted parameters are estimated to be generally $\pm 0.02 \text{ \AA}$ for the
18 first-shell distance. Significant differences between Y and Ho in the first-shell REE-O
19 distances for each sample were not observed (Table 1). It should be noted, however,
20 that Ho-O and Y-O distances in calcite were shorter than those in aqua ion, whereas
21 Ho-O and Y-O distances in the resin were similar to those in aqua ions. Bond lengths
22 are related to the covalency of respective bondings, which is referred to as covalent
23 shortening (Shannon, 1976). The first shell REE-O distances in REE-doped calcite
24 reported by Elzinga et al. (2002) are also shorter than the corresponding REE-OH₂

1 distances of aqua ions reported by Yamaguchi et al. (1988). In other words, this
2 suggests that REE-O bonds in calcite are more covalent than those of aqua ions and
3 REE adsorbed onto resin. Compared with Y, lanthanide is more covalent due to 4f
4 orbital participation in bonding (Mioduski, 1993). Spectroscopic studies on various
5 Ln^{3+} complexes show that the Racah parameters for 4f electron repulsion in Ln^{3+} ions
6 decrease with the increasing covalency of bonding of Ln^{3+} ions with anionic ligands.
7 This is known as the nephelauxetic series (Jørgensen, 1979; Caro et al., 1981). Y-Ho
8 fractionation in partitioning is possibly attributed to the difference of change in the
9 covalency of bonding associated with the ligand exchange between Y and Ho. This is
10 supported by the fact that in the non Y-Ho fractionation system (resin-solution), the
11 Ho-O and Y-O distances and coordination numbers in the resin were similar to those in
12 aqua ions, which is attributed to the similarity of coordination structure between aqua
13 REE^{3+} ion and REE^{3+} adsorbed onto strong acid cation exchange resin (Takahashi et al.,
14 1997).

15 Significant differences in interatomic distances from the most neighboring O atoms
16 between Ho and Y were not observed for all the samples including the reference and
17 synthetic materials (Table 1). As noted above, the bonding nature of Ho and Y, which
18 is reflected in partition coefficients through thermodynamic parameters, is an important
19 clue to understanding Y-Ho fractionation during various partitioning processes. The
20 relationship between Y-Ho fractionation and the systematic change of coordination
21 structure can be observed in a sequence of $\text{REE}^{3+}_{(\text{aq})}$, $\text{REECO}_3^+_{(\text{aq})}$, $\text{REE}(\text{CO}_3)_2^-_{(\text{aq})}$ and
22 REE incorporated into calcite. The water molecules in the first coordination spheres of
23 REE^{3+} ions are successively replaced by CO_3^{2-} among these REE species. These REE
24 species have systematically different $\text{H}_2\text{O}/\text{CO}_3^{2-}$ ratios in the first coordination spheres

1 of REE³⁺ ions. REE carbonate complexation constants show that Y-Ho fractionation
2 occurs during reactions of REE³⁺_(aq) + CO₃²⁻_(aq) = REECO₃⁺_(aq) and REECO₃⁺_(aq) +
3 CO₃²⁻_(aq) = REE(CO₃)₂⁻_(aq) (Liu and Byrne, 1998; Ohta and Kawabe, 2000). Similarly,
4 the results of this study and those of Tanaka and Kawabe (2006) indicate that Y
5 fractionates from Ho during the incorporation of REE(CO₃)₂⁻_(aq) (or REECO₃⁺_(aq)) into
6 calcite. It is expected that significant Y-Ho fractionation occurs especially when a
7 change in the coordination structure of Y and Ho before and after ligand exchange is
8 large during partitioning reaction. In this sense, the results of this study would help in
9 understanding geochemical processes that cause Y-Ho fractionation.

