

## Nuclear effects on hadron production in $d + \text{Au}$ collisions at $\sqrt{s_{NN}} = 200 \text{ GeV}$ revealed by comparison with $p + p$ data

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PHENIX has measured the centrality dependence of midrapidity pion, kaon, and proton transverse momentum distributions in  $d + Au$  and  $p + p$  collisions at  $\sqrt{s_{NN}} = 200$  GeV. The  $p + p$  data provide a reference for nuclear effects in  $d + Au$  and previously measured Au + Au collisions. Hadron production is enhanced in  $d + Au$ , relative to independent nucleon-nucleon scattering, as was observed in lower energy collisions. The nuclear modification factor for (anti)protons is larger than that for pions. The difference increases with centrality but is not sufficient to account for the abundance of baryon production observed in central Au + Au collisions at the BNL Relativistic Heavy Ion Collider (RHIC). The centrality dependence in  $d + Au$  shows that the nuclear modification factor increases gradually with the number of collisions encountered by each participant nucleon. We also present comparisons with lower energy data as well as with parton recombination and other theoretical models of nuclear effects on particle production.

**I. INTRODUCTION**

Since the early 1970s, it has been well established [1–3] that energetic particle production in proton-nucleus ( $p + A$ ) collisions increases faster than the number of binary nucleon-nucleon collisions. This effect, called the ‘‘Cronin effect,’’ is a manifestation of the fact that particle production and propagation is influenced by the nucleus. If the  $A$  dependence of the invariant cross section  $I$  of particle  $i$  in  $p + A$  collisions is parametrized as

$$I_i(p_T, A) = I_i(p_T, 1)A^{\alpha_i(p_T)}, \quad (1)$$

then it has been observed that  $\alpha_i$  is greater than unity above some transverse momentum value, typically 1–1.5 GeV/ $c$ , denoting significant enhancement of particle production in  $p + A$  collisions. The enhancement depends on the momentum and the type of particle produced, with protons and antiprotons exhibiting a much larger enhancement than pions and kaons at  $p_T > 2\text{--}3$  GeV/ $c$ . At  $\sqrt{s_{NN}} = 27.4$  GeV, the enhancement peaks at around  $p_T = 4.5$  GeV/ $c$ , with  $\alpha_{K^+} \simeq \alpha_{\pi^+} = 1.109 \pm 0.007$ , while, at the same momentum, the protons can be described by an  $\alpha$  factor of  $\alpha_p - \alpha_{\pi^+} = 0.231 \pm 0.013$  [2].

Although the observables in Eq. (1) have been clearly related to the nuclear medium, the cause of the Cronin enhancement and its species dependence are not yet completely understood, and further experimental study is warranted in its own right. Furthermore, in the search for the quark gluon plasma at the BNL Relativistic Heavy Ion Collider (RHIC), the Cronin effect is extremely important, as novel effects observed in central Au + Au collisions require good control of the initial state conditions. At RHIC energies, it was discovered that hadron production at high transverse momentum ( $p_T \geq 2$  GeV/ $c$ ) is suppressed in central Au + Au collisions [4] compared to nucleon-nucleon collisions. Such suppression may be interpreted as a consequence of the energy loss suffered by the hard-scattered partons as they propagate through the hot, dense medium. However, since the Cronin effect acts in the opposite direction, enhancing the hadron yields, it has to be taken into account when the parton energy loss is determined from the data.

Another unexpected discovery at RHIC energies is that the yields of  $p$  and  $\bar{p}$  at intermediate  $p_T$  ( $1.5 < p_T < 5$  GeV/ $c$ ) in central Au + Au collisions [5–7] are comparable to the yield of pions, in striking contrast to the proton to pion ( $p/\pi$ ) ratios of  $\sim 0.1\text{--}0.3$  measured in  $p + p$  collisions [8]. Novel mechanisms of particle production in the environment of dense matter, such as recombination of boosted quarks [9] or contributions from baryon junctions [10], which can become dominant in the presence of pion suppression, were proposed to explain the data. Since it has been observed that at lower energies Cronin enhancement is stronger for protons than for pions [2], this effect has to be considered at RHIC energies before new physics is invoked.

The effects from the initial state are best studied by performing a control experiment in which no hot and dense matter is produced. Deuteron + gold collisions at  $\sqrt{s_{NN}} = 200$  GeV serve this purpose. Since there is no hot and dense final state medium, the initial state conditions become accessible to the experiment. In addition to Cronin enhancement, known initial state effects also include nuclear shadowing and gluon saturation [11]. The Cronin enhancement is usually attributed to momentum broadening due to multiple initial state soft [12] or semihard [13–16] scattering. Such models typically do not predict the particle species dependence observed in the data. Recently, Hwa and collaborators provided an alternative explanation due to final state interactions. The particle species dependent enhancement is attributed to recombination of shower quarks with those from the medium, where no distinction is made if hot or cold nuclear matter is produced [17]. Identified hadron production measured as a function of centrality brings important experimental data relevant to the long-outstanding problem of the baryon Cronin effect. The dependence of the enhancement upon the thickness of the medium, or the number of collisions suffered by each participating nucleon, can help differentiate among the different scattering models, and the species dependence helps to separate initial from final state effects in  $d + Au$ .

The paper is arranged as follows. Section II describes the experiment, data analysis, and systematic uncertainties. Section III presents hadron spectra, yields, and the resulting nuclear modification factors. Discussion of the centrality, energy, and species dependence of the nuclear modification factors and implications for understanding of the Cronin effect are in Sec. IV. Section V presents conclusions.

**II. EXPERIMENT AND DATA ANALYSIS**

**A. Data sets and trigger**

Data presented here include collisions at  $\sqrt{s_{NN}} = 200$  GeV of Au + Au taken in the 2002 run of RHIC and of  $d + Au$  and  $p + p$  collisions collected in 2003. In the following, we discuss our analysis of the  $p + p$  and  $d + Au$  data; details of the Au + Au analysis and the Au + Au results are found in [7]. Events with vertex position along the beam axis within  $|z| < 30$  cm were triggered by the beam-beam counters (BBCs) located at  $|\eta| = 3.0\text{--}3.9$  [18]. The minimum bias trigger accepts 88.5( $\pm 4$ )% of all  $d + Au$  collisions that satisfy the vertex condition, and 51.6( $\pm 9.8$ )% of  $p + p$  collisions. A total of 42 and 25 million minimum bias events were analyzed for  $d + Au$  and  $p + p$  collisions, respectively.

In  $p + p$  collisions, PHENIX determines the differential invariant cross section via

$$E \frac{d^3\sigma}{dp^3} = \frac{\sigma_{\text{BBC}}}{N_{\text{BBC}}^{\text{total}}} \frac{1}{2\pi} \frac{1}{p_T} C_{\text{eff}}^{\text{geo}}(p_T) C_{\text{bias}}^{\text{BBC}} \frac{d^2N}{dp_T dy}. \quad (2)$$

The BBC cross section  $\sigma_{\text{BBC}}$  was determined via the van der Meer scan technique [19]. In this  $p + p$  data set,  $\sigma_{\text{BBC}} = 23.0 \pm 2.2(9.6\%)$  mb.  $N_{\text{BBC}}^{\text{total}}$  is the total number of BBC triggers analyzed. The factor  $C_{\text{eff}}^{\text{geo}}(p_T)$  denotes the efficiency and geometrical acceptance correction, calculated

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TABLE I. Mean number of binary collisions, participating nucleons from the Au nucleus, number of collisions per participating deuteron nucleon, and trigger bias corrections for the  $d + \text{Au}$  centrality bins.

