

1 Fasting Enhances TRAIL-Mediated Liver
2 Natural Killer Cell Activity via HSP70
3 Upregulation

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28 **Abstract**

29 Acute starvation, which is frequently observed in clinical practice, sometimes augments
30 the cytolytic activity of natural killer cells against neoplastic cells. In this study, we investigated
31 the molecular mechanisms underlying the enhancement of natural killer cell function by fasting
32 in mice. The total number of liver resident natural killer cells in a unit weight of liver tissue
33 obtained from C57BL/6J mice did not change after a 3-day fast, while the proportions of tumor
34 necrosis factor-related apoptosis-inducing ligand (TRAIL)⁺ and CD69⁺ natural killer cells were
35 significantly elevated (n = 7, *p* < 0.01), as determined by flow cytometric analysis. Furthermore,
36 we found that TRAIL⁻ natural killer cells that were adoptively transferred into Rag-2^{-/-} γ chain^{-/-}
37 mice could convert into TRAIL⁺ natural killer cells in fasted mice at a higher proportion than in
38 fed mice. Liver natural killer cells also showed high TRAIL-mediated antitumor function in
39 response to 3-day fasting. Since these fasted mice highly expressed heat shock protein 70 (n = 7,
40 *p* < 0.05) in liver tissues, as determined by western blot, the role of this protein in natural killer
41 cell activation was investigated. Treatment of liver lymphocytes with 50 μg/mL of recombinant
42 heat shock protein 70 led to the upregulation of both TRAIL and CD69 in liver natural killer
43 cells (n = 6, *p* < 0.05). In addition, HSP70 neutralization by intraperitoneally injecting an anti-
44 heat shock protein 70 monoclonal antibody into mice prior to fasting led to the downregulation
45 of TRAIL expression (n = 6, *p* < 0.05). These findings indicate that acute fasting enhances
46 TRAIL-mediated liver natural killer cell activity against neoplastic cells through upregulation of
47 heat shock protein 70.

48 **Introduction**

49 Natural killer (NK) cells, the front-line defense for the immune system, do not require
50 priming to exert their effector function on neoplastic cells, modified cells, and invading
51 infectious microbes [1-3]. Although it has been demonstrated that acute starvation, which is
52 frequently observed in clinical practice, sometimes augments the cytolytic activity of NK cells
53 against neoplastic cells [4], the molecular mechanisms underlying this phenomenon remain
54 unclear. In addition, few studies have addressed the question of whether such augmentation of
55 NK cell activity by nutritional alteration is of practical benefit.

56 It has been shown that many transformed cells, including virus-infected and tumor cells,
57 can be attacked by tumor necrosis factor–related apoptosis-inducing ligand (TRAIL)-expressing
58 NK cells [5-8]. A variety of mechanisms are involved in the control of neoplastic cells by NK
59 cells. One is the direct release of cytolytic granules containing perforin, granzymes, and
60 granulysin via the granule exocytosis pathway [1,2]. Another mechanism is mediated by death-
61 inducing ligands such as Fas ligand (FasL) and TRAIL [2,6,8].

62 TRAIL, an Apo2 ligand, is a type II transmembrane protein belonging to the TNF family.
63 There are 5 TRAIL receptors: two can induce apoptotic signals and the others act as decoy
64 receptors [6,9,10]. The ligation of TRAIL on NK cells with its two apoptotic receptors, TRAIL
65 receptor 1 (death receptor 4) and TRAIL receptor 2 (death receptor 5), on target cells is an
66 important mechanism of target cell lysis via the extrinsic pathway of apoptosis (as opposed to the
67 mitochondrial pathway of apoptosis) [6,7,9].

68 Heat shock proteins (HSPs) are overproduced in many stressful conditions, including
69 fasting. They are also involved in immune cell activation [11-15]. In particular, extracellular

70 HSP70 is involved in immune stimulation [11,14,16,17]. HSP70 is expressed on the surface of
71 some tumor cells and acts as a recognition structure for NK cells, promoting NK cell cytotoxicity
72 [18-20]. Furthermore, in some stressful situations, HSP70 is actively released in the extracellular
73 space as a soluble protein or bound to exosomes to activate antigen-presenting cells [21] or NK
74 cells [18,22]. It has also been shown that recombinant HSP70 can stimulate the proliferation and
75 antitumor function of NK cells [19].

76 Based on these studies, we hypothesized that acute starvation may lead to the
77 enhancement of NK cell activity against neoplastic cells by inducing the expression of HSP70. In
78 this study, we show that both the proportion of TRAIL⁺ NK cells and the expression of HSP70
79 were significantly elevated in the liver of fasted mice. Moreover, treatment of liver NK cells with
80 recombinant HSP70 upregulated both TRAIL and CD69 expression, and neutralization of HSP70
81 in fasted mice by intraperitoneal injection of an anti-HSP70 monoclonal antibody downregulated
82 TRAIL expression. Thus, our findings indicate that acute fasting enhances TRAIL-mediated
83 liver NK cell activity against neoplastic cells through upregulating HSP70.

84 **Materials and Methods**

85 **Ethics statements**

86 This study was performed in strict accordance with the Guide for the Care and Use of
87 Laboratory Animals and the local committee for animal experiments. The experimental protocol
88 was approved by the Ethics Review Committee for Animal Experimentation of the Graduate
89 School of Biomedical Sciences, Hiroshima University (Permit Number: A13-112). Surgery was

90 performed under diethyl ether anesthesia, and all efforts were made to minimize the suffering of
91 the mice.