10

11 **5. Conclusions**

12 We have measured Ho L_{III}-edge and Y K-edge EXAFS spectra for reference (aqua ion,
13 sesquioxide and carbonate hydrate) and synthetic (Y-Ho doped calcite and strong acid
14 cation exchange resin) materials. The first shell Ho-O distance was very similar to the
15 first shell Y-O distance for each of these materials. In the Y-Ho fractionation system,
16 the first shell Ho-O and Y-O distances in the Y-Ho doped calcite were shorter than those
17 in the aqua ion. In contrast, in the non Y-Ho fractionation system, the first shell Ho-O
18 and Y-O distances in the Y-Ho doped resin were similar to those in aqua ions. These
19 EXAFS results of the interatomic distances suggest that REE-O bonds in calcite are
20 more covalent than those of aqua ions and REE adsorbed onto the resin. This indicates
21 that Y-Ho fractionation in partitioning is possibly attributed to the difference of change
22 in the covalency of bonding associated with ligand exchange between Y and Ho.

23

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- 12

1 **Figure captions**

2 Fig. 1. The near-edge regions of the normalized (a) Ho L_{III}-edge and (b) Y K-edge
3 absorption spectra of the reference and synthetic materials.

4 Fig. 2. Fine structures of white line peaks observed in the K-edge absorption spectra for
5 Y₂O₃ and Y-Ho doped calcite.

6 Fig. 3. The EXAFS results for Ho in the reference and synthetic materials including (a)
7 k³-weighted $\chi(k)$ and (b) RSF (not phase shift corrected). Fitting results are
8 shown as dashed lines.

9 Fig. 4. EXAFS results for Y in the reference and synthetic materials including (a)
10 k³-weighted $\chi(k)$ and (b) RSF (not phase shift corrected). Fitting results are
11 shown as dashed lines.

Table 1. Best-fit parameters for EXAFS analyses obtained by the simulation using parameters generated by FEFF 7.02.^{a,b}

Sample	Analyzed shells	Shell	CN	R (Å)	ΔE_0 (eV)	σ^2 ($\times 10^3$)
Ho solution	1 shell	Ho-O	8.0 ^c	2.351 ± 0.006	-3.1 ± 0.7	7.4 ± 0.1
Ho in calcite	1 shell	Ho-O	5.8 ± 0.5	2.311 ± 0.006	-3.4 ± 0.8	4.9 ± 0.1
Ho-exchanged resin	1 shell	Ho-O	8.2 ± 0.7	2.360 ± 0.006	-2.0 ± 0.7	5.8 ± 0.2
Ho ₂ (CO ₃) ₃ ·nH ₂ O	1 shell	Ho-O	9.0 ^c	2.351 ± 0.006	-2.9 ± 0.8	5.6 ± 0.2
Ho ₂ O ₃	4 shells	Ho-O	6.0 ^c	2.279 ± 0.007	2.5 ± 1.0	5.8 ± 0.1
		Ho-Ho	6.0 ^c	3.538 ± 0.027		3.2
		Ho-O	12.0 ^c	3.727 ± 0.023		3.6
		Ho-Ho	6.0 ^c	4.016		4.4
Y solution	1 shell	Y-O	8.0 ^c	2.354 ± 0.005	-7.9 ± 0.9	5.8 ± 0.02
Y in calcite	1 shell	Y-O	6.1 ± 0.6	2.310 ± 0.007	-7.3 ± 1.1	6.2 ± 0.1
Y-exchanged resin	1 shell	Y-O	8.2 ± 0.7	2.349 ± 0.007	-4.5 ± 0.9	5.6 ± 0.2
Y ₂ (CO ₃) ₃ ·nH ₂ O	1 shell	Y-O	9.0 ^c	2.360 ± 0.009	-6.1 ± 1.2	8.5 ± 0.2
Y ₂ O ₃	4 shells	Y-O	6.0 ^c	2.270 ± 0.006	-6.4 ± 1.1	5.0 ± 0.1
		Y-Y	6.0 ^c	3.528 ± 0.011		3.1
		Y-O	12.0 ^c	3.740		3.6
		Y-Y	6.0 ^c	4.006		5.2

(a) CN: coordination number; R: interatomic distance; ΔE_0 : threshold E_0 shift; σ : Debye-Waller term.

(b) Least squares precision is given to each value.

(c) Fixed in the simulation.

