2p resonance photoemission and Auger features in NiS₂ and FeS₂

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Resonance behaviors of the Ni and Fe 3d, 3p and 3s related satellite photoemission and Auger features are measured for the Ni and Fe 2p core excitation in NiS₂ and FeS₂. Chronological interpretation is proposed to the Ni and Fe 2p3p3d Auger features. A resonance satellite around the binding energy of 30 eV is identified as due to the plasmon satellite associated with the resonance-enhanced satellite of the 3d state. [S0163-1829(99)05131-0]

I. INTRODUCTION

Transition-metal pyrites show interesting electronic and magnetic properties. In contrast to the metallic, ferromagnetic CoS_2 ($T_c = 120 \text{ K}$), NiS₂ is an antiferromagnetic insulator ($T_N = 40 \text{ K}$) and FeS₂ is a nonmagnetic insulator. The d^{8} ground state in NiS₂ has the S=1 state, while the d^{6} low-spin ground state in FeS_2 has the S=0 state. Photoemission spectra of these materials are repeatedly studied,1-7 sometimes by use of synchrotron radiation and sometimes by use of x-rays. The 3p-3d resonance photoemission is utilized to reveal 3d satellite structures,⁵ for which the configuration-interaction cluster-model analysis has been applied.^{5–7} However, the resonance for the 3p core excitation is not prominent enough to discuss details of the resonance processes. The contribution of the Auger processes is rather faint. Moreover, the resonance measurement is limited in the 3d photoemission region.

The 2p-3d resonance is much stronger⁸ than the 3p-3d resonance. Detailed Auger features are clarified for the 2p core excitation not only in the 3d photoemission but also in the 3p and 3s photoemission regions. There is, however, a controversy on the role of the Auger contribution to the resonance.⁹ It is known that the Auger emission process

takes place when the photoexcitation energy $h\nu$ is beyond the core excitation resonance energy $h\nu_0$. The Auger electron has a smaller kinetic energy than the directly emitted photoelectron and appears in the larger binding-energy region than the direct photoelectron in the photoemission spectrum. When $h\nu$ approaches $h\nu_0$ from higher energies, the Auger structure moves closer to the direct photoemission structure. Sometimes the Auger feature overlaps completely with the direct photoemission structure for $h\nu$ very close to $h\nu_0$. Since the Auger intensity reflects the amount of core holes, it is enhanced near $h\nu_0$. If the resonance behavior of the direct photoemission at a constant E_B is solely explained by the intensity behavior of the Auger structure, one considers a simple overlap (or an incoherent superposition) of the Auger structure as an origin of the resonance behavior. It is possible, however, that the Auger process and the direct photo emission process are mixed to each other for $h\nu \sim h\nu_0$ and are no longer discriminated. Then noticeable interference takes place between the two processes and a real or coherent resonance photoemission process is observed.

The present work of 2p-3d resonance photoemission is performed to obtain more direct information on the satellites, Auger processes, and interaction energies. We report the results on NiS₂ and FeS₂ in this paper.

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II. EXPERIMENT

The NiS_2 single-crystal sample was grown by the vapor transport method. The FeS₂ is a natural single crystal. The sample surface was cleaned by filing with use of a diamond file. The vacuum in the analyzer chamber was about 5×10^{-10} Torr. The photoemission measurements were performed at room temperature at the BL-2B undulator beam line of the Photon Factory, High Energy Accelerator Research Organization, KEK. A 10-m grazing incidence monochromator was used for the measurement. The resolution of the monochromator was set to 0.4 eV at the photon energy $h\nu = 800 \text{ eV}$. The x-ray absorption (XAS) spectrum in the transition-metal (TM) 2p core region was measured by means of the total photoelectron yield. The undulator gap was so adjusted to give the fundamental peak slightly above the $2p_{1/2}$ absorption peak. The total resolution of the photoemission measurement was set to about 0.5 eV to get reasonable statistics of the counts. All photoemission measurements were done in the wide binding energy (E_B) region from the Fermi level (E_F) to 200 eV. The energy steps of E_B were properly tuned to the smallest value in the photoemission structure regions while the spectra were crudely scanned in the structureless regions. Thus the S 2p core photoemission was recorded in the same spectrum beside the TM 3d, 3p, and 3s states and related structures. The binding energies E_B of the spectra are thus referenced to E_B of the S 2p peak and the photoemission intensities are normalized to the intensity of the S 2p peak.