92 **Mice and fasting protocol**

93 C57BL/6J (B6) female mice aged 8–10 weeks were purchased from CLEA Japan, Inc.
94 (Osaka, Japan). B6-based Rag-2^{-/-} γ chain^{-/-} mice aged 8–12 weeks were purchased from Taconic
95 Farms (Hudson, NY, USA). The mice were housed in the animal facility of Hiroshima
96 University, Japan, in a pathogen-free, microisolated environment. Prior to the start of the fasting
97 experiments, mice were allowed *ad libitum* access to food. During the fasting experiments, the
98 mice in the control group were allowed *ad libitum* access to food and fasted mice were deprived
99 of food for 1 or 3 days. All mice were allowed free access to water. Mouse body weight was
100 checked every day until the day of sacrifice. Liver weight was determined on the day of sacrifice.

101 **Lymphocyte isolation**

102 After mice were anesthetized by diethyl ether, peripheral blood from the orbital sinus was
103 collected into heparinized tubes. The peripheral blood cells (PBCs) were collected by
104 centrifugation and red blood cells were removed using ammonium chloride potassium (ACK)
105 lysing buffer. Liver lymphocytes were prepared according to a previously described method [23].
106 In brief, after injection of 1 mL phosphate-buffered saline (PBS) supplemented with 10% heparin
107 via the portal vein, the liver was dissected out and perfused with 50 mL PBS supplemented with
108 0.1% ethylenediamine tetraacetic acid. Blood cells were harvested from the liver perfusate by
109 centrifugation and erythrocytes were then removed using the ACK lysing buffer. Splenic
110 lymphocytes were prepared as a single cell suspension by gently crushing the spleens in PBS and

111 the erythrocytes were removed by treatment with the ACK buffer. The bone marrow cells were
112 harvested by flushing the femurs and tibiae with PBS, lymphocytes were then harvested after
113 centrifuging and lysing red blood cells with ACK buffer. All lymphocytes were stored in RPMI
114 medium for culture, ⁵¹Cr-release assay or in fluorescence-activated cell sorting (FACS) buffer to
115 determine their phenotype by flow cytometry.

116 **Flow cytometric analysis**

117 The lymphocytes were first incubated with an anti-CD16/32 (2.4G2) antibody to block
118 nonspecific Fc- γ receptor binding and then stained with the following monoclonal antibodies
119 (mAbs): fluorescein isothiocyanate (FITC) or BD Horizon™ BV421-conjugated anti-mouse
120 NK1.1 (PK136), allophycocyanin (APC) or APC-Cy™7-conjugated anti-mouse TCR- β chain
121 (H57-597), FITC-conjugated anti-mouse CD49b (DX5) or rat IgM, κ isotype control (R4-22),
122 Alexa Fluor® 647-conjugated anti-mouse CD49a (Ha31/8) or IgG2, λ 1 isotype control (Ha4/8),
123 phycoerythrin (PE)-conjugated anti-mouse CD253 (TRAIL; N2B2), CD69 (H1.2F3), CD122
124 (TM-Beta1), CD25 (3C7), CD314 (NKG2D; CX5), CD335 (NKp46; 29A1.4), CD178 (Fas
125 Ligand; MFL3), or PE-conjugated mouse immunoglobulin G (IgG) 2a, κ as the isotype-matched
126 control antibody. Liver lymphocytes were also stained simultaneously with PE-Cy™7 anti-
127 mouse CD69 (H1.2F3) or PE-Cy™7 Hamster IgG1, λ 1 isotype control to analyze the relation
128 between TRAIL and CD69 under fasting conditions. The apoptosis-related markers on Hepa1-6
129 cells were also analyzed using PE-conjugated anti-mouse CD95 (Fas/APO-1; Jo2), anti-mouse
130 CD262 (DR5; MD5-1), anti-mouse decoy TRAIL-receptor 1 (mDcR1-3) and 2 (mDcR2-1), or
131 PE-conjugated IgG2, λ 1 isotype control antibody. All antibodies were purchased from BD
132 Biosciences, except for CD253 (TRAIL) and CD262 (DR5) (eBioscience) and anti-mouse decoy
133 TRAIL-receptor 1 and 2 antibodies (BioLegend). Dead cells were excluded by light scatter and

134 propidium iodide or 7-AAD staining. Depending on the number of dyes to be detected, flow
135 cytometric analyses were performed using the FACSCalibur (BD Biosciences) (Figure 1A-E and
136 Figure 2), BD FACSCanto™ II flow cytometer (BD Biosciences) (Figure 4, 5C, 7, and 8), or the
137 BD LSRFortessa™ X-20 (BD Biosciences) (Figure 1F-G, Figure 3, Figure S1). Data were
138 analyzed using FlowJo 7.6.5 software (TreeStar, San Carlos, CA, USA).