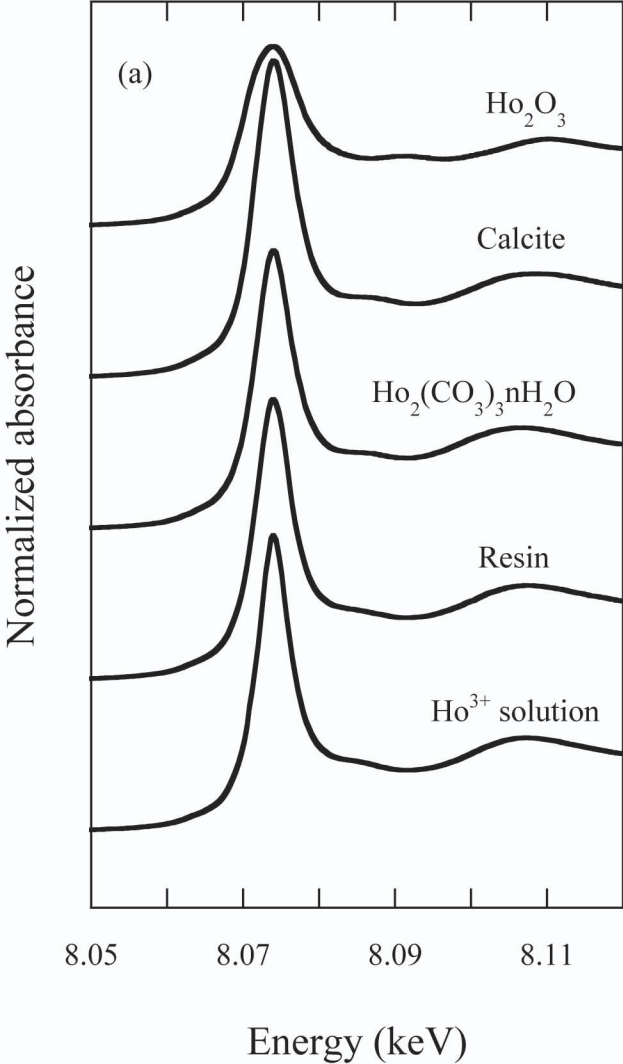


Fig. 1a Tanaka et al.

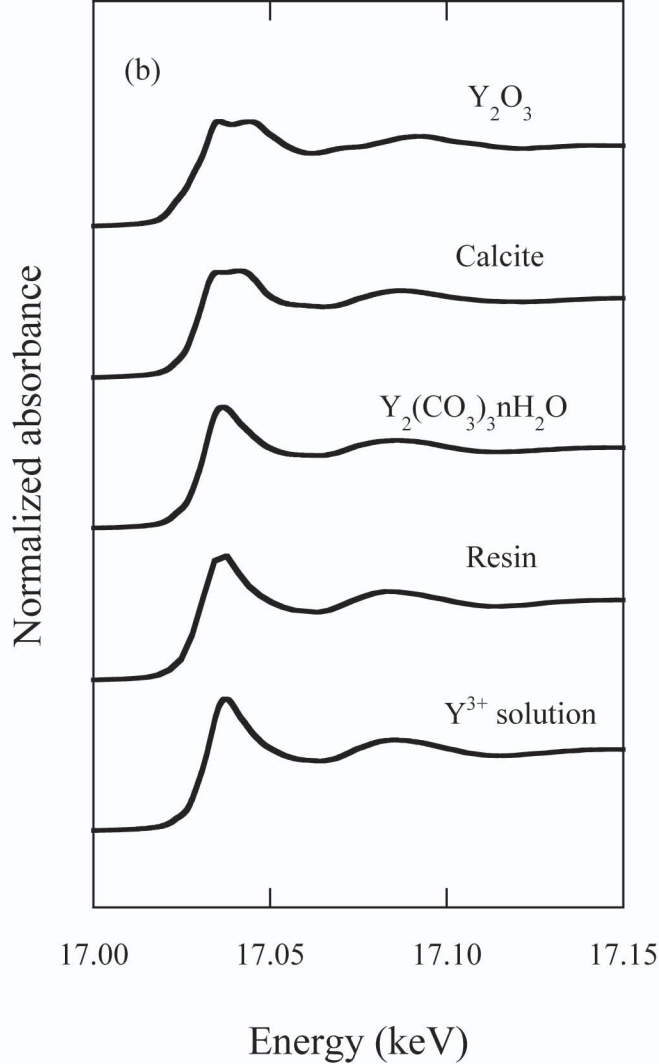


Fig. 1b Tanaka et al.