	00%–20%	20%–40%	40%–60%	60%–88%
$\langle N_{\text{coll}} \rangle$	$15.4 \pm 1.0$	$10.6 \pm 0.7$	$7.0 \pm 0.6$	$3.1 \pm 0.3$
$\langle N_{\text{part}} \rangle$	$15.6 \pm 0.9$	$11.1 \pm 0.6$	$7.7 \pm 0.4$	$4.2 \pm 0.3$
$\langle \nu = N_{\text{coll}}/N_{\text{part}}^d \rangle$	$7.5 \pm 0.5$	$5.6 \pm 0.4$	$4.0 \pm 0.3$	$2.2 \pm 0.2$
Trigger bias correction	$0.95 \pm 0.3$	$0.99 \pm 0.007$	$1.03 \pm 0.009$	$1.04 \pm 0.027$

with a detailed GEANT Monte Carlo simulation [20] of the PHENIX detector.  $C_{\text{eff}}^{\text{geo}}(p_T)$  normalizes the cross section in one unit of rapidity and full azimuthal coverage. The  $C_{\text{bias}}^{\text{BBC}}$  factor corrects for the fact that the forward BBCs measure only a fraction of the inelastic  $p + p$  cross section. This subset of events on which the BBC triggers contains only a fraction of the inclusive particle yield at midrapidity. For charged hadrons, this fraction was determined using triggers on the beam crossing clock; the fraction was found to be  $0.80 \pm 0.02$ , independent of  $p_T$ . The  $C_{\text{bias}}^{\text{BBC}}$  term is, in our nomenclature, the inverse of this fraction.

In  $d + \text{Au}$  collisions, PHENIX measures the inelastic yield per BBC triggered event.<sup>1</sup> The collision centrality is selected in  $d + \text{Au}$  using the south (Au-going side) BBC (BBCS). We assume that the BBCS signal is proportional to the number of participating nucleons ( $N_{\text{part}}^{\text{Au}}$ ) in the Au nucleus, and that the hits in the BBCS are uncorrelated to each other. We use a Glauber model [22] and simulation of the BBC to define four centrality classes in  $d + \text{Au}$  collisions, as discussed in detail in [23]. The deuteron nucleus is modeled after a wave function derived by Hulthén [24]

$$\phi_d(\mathbf{r}_{pn}) = \left( \frac{\alpha\beta(\alpha + \beta)}{2\pi(\alpha - \beta)^2} \right)^{\frac{1}{2}} \frac{(e^{-\alpha\mathbf{r}_{pn}} - e^{-\beta\mathbf{r}_{pn}})}{\mathbf{r}_{pn}}, \quad (3)$$

where  $\alpha = 0.228 \text{ fm}^{-1}$ ;  $\beta = 1.18 \text{ fm}^{-1}$ ; and  $\mathbf{r}_{pn}$  refers to the separation between the proton and the neutron. The Au nucleus is modeled using a Woods-Saxon density distribution

$$\rho(r) = \frac{\rho_0}{1 + \exp\left(\frac{r-c}{a}\right)}, \quad (4)$$

where  $a = 0.54 \text{ fm}$  and  $c = 1.12 A^{1/3} - 0.86 A^{-1/3} = 6.40 \text{ fm}$ , a value which agrees well with the measured charge radius of  $R = 6.38 \text{ fm}$  for gold.

Using the above parameters and taking into account the BBC efficiency, the mean number of binary collisions along with the mean number of participating nucleons from the Au nucleus that correspond to each centrality bin are shown in Table I. For the minimum bias  $d + \text{Au}$  collisions,  $\langle N_{\text{coll}} \rangle = 8.5 \pm 0.4$  and  $\langle N_{\text{part}} \rangle = 9.1 \pm 0.4$ .

As for  $p + p$  collisions, there is a BBC trigger bias, but it is much smaller in  $d + \text{Au}$ . In addition, a second bias occurs in  $d + \text{Au}$  centrality selected collisions. This second bias arises from the fact that events containing high- $p_T$  hadrons from hard

scatterings may have larger multiplicity, and consequently they produce a larger signal in the BBCS. Such events would be considered more central than events without a hard scattering. This effect gives an opposite bias from the first trigger bias effect in the most peripheral bin as events can be shifted out of this bin but not into it. We correct for both biases in  $d + \text{Au}$  collisions using simulations and a Glauber model. The combined corrections for these effects range from 0% to 5%, depending on the centrality category, and are shown in Table I. Systematic uncertainties on these corrections are less than 4%.

## B. Tracking and particle identification

Charged particles are reconstructed using a drift chamber (DC) and two layers of multiwire proportional chambers with pad readout (PC1, PC3) [18]. Pattern recognition is based on a combinatorial Hough transform in the track bend plane, while the polar angle is determined by PC1 and the location of the collision vertex along the beam direction [25]. The track reconstruction efficiency is approximately 98%.

Particle momenta are measured with a resolution  $\delta p/p = 0.7\% \oplus 1.1\% p$  (GeV/c). The momentum scale is known to 0.7%. Particle identification is based on particle mass calculated from the measured momentum and the velocity obtained from the time-of-flight and path length along the trajectory. The measurement uses the portion of the spectrometer containing the high-resolution time-of-flight (TOF) detector, which covers pseudorapidity  $-0.35 \leq \eta \leq 0.35$  and  $\Delta\phi = \pi/8$  in azimuthal angle. The timing uses the BBC for the global start and the stop signals from the TOF scintillators located at a radial distance of 5.06 m. Tracks are required to match a hit on the TOF within  $\pm 3$  standard deviations,  $\sigma$ , of the track projection to the TOF radial location. The particle yields are corrected for losses due to this matching cut.

Figure 1 illustrates the performance of the hadron identification system. The system resolution is  $\sigma \approx 130 \text{ ps}$  for both  $d + \text{Au}$  and  $p + p$ ; the start time resolution is limited by the low hit multiplicity in the BBC. The TOF resolution achieved allows for a clear separation of  $\pi/K$  and  $K/p$  up to  $p_T = 2$  and  $p_T = 3.6 \text{ GeV}/c$ , respectively. A  $2\sigma$  cut in mass squared is used to separate the different hadron species, as shown in Fig. 2 for hadrons in the  $p_T$  range 1.2–1.6 GeV/c. A clear separation between pions and kaons is seen. The particle yields are corrected for losses due to the  $2\sigma$  cut. A simple cut on mass would leave the pion spectrum above 2 GeV/c in  $p_T$  with an admixture of kaons. This is avoided by calculating a pion and kaon probability for each particle,

<sup>1</sup>To convert the reported inelastic yield to differential cross section, one must multiply by the BBC trigger cross section of  $\sigma_{\text{MB}}^{\text{tot}}(d\text{Au})\epsilon_{\text{MB}}^{\text{BBC}}(d\text{Au}) = 1.99 \pm 0.10 \text{ b}$  [21].