139 **Isolation of NK cells and adoptive transfer assay**

140 Liver leukocytes were obtained from wild type B6 mice. Liver NK cells were then
141 negatively separated by using a mouse NK cell isolation kit II (Miltenyi Biotec, Auburn, CA,
142 USA). TRAIL⁻ NK cells were further sorted magnetically using biotin-conjugated anti-mouse
143 CD253 (TRAIL; N2B2; eBioscience) and streptavidin microbeads (Miltenyi Biotec) in the
144 negative fraction. The purity of isolated TRAIL⁻ NK cells was assessed by flow cytometry. The
145 liver TRAIL⁻ NK cells were intravenously injected into Rag-2^{-/-} γ chain^{-/-} mice (0.5×10^6
146 cells/mouse). The transferred mice were then divided into two groups. The fasted mice received
147 only water and fed mice received both food and water for 3 days. The lymphocytes from the liver,
148 spleen, and bone marrow of transferred or non-transferred (control) mice were harvested after the
149 fasting period, and NK cell phenotyping was performed.

150 **Cytotoxicity assay**

151 Mouse lymphoma cells (YAC-1) and mouse hepatoma cells (Hepa1-6), both purchased
152 from the RIKEN Cell Bank (Tsukuba, Japan), were used as the target cells. The effector cells
153 were fresh liver lymphocytes obtained from fed (control) mice and mice that had been fasted for
154 3 days. The YAC-1 and Hepa1-6 cells were labeled with Na₂[⁵¹Cr]O₄ and then incubated with

155 the effector cells in round-bottomed 96-well plates for 4 hours. The culture medium was RPMI
156 1640 (Gibco BRL, Grand Island, NY, USA) supplemented with 10% heat-inactivated fetal
157 bovine serum (Sanko Chemical Co. Ltd., Tokyo, Japan), 100 IU/mL penicillin, 100 µg/mL
158 streptomycin (Gibco BRL), 1 mM sodium pyruvate, and 1 mM nonessential amino acids
159 (NEAA; Gibco, Grand Island, NY, USA). For the control, target cells were incubated either in
160 culture medium to determine spontaneous release or in a mixture of 2% Nonidet P-40 to define
161 the maximum ⁵¹Cr release. For the blocking assay, the effector cells were pre-incubated for 1
162 hour at 37°C with 50 nM concanamycin A (CMA; Sigma-Aldrich, Saint Louis, MO, USA),
163 and/or 10 µg/mL anti-mouse CD253 (TRAIL; N2B2; eBioscience), and/or 10 µg/mL anti-mouse
164 CD178 (FasL; MFL3; BD Biosciences), or the isotype-matched controls. Cell-free supernatants
165 were carefully harvested and the radioactivity from the ⁵¹Cr that had been released into the
166 supernatants was measured using a gamma counter (Aloka ARC-380). The cytotoxicity
167 percentage, as indicated by ⁵¹Cr release, was calculated using the following equation: percent
168 cytotoxicity = [(cpm of experimental release – cpm of spontaneous release)]/[(cpm of maximum
169 release – cpm of spontaneous release)] × 100.

170 **Western blotting**

171 Western blotting was performed to detect HSP70, HSP27, and β-actin expression in liver
172 tissues. For each sample, 5 mg of fresh or frozen liver tissue from either fed mice or mice that
173 had been fasted for 3 days was homogenized in 1 mL NP-40 lysis buffer (containing 1 µL
174 leupeptin, 1 µL aprotinin, and 10 µL 100 mM phenylmethylsulfonyl fluoride). The lysates were
175 centrifuged at 15,000 g for 15 minutes at 4°C. The supernatant was then harvested, and its
176 protein concentration was determined using a spectrophotometer (NanoDrop 2000c). The sample
177 was then mixed with 3× SDS solution (containing 960 µL 3× Laemmli buffer and 60 µL 2-

178 mercaptoethanol per milliliter) and boiled at 100°C for 10 minutes. For each sample, 10 µg
179 protein was resolved by electrophoresis on 10% polyacrylamide gels with 0.1% SDS and
180 transferred to nitrocellulose transfer membranes (Schleicher & Schuell, Keene, NH, USA),
181 which were then incubated with an anti-HSP70 mAb (C92F3A-5; SMC-100A, StressMarq
182 Biosciences Inc., Victoria, BC, Canada), an anti-HSP27 mAb (G3.1; ADI-SPA-800, Enzo Life
183 Sciences), or an anti-β-actin mAb (6D1, MBL). Blots were then incubated with a peroxidase-
184 labeled goat anti-mouse immunoglobulin antibody (NA 931; Amersham International,
185 Buckinghamshire, UK) and developed using X-ray film and an enhanced chemiluminescence
186 detection reagent (Amersham Pharmacia Biotech). The band density on the X-ray film was
187 quantified using ImageJ software (NIH, Bethesda, MD, USA).

188 **Treatment of liver lymphocytes with recombinant HSP70**

189 Liver lymphocytes (2 million/well) isolated from fed B6 mice were cultured with
190 recombinant mouse HSP70-A1 (ADI-SPP-502, Enzo Life Sciences) at different concentrations
191 (0 µg/mL as the control or 0.5, 5, and 50 µg/mL) with or without 20 ng/mL recombinant mouse
192 interleukin (IL)-2 (eBioscience) in RPMI 1640 supplemented with 10% fetal bovine serum, 100
193 IU/mL penicillin, 100 µg/mL streptomycin (Gibco BRL, Carlsbad, CA, USA), 1 mM sodium
194 pyruvate, and 1 mM NEAA (Gibco BRL). After 2, 3, or 5 days of culture, the lymphocytes were
195 harvested and the NK cell phenotype was analyzed by flow cytometry.