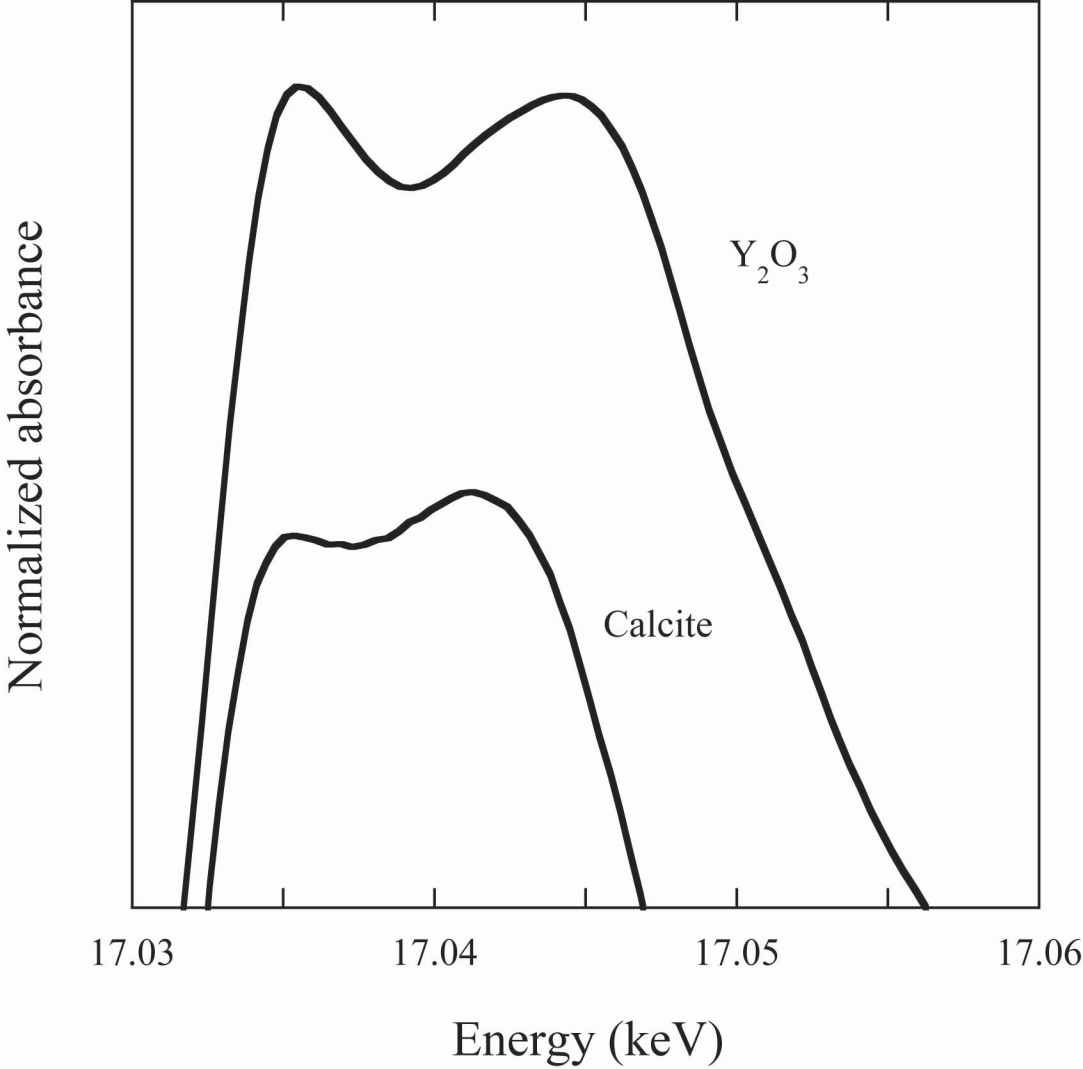


Fig. 2 Tanaka et al.