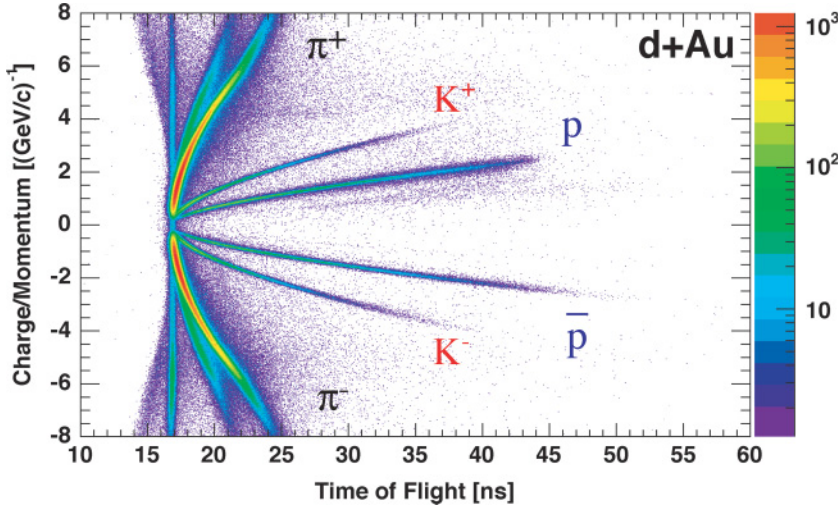


FIG. 1. (Color online) Time of flight vs charge/momentum for particles in minimum bias  $d + Au$  collisions. Bands corresponding to the different hadrons are labeled. Electron and muon bands are also visible, though not labeled.

utilizing the measured pion and kaon centroid and width in mass squared. For  $2.0 \leq p_T \leq 2.7 \text{ GeV}/c$ , particles within the  $2\sigma$  particle identification (PID) cut for pions that also fall inside the  $2\sigma$  kaon band are vetoed from the pion spectrum. The resulting loss in efficiency is corrected by applying the same procedure in the Monte Carlo simulations. This procedure has been verified using  $1\sigma$  PID cuts, which allows full pion-kaon separation to  $2.7 \text{ GeV}/c$ .

Corrections to the charged-particle spectrum for geometrical acceptance, decays in flight, reconstruction efficiency, energy loss in detector material, and momentum resolution are determined using a single-particle GEANT Monte Carlo simulation.

The proton and antiproton spectra are corrected for feed down from weak decays via a Monte Carlo simulation using as input experimental data on  $\Lambda$  production. The total number of protons produced in the collisions can be written as  $p + 0.64(\Lambda + \Sigma^0 + \Xi^0 + \Xi^- + \Omega^-) + 0.52\Sigma^+$ , where  $p$  denotes the primordial number of protons produced, the other symbols denote the primordial number of those particles produced in the collision, and 0.64 and 0.52 are the branching ratios for  $\Lambda \rightarrow p\pi^-$  and  $\Sigma^+ \rightarrow p\pi^0$ , respectively. The hyperons

listed together with  $\Lambda$  decay to  $\Lambda$  with approximately 100% branching ratio, and so yield protons with the  $\Lambda \rightarrow p\pi$  branching ratio. We estimate the proton and antiproton spectra from weak decays and subtract them from the measured yields, using experimental data from UA5 [26] from nonsingle diffractive  $\sqrt{s} = 200 \text{ GeV}$   $p + \bar{p}$  collisions, and preliminary  $\Lambda$  and  $\bar{\Lambda}$  spectra from STAR in  $p + p$  and  $d + Au$  collisions at the same energy [27–30]. The shape of the  $\Sigma^0$ ,  $\Xi^0$ , and  $\Xi^-$  spectra are constructed from the  $\Lambda$  spectrum by  $m_T$  scaling (i.e., under the assumption that these hadrons are all produced with roughly the same spectrum in transverse mass [30]). The relative normalization of  $\Lambda$ ,  $\Sigma^0$ ,  $\Xi^0$ , and  $\Xi^-$  from UA5 [26] is used for both  $p + p$  and  $d + Au$  collisions. This is justified by the similarity of the Cronin effect for different baryons. The contribution of  $\Omega^-$  is negligible, and is not included in the correction. The Monte Carlo simulation decays these baryons and propagates the products through the PHENIX magnetic field and central arm detectors, accounting also for the change of momentum distributions between parent and daughter particles due to decay kinematics. The resulting proton and antiproton spectra are then subtracted from the measured inclusive spectra. The fractional contribution from

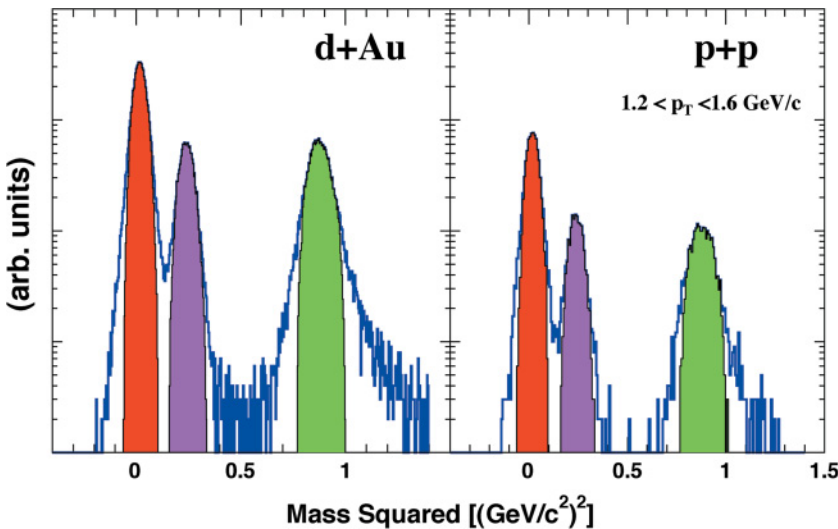


FIG. 2. (Color online) Mass squared distribution for positively charged tracks with  $1.2 \leq p_T \leq 1.6 \text{ GeV}/c$ , using the high-resolution TOF measurement. Solid regions indicate the mass squared ranges for accepted pions, kaons, and protons, respectively, from left to right.

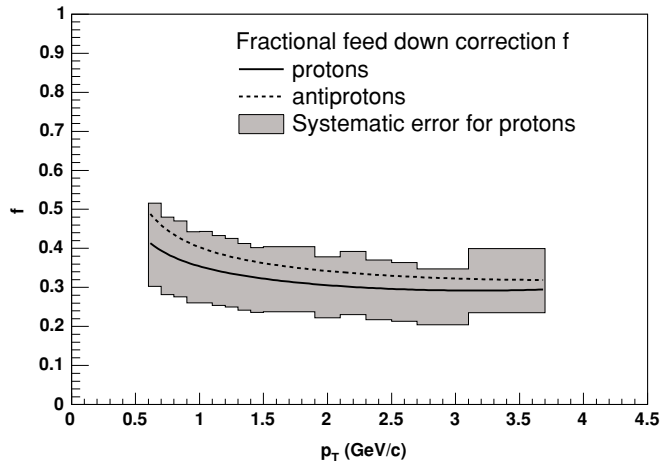


FIG. 3. Fractional contributions of protons  $f$  (solid line) and antiprotons (dashed line), as a function of  $p_T$ , from weak decays in all measured protons and antiprotons. Systematic error band (26%) for the protons is shown and discussed in the text. The same systematic error applies for the antiprotons.

feed-down protons from weak decays to the total measured proton spectrum,  $f$ , is approximately 30% at moderate and high  $p_T$  growing slowly for lower  $p_T$  and reaching 40% at  $p_T = 0.6$  GeV/ $c$ .  $f$  is shown as a function of  $p_T$  in Fig. 3, along with the corresponding fractional contribution for the antiprotons.