196 **HSP70 inhibition by anti-HSP70 antibody *in vivo***

197 Eight-week-old B6 female mice were intraperitoneally injected with 200 µL PBS
198 containing 100 µg of either mouse anti-HSP70 mAb (clone C92F3A-5; without sodium azide;

199 StressMarq Biosciences Inc.) or a mouse IgG isotype-matched control antibody (Jackson
200 ImmunoResearch Laboratories Inc.) just before fasting (6 mice per group). Mouse body weight
201 was measured every day. After fasting for 3 days, the mice were sacrificed, and their liver
202 lymphocytes were harvested to determine TRAIL and CD69 expression on NK cells by flow
203 cytometry.

204 **Statistical analysis**

205 Data are presented as mean plus standard deviation or standard error of the mean. The statistical
206 differences between 2 groups were analyzed using an independent samples T test (2-tailed) in
207 SPSS Statistics version 16.0 (IBM, Rockford, IL, USA); *p*-values of 0.05 or less were considered
208 to indicate significance.

209 **Results**

210 **The proportion of TRAIL⁺ and CD69⁺ NK cells increased in mouse** 211 **livers in response to starvation**

212 The phenotypic characteristics of NK cells in mice that had been fasted for 1–3 days were
213 examined by flow cytometry. Liver lymphocytes from both fed and fasted mice were harvested
214 and stained with various antibodies to identify the membrane markers TRAIL, CD69, CD122
215 (IL-2 receptor β chain), and CD25 (IL-2 receptor α chain).

216 Electronically gated TCR β ⁻ NK1.1⁺ NK cells and NK cell markers from a representative
217 fed, 1-day-fasted, or 3-day-fasted mouse are shown in Figure 1 A–C. Notably, compared to fed
218 mice, 3-day-fasted mice showed significantly higher proportions of TRAIL and CD69 in liver

219 NK cells (Figure 1D). Mean fluorescence intensity (MFI) of TRAIL or CD69 positive NK cells
220 showed no significant differences among the groups (Figure 1E). Next, the distribution analysis
221 of CD69 and TRAIL expression revealed that the proportion of CD69⁺TRAIL⁺ double positive
222 NK cells significantly increased in fasted mice, while CD69⁻ TRAIL⁻ NK cells significantly
223 decreased. The proportion of CD69⁺ TRAIL⁻ cells also increased (Figure 1F, G). There was no
224 difference in CD122 and CD25 expression in liver NK cells among the groups (Figure 1D, E) as
225 well as in splenic NK cells (data not shown). The proportion of NK cells in the liver
226 mononuclear cell fractions from 3-day-fasted mice did not differ from that from fed mice (Figure
227 S1A).

228 The influence of fasting on the absolute number of NK cells was also examined. The total
229 number of liver resident NK cells in a unit weight of liver tissue did not differ between fasted
230 and fed mice, indicating that, under fasting conditions, the number of TRAIL⁻ NK cells
231 decreased, while that of TRAIL⁺ NK cells increased (Figure 2A, B).

232 Analysis of other functional markers of NK cells indicated that whole liver NK cells from
233 3-day fasted mice highly expressed not only TRAIL and CD69 but also NKp46 when compared
234 with fed mice. There was no significant difference in NKG2D or FasL expression (Figure 3A, B).
235 Additionally, changes in CD49a and DX5 phenotype characteristics in NK cells were examined
236 based on a report that recently demonstrated that liver-resident CD3⁻ NK1.1⁺ NK subsets are
237 characterized according to the differential expression of CD49a and DX5 [24]. While
238 proportions of CD49a⁻ DX5⁺ NK cells significantly decreased in fasted mice, the proportion of
239 CD49a⁺ DX5⁻ NK cells, which highly expressed TRAIL and CD69, significantly increased
240 (Figure 3A, C). Taken together, our results indicate that, under 3-day fasting, TRAIL and CD69
241 are highly expressed in mouse liver-resident CD49a⁺ DX5⁻ NK cells.

242 The phenotypic characteristics of NK cells in the spleen, bone marrow, and peripheral
243 blood were also examined under starvation (Figure S1A-G). The proportion of NK cells did not
244 differ in the spleen as well as in the liver, while it increased in the bone marrow and decreased in
245 peripheral blood under fasting conditions (Figure S1A). Splenic NK cells from fasted mice
246 showed a trend similar to that of liver NK cells in terms of CD69 expression, but NK cells in
247 other organs did not show a similar trend. Unlike the findings for the liver, the spleens from both
248 fed and fasted mice presented a very low CD49a⁺ DX5⁻ NK cell fraction (data not shown).

249 **TRAIL upregulation on liver NK cells in adoptive transferred fasted** 250 **mice**

251 We next examined the mechanism of TRAIL upregulation in fasted mice. To clarify
252 whether TRAIL⁻ NK cells convert into TRAIL⁺ NK cells in fasting mice, we transferred
253 TRAIL⁻ NK cells that were isolated from liver lymphocytes obtained from wild type B6 mice
254 into Rag-2^{-/-} γ chain^{-/-} B6 mice. The NK cell purity and TRAIL expression rate on the isolated
255 NK cells are shown in Figure 4A. It is noteworthy that these mice present macrophages, but not
256 NK cells or other lymphocytes. The absence of NK cells in Rag-2^{-/-} γ chain^{-/-} mice was analyzed
257 in Figure 4B (control mice). Three days after injection, the injected NK cells homed to the liver,
258 but not to the spleen or the bone marrow (Figure 4B, C). Furthermore, fasted transferred mice
259 showed significantly high expression of TRAIL and CD69 in liver NK cells in comparison with
260 fed transferred mice (Figure 4D, E). These results indicate that TRAIL upregulation is induced in
261 liver-resident NK cells by converting TRAIL⁻ cells into TRAIL⁺ cells.