III. RESULTS

Figures 1(a) and 1(b) show the Ni and Fe 2p core absorption (XAS) spectra of NiS₂ and FeS₂. Besides the $2p_{3/2}$ and $2p_{1/2}$ spin-orbit split main peaks, satellite structures are recognized. The satellite structures of the XAS do not correspond directly to the conduction-band structures probed by the x-ray bremsstrahlung isochromat spectroscopy, but better correspond to the structures predicted by the configurationinteraction calculation including the full multiplet splitting.¹⁰ The alphabet shows the $h\nu$ at which the photoemission spectra in Fig. 2 are measured. The photoemission intensity is normalized in Fig. 2 as mentioned before. a and b in Fig. 2(a) correspond to the $h\nu$ that are 10 and 5 eV below c in Fig. 1(a). The spectra a to c in Fig. 2 are typical offresonance spectra. The spectra d to f in Fig. 2(a) and d to i in Fig. 2(b) can be regarded as preresonance spectra. On the other hand, the spectra l to o in Fig. 2(a) and p and q in Fig. 2(b) are regarded as beyond-resonance spectra. Besides, the spectra excited near the main XAS peak are called onresonance spectra.

Some valence-band structures in Fig. 2 are strongly enhanced as $h\nu$ approaches the peak energy of the $2p_{3/2}$ XAS $h\nu_0$ (*i* for NiS₂ and *m* for FeS₂) from the low $h\nu$ side. In Figs. 2(a) and 2(b), another prominent feature (*D*4) is clearly observed near $E_B = 30$ eV. For the resonance excitation in the $2p_{1/2}$ XAS region, one notices resonance enhancement of some parts of the valence bands as seen in *r* of Fig. 2(a). The $2p_{1/2}$ resonance is, however, not so prominent as in the case of $2p_{3/2}$ resonance and is not discussed anymore in this paper.



FIG. 1. Ni and Fe 2p core absorption spectra of (a) NiS₂ and (b) FeS₂ at room temperature.

Typical preresonance spectra, d of NiS₂ and h of FeS₂, are shown in Figs. 3(a) and 3(b) compared with the onresonance and beyond-resonance spectra in the 3p and 3dregions with enlarged scales. In order to clearly show structures, the relative photoemission intensity is arbitrary in Fig. 3. As seen in Fig. 3(a), the spectrum i of NiS₂ shows two prominent structures at 2.6 (D1) and 6.0 eV (D2) in the Ni 3d region. In the valence-band spectrum of FeS₂, prominent enhancement is observed for the structures at 1.2 (D1) and 4.1 eV (D2) as confirmed in Fig. 2(b). In addition, one notices a stronger peak at 5.6 eV in the resonance spectrum m, which is clearly deviated from the energy of the structure D2.

In Fig. 3(a) *i*, the Ni 3*p* spectra show three structures at around 67 (*P*1), 71.5 (*P*2), and 78.4 eV (*P*3). In the case of the Ni 3*s* spectra, two structures are resolved near 111 (Σ 1) and 118 eV (Σ 2) in Fig. 2(a) *i*. Another very prominent doublet is resolved near 137 (Σ 3) and 144 eV (Σ 4). It is noticed that *E*_B of these structures remain rather constant for the *hv* up to *i* from *a*. The Fe 3*p* spectra in Fig. 2(b) show two structures near 54 (*P*1) and 63 eV (*P*2) for *a* to *i*. The Fe 3*s* spectra show a peak Σ 1 in the whole spectra from *a* to *s*. A doublet Σ 3 and Σ 4 as well as a structure Σ 5 are resolved



FIG. 2. Photoemission spectra of (a) NiS_2 and (b) FeS_2 .

for *h* to *j*. For a further increase of $h\nu$, some of the structures shift almost linearly to larger E_B with $h\nu$, reflecting the Auger character of the electron emission process. Such peaks are named *S*. Thus very rich and strong Auger processes are observed for the 2p core excitation compared to the 3p core excitation.⁵

The structures D1, P1, and $\Sigma 1$ in NiS₂ and FeS₂ are,

however, observable at the same E_B as in the off-resonance spectra for increased $h\nu$ and are hereafter called stationary structures. In NiS₂, S1(d) and S2(d) converge to D1 and D2. In the case of the Ni 3p photoemission, however, no Auger structure converges to P1, whereas S2(p) and S3(p) converge to P2 and P3. It is impossible to recognize Auger structures continuing to $\Sigma 1$ and $\Sigma 2$, whereas S3(s) and



FIG. 3. Detailed photoemission spectra of (a) NiS_2 and (b) FeS_2 . Typical spectra of pre-, on-, and beyond-resonance are shown with enlarged scales.