### C. Systematic uncertainties

Systematic uncertainties on the hadron spectra are estimated as in Ref. [7]. Various sets of  $p_T$  spectra and ratios of different particle types were made by varying the cut parameters such as the fiducial cut to check acceptance corrections, track association (i.e., matching) windows, and PID cuts, from those used in the analysis. For each of these spectra and ratios, the same changes in cuts were made in the Monte Carlo analysis. The uncertainties were evaluated by comparing fully corrected spectra and ratios from different cuts. The resulting uncertainties from each cut are given in Table II and added in quadrature to yield overall systematic uncertainties.

Additional systematic uncertainties on the hadron spectra arise from time variations in the TOF timing (slat-by-slat and run-by-run variations), the small remaining contamination by other species after matching and PID cuts, uncertainty

TABLE II. Systematic errors in percent on particle yields in  $d + Au$  and  $p + p$  collisions. These values are independent of  $p_T$ .

	$d + Au$	$p + p$
Geometric acceptance correction	4	4
Track matching	9	8
Timing variations	5	5
Reconstruction efficiency correction	3	4
Energy loss correction	1	2
Trigger bias	–	4

in the corrections for track reconstruction efficiency, and uncertainty on particle energy loss in the detector material. These uncertainties, which do not depend measurably on the hadron momentum, are listed in Table II. The sizable uncertainty in the matching cut is due to the non-Gaussian tails on the  $z$ -coordinate matching distributions. The track matching in this direction is limited by a relatively poor vertex resolution determined by the BBC in  $p + p$  and  $d + Au$  collisions due to the small multiplicities. The quoted 3%–4% uncertainty in the reconstruction efficiency correction represents the maximum local discrepancy between efficiencies measured with strict and loose track quality cuts.

Uncertainties due to particle identification cuts are momentum dependent. For protons and antiprotons, the identification uncertainty is 8% at low  $p_T$  and decreases to 3% at high  $p_T$ . Kaons at low momentum have 10% PID uncertainty, decreasing to 3% at high  $p_T$ . For pions, the uncertainty increases from 4% to 10% with increasing  $p_T$ . Kaon and proton uncertainties decrease with increasing  $p_T$  because energy loss and decay corrections become smaller. The pion uncertainties are dominated by the particle identification performance, which worsens with increasing  $p_T$ .

The systematic error on the feed-down proton spectrum is 26%, primarily due to uncertainty in the measured  $\Lambda$  spectra and particle composition. The resulting systematic error on the final prompt proton and antiproton spectra is of the order of 10% in both  $p + p$  and  $d + Au$ . The systematic error on the proton to pion ratio is 12%, including the uncertainty on  $\bar{\Lambda}/\Lambda$ .

Systematic uncertainties on the  $d + Au$  nuclear modification factors mostly cancel as the  $p + p$  and  $d + Au$  data were collected immediately following one another, and detector performance was very similar. The overall systematic error in the nuclear modification factor is due to uncertainties in the reconstruction efficiencies, fiducial volumes, and small run-by-run variations. It is approximately 10%, independent of particle species and  $p_T$ . An additional  $d + Au$  scale uncertainty is shown as boxes around 1.0 in the figures; this is the quadrature sum of uncertainties on the  $p + p$  cross section of 9.6% and the number of binary collisions in the each centrality bin (presented in Table I).

The systematic error on the Au + Au nuclear modification factors is derived by propagating the systematic errors on  $p + p$  and Au + Au data [7] to the final ratio. The average systematic error for pions is approximately 15%, while for protons and antiprotons it is on the order of 19%. The normalization uncertainty, as in  $d + Au$ , is the quadrature sum of uncertainties on the  $p + p$  cross section and the error on the number of binary collisions in the corresponding Au + Au centrality bin from Ref. [7]. We note that for the most central Au + Au bin (0%–5%),  $N_{\text{coll}} = 1065.4$  and the uncertainty is  $\pm 105.3$ ; in the most peripheral bin (60%–92%),  $N_{\text{coll}} = 14.5 \pm 4.0$ .

## III. RESULTS

### A. Hadron spectra

The fully corrected  $p_T$  distributions of  $\pi$ ,  $K$ ,  $p$ , and  $\bar{p}$  for the four  $d + Au$  centrality bins and for  $p + p$  collisions are

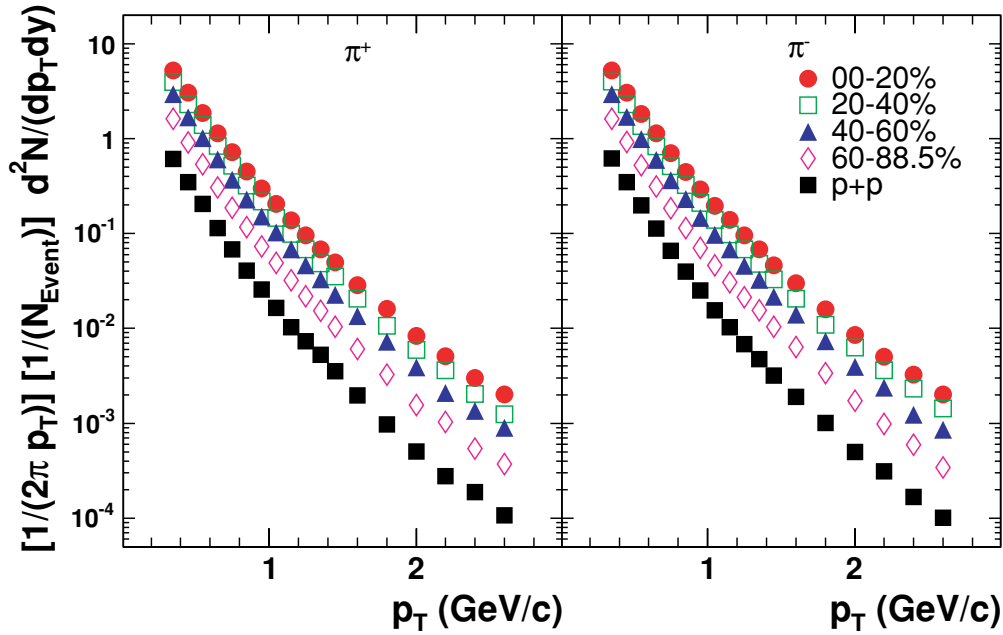


FIG. 4. (Color online) Invariant yields at midrapidity for positive and negative pions as a function of  $p_T$  for various centrality classes in  $d + Au$  and  $p + p$  collisions. The error bars show statistical uncertainties only and are typically smaller than the data points.

shown in Figs. 4, 5, and 6, respectively. Pions show a power law spectral shape, while kaons and protons are exponential.

To probe the hadron production mechanism, it is instructive to compare particle and antiparticle spectra. Figures 7, 8, and 9 show the ratios of antiparticle to particle production as a function of  $p_T$  in  $p + p$ ,  $d + Au$ , and (for comparison) central Au + Au collisions from [7] for  $\pi$ ,  $K$ , and  $p$ , respectively. For all three hadron species, the ratios are flat with  $p_T$  in the PHENIX  $p_T$  range. The values agree within uncertainties with measurements by the STAR Collaboration [31]. The  $d + Au$  yield ratios are in good agreement with  $p + p$  collisions, and the ratios remain the same even in central Au + Au collisions. The production ratio of antiparticle to particle is  $0.99 \pm 0.01(\text{stat}) \pm 0.06(\text{syst})$  for pions and  $0.92 \pm 0.01(\text{stat}) \pm$

$0.07(\text{syst})$  for kaons in both minimum bias  $d + Au$  and  $p + p$  collisions. The antiproton to proton ratio is measured to be  $0.70 \pm 0.01(\text{stat}) \pm 0.08(\text{syst})$  in minimum bias  $d + Au$  collisions and  $0.71 \pm 0.01(\text{stat}) \pm 0.08(\text{syst})$  in  $p + p$  collisions. All ratios are consistent within errors with values reported by PHOBOS [32] and BRAHMS [33].