262 **Cytotoxicity of liver lymphocytes against TRAIL-sensitive cancer**
263 **cells increased in fasted mice**

264 The cytotoxic potential of NK cells against the cell lines YAC-1 and Hepa1-6, which
265 differ in their sensitivity to TRAIL, was determined using the ⁵¹Cr release assay. Liver
266 lymphocytes from fed and 3-day-fasted mice were used as the effectors. There was no difference
267 in the cytotoxicity of the two lymphocyte groups against TRAIL-resistant YAC-1 (Figure 5A).
268 However, liver lymphocytes from fasted mice showed significantly higher cytotoxicity against
269 TRAIL-sensitive Hepa1-6 than liver lymphocytes from fed mice at effector: target ratios of 40:1,
270 20:1, and 10:1 (Figure 5B). To further investigate whether the upregulated cytotoxicity was
271 mediated via TRAIL, we incubated liver lymphocytes from fasted mice with perforin inhibitor
272 (CMA), anti-TRAIL mAb, anti-FasL mAb, or their combination at an effector: target ratio of
273 40:1. Hepa1-6 receptor expression was also examined. Hepa1-6 cells highly expressed both Fas
274 and death receptor 5 (TRAIL receptor 2) (Figure 5C). Lymphocytes treated with CMA, anti-
275 TRAIL mAb, or their combination presented a significantly reduced cytotoxicity in comparison
276 with the untreated group (Figure 5D). In contrast, the group treated with anti-FasL showed no
277 significant difference. These results indicate that liver NK cells from fasted mice presented an
278 increased perforin- and TRAIL-mediated antitumor activity.

279 **Overexpression of HSP70 was induced in livers from fasted mice**

280 It has been demonstrated that HSP70 actively released in the extracellular space activates
281 NK cells [18,22]. Hence, HSPs induced by acute starvation may play a role in TRAIL-mediated
282 antitumor activity. We found that HSP70 expression was significantly higher in 3-day-fasted

283 mouse liver than in fed mouse liver ($p < 0.05$), while HSP27 expression was not changed
284 (Figure 6).

285 **Treatment with recombinant HSP70 induced the proliferation and** 286 **activation of liver NK cells**

287 The contribution of HSP70 to NK cell activation was assessed *in vitro* by examining the
288 phenotypic characteristics of mouse liver NK cells after culturing liver lymphocytes with IL-2
289 and different concentrations of recombinant HSP70 (0 $\mu\text{g}/\text{mL}$ as the control, 0.5, 5, or 50
290 $\mu\text{g}/\text{mL}$) for 3 days. Treatment with ≥ 5 $\mu\text{g}/\text{mL}$ HSP70 induced NK cell proliferation ($p < 0.05$;
291 Figure 7B), whereas treatment with 50 $\mu\text{g}/\text{mL}$ HSP70 led to an upregulation of TRAIL and
292 CD69 expression in liver NK cells as compared to the control (Figure 7C, D).

293 **Anti-HSP70 neutralizing antibody reduced TRAIL expression in** 294 **liver NK cells in fasted mice**

295 To further clarify the relationship between HSP70 and TRAIL-mediated NK cell function,
296 an *in vivo* HSP70 neutralization assay was performed. Either an anti-HSP70 mAb or a mouse
297 IgG isotype-matched control antibody was intraperitoneally injected (100 μg per mouse) into
298 mice on day 0 before fasting. After the mice had been fasted for 3 days, their liver lymphocytes
299 were harvested for NK cell phenotypic determination. The two groups of mice did not differ in
300 terms of their body weight or liver lymphocyte yield (data not shown). TRAIL and CD69
301 expression in the $\text{TCR}\beta^- \text{NK1.1}^+$ NK cells was then assessed by flow cytometry (Figure 8A).
302 Although there was no difference in NK cell frequency between the two groups (Figure 8B),
303 TRAIL expression in liver NK cells from mice injected with the anti-HSP70 mAb was

304 significantly lower than that in cells from the control group (Figure 8C). CD69 expression was
305 also downregulated in cells from mice injected with the anti-HSP70 mAb, but no significance
306 was observed ($p = 0.07$; Figure 8C). MFI of TRAIL or CD69 positive NK cells did not
307 significantly differ between two groups of mice (Figure 8D).

308 **Discussion**

309 Acute starvation is well known to induce physiological changes in the body. Consistent
310 with previous studies [4,25], our study showed a decrease in body weight and liver weight as
311 well as in the number of lymphocytes from various organs in fasted mice as compared to fed
312 mice (Figure S2A–H). Interestingly, we observed that, although the liver weight decreased
313 proportionately with body weight (i.e., the liver:body weight ratio was unchanged), the
314 lymphocyte number notably decreased under starvation.