S4(s) show linear shift with $h\nu$ and converge to $\Sigma 3$ and $\Sigma 4$ at $h\nu_0$ (*i*).

In FeS₂, no clear Auger structure converges to D1, whereas S2(d), S4(d), S3(s), S4(s), and S5(s) converge to D2, D4, $\Sigma3$, $\Sigma4$, and $\Sigma5$, respectively. Although S2(p) converges to P2, S1(p) does not converge directly to P1 as shown in the on-resonance spectrum, Figs. 3(b) and 2(b) *m*, compared with *h*. Namely, the splitting energy between S2(p) and S1(p) is remarkably different from that between P2 and P1.

IV. DISCUSSION

Although theoretical works have been done for the resonance photoemission under the 2p core excitation without explicitly taking into account the Auger process,¹⁰ consideration of the Auger process is quite important for an intuitive interpretation of the experimental results. First, we discuss the photoemission features in the Ni 3p core region. S2(p) and S3(p) are interpreted as the Ni 2p3p3d ($L_3M_{2,3}M_{4,5}$) Coster-Kronig Auger processes from their energy positions.

This doublet structure is very prominent for the excitations from *h* to *m* in Fig. 2(a). If the majority of 3*d* spin is assumed to be the up (\uparrow) spin in the *d*⁸ ground state of Ni in NiS₂, the 2*p* resonance excitation takes place from the 2*p*(\downarrow) core state to the empty 3*d*(\downarrow)*e*_g state. By taking the quantization axis as the *z* axis, we consider the exchange splitting to be proportional to the product of *S*_z(3*d*) and *S*_z(3*p*) of the final states. Then the above 2*p*3*p*3*d* Auger transition has the following two possibilities (cases 1 and 2).

Case (1). $2p(\downarrow)3p(\downarrow)3d(\uparrow)$ with $S_z(3d)=0$ or $2p(\downarrow)3p(\downarrow)3d(\downarrow)$ with $S_z(3d)=+1$. In this case, the $3p(\downarrow)$ electron fills the empty $2p(\downarrow)$ state with the resultant 3p spin of $S_z(3p)=+1/2$. Either \uparrow or \downarrow 3*d* electron is excited and the resultant total spin of the 3*d* electrons can be 0 or +1.

Case (2). $2p(\downarrow)3d(\downarrow)3p(\downarrow)$ with $S_z(3p) = \pm 1/2$ or $2p(\downarrow)3d(\downarrow)3p(\uparrow)$ with $S_z(3p) = -1/2$. In this case, the $3d(\downarrow)$ electron fills the empty $2p(\downarrow)$ state and either the \downarrow or \uparrow 3p electron is ejected. Then the total spin of the 3d electrons is $S_z(3d) = \pm 1$ and the total spin of the $3p^5$ electrons can be $S_z(3p) = \pm \frac{1}{2}$.

By representing the spin-exchange interaction between the $S_z(3d)$ and $S_z(3p)$ spins by J(d,p), the above two cases (1) and (2) are thought to provide a doublet with different energy splittings. Namely, the splittings are approximately (1) J(d,p)/2 and (2) J(d,p), respectively.