**B. Nuclear modification factors**

The measurement of identified hadrons in both  $d + Au$  and  $p + p$  collisions allows study of the centrality dependence of the nuclear modification factor in  $d + Au$ . A standard way to quantify nuclear medium effects on high- $p_T$  particle production in nucleus-nucleus collisions is provided by the

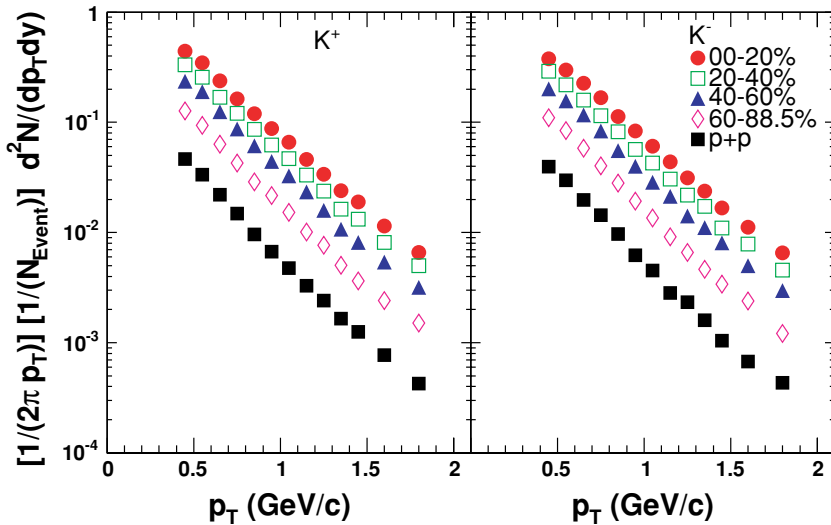


FIG. 5. (Color online) Same as Fig. 4, but for kaons.

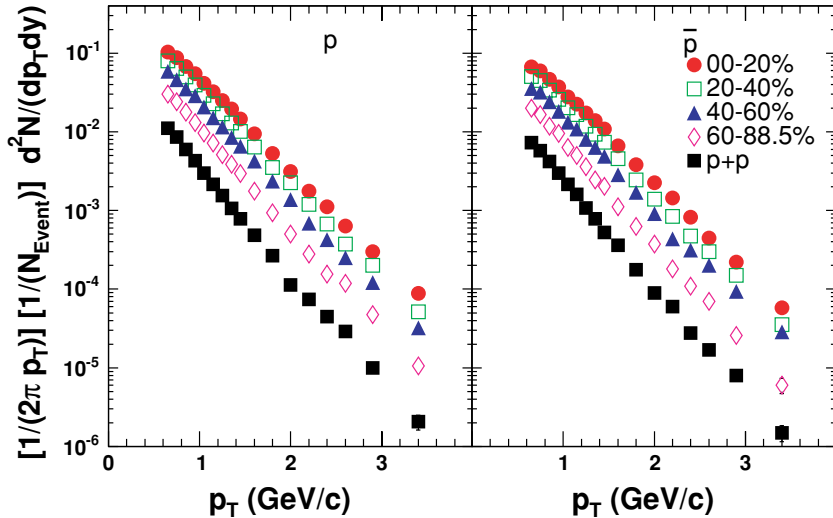


FIG. 6. (Color online) Same as Fig. 4, but for protons and antiprotons.

*nuclear modification factor*. This is the ratio of the  $d + \text{Au}$  invariant yields to the binary collision scaled  $p + p$  invariant yields, that is,

$$R_{d\text{Au}}(p_T) = \frac{(1/N_{d\text{Au}}^{\text{evt}}) d^2 N_{d\text{Au}}/dy dp_T}{T_{d\text{Au}} d^2 \sigma_{\text{inel}}^{pp}/dy dp_T}, \quad (5)$$

where  $T_{d\text{Au}} = \langle N_{\text{coll}} \rangle / \sigma_{\text{inel}}^{pp}$  describes the nuclear geometry, and  $d^2 \sigma_{\text{inel}}^{pp}/dy dp_T$  for  $p + p$  collisions is derived from the measured  $p + p$  cross section.  $\langle N_{\text{coll}} \rangle$  is the average number of inelastic nucleon-nucleon collisions determined from simulation using the Glauber model as input, as described in Sec. II A.  $N_{d\text{Au}}^{\text{evt}}$  is the number of  $d + \text{Au}$  events in the relevant centrality class.

Figure 10 shows  $R_{d\text{Au}}$  for pions, kaons, and protons for minimum bias  $d + \text{Au}$  collisions. We observe a nuclear enhancement in the production of hadrons with  $p_T \geq 1.5$ – $2 \text{ GeV}/c$  in  $d + \text{Au}$  collisions, compared to that in  $p + p$ . As was already suggested when comparing the enhancement for inclusive charged hadrons with that of neutral pions [34], there is a

species dependence in the Cronin effect. The Cronin effect for charged pions is small, as was observed for neutral pions. The nuclear enhancement for protons and antiprotons is considerably larger. The kaon measurement has a more limited kinematic range, but the  $R_{d\text{Au}}$  agrees with that of the pions at comparable  $p_T$ .

Figure 11 shows  $R_{d\text{Au}}$  for pions, kaons, and protons in the four  $d + \text{Au}$  centrality bins. Peripheral  $d + \text{Au}$  collisions ( $\langle N_{\text{coll}} \rangle = 3.1 \pm 0.3$ ) do not show any modification of high-momentum hadron production, compared to that in  $p + p$  collisions. At  $p_T \leq 1 \text{ GeV}/c$ , the nuclear modification factor falls below 1.0. This is to be expected as soft particle production scales with the number of participating nucleons, not with the number of binary nucleon-nucleon collisions. More central collisions show increasing nuclear enhancement in both high- $p_T$  pion and proton production.

The bands in Fig. 11 show a calculation of the Cronin effect for pions by Accardi and Gyulassy, using a pQCD model of multiple semihard collisions and taking geometrical shadowing into account [13]. The agreement above  $1 \text{ GeV}/c$ , where the calculation should be reliable, is very good for all four centrality bins. This agreement illustrates that the multiple

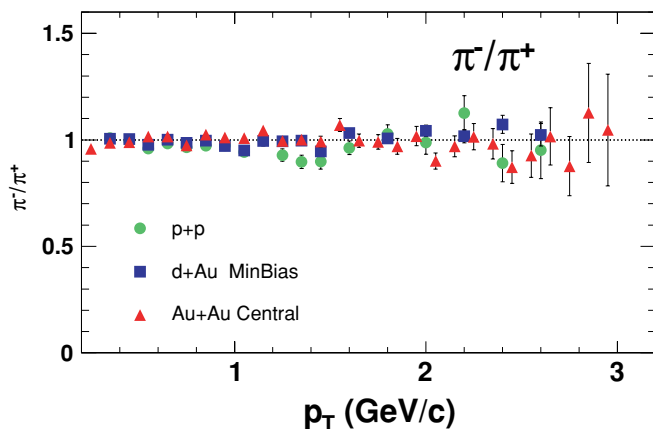


FIG. 7. (Color online) Ratio of midrapidity spectra for  $\pi^-$  to  $\pi^+$  in  $d + \text{Au}$ ,  $p + p$ , and central  $\text{Au} + \text{Au}$  collisions. Error bars show statistical uncertainties only.