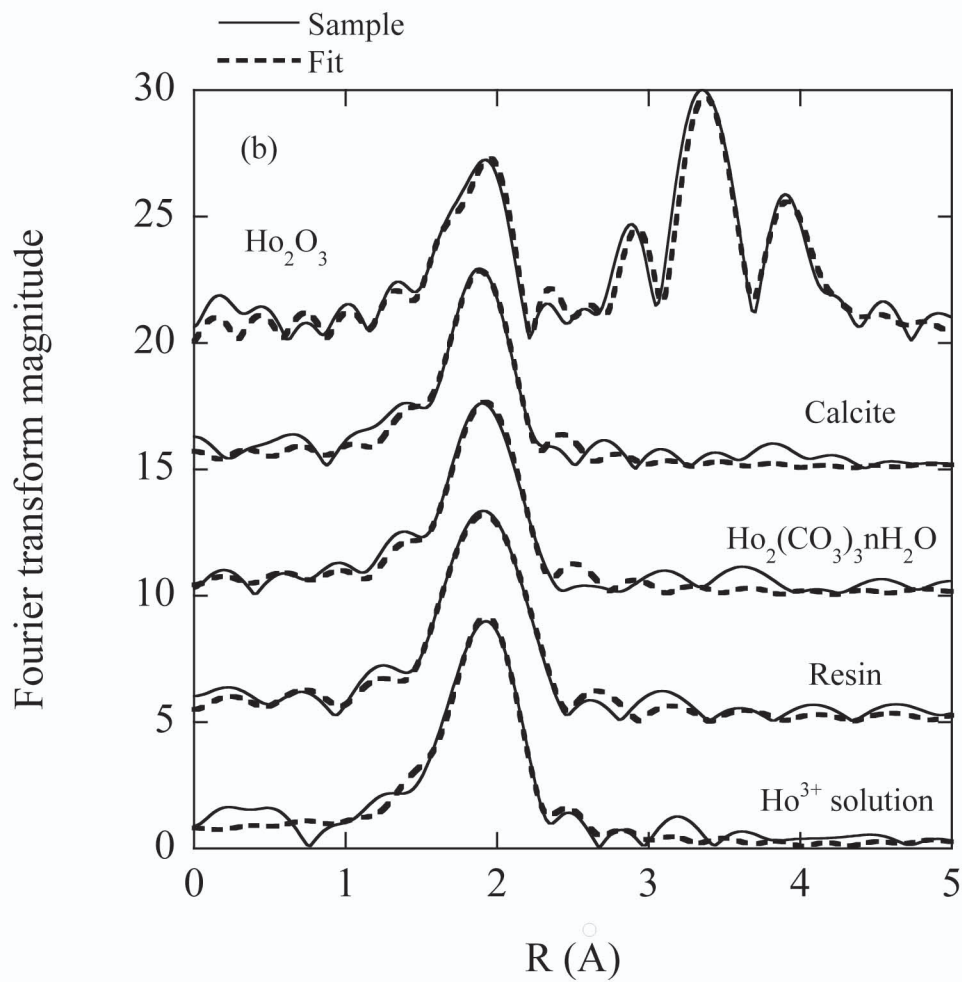
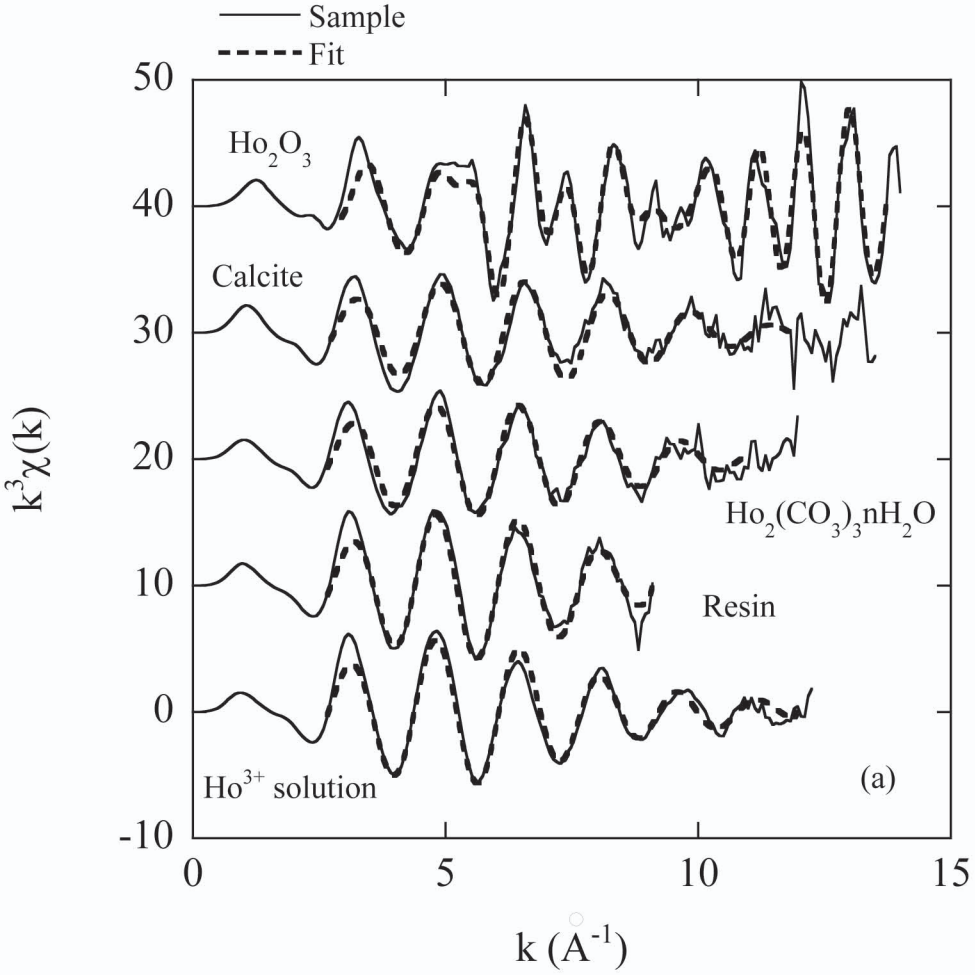