In the case of the FeS₂ with a fully occupied t_{2g} state, corresponding doublet Auger features are observed in Figs. 2(b) as S1(p) and S2(p). For the excitation within the Fe $2p_{3/2}$ main absorption band, the probabilities of creating holes in the $2p(\uparrow)$ or $2p(\downarrow)$ states are just equal in FeS₂. If the decay of case (2) takes place, $S_z(3d)=0$ and no exchange splitting is expected. In case (1) with $2p(\uparrow)$ or $2p(\downarrow)$, $S_z(3p)$ becomes -1/2 or +1/2. For the $2p(\uparrow)$, $S_z(3d)$ can be 0 or +1, while $S_z(3d)$ can be 0 or -1 for the $2p(\downarrow)$. Then the Auger features will give a doublet split by 1/2J(d,p) for either $2p(\uparrow)$ or $2p(\downarrow)$ excitation. The observation of the clear doublet Auger features shows that case (1) is dominant in the 2p3p3d Auger decay in FeS₂. Since the symmetries of the core hole (2p) and the relaxing electron (3p) are the same (both are p like), case (1) may be much favored over case (2). The corresponding doublet splitting of the 2p3p3d Auger features in NiS₂ is interpreted in the same manner. In this way, a chronological interpretation of the 2p3p3d Auger process is proposed. In other words, it is experimentally confirmed that the 2p3d3p Auger process is much weaker than the 2p3p3d Auger process. Here one notices for FeS₂ that the S1(p) is much sharper than S2(p)in the resonance Auger spectra in m and l in Fig. 2(b). The S1(p) corresponds to the Auger emission with $S_{z}(3d) = 0$ in the final state, whereas the S2(p) corresponds to $S_z(3d) =$ ± 1 . $S_{z}(3d) = 0$ may be the reason why the S1(p) has a rather narrow width due to the absence of the exchange interaction. In the case of NiS_2 , the S2(p) corresponds to $S_{z}(3d) = +1$ and S3(p) corresponds to $S_{z}(3d) = 0$. The slightly narrower width of S3(p) in NiS₂ may be reflecting this situation.

Under the preresonance condition, three structures are recognized in NiS₂ as P1, P2, and P3 in Figs. 3(a) d. The splitting between P2 and P3 (7 eV) is comparable to that between S2(p) and S3(p), suggesting a similar origin of the doublet. Here the results of metallic Ni are reviewed for comparison. In the photoemission spectrum of metallic Ni calculated by the cluster model,^{11,12} the similar triplet structures (P1', P2', and P3') are recognized and interpreted as $3p^{5}3d^{10}+3p^{5}3d^{9}(^{3}F+^{1}D)$ corresponding to $P1', 3p^{5}$ $3d^9 ({}^{3}P + {}^{3}D)$ to P2', and $3p^53d^9 ({}^{1}F + {}^{1}P)$ to P3'. The interpretation for Ni metal 3p photoemission is confirmed by our spin-polarized photoemission experiment on core levels.¹³ The splitting between the P2' and P3' is mostly ascribed to the spin exchange splitting. Since the $3p^53d^{10}$ state is predominating in the P1' structure, the splitting between the P1' and (P2', P3') is mostly attributed to the splitting by the charge-transfer mechanism. The interpretation of P1, P2, and P3 in NiS₂ is very analogous to the case of Ni. The charge-transfer hybridization may be slightly modifying the splitting patterns of the stationary structures (P1, P2, and P3).

In the 3*p* spectra of FeS₂, one can only see a doublet *P*1 and *P*2 for the preresonance excitation as shown in Fig. 3(b) *h*. The splitting of the doublet *P*1 and *P*2 of about 7.8 eV is appreciably larger than the exchange splitting of the doublet Fe 2*p*3*p*3*d* Auger features *S*1 and *S*2 of 4.8 eV in FeS₂. This result clarifies the different mechanisms for the doublet. The doublet observed under the preresonance excitation is due to the charge transfer splitting (p^5d^7L for the main peak and p^5d^6 for the satellite where *L* stands for the ligand hole), whereas the doublet Auger features *S*1(*p*) and *S*2(*p*) are due to the *p*-*d* exchange interaction. The absence of the exchange splitting in the preresonance spectra is consistent with the low-spin d^6 configuration [with $S_z(3d)=0$] in the ground state.

Now we discuss the Ni 3*s* structures $\Sigma 1$ and $\Sigma 2$. The relative intensity changes considerably with $h\nu$ in consistence with a theoretical prediction.¹⁰ These structures may be resulting from the mixed effects of both the charge transfer and exchange splitting.^{10–13} For FeS₂ a single stationary structure $\Sigma 1$ is observed in the off- and preresonance conditions. The absence of the 3*s*-3*d* exchange interaction due to the $S_z(3d)=0$ is responsible for the line shape. The weak Auger feature S2(s) observed in the on- and beyond-

resonance conditions may be ascribed to the 2p3s3d Auger decay.