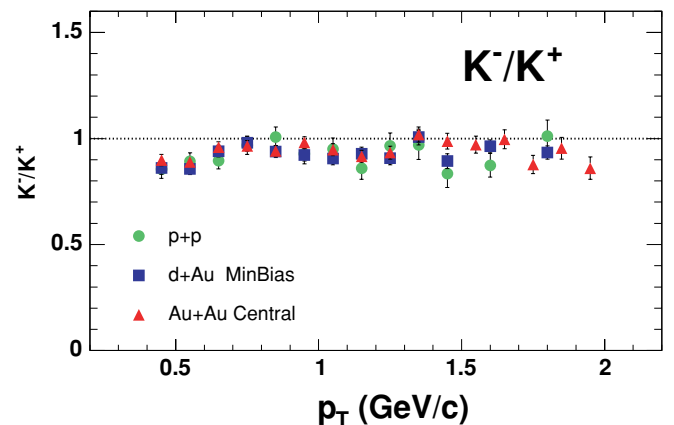


FIG. 8. (Color online) Same as Fig. 7, but for  $K^-$  to  $K^+$ .



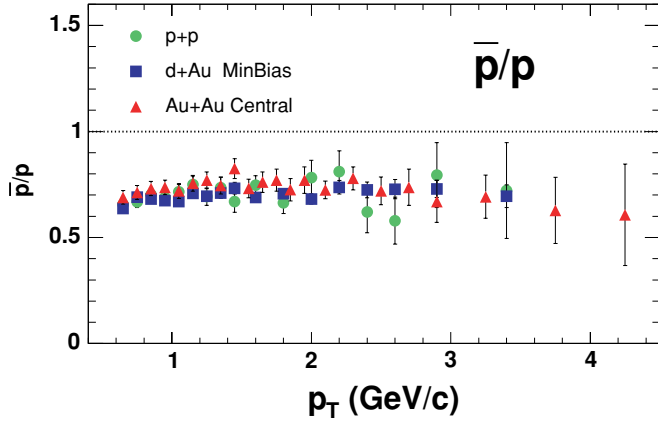


FIG. 9. (Color online) Same as Fig. 7, but antiprotons to protons.

partonic scattering and nuclear shadowing alone can explain the observed Cronin effect and leaves very little room for gluon saturation effects in the nuclear initial state at midrapidity at RHIC energies [13].

### C. Centrality dependence

We further probe the effect of cold nuclear matter upon hadron production using the number of collisions suffered by each projectile nucleon for the four centrality bins. Figure 12 compares the centrality dependence of  $R_{dAu}$  for pions and protons in two momentum bins. The modification factors are plotted as a function of  $\nu = N_{coll}/N_{part}^d$ , the number of collisions per participating deuteron nucleon. The lower momentum bin,  $0.6 \leq p_T \leq 1.0$  GeV/c, is chosen in the region

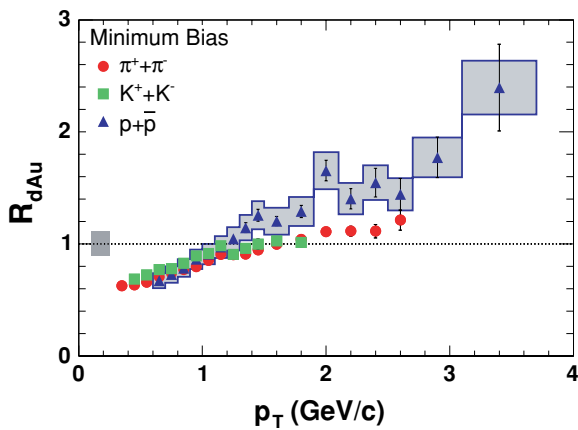


FIG. 10. (Color online) Nuclear modification factor  $R_{dAu}$  for pions, kaons, and protons in  $d + Au$  collisions for minimum bias events. The error bars represent the statistical errors. The box around 1.0 shows uncertainties in the  $p + p$  absolute cross section and in the calculation of  $N_{coll}$ . For the proton and antiproton  $R_{dAu}$ , the  $\sim 10\%$  systematic uncertainty is also presented as boxes around the points. The systematic uncertainty on the pion and kaon  $R_{dAu}$  is similar but not shown in the picture for clarity.

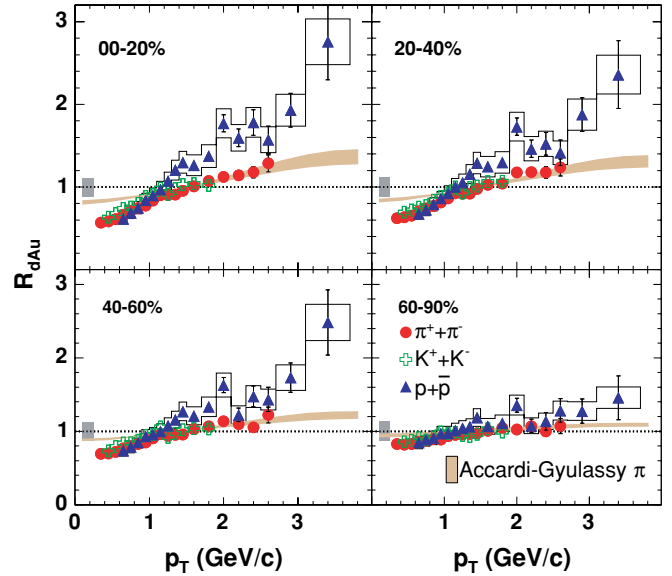


FIG. 11. (Color online) Nuclear modification factor  $R_{dAu}$  for pions, kaons, and protons in  $d + Au$  collisions in four centrality bins. The error bars represent the statistical errors. Boxes around 1.0 show uncertainties in the  $p + p$  absolute cross section and in the calculation of  $N_{coll}$ . For the proton and antiproton  $R_{dAu}$ , the  $\sim 10\%$  systematic uncertainty is also presented as boxes around the points. The systematic uncertainty on the pion and kaon  $R_{dAu}$  is similar but not shown in the picture for clarity. Solid bands show the calculation of the nuclear modification factors for pions by Accardi and Gyulassy [13].

where  $R_{dAu}$  is less than 1.0, and hadron yields scale very nearly with the number of nucleons participating in the collision, rather than with the number of binary collisions. As expected,

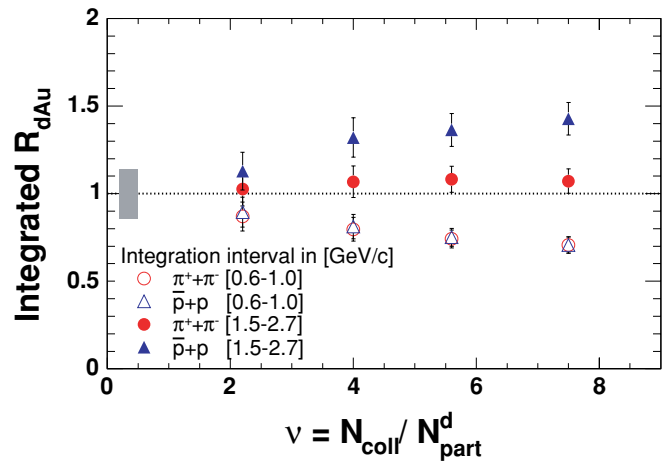


FIG. 12. (Color online) Integrated  $R_{dAu}$  for pions and protons in two momentum bins as a function of the number of collisions suffered by the deuteron participant  $\nu$ . Error bars indicate the quadrature sum of statistical errors and uncertainties on the number of collisions bin by bin. The solid box on the left shows the magnitude of the centrality independent uncertainties.