Fig. 3 Tanaka et al.

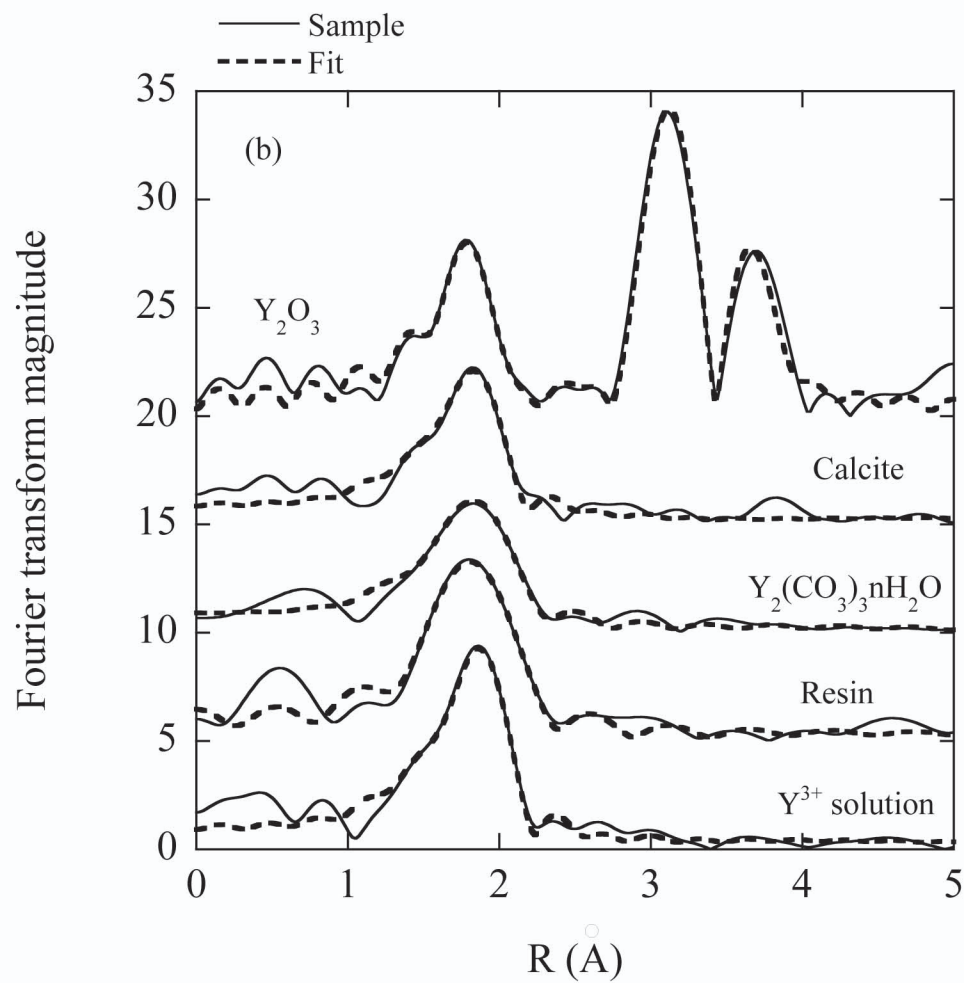