One also notices prominent doublet Auger features S3(s)and S4(s) in both NiS₂ and FeS₂. Very similar features are also observed for CoS_2 . These results suggest that the origin of the doublet is not related to the 3d states. The energy positions suggest an interpretation as the $3p^4$ states. Then the energy splitting is ascribed to the p-p exchange interaction. The splitting of this doublet S3 and S4 is of about 7 eV. Under the on- and preresonance conditions, structures $\Sigma 3$ and $\Sigma 4$ are observed. The energy splitting is equal to the S3-S4 splitting. The $3s^23p^43d^{n+1}$ states ($\Sigma 3$ and $\Sigma 4$) strongly couple with the $3s^{1}3p^{6}3d^{n}$ states ($\Sigma 1$ and $\Sigma 2$ states in NiS₂ and $\Sigma 1$ state in FeS₂) by the intraatomic configuration interaction, where n is the number of the d electrons in the ground state. Then finite intensity is given to the $3s^23p^43d^{n+1}$ states. When the Auger features S3(s) and S4(s) approach the structures $\Sigma 3$ and $\Sigma 4$, the latter structures are resonantly enhanced. Their intensities are, however, not linear to the absorption intensity (or number of the 2pcore holes) as seen from the comparison of i and h in Fig. 2(a) or k, l, and m in Fig. 2(b). This result supports the coherent resonance enhancement mechanism of the configuration interaction satellite rather than a simple incoherent overlap of the Auger features. The Auger feature S5 in FeS₂ is interpreted as the 2p3p3s decay.

As for the P3 and P2 structures in NiS₂, their intensities are not linear to the core absorption intensity shown in Fig. 1(a) and the line shapes in g-j are much different from the beyond-resonance Auger spectra, for example, *o*. The behavior of the structure P1 is neither explained by the incoherent superposition of the Auger emission structures. The intensity behavior of the D2 and D1 is also not explained by considering the simple incoherent overlap of the Auger structures S3(d), S2(d), and S1(d). We conclude that the resonance behaviors of the structures D1, D2, P1, P2, and P3 are due to coherent resonance photoemission processes.

In the case of FeS₂, the line shapes of the Auger features S2(p) and S1(p) noticeably change when they approach the structures P2 and P1. Their intensities are not linear to the core absorption intensity, suggesting the interference with the direct photoemission processes. As for the 3*d* photoemission, no Auger feature overlaps with the structure D1. Still the resonance enhancement is clearly observed for D1. The intensity of this peak as a function of $h\nu$ has shown a Fanotype shape with a dip on the lower $h\nu$ side. Thus the resonance behaviors of D1, P1, and P2 are ascribed to coherent resonance photoemission processes.

In NiS₂, the structure *D*1 is interpreted as the $d^{8}L$ final states and the structure *D*2 is ascribed to the d^{7} final states in the configuration-interaction theory.⁵ The structure *D*3 may be ascribed to the S 3*s* state. In FeS₂, the configuration-interaction calculation has not yet been done. In the band model, the *D*1 is ascribed to the fully occupied t_{2g} band and the structures *D*2 and *D*3 are interpreted as the S 3*p* band hybridized with the Fe 3*d* e_{g} states.

In the 3*d* photoemission region, we have also noticed a remarkable satellite *D*4 appearing around $E_B = 30 \text{ eV}$ for the $2p_{3/2}$ core excitation. When we excite a higher $h\nu$ absorption

tail, the E_B of this structure moves linearly with $h\nu$ as represented by the structure S4(d). The resonance behavior of the structure D4 and the energy shift of the structure S4(d) are observed through NiS₂, CoS₂, and FeS₂. To our knowledge, however, there has been no interpretation for this state.