$R_{dAu}$  decreases with  $\nu$  in this  $p_T$  range, with negligible difference between pions and protons. In the higher  $p_T$  bin,  $R_{dAu}$  increases with the number of collisions, with a notably larger rate of increase for baryons than for mesons. Though the  $R_{dAu}$  values for higher  $p_T$  hadrons appear to flatten with increasing centrality, the uncertainties are too large to allow a definitive conclusion about saturation with the number of collisions encountered by each participant nucleon [14].

#### D. Cronin effect for baryons

Figure 13 shows the ratio of the nuclear modification factors observed for protons and antiprotons to that for pions in the most central and most peripheral  $d + Au$  collisions. The enhancement for protons is stronger, by approximately 30–50% in the most central collisions; this was also reported by STAR [31,35]. The increasing difference between baryons and mesons for more central collisions indicates that the baryon production mechanism appears to depend upon the surrounding nuclear medium already found in  $d + Au$  collisions. We note, however, that the species dependence of the Cronin effect in  $d + Au$  collisions is much smaller than the factor of  $\approx 3$  enhancement of protons in central Au + Au collisions, as can be seen by comparing the nuclear modification factors for pions and protons in central Au + Au collisions shown below in Sec. IV A.

By converting the nuclear modification factors to beam per-nucleon cross section ratios and vice versa, it is possible to compare them to measurements of the Cronin effect at other energies. Figure 14 shows nuclear modification factors from this work compared to those derived from  $\alpha$  factors measured at lower energies [2], in a manner similar to that used in [3] to calculate per-nucleon cross section ratios. To make the transition to nuclear modification factors from per-nucleon cross section ratios we assume that  $\sigma_{d+Au} = 2\sigma_{p+A}$  and that  $\sigma_{p+d} = 2\sigma_{p+p}$  at the low energy of interest, which is a very reasonable approximation for all particle species [2].

The observed species dependence of the enhancement is similar to that measured in lower energy collisions [3]. The

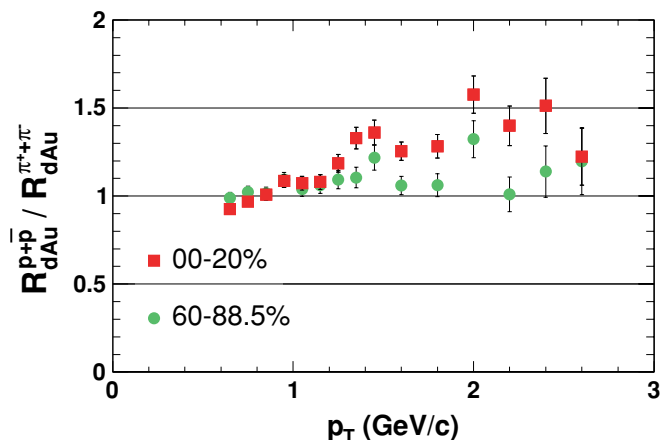


FIG. 13. (Color online) Ratio of the proton over the pion nuclear modification in  $d + Au$  collisions, for central and peripheral events. Error bars indicate statistical errors only.

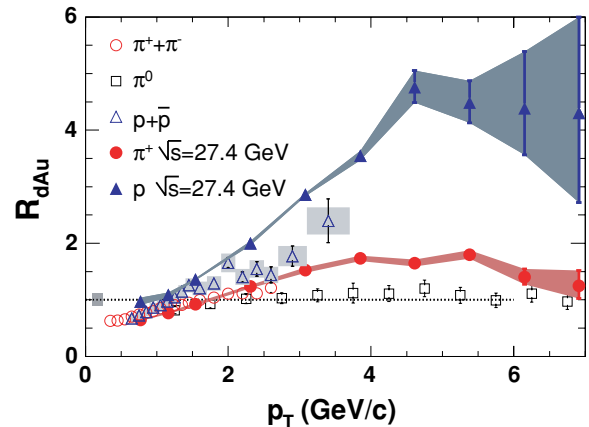


FIG. 14. (Color online) Nuclear modification factors for charged pions, neutral pions [34], and protons and antiprotons from minimum bias  $d + Au$  from this work (open symbols) compared to nuclear modification factors calculated from the per-beam nucleon cross sections reported for  $\sqrt{s} = 27.4$  GeV  $p + A$  collisions [2] (closed symbols and continuous bands).

magnitude of the enhancement for pions at  $p_T > 3$  GeV/ $c$  is larger at  $\sqrt{s} = 27.4$  GeV than at 200 GeV. Protons and antiprotons are also more enhanced at the lower beam energy: a factor of 3.5 at  $p_T \approx 4$  GeV, as compared with a factor of 2 at  $\sqrt{s} = 200$  GeV. This energy dependence of the Cronin effect for pions has been interpreted as evidence for a different production mechanism for high- $p_T$  hadrons at RHIC energies compared to lower energies [36]. In this model, high- $p_T$  hadrons are produced incoherently on different nucleons at low energy, while in higher energy collisions the production amplitudes can interfere because the process of gluon radiation is long compared to the binary collision time. Coherent radiation from different nucleons is subject to Landau-Pomeranchuk suppression. However, the difference between the baryon and meson Cronin effects is not predicted by this model.

#### IV. DISCUSSION

Traditional explanations of the Cronin effect all involve multiple scattering of incoming partons that lead to an enhancement at intermediate  $p_T$  [12]. Various theoretical models of multiple scattering predict somewhat different dependence upon the number of scattering centers. The observed centrality or  $\nu$  dependence for pions is well reproduced by semihard initial state scattering [13] as shown in Fig. 11; see also [14–16]. The models include initial state multiple scattering as well as geometrical shadowing. However, none of these models would predict a species-dependent Cronin effect, as initial state parton scattering precedes fragmentation into the different hadronic species. The markedly larger Cronin effect for protons and antiprotons requires processes in addition to initial state multiple scattering in baryon production at moderate transverse momenta.

Recently, Hwa and Yang [17] demonstrated an alternative explanation of the Cronin effect, attributed to the recombination of shower quarks with those from the medium in

$d + \text{Au}$  collisions. Such models do predict a larger Cronin effect for protons than for pions, which may be justified by the rather short formation times of  $p_T = 2\text{--}4 \text{ GeV}/c$  protons. According to the uncertainty principle, the formation time in the rest frame of the hadron can be related to the hadron size  $R_h$ . In the laboratory frame, the formation time of a hadron with mass  $m_h$  and energy  $E_h$  is given by  $\tau_f \approx R_h \frac{E_h}{m_h}$ . For a  $p_T = 2.5 \text{ GeV}/c$  pion, the formation time is 9–18 fm/c (for  $R_h = 0.5\text{--}1.0 \text{ fm}$ ), well outside the collision region. However, for  $p_T = 2.5 \text{ GeV}/c$  protons, the corresponding formation time is only 2.7 fm/c, suggesting that the hadronization process may well begin in or near the nuclear medium.

### A. Comparison to Au + Au collisions

The proton to pion ratio from minimum bias  $p + p$  and minimum bias  $d + \text{Au}$  are compared to each other and to central and peripheral Au + Au collisions in Fig. 15. As noted above, protons and antiprotons are feed-down corrected in each system.