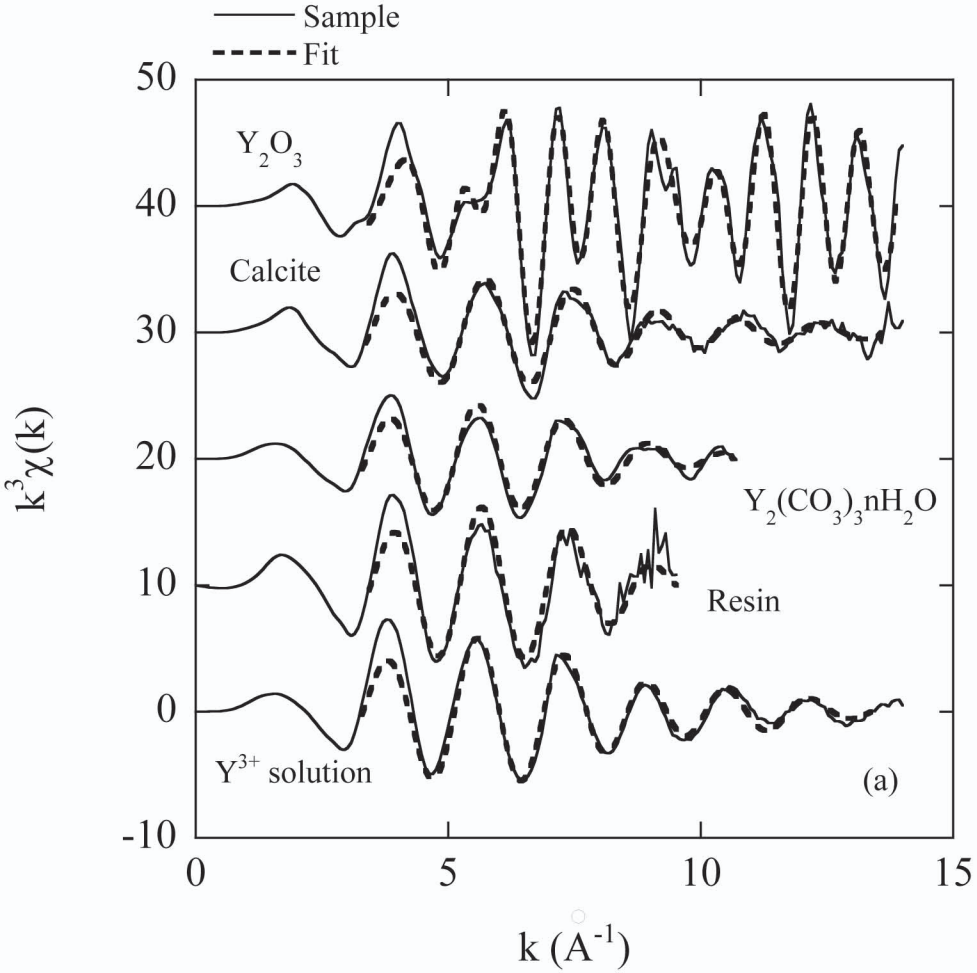


Fig. 4 Tanaka et al.