We may first consider the possibility of the Auger process. When the TM 2p electron is excited to the continuum state for $h\nu > h\nu_0$, the TM 3d electron may fill the empty TM 2p core hole state. Since the TM 3d state is strongly hybridized with the S 3p and 3s states,^{5,14} this also induces the sudden change of the Coulomb potential on the S site. Then either the S 3s or S 3p electron can be ejected as an Auger electron. If the S4(d) is interpreted as the TM 2pS3sS3p Auger transition, the effective correlation energy $U_{\rm eff}(3s,3p)$ between the S 3s and S 3p holes is evaluated as 12 eV by assuming the E_B of the S (3s) state as 13 eV and that of the S (3p) state as 5 eV in NiS₂. The S 3s state has two components due to the molecular-orbital formation in the S₂ molecule, which nearly octahedrally surrounds the Ni atom. The E_B of these S 3s states ranges from 14 to 15 eV according to the molecular-orbital studies.¹ XPS studies have revealed that the S 3s states in NiS₂ are at $E_B = 12.5$ and 17 eV (Ref. 2) or 12.9 and 16.6 eV.³ Even when we take $E_B(S3s) = 17 \text{ eV}$, the $U_{\text{eff}}(3s,3p)$ becomes 8 eV in the above model, which is still unusually large. If we interpret the structure as two 3s holes are left behind the Ni 2p core hole Auger decay, the $U_{eff}(3s, 3s)$ can be smaller. However, we have not seen the possible TM 2pS3pS3p Auger features in the smaller E_B region. So we should consider another possibility for the structure D4.

One may think that the structure D4 is a plasmon satellite. The plasmon energy is estimated as 21 eV (23 eV) for NiS₂ (FeS₂) from optical spectra.⁴ It is noticed that the D4-D2 splitting is roughly 22 eV in NiS₂ and 26 eV in FeS₂. Considering the broad spectral shape of the structure D4, these energies rather correspond to the reported bulkplasmon energies. One may also remember the unidentified structures in the Ni and Fe 3*p* core regions. Namely, one observes broad structures in $E_B = 88-108$ eV in NiS₂ and in $E_B = 78-90$ eV in FeS₂. The energy splitting of these structures from the strong 3*p* photoemission satellite structures is of a comparable amount as the bulk-plasmon energy.

Plasmon satellites associated with Auger peaks are sometimes reported.¹⁵ The resonance satellite of the 3d and 3pphotoemission under the 2p core resonance excitation is attributed to the coherent interference between the direct photoemission and the direct recombination-type Auger decay following the core absorption. Therefore we interpret the structure D4 and S4 as well as the corresponding structure in the 3p core region as the bulk-plasmon satellite associated with the 3d and 3p resonance satellite and the corresponding Auger structure. This interpretation is more plausible than the interatomic Auger decay model, which fails to explain the above-mentioned broad features in the 3p core region. Finally the S1(d) and S2(d) in NiS₂ are ascribed to the Ni 2p3d3d Auger transition. When S2(d) approaches, the D2 is strongly enhanced reflecting its d^7 character. In FeS₂, the S2(d) is the Fe 2p3d3d Auger transition.

V. CONCLUSION

In conclusion, we have studied the 2p core resonance photoemission behavior of NiS₂ and FeS₂. Various satellites

and Auger structures are resolved. Coherent interference behaviors of the resonance photoemission processes are experimentally clarified. Chronological interpretation is presented for the TM 2p3p3d Auger features. Plasmon satellites associated with the 3d and 3p resonance satellites and Auger emission are identified. It is found that various spectral features in low-spin FeS₂ are a result of the $S_z(3d)=0$ character of the 3d electrons in the ground state. For example, the 3s photoemission shows a single structure not split by the exchange interaction. The doublet features P1 and P2 in FeS₂ in contrast to the triplet features P1, P2, and P3 in NiS₂ are also due to the absence of the p-d exchange interaction. The sharp spectral feature of the S1(p) resonance

Auger structure in FeS₂ in contrast to the broader S2(p)Auger structure is interpreted by considering the $S_z(3d)$ =0 character in the corresponding final state. The 2p3p3dAuger emission has shown a doublet in FeS₂, because the

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 $S_z(3d)$ in the final state can be either 0 or ± 1 and the Auger emission is split by the *p*-*d* exchange interaction. The comparable splitting in NiS₂ is interpreted in the same manner since $S_z(3d)$ in the final state is either 0 or +1.

Without detailed theoretical calculations, one can thus reveal some details of the resonance photoemission processes by high resolution resonance photoemission spectroscopy in a wide energy region.

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