315 We previously reported that liver NK cells constitute a unique NK population
316 characterized by high TRAIL expression and high production of perforin, granzymes, and
317 cytokines and have the capacity to kill various kinds of cancer cells, virus-infected cells, or other
318 transformed cells [26,27]. The ligation of TRAIL with death receptor 4 or 5 on target cells
319 induces NK cell activation [7]. On the other hand, CD69, which is a type II transmembrane
320 glycoprotein, is highly induced in many activated lymphocytes, in particular in NK cells [28].
321 This study represents the first report showing that the proportion of liver-resident NK cells
322 expressing TRAIL and CD69 is significantly higher in fasted mice than in fed mice (Figures 1
323 and 3). The adoptive transfer assay indicated that TRAIL⁻ NK cells could turn into TRAIL⁺ NK
324 cells under fasting condition (Figure 4). Taken together with the fact that the total number of
325 liver resident NK cells, including both TRAIL⁺ and TRAIL⁻, in a unit weight of liver tissue did

326 not differ between fasted and fed mice (Figure 2), our results confirm that fasting leads to the
327 activation of liver NK cells.

328 Liver NK cells from fasted mice have previously been demonstrated to have high
329 antitumor activity [4]. However, the mechanism underlying this activity has been entirely
330 unknown. Our study indicates that liver lymphocytes from fasted mice showed high cytotoxicity
331 against TRAIL-sensitive Hepa1-6 cells and related to the TRAIL-mediated apoptotic pathway
332 (Figure 5). Furthermore, these lymphocytes contained a higher proportion of TRAIL⁺ NK cells
333 than those from fed mice (Figure 1D). In contrast, TRAIL expression in other kind of
334 lymphocytes such as T cells and NKT cells was very low and did not differ between fed and
335 fasted mice (data not shown). These observations suggest that the cytotoxicity in liver NK cells
336 from fasted mice is linked to the specific upregulation of TRAIL by acute starvation.

337 Our result may help understand the innate immune response in post-operative fasted and
338 cachectic patients or patients with other conditions suffering from fasting. Besides many
339 negative effects of starvation, such as fatigue and weight loss, fasting may still exert high level of
340 antitumor effects via TRAIL-mediated NK cell activity. This might provide a new therapeutic
341 approach to activate TRAIL-mediated NK cell activity in patients; further studies are needed in
342 this regard.

343 Many factors contribute to the regulation of TRAIL expression in NK cells. Interferon
344 gamma (IFN- γ) is one of the most important factor, which can both induce TRAIL expression in
345 NK cells and mediate NK cell cytotoxic activity [8,29,30]. Other cytokines such as IL-2, IL-12,
346 IL-15, IL-18, and IL-21 have been shown to be involved in the survival and antitumor activity of
347 NK cells [31]. However, neither IFN- γ nor IL-12 is upregulated in 3-day-fasted mice [4], and
348 neither IL-12 nor IL-18 induced TRAIL expression in liver NK cells [30].

349 It is well known that HSPs are strongly induced in various stressful situations to cope
350 with stimuli. HSP60 and GRP78 were found to be induced in response to fasting [13,32]. In this
351 study, we found that HSP70 was significantly overexpressed in the liver of fasted mice
352 (Figure 6). HSP70 can actively translocate into the plasma membrane following some stresses
353 and even be released into the extracellular space to stimulate immune cells [21,33].

354 Previous studies have shown that HSP70 is linked to NK cell cytotoxicity. Membrane-
355 bound HSP70 on tumor cells has been identified as a recognition structure for NK cells that
356 promotes NK cell cytotoxicity [18-20,34], and an *in vitro* study has shown that culturing NK
357 cells with HSP70 leads to an increase in their cytotoxicity [19,20]. In addition, adoptive infusion
358 of HSP70/IL-2 pre-stimulated NK cells induced shrinking of tumor masses in tumor-bearing
359 mice and improved survival [18-20,35]. Despite such striking facts, it is still not fully understood
360 which molecules are responsible for NK cell immunostimulatory response to HSP70.

361 In the present study, we cultured recombinant HSP70 with liver lymphocytes and found
362 that NK cell proliferation increased with HSP70 stimulation (Figure 7B). Furthermore, both
363 TRAIL and CD69 expression in liver NK cells from fed mice were upregulated in response to
364 HSP70 in a dose-dependent manner (Figure 7C, D). This result suggests that HSP70 may play a
365 role in the stimulation of TRAIL expression in NK cells during fasting. Thus, to determine the
366 effect of HSP70 on TRAIL expression, HSP70 inhibition using an anti-HSP70 mAb was
367 performed *in vivo*. As expected, TRAIL⁺ NK cell proportion was significantly downregulated in
368 the anti-HSP70 mAb-treated mice. To our knowledge, this is the first report to provide evidence
369 that HSP70 can induce NK cell activation in fasted mice via TRAIL. Since TRAIL
370 downregulation by HSP70 inhibition is not complete, there may be other factors that regulate
371 TRAIL-mediated NK activity; further studies are needed in this regard.

372 In conclusion, our mouse-model study showed that starvation has a positive effect on
373 innate immunity by activating liver NK cells through TRAIL upregulation. We also showed that
374 the underlying mechanism is, at least in part, due to HSP70 overexpression in the liver. This
375 insight into HSP70-mediated NK cell activation may lead to the development of new therapeutic
376 approaches that use NK cells to target cancer or virus-infected cells.

377

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385 **Authors' contributions**

386 V.T.A.D. performed the experiments, collected and analyzed the data, and drafted the manuscript
387 and figures. T.M., N.F., and Y.S participated in the experiments and data analysis. N.T.
388 contributed to the study design. Y.T. participated in study design and provided assistance with
389 expert techniques and analysis. K.T. and H.O. conceived and supervised the study, approved the
390 protocols and final results, and critically revised the manuscript and figures. All authors read and
391 approved the final manuscript.