The  $p/\pi$  ratio in  $d + \text{Au}$  is very similar to that in peripheral Au + Au collisions and lies slightly above the  $p + p$  ratio. The  $p/\pi$  ratio in central Au + Au collisions, however, is much larger. The difference between the ratio in  $d + \text{Au}$  and central Au + Au clearly indicates that baryon yield enhancement is not simply an effect of sampling a large nucleus in the initial state. The large enhancement requires the presence of a substantial volume of nuclear medium.

Figures 16–18 compare the nuclear modification factors for pions, kaons and (anti)protons in Au + Au and  $d + \text{Au}$  collisions. The  $p + p$  data from this work allow, for the first time, the calculation of nuclear modification factors in Au + Au by PHENIX. The Au + Au data are taken from [7]. It should be noted that common fluctuations between  $R_{d\text{Au}}$  and  $R_{\text{AuAu}}$  in Figs. 17 and 18 arise because the  $p + p$  denominators are common.

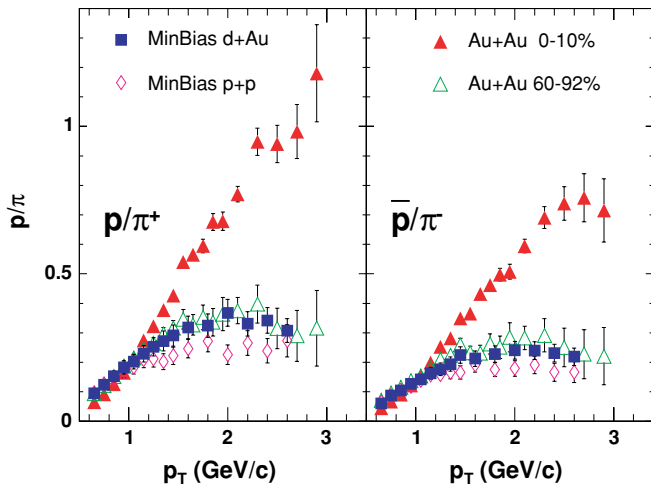


FIG. 15. (Color online) Ratio of feed-down-corrected protons to  $\pi^+$  and antiprotons to  $\pi^-$  in minimum bias  $p + p$  and  $d + \text{Au}$  compared to peripheral and central Au + Au collisions. Statistical error bars are shown.

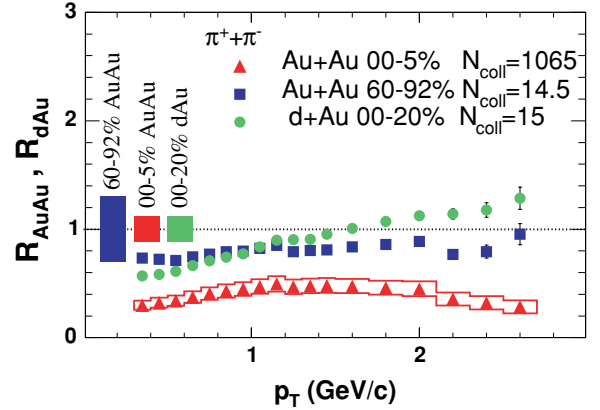


FIG. 16. (Color online) Nuclear modification factors for pions, comparing central and peripheral Au + Au collisions to central  $d + \text{Au}$ . Note that the number of binary nucleon-nucleon collisions in peripheral Au + Au and in central  $d + \text{Au}$  are very similar. Solid bars on the left indicate normalization uncertainties in the  $p + p$  absolute cross section and in the calculation of  $N_{\text{coll}}$  for the three systems. Error bars indicate statistical errors only; for the most central Au + Au case, the systematic errors discussed in the text are shown as boxes around the points.

Central and peripheral Au + Au collisions are compared to central  $d + \text{Au}$  collisions, which have a similar number of binary collisions as the peripheral Au + Au sample. Pions show a much lower  $R_{\text{AuAu}}$  at high  $p_T$  in central than in peripheral Au + Au collisions, as expected from the large energy loss suffered by the partons in central collisions. The nuclear modification factor rises faster with  $p_T$  in  $d + \text{Au}$  than in peripheral Au + Au, despite the comparable number of binary collisions. As Au + Au involves a second Au nucleus, shadowing effects can be expected to be larger, reducing the observed Cronin effect.

The proton and antiproton nuclear modification factors show a quite different trend. The Cronin effect, larger than 1.0 at higher  $p_T$  values, is independent of centrality in Au + Au collisions. This feature was already observed as binary collision scaling of proton and antiproton production in the central/peripheral collision yield ratios [6]. The Cronin effect in  $d + \text{Au}$  is at least as large as that in peripheral

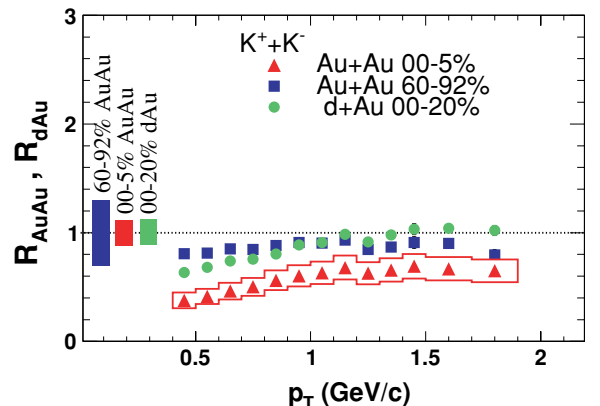


FIG. 17. (Color online) Same as Fig. 16, but for kaons.

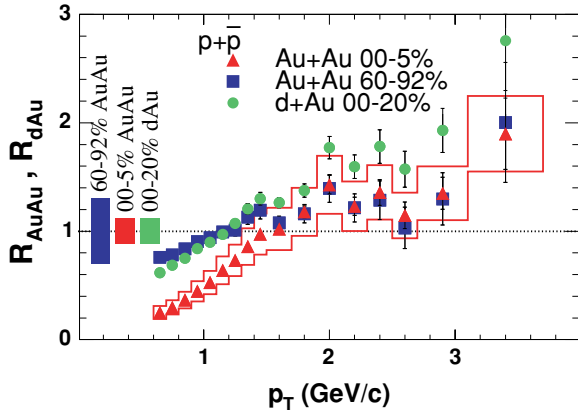


FIG. 18. (Color online) Same as Fig. 16, but for protons and antiprotons.

Au + Au. The difference indicates that baryon production must involve a complex interplay of processes in addition to initial state nucleon-nucleon collisions.

## V. CONCLUSION

We have presented the centrality and species dependence of identified particle spectra in  $d + Au$  collisions, including the dependence of the nuclear modification factor upon the number of collisions per participant nucleon. We also presented the first measurement of  $R_{AuAu}$  for pions, kaons, protons, and antiprotons in Au + Au collisions.

The Cronin effect for charged pions is small, but nonzero. The proton to pion ratio in  $d + Au$  is similar to that in peripheral Au + Au, while the corresponding ratio in  $p + p$  is somewhat lower. The nuclear modification factor in  $d + Au$  for protons shows a larger Cronin effect than that for pions, and the difference increases with collision centrality. This difference was seen, but never fully understood, in lower energy collisions; but it is not large enough to account for the abundance of protons in central Au + Au collisions. The difference between pions and protons does, however, indicate

that the Cronin effect is not simply due to multiple scattering of the incoming partons.  $R_{AuAu}$  for protons and antiprotons confirms previous observations that the production of high- $p_T$  baryons in Au + Au scales with the number of binary nucleon-nucleon collisions, but the baryon yield per collision in Au + Au exceeds that in  $p + p$ .

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