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478

479 **Figure Legends**

480 **Figure 1. Phenotype of liver natural killer cells under starvation.** Isolated liver lymphocytes
481 from 3 mouse groups were stained with monoclonal antibodies against the cell surface markers
482 TRAIL, CD69, CD122, and CD25 prior to analysis by flow cytometry. Representative natural
483 killer (NK) cell phenotype analyses from (A) fed, (B) 1-day-fasted and (C) 3-day-fasted mice are
484 presented in dot plots and histograms. $\text{TCR}\beta^- \text{NK1.1}^+$ cells were gated as NK cells. The dotted
485 lines represent the negative control. The distribution of TRAIL, CD69, CD122, and CD25
486 expression in NK cells is indicated by the solid lines (shaded areas) and the percentage and mean
487 fluorescence intensity (MFI) of positive cells are provided. (D) The percentages or (E) MFI of
488 liver NK cells that are positive for TRAIL, CD69, CD122, and CD25 are shown in bar graphs as
489 mean plus standard deviation. (F) Dot plots of representative data and (G) bar graph present the
490 mean plus standard deviation of proportion of NK cell subsets regarding to TRAIL and CD69
491 expression in fed and fasted mice; $*p < 0.05$, $**p < 0.01$ as analyzed by the independent samples
492 T test.

493 **Figure 2. Distribution of TRAIL and CD69 expression in liver natural killer cells in**
494 **response to starvation.** Liver lymphocytes from fed mice and 3-day-fasted mice were stained
495 with monoclonal antibodies and counted using flow cytometry. Numbers of (A) $\text{TCR}\beta^- \text{NK1.1}^+$
496 natural killer (NK) cells, (B) $\text{TRAIL}^{+/-}$ NK cells, and (C) $\text{CD69}^{+/-}$ NK cells per gram of liver
497 tissue are presented in bar graphs as mean plus standard deviation ($n = 7$); $*p < 0.05$ as analyzed
498 by the independent samples T test.

499 **Figure 3. Analysis of functional markers in liver natural killer cells and their $\text{CD49a}^+ \text{DX5}^-$**
500 **and $\text{CD49a}^- \text{DX5}^+$ subgroups.** Liver lymphocytes from fed and 3-day-fasted mice were

501 simultaneously stained with monoclonal antibodies against DX5, CD49a, TRAIL, CD69,
502 NKG2D, NKp46, and FasL. (A) Representative dot plots of gated TCR β ⁻ NK1.1⁺ natural killer
503 (NK) cells and its two subsets, CD49a⁺ DX5⁻ and CD49a⁻ DX5⁺ NK cells, in fed and fasted mice
504 are presented. Histograms show the expression of TRAIL, CD69, NKG2D, NKp46, and FasL
505 (solid lines) on whole NK cells and their subsets with the percentage of NK cells that are positive
506 for those markers, dotted lines present negative control. (B) Bar graph shows the mean
507 percentage plus standard deviation of NK cells that are positive for TRAIL, CD69, NKG2D,
508 NKp46, or FasL. (C) The proportion of NK cell subsets, CD49a⁺ DX5⁻ and CD49a⁻ DX5⁺ NK
509 cells, in fed and fasted mice are shown as mean ratio plus standard deviation; **p* < 0.05 as
510 analyzed by the independent samples T test.

511 **Figure 4. Adoptive transfer assay for TRAIL and CD69 expression on liver natural killer**
512 **cells in response to starvation.** (A) Isolated TRAIL⁻ natural killer (NK) cells were separated
513 from liver lymphocytes of wild type B6 mice. Proportion of lymphocytes expressing TCR β ,
514 NK1.1, and TRAIL in whole liver lymphocytes, isolated NK cells, and isolated TRAIL⁻ NK
515 cells are presented in dot plots. The isolated TRAIL⁻ NK cells were adoptively transferred into
516 Rag-2^{-/-} γ chain^{-/-} mice (0.5×10^6 cells/mouse), which were then fed or fasted for 3 days before
517 determining their NK phenotype. (B) Dot plots show the gated TCR β ⁻ NK1.1⁺ NK cells and their
518 percentage in the liver, spleen, and bone marrow of non-transferred (control), fed-transferred,
519 and fasted-transferred mice. (C) Bar graph presents the mean percentage plus standard deviation
520 of NK cells in the liver of fed and fasted-transferred mice. (D) Expression of TRAIL and CD69
521 (solid lines) on the liver NK cells of representative fed- and fasted-transferred mice with their
522 percentages of positive cells are presented in histograms; dotted lines showed the negative
523 control. (E) The proportion of liver TRAIL⁺ and CD69⁺ NK cells in fed and fasted-transferred

524 mice are shown in bar graph as mean plus standard deviation; $*p < 0.05$ as analyzed by the
525 independent samples T test.

526 **Figure 5. Assay analyzing cytotoxic effects of liver lymphocytes obtained from fasted mice**
527 **on tumor cells.** (A) The cytotoxic activity of freshly isolated liver lymphocytes from fed mice
528 (solid lines) and 3-day-fasted mice (dashed lines) against TRAIL-resistant YAC-1 and (B)
529 TRAIL-sensitive Hepa1-6 cells was analyzed using the ^{51}Cr -release assay. The effector to target
530 (E/T) ratios were 40:1, 20:1, 10:1, and 5:1. The cytotoxicity percentage was calculated as the
531 percentage of specific ^{51}Cr release, as described in the materials and methods section. Data are
532 presented as mean \pm standard error of the mean from triplicate samples of 11 repeated assays,
533 each including 1 fed and 1 fasted mouse. (C) Histograms show the phenotype of Hepa1-6 cells
534 that was analyzed using antibodies against mouse Fas, death receptor 5 (DR5), and decoy TRAIL
535 receptor 1 and 2 (DcR1 and DcR2) in solid lines. Negative controls, which were stained with
536 isotype-math antibodies, are indicated using dotted lines. The proportion of Hepa1-6 cells
537 positive for those markers is provided. (D) Liver lymphocytes that were obtained from 3-day-
538 fasted mice were incubated with CMA, anti-TRAIL mAb, anti-FasL mAb, or their combination
539 before incubation with ^{51}Cr -labeled-Hepa1-6 for 4 hours, at a lymphocyte: Hepa1-6 ratio of 40:1.
540 Bar graph shows the mean cytotoxicity percentage plus standard deviation for each group.
541 Statistical analysis was performed for each ratio using the independent samples T test; $*p < 0.05$.

542 **Figure 6. Western blot analysis of heat shock protein expression in fasted mouse livers.** (A)
543 Heat shock protein (HSP)70, HSP27, and β -actin expression in the livers from fed mice (control)
544 and 3-day-fasted mice (7 mice in each group) was determined by western blot. (B) The bar graph
545 shows the average HSP70/ β -actin densities plus standard error of the mean; densities were

546 analyzed using ImageJ software. Statistical analyses were performed using the independent
547 samples T test $*p < 0.05$.

548 **Figure 7. The effect of recombinant heat shock protein 70 on natural killer cell**
549 **proliferation and TRAIL and CD69 expression.** Isolated liver lymphocytes (2 million
550 cells/well) were cultured with mouse recombinant heat shock protein (HSP)70 at various
551 concentrations: 0, 0.5, 5, or 50 $\mu\text{g/mL}$. After 3 days of culture, the lymphocytes were harvested
552 for phenotypic determination. (A) Representative flow cytometric analysis of TRAIL and CD69
553 expression in $\text{TCR}\beta^- \text{NK1.1}^+$ natural killer (NK) cells is shown. The dotted lines represent the
554 expression distribution in the negative control cells and the solid lines (shaded areas) with
555 numbers indicate the distribution of TRAIL^+ and CD69^+ NK cells. (B) $\text{TCR}\beta^- \text{NK1.1}^+$ NK cell
556 number per well, (C) TRAIL^+ and (D) CD69^+ NK cell percentages are shown in bar graphs as
557 mean plus standard deviation ($n = 6$). Data were statistically analyzed using the independent
558 samples T test; $*p < 0.05$.

559 **Figure 8. Neutralization effect of an anti-heat shock protein 70 monoclonal antibody on the**
560 **natural killer cell phenotype.** Mice received intraperitoneal injections of an anti-heat shock
561 protein (HSP)70 monoclonal antibody or isotype-matched mouse immunoglobulin G (6 mice per
562 group) just before fasting. After a 3-day fast, liver lymphocytes were harvested for phenotyping
563 by flow cytometry. (A) The distribution of TRAIL and CD69 expression on electronically gated
564 $\text{TCR}\beta^- \text{NK1.1}^+$ natural killer (NK) cells is indicated with solid lines (shaded areas). The
565 percentage and mean fluorescence intensity (MFI) of TRAIL^+ or CD69^+ NK cells are provided.
566 The dotted lines represent the distribution in the negative control. (B) $\text{TCR}\beta^- \text{NK1.1}^+$, (C)
567 TRAIL^+ and CD69^+ NK cell proportions, and (D) MFI from NK cells positive for those markers

568 are shown in bar graphs as mean plus standard deviation ($n = 6$). The difference among the
569 groups was analyzed using the independent samples T test; $*p < 0.05$.

570 **Figure S1. Additional phenotypic analysis of natural killer cells from the spleen, bone**
571 **marrow, and blood under starvation.** (A) The mean proportion plus standard deviation of
572 gated $\text{TCR}\beta^- \text{NK1.1}^+$ natural killer (NK) cells from the liver, spleen, bone marrow, and blood of
573 fed and 3-day-fasted mice are shown in bar graphs. (B) Histograms show the representative
574 expression of the indicated markers on NK cells (solid lines) with the percentages of positive NK
575 cells from the spleen, (D) bone marrow, and (F) blood; dotted lines represent negative control.
576 Bar graphs represent the mean percentage plus standard deviation of positive NK cells in (C) the
577 spleen, (E) bone marrow, and (G) blood. Data were analyzed using the independent samples T
578 test; $*p < 0.05$.

579 **Figure S2. Physiological characteristics of the fasted mice.** (A) Mouse body weight was
580 measured every day during the fasting period. (B, C) Liver weight and ratio of liver:body weight
581 were determined on the day of sacrifice. Lymphocytes from (D, E) the liver, (F) spleen, (G) bone
582 marrow, and (H) blood from fed and fasted mice were counted using a hemocytometer; average
583 numbers plus standard deviation are shown. The difference between groups was analyzed using
584 the independent samples T test; $*p < 0.05$; $**p < 0.